

# **Chapter 1**

## **Data structures and algorithms**

# **Contents**

**1.1 A Philosophy of Data Structure**

**1.2 Abstract Data Types and Data Structures**

**1.3 Problems, Algorithms, and Programs**

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**1.1 A Philosophy of Data Structure**

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**1.3 Problems, Algorithms, and Programs**

# What is data structure

- **Algorithm + data structures = programs**
- **Algorithm:** a method or process followed to solve a problem.
- **Data structures:** mathematics model for solving a problem.
- **Programs:** a function or mapping of input to outputs.

# The Need for Data Structures

- Data structures organize data  
⇒ more **efficient** programs.
- More **powerful** computers ⇒ more **complex** applications.
- More complex applications demand more **calculations**.
- Complex computing tasks are unlike our everyday experience.

# Organizing Data

- Any organization for a collection of records can be searched, processed in any order, or modified.
- However, the **choice** of data structure and algorithm can make the **difference** between a program running in a few seconds or many days.

# Efficiency

- A solution is said to be efficient if it solves the problem within its resource constraints.
  - Space
  - Time
- The cost of a solution is the amount of resources that the solution consumes.

# Selecting a Data Structure

Select a data structure as follows:

1. Analyze the problem to determine the **resource constraints** a solution must meet.
2. Determine the **basic operations** that must be supported. Quantify the resource constraints for each operation.
3. Select the **data structure** that best meets these requirements.



# Costs and Benefits

- Each data structure has **costs and benefits**.
- **Rarely** is one data structure better than another in all situations.
- A data structure requires:
  - **space** for each data item it stores,
  - **time** to perform each basic operation,
  - programming **effort**.

# Costs and Benefits (cont)

- Each problem has **constraints** on available space and time.
- Only after a careful analysis of problem characteristics can we know the **best** data structure for the task.
- Bank example:
  - Start account: a few minutes
  - Transactions: a few seconds  
require exact-match query
  - Close account: overnight
  - **Hash table is suitable**

# Costs and Benefits (cont)

- City database example:
  - Find a city or town: by name
    - require exact-match query
    - a few seconds
  - Find all places that match a range of values for attributes
    - require range query
    - a few minutes
  - B<sup>+</sup>-tree is suitable
  - Linear index would be more appropriate if the database is not changed after created

# Some Questions to Ask when you choose a data structure

- Are all data **inserted** into the data structure at the beginning, or are insertions interspersed with other operations?
- Can data be **deleted**?
- Are all data processed in some well-defined **order**, or is random access allowed?

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# Basic terminology

- **Type:** a collection of values
  - **Simple type:** integer, boolean, ...
  - **Aggregate type:** record, ...
- **Data Type:** a type together with a collection of **operations** to manipulate the type.

# Abstract Data Types

- Abstract Data Type (ADT): is the **realization** of a data type as a software component.

The interface of the ADT is defined in terms of a set of **values** and a set of **operations** on that data type.

- Each ADT operation is defined by its inputs and outputs.
- Encapsulation: Hide implementation details.
- In a program, implement an ADT, then think only about the ADT, not its implementation.

