CHAPTER 13 CARBOXYLIC ACID DERIVATIVES

教学目的

- 1. 掌握羧酸衍生物的命名。
- 2. 掌握酰卤、酸酐、酯和酰胺的化学性质。
- 3. 掌握酯的水解历程($B_{Ac}2$ 、 $A_{Ac}2\pi A_{Al}1$)。
- 掌握乙酰乙酸乙酯和丙二酸二乙酯在有机
 合成中的应用。
- 5. 了解碳酸衍生物的种类和主要性质。
- 6. 熟悉有机合成的技巧并灵活使用。

Contents

13.1 CARBOXYLIC ACID DERIVATIVES

13.2 ACYL HALIDES AND CARBOXYLIC ACID ANHYDRIDES

13.3 ESTERS

13.4 GREASE AND DETERGENT

13.5 APPLICATIONS OF ETHYL ACETOACETATE AND DIETHYL MALONATE IN ORGANIC SYNTHESIS

<u>13.6 AMIDES</u>

13.7 THE MECHANISM OF CARBOXYLIC ACID DERIVATIVES' HYDROLYSIS, AMINOLYSIS AND ALCOHOLYSIS

13.8 DERIVATIVES OF CARBONIC ACID

13.9 SYNTHETIC ROUTE

习题课

13.1 CARBOXYLIC ACID DERIVATIVES 13.1.1 NOMENCLATURE AND CLASSIFICATION

This chapter differs from preceding ones in that it deals with several related classes of compounds rather than just one. Although the compounds may encompass several functional group types, they share the common feature of yielding carboxylic acids on hydrolysis and, for this reason, are called carboxylic acid derivatives.







13.1.2 SPECTROSCOPY

Infrared: Infrared spectroscopy is quite useful in identifying carboxylic acid derivatives. The carbonyl stretching vibration is very strong, and its position is sensitive to the nature of the carbonyl group.

In general, electron donation from the substituent decreases the double-bond character of the bond between carbon and oxygen and decreases the stretching frequency.



Two distinct absorptions are observed for the symmetric and antisymmetrical stretching vibrations of the anhydride function.

Additional:

Carboxylic acid anhydrides:

 $v_{\rm C-O} = 1045 - 1300 \,{\rm cm}^{-1}$.

Esters: no O-H stretching vibration; $v_{C-O} = 1050-1300 \text{ cm}^{-1}$.

Amides: $v_{N-H} = 3050-3550 \text{ cm}^{-1}$.

¹H NMR: Chemical-shift differences in their
 ¹H NMR spectra aid the structure
 determination of esters.

Ex: Ethyl acetate and methyl propionate As Figure shows, the number of signals and their multiplicities are the same for both esters. Both have a methyl singlet and a triplet-quartet pattern for their ethyl group.



The chemical shift of the N-H proton of amides appears in the range δ 5-8. It is often a very broad peak; sometimes it is so broad that it does not rise much over the baseline and can be lost in the background noise.

Contents

13.2 ACYL HALIDES AND CARBOXYLIC ACID ANHYDRIDES 13.2.1 ACYL HALIDES

Acyl chlorides are the most important acyl halides. They are readily prepared from carboxylic acids by reaction with $SOCl_2$, PCl_3 , and PCl_5 (Section 12.3.2.2).





Weak resonance stabilization

The carbonyl group of an acyl chloride feels the normal electron-withdrawing inductive effect of a chlorine substituent without much compensating electron donation by resonance. This *destabilizes* the carbonyl group and makes it *more reactive* to be attacked by nucleophiles. **Mechanism of Hydrolysis:**



Problem: Please give a reasonable explanation for the alcoholysis of acyl chloride.

Sample solution: mechanism of alcoholysis



Example: Rank the compounds in the following group in order of decreasing activity of hydrolysis, alcoholysis, and aminolysis:



1 Which reaction? **2** Stereo-electronic effect **Sample solution**:

 $CH_3C - Cl >$

13.2.2 CARBOXYLIC ACID ANHYDRIDES Nucleophilic acyl substitution in acid anhydrides involves cleavage of a bond between oxygen and one of the carbonyl groups. One acyl group is transferred to an attacking nucleophile; the other retains its single bond to oxygen and becomes the acyl group of a carboxylic acid.





An new method for the commercial production of acetic anhydride is shown as follows:



13.3 ESTERS 13.3.1 PHYSICAL PROPERTIES Esters are moderately polar. Dipole–dipole attractive forces give esters higher boiling points than hydrocarbons of similar shape and molecular weight. Because they lack hydroxyl groups, however, ester molecules cannot form hydrogen bonds to each other; consequently, esters have lower boiling points than alcohols of comparable molecular weight.