# Data Structure

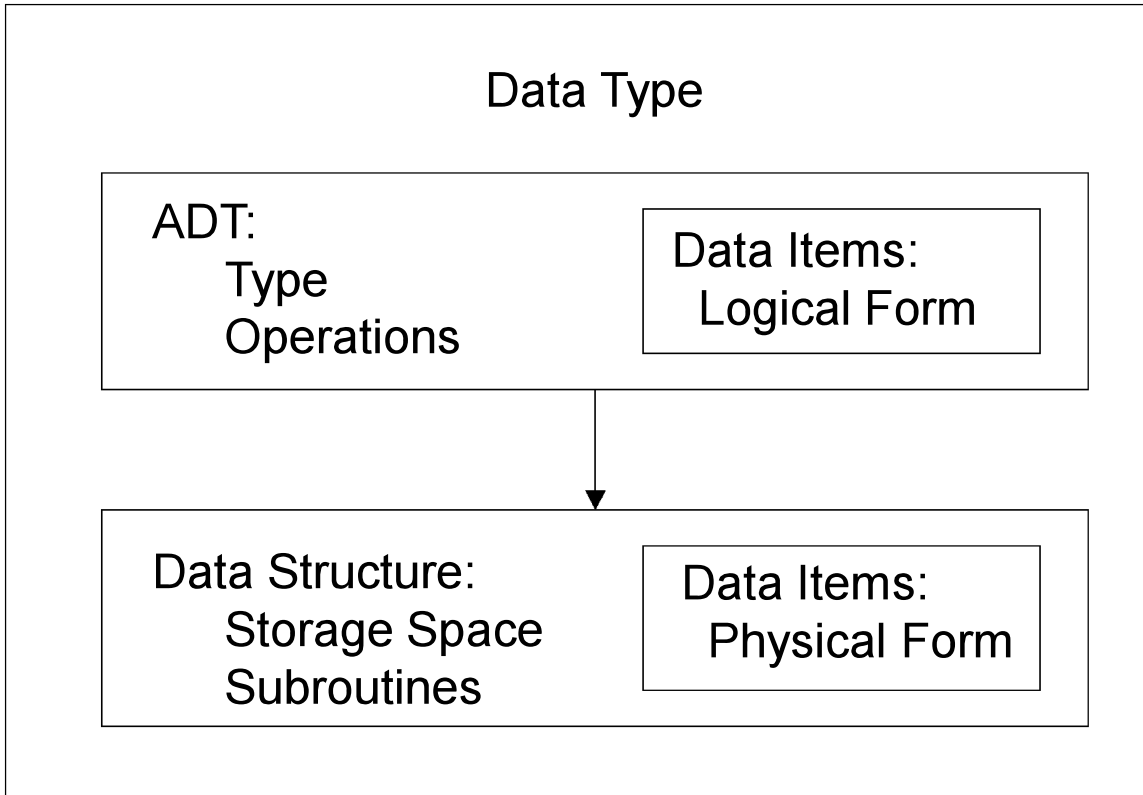
- A data structure is the **physical implementation** of an ADT.
  - Each operation associated with the ADT is implemented by one or more subroutines in the implementation.
- Data structure usually refers to an organization for data in **main memory**.
- File structure is an organization for data on **peripheral storage**, such as a disk drive.



# Logical vs. Physical Form

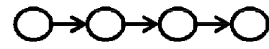
- Data items have both a logical and a physical form.
- Logical form: **definition** of the data item within an ADT.
  - Ex: Integers in mathematical sense: +, -
- Physical form: **implementation** of the data item within a data structure.
  - Ex: 16/32 bit integers, overflow.

## 1.2 Abstract Data Types and Data Structures

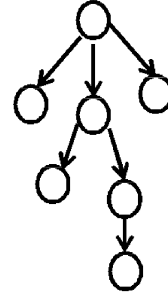


# Logical structure

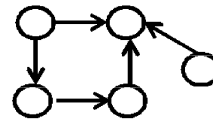
- Linear structure



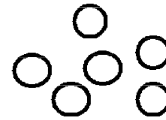
- Tree structure



- Graph structure



- Collection (set) structure



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# Problems

- Problem: a task to be performed.
  - Best thought of as **inputs and matching outputs**.
  - Problem definition should include **constraints** on the resources that may be consumed by any acceptable solution.
  - But **NO constraints on HOW** the problem is solved.

# Problems (cont)

- Problems  $\Leftrightarrow$  mathematical functions
  - A function is a matching between inputs (the domain) and outputs (the range).
  - An input to a function may be single number, or a collection of information.
  - The values making up an input are called the parameters of the function.
  - A particular input must always result in the **same** output every time the function is computed.

# Algorithms and Programs

- Algorithm: a method or a process followed to **solve a problem**.
  - A recipe.
- An algorithm takes the input to a problem (function) and transforms it to the output.
  - A mapping of input to output.
- A problem can have **many** algorithms.

# Algorithm Properties

- An algorithm possesses the below properties:
  - It must be correct.
  - It must be composed of a series of concrete steps.
  - There can be no ambiguity as to which step will be performed next.
  - It must be composed of a finite number of steps.
  - It must terminate.
- A computer program is an **instance**, or concrete representation, for an algorithm in some programming language.



# Compare the concepts

- A problem is a function or a mapping of inputs to outputs.
- An algorithm is a recipe for solving a problem whose steps are concrete and unambiguous. The algorithm must be correct, of finite length, and must terminate for all inputs.
- A program is an instantiation of an algorithm in a computer programming language.

# Homework

- 课后习题: 1.6 1.9 1.10 1.13