Esters can participate in hydrogen bonds with substances that contain hydroxyl groups (water, alcohols, carboxylic acids). This confers some measure of water solubility on low-molecular-weight esters; methyl acetate, for example, dissolves in water to the extent of 33 g/100 mL.

Water solubility *decreases* as the carbon content of the ester *increases*. Fats and oils, the glycerol esters (丙三醇酯) of long-chain carboxylic acids, are practically insoluble in water.









13.3.2.2 REACTION WITH GRIGNARD REAGENTS

Esters react with two equivalents of a Grignard reagent to produce tertiary alcohols. Two of the groups bonded to the carbon that bears the hydroxyl group in the tertiary alcohol are derived from the Grignard reagent.





Ex2: Esters with steric substituents







4. Reduction with sodium in aprotic solvent (benzene, toluene, xylene):



It is a useful method to synthesis macrocyclic compounds by reducing the diesters in good yields.





On treatment with alkoxide bases, esters undergo self-condensation to give a β -keto ester and an alcohol.

Ethyl acetate, for example, undergoes a Claisen condensation on treatment with sodium ethoxide to give a β -keto ester known by its common name *ethyl acetoacetate*.






Attention: At least two protons must be present at the carbon for the equilibrium to favor product formation. Claisen condensation is possible for esters of the type RCH_2CO_2R , but not for R_2CHCO_2R .

2. Mixed Claisen condensation

Analogous to mixed aldol condensations, mixed Claisen condensations involve carbon– carbon bond formation between the α -carbon atom of one ester and the carbonyl carbon of another.



The best results are obtained when one of the ester components is incapable of forming an enolate. Esters of this type include the following:



Ex 1: The following equation shows an example of a mixed Claisen condensation in which a benzoate ester is used as the nonenolizable component:



Methyl benzoate Methyl propanoate (cannot form an enolate)

Methyl 2-methyl-3-oxo-3-phenylpropanoate (60%)

Ex 2:
$$O$$

HC-OEt + CH₃C-OEt (1) NaOEt O
 (2) HOAc O
CH₂C-OEt O
CHO



In a reaction related to the mixed Claisen condensation, nonenolizable esters are used as acylating agents for ketone enolates. Ketones (via their enolates) are converted to β-keto esters by reaction with diethyl carbonate.



Esters of nonenolizable monocarboxylic
 acids such as ethyl benzoate give β-diketones
 on reaction with ketone enolates:

Ex:



3. Intramolecular Claisen condensation Esters of dicarboxylic acids undergo an *intramolecular* version of the Claisen condensation when a five- or six-membered ring can be formed.

 $\begin{array}{c} O \\ \parallel \\ CH_{3}CH_{2}OCCH_{2}CH_{2}CH_{2}CH_{2}CH_{2}CCH_{2}CH_{3} \xrightarrow{1. \text{ NaOCH}_{2}CH_{3}} \\ \hline 2. H_{3}O^{+} \end{array}$

Diethyl hexanedioate

Ethyl (2-oxocyclopentane)carboxylate (74–81%)

COCH₂CH₃

This reaction is an example of a Dieckmann cyclization.



This intramolecular Claisen condensation is a *reversible* reaction.

Example: Give a reasonable explanation for the following process.





13.3.3 IMPORTANT COMPOUNDS





Orthoformic acid

Orthoformate

Acetals as protecting groups:



13.4 GREASE AND DETERGENT 13.4.1 GREASE Oil — liquid; fat — solid China wood oil (桐油): Eleostearate (桐酸酯) Eleostearic acid (桐酸 C₁₈H₃₀O₂): (CH₃(CH₂)₃(CH=CH)₃(CH₂)₇COOH

13.4.2 SOAP AND DETERGENT

When the molecular weight isn't too high, the sodium and potassium salts of carboxylic acids are soluble in water.

The solubility behavior of salts of carboxylic acids having 12–18 carbons is unusual and can be illustrated by considering sodium stearate:



Sodium stearate (sodium octadecanoate)

Sodium stearate has a polar carboxylate group at one end of a long hydrocarbon chain. The carboxylate group is hydrophilic ("waterloving") and tends to confer water solubility on the molecule. The hydrocarbon chain is lipophilic ("fat-loving") and tends to associate with other hydrocarbon chains. When sodium stearate is placed in water, the hydrophilic carboxylate group encourages the formation of a solution; the lipophilic alkyl chain discourages it.

The compromise achieved is to form a colloidal dispersion of aggregates called micelles (胶束). Micelles form spontaneously when the carboxylate concentration exceeds a certain minimum value called the critical (临 \mathbb{R}) micelle concentration.

Each micelle is composed of 50–100 individual molecules, with the polar carboxylate groups directed toward its outside where they experience attractive forces with water and sodium ions. The nonpolar hydrocarbon chains are directed toward the interior of the micelle. **Micelles are approximately** spherical because a sphere exposes the minimum surface for a given volume of material and disrupts the water structure least.

It is the formation of micelles and their properties that are responsible for the cleansing action of soaps.

Water that contains sodium stearate removes grease by enclosing it in the hydrocarbon-like interior of the micelles. The grease is washed away with the water, not because it dissolves in the water but because it dissolves in the micelles that are dispersed in the water. Detergents are substances that cleanse by micellar action. A large number of synthetic detergents are known. One example is sodium lauryl (月桂醇) sulfate.

Sodium lauryl sulfate has a long hydrocarbon chain terminating in a polar sulfate ion and forms soap-like micelles in water.



Detergents are designed to be effective in hard water, meaning water containing calcium salts that form insoluble calcium carboxylates with soaps. These precipitates rob the soap of its cleansing power and form an unpleasant scum.

The calcium salts of synthetic detergents such as sodium lauryl sulfate, however, are soluble and retain their micelle-forming ability in water.

Contents

13.5 APPLICATIONS OF ETHYL ACETOACETATE AND DIETHYL MALONATE IN ORGANIC SYNTHESIS



a. Reacts with sodium to yield H₂ ↑;
b. Depigmentize the Br₂/CCl₄ solution;
c. Reacts with FeCl₃ to yield a purple compound.

$$\begin{array}{cccc}
O & O \\
\parallel & \parallel \\
CH_3CCH_2COC_2H_5
\end{array} \xrightarrow{\mathbf{r.t.}} CH_3C=CHCOC_2H_5
\end{array}$$

The stability of an enolate of a keto ester lies on the hydrogen bonding of the sixmembered cyclic ring, for example,

 $EtO-C-CH_2-C-OEt = EtO-C C CH$

Problem: Identify the following structures to figure out the most stable enolate.



2. Ethyl Acetoacetate

Ethyl acetoacetate (acetoacetic ester),
available by the Claisen condensation of ethyl
acetate, has properties that make it a useful
starting material for the preparation of
ketones. These properties are
> The acidity of the α-hydrogen;

The ease with which acetoacetic acid undergoes thermal decarboxylation.



The anion produced by proton abstraction from ethyl acetoacetate is nucleophilic. Adding an alkyl halide to a solution of the sodium salt of ethyl acetoacetate leads to alkylation of the carbon.



The new carbon-carbon bond is formed by an S_N^2 -type reaction. The alkyl halide must therefore be one that is not sterically hindered. Methyl and primary alkyl halides work best; secondary alkyl halides give lower yields. Tertiary alkyl halides react only by elimination, not substitution.

Saponification and decarboxylation of the alkylated derivative of ethyl acetoacetate yields a ketone.



This reaction sequence is called the **acetoacetic ester (AAE) synthesis.** It is a standard procedure for the preparation of ketones from alkyl halides.



Ex 2: Ethyl acetoacetate reacts with alkyl halides





Ex 4: Ethyl acetoacetate reacts with α-halo esters



Ex 5: Ethyl acetoacetate reacts with acyl halide






Problem: Show how you could prepare each of the following compounds by using the starting materials:











1.Preparation of Malonates:



2. Application

The malonic ester synthesis is a method for the preparation of carboxylic acids and is represented by the general equation.



Ex 1: Diethyl malonate reacts with halo carboxylic acids (esters)



Ex 2: Diethyl malonate reacts with dihalides





Problem: Show how you could prepare each of the following compounds by using the starting materials:



Retrosynthetic analysis:







13.6.1 PREPARATION

13.6 AMIDES

Amides are readily prepared by acylation of ammonia and amines with acyl chlorides, anhydrides, or esters.

Ammonia is more nucleophilic than water, making it possible to carry out this reaction using aqueous ammonia.

 $CH_{2} = \underbrace{CCOCH_{3}}_{CH_{3}} + \underbrace{NH_{3}}_{H_{2}O} \xrightarrow{H_{2}O}_{CH_{2}} CH_{2} = \underbrace{CCNH_{2}}_{CH_{3}} + \underbrace{CH_{3}OH}_{CH_{3}}$ Methyl 2-methylpropenoate Ammonia 2-Methylpropenamide Methyl alcohol (75%)

Amines, which are substituted derivatives of ammonia, react similarly:



The amine must be primary (RNH_2) or secondary (R_2NH) . Tertiary amines (R_3N) cannot form amides, because they have no proton on nitrogen that can be replaced by an acyl group. Two molar equivalents of amine are required in the reaction with acyl chlorides and acid anhydrides; one molecule of amine acts as a nucleophile, the second as a Brønsted base.



Amides are sometimes prepared directly from carboxylic acids and amines by a twostep process. The first step is an acid-base reaction in which the acid and the amine combine to form an ammonium carboxylate salt. On heating, the ammonium carboxylate salt loses water to form an amide.



Notice: These thermal methods for preparing amides are limited in their generality. Most often amides are prepared in the laboratory from acyl chlorides, acid anhydrides, or esters, and these are the methods that you should apply to solving synthetic problems.

13.6.2 PHYSICAL PROPERTIES

- * Most often amides are white solids.
- Liquid amides used as solvents (such as DMF)
- * High boiling point due to association



13.6.3.2 HYDROLYSIS OF AMIDES Amides are the least reactive carboxylic acid derivative, and the only nucleophilic acyl substitution reaction they undergo is hydrolysis. Amides are fairly stable in water, but the amide bond is cleaved on heating in the presence of strong acids or bases.

Nominally, this cleavage produces an amine and a carboxylic acid. $O_{\mu} R'$ $O_{\mu} R'$





The acid-base reactions that occur after the amide bond is broken make the overall hydrolysis irreversible in both cases. The amine product is protonated in acid; the carboxylic acid is deprotonated in base.



13.6.3.3 DEHYDRATION OF AMIDES Among the reagents used to effect the dehydration of amides is the compound P_4O_{10} , known by the common name phosphorus pentoxide . Phosphorus pentoxide is used in a number of reactions requiring dehydrating agents. $(CH_3)_2CHCNH_2 \xrightarrow{P_4O_{10}} (CH_3)_2CHC \equiv N$ 2-Methylpropanamide 2-Methylpropanenitrile (69 - 86%)**Functional group transformation:** $RCOOH \longrightarrow RCOONH_4 \xrightarrow{-H_2O} RCONH_2 \xrightarrow{-H_2O}$ **RCN**

13.6.3.4 THE HOFMANN REARRANGEMENT On treatment with bromine in basic solution, amides of the type RCONH, undergo an interesting reaction that leads to amines. This reaction was discovered by the 19th century German chemist August W. Hofmann and is called the Hofmann rearrangement.









Rearrangement proceeds with *retention of configuration* at the migrating group. The new carbon–nitrogen bond is formed at the same face of the migrating carbon as the bond that is broken. Presumably, carbon–nitrogen bond formation is concerted with carbon–carbon bond cleavage.



Problem: RCN \longrightarrow RNH₂ **Sample solution:** $\operatorname{RCN} \xrightarrow{\operatorname{H_3O^+}} \operatorname{RCOOH} \xrightarrow{1. \operatorname{NH_3}} 2. \operatorname{heat}$ **RCONH**₂ $\frac{\text{Br}_2, \text{NaOH}}{\text{RNH}_2}$ H_2O **Contents**

13.7 THE MECHANISM OF CARBOXYLIC ACID DERIVATIVES' HYDROLYSIS, AMINOLYSIS AND ALCOHOLYSIS

13.7.1 ACID-CATALYZED ESTER HYDROLYSIS

Ester hydrolysis is the most studied and best understood of all nucleophilic acyl substitutions. Esters are fairly stable in neutral aqueous media but are cleaved when heated with water in the presence of strong acids or bases. The hydrolysis of esters in dilute aqueous acid is the reverse of the **Fischer esterification.**









A_{Al}1 Mechanism:

$$\begin{array}{c} O \\ H \\ RCOC(CH_3)_3 + H^+ & \underset{H}{\overset{fast}{\longrightarrow}} & \begin{array}{c} O \\ RCOC(CH_3)_3 & \underset{H}{\overset{h}{\longrightarrow}} & \begin{array}{c} O \\ R^-C - OH + \overset{+}{C}(CH_3)_3 \end{array} \\ H_2O + \overset{+}{C}(CH_3)_3 & \underset{H}{\overset{fast}{\longrightarrow}} & (CH_3)_3C - \overset{+}{OH}_2 & \underset{H}{\overset{-H^+}{\longrightarrow}} & (CH_3)_3COH \end{array}$$

13.7.2 ESTER HYDROLYSIS IN BASE: SAPONIFICATION

In one of the earliest kinetic studies of an organic reaction, carried out in the 19th century, the rate of hydrolysis of ethyl acetate in aqueous sodium hydroxide was found to be *first* order in ester and *first* order in base. $CH_3COCH_2CH_3 + NaOH$ CH₃CONa $+ CH_3CH_2OH$ Ethyl acetate Sodium Soon acetate Ethanol hydroxide **Overall:** 2ndorder kinetics Rate = k[CH₃COCH₂CH₃][NaOH]

Two processes that are consistent with second-order kinetics both involve hydroxide ion as a nucleophile but differ in the site of nucleophilic attack. > One of these processes is *alkyl-oxygen*

cleavage, with hydroxide displacing carboxylate from the alkyl group of the ester.

Alkyl–oxygen cleavage



The other process involves acyl-oxygen cleavage, with hydroxide attacking the carbonyl group.

Acyl–oxygen cleavage


Convincing evidence that ester hydrolysis in base proceeds by the second of these two paths, namely, *acyl–oxygen cleavage*, has been obtained from several sources.



Identical conclusions in support of acyl– oxygen cleavage have been obtained from stereochemical studies. Saponification of esters of optically active alcohols proceeds with *retention of configuration*.



The mechanism of saponification:



Tetrahedron



Problem 1: Rank the compounds in each of the following groups in order of decreasing reactivity toward saponification.





13.7.3 CARBOXYLIC ACID DERIVATIVES' HYDROLYSIS AMINOLYSIS AND ALCOHOLYSIS

General mechanism for nucleophilic acyl substitution:



Acyl halides are the most reactive, amides the least reactive. The reactivity order,

Acyl halide > anhydride > ester > amide

is general for nucleophilic acyl substitution and well worth remembering.

What structural features are responsible for the reactivity order of carboxylic acid derivatives?

Contents

13.8 DERIVATIVES OF CARBONIC ACID





13.9 SYNTHETIC ROUTE 13.9.1 THE FORMATION OF C-C BOND







Ex 3: Show how you could prepare the following compound using any necessary inorganic and organic reagents.



Ex 4: Prepare the following compound by using benzaldehyde and any necessary organic and inorganic reagents.

Analysis:



 C_6H_5



Ex 5: Prepare the following compound by using ethyl acetoacetate and any necessary organic reagents with less than three carbon atoms.





13.9.2 INTRODUCING AND TRANSFORMATION OF FUNCTIONAL GROUPS

Synthetic strategies:

- a. Stereoselectivity and regioselectivity reaction
- b. Protection and deprotection of the functional group
- c. Activation of the necessary site
- d. Introducing the orientating group

13.9.2.1 STEREOSELECTIVITY AND REGIOSELECTIVITY REACTIONS

- Asymmetric electrophilic addition Markovnikov's Rule;
- Elimination reactions—Zaitsev Rule;
- Electrophilic aromatic substitution ;
- Selectivity reduction of alkyne.

13.9.2.2 PROTECTION AND DEPROTECTION OF THE FUNCTIONAL GROUPS

double bond



b. Hydroxyl group in phenols

$$\bigcirc OH + CH_{3}I \longrightarrow OCH_{3} + NaI$$

$$\bigcirc OCH_{3} + HI \longrightarrow \bigcirc OH + CH_{3}I$$

c. Hydroxyl group in alcohols



d. Amino group



e. Carbonyl group









13.9.2.3 ACTIVATION OF THE NECESSARY SITE



13.9.3.4 INTRODUCING THE ORIENTATING GROUP



13.9.3 MATCH WITH THE STERIC CONFIGURATION OF TARGET MOLECULES

The asymmetric factor we usually use in asymmetric synthesis involves asymmetric reactant, reagent; chiral solvent, medium; polarized light and so on.



13.9.4 THE SELECTIVE OF SYNTHETIC ROUTE

Ex 8: Prepare the compound by using adipic acid and the organic reagents with less than three carbon atoms.

Retrosynthesis:



COOC₂H₅





COOC₂H₅



Ex 10: Prepare the compound using benzene and other organic reagents.

Sample solution:

