

# A quantitative approach to Hadwiger's covering conjecture<sup>1</sup>

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Dedicated to Professor Yuan Wang on the occasion of his 80th birthday

**Abstract:** In 1957, Hadwiger made a conjecture that every  $n$ -dimensional convex body can be covered by  $2^n$  translates of its interior. Up to now, this conjecture is open for all  $n \geq 3$ . In this article we encode Hadwiger's conjecture into a series of functions defined on the spaces of convex bodies, propose a four-step program to approach this conjecture, and obtain some partial results.

**Keywords:** convex body, Hadwiger's conjecture, illumination problem, separation problem, Banach-Mazur metric,  $\beta$ -net

**MSC (2000):** 52C17; 11H31

## 1. Introduction

In  $n$ -dimensional *Euclidean space*  $E^n$ , let  $K$  be a *convex body* with boundary  $\partial(K)$ , interior  $\text{int}(K)$  and volume  $v(K)$ , and let  $c(K)$  denote the smallest number of translates of  $\text{int}(K)$  that their union can cover  $K$ . In 1955, Levi [16] studied  $c(K)$  for the two-dimensional convex domains and proved that

$$c(K) = \begin{cases} 4, & \text{if } K \text{ is a parallelogram,} \\ 3, & \text{otherwise.} \end{cases}$$

Let  $P$  denote an  $n$ -dimensional *parallelepiped*. Clearly, any translate of  $\text{int}(P)$  can not cover two vertices of  $P$ . Therefore, it can be deduced that

$$c(P) = 2^n.$$

Based on these results and some other observations, in 1957 Hadwiger [11] made the following conjecture: *For every  $n$ -dimensional convex body  $K$  we have*

$$c(K) \leq 2^n, \tag{1}$$

*where the equality holds if and only if  $K$  is a parallelepiped.*

This conjecture has been studied by many authors. In the course, many partial results have been achieved and several connections with other important

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problems such as the *illumination problem* and the *separation problem* have been discovered (see Bezdek [3], Boltyanski, Martini and Soltan [7], Brass, Moser and Pach [8] and Zong [24] for general references). For example, Lassak [14] proved this conjecture for the three-dimensional centrally symmetric case, Rogers and Zong [19] obtained

$$c(K) \leq \binom{2n}{n} (n \log n + n \log \log n + 5n)$$

for general  $n$ -dimensional convex bodies and

$$c(K) \leq 2^n (n \log n + n \log \log n + 5n)$$

for centrally symmetric ones. Nevertheless, we are still far away from the solution of the conjecture, even the three-dimensional case.

Let  $m$  be a positive integer and let  $\gamma_m(K)$  be the smallest positive number  $r$  such that  $K$  can be covered by  $m$  translates of  $rK$ . Clearly, we have

$$\gamma_m(K) = 1$$

for all  $m \leq n$ , and

$$\gamma_m(K) \geq \gamma_{m+1}(K) \tag{2}$$

for all positive integers  $m$  and all convex bodies  $K$ .

Let  $\mathcal{T}^n$  denote the set of all non-singular linear transformations in  $E^n$  and let  $\mathcal{K}^n$  denote the space of all  $n$ -dimensional convex bodies with the *Banach-Mazur metric* defined by

$$\|K_1, K_2\| = \log \min \{r : K_1 + \mathbf{x} \subseteq T(K_2) \subseteq rK_1 + \mathbf{x}; \mathbf{x} \in E^n; T \in \mathcal{T}^n\}.$$

It is well known that  $\mathcal{K}^n$  is bounded, connected and compact. On the other hand, for any given positive integer  $m$ , it can be shown that  $\gamma_m(K)$  as a function of  $K$  defined on  $\mathcal{K}^n$  is continuous. In addition, we have

$$\gamma_m(K_1) = \gamma_m(K_2)$$

whenever  $\|K_1, K_2\| = 0$ . Then we define

$$\Gamma(n, m) = \max_{K \in \mathcal{K}^n} \{\gamma_m(K)\}$$

and

$$\gamma(n, m) = \min_{K \in \mathcal{K}^n} \{\gamma_m(K)\}.$$

It is easy to see that (1) holds for all  $n$ -dimensional convex bodies  $K$  if and only if

$$\gamma_{2^n}(K) < 1$$

holds for all  $K \in \mathcal{K}^n$ . Therefore, it is equivalent to

$$\Gamma(n, 2^n) < 1.$$

Thus, Hadwiger's conjecture can be encoded in the functions  $\gamma_m(K)$  defined on the space  $\mathcal{K}^n$ .

In this article, we suggest a four-step program (Section 3) to approach Hadwiger's conjecture and study the values of  $\gamma_m(K)$  for some particular  $m$  and  $K$ . Among other things, the following results are proved:

**Theorem 1.** *Let  $K$  be a bounded three-dimensional convex cone (the convex hull of a convex domain and a point which is not in the plane of the domain), then we have*

$$\gamma_8(K) \leq \frac{2}{3}.$$

**Theorem 2.** *Let  $K_p$  be the unit ball of the three-dimensional  $\ell_p$  norm,*

$$K_p = \{(x, y, z) : |x|^p + |y|^p + |z|^p \leq 1\}, \quad 1 \leq p \leq +\infty,$$

*then we have*

$$\gamma_8(K) \leq \sqrt{\frac{2}{3}}.$$

## 2. The two-dimensional case, a brief review

The values of  $\gamma(2, m)$  and  $\Gamma(2, m)$  have been studied by several authors. Clearly, we have

$$\gamma(2, 2) = \Gamma(2, 2) = \Gamma(2, 3) = 1$$

and, by considering the area measures,

$$\gamma(2, m) \geq \frac{1}{\sqrt{m}}.$$

However, for the nontrivial cases, it is not easy to determine the exact values of  $\gamma(2, m)$  and  $\Gamma(2, m)$ . We list the known results in the following tables.

$m$	3	4	5
$\gamma(2, m)$	$\frac{2}{3}$	$\frac{1}{2}$	$\frac{1}{2}$
Authors	J.F. Belousov [1]	S. Krotoszynski [13]	S. Krotoszynski [13]

$m$	3	4	5	6	7	8
$\Gamma(2, m)$	1	$\frac{\sqrt{2}}{2}$	??	??	$\frac{1}{2}$	$\frac{1}{2}$
Authors		M. Lassak [15]	??	??	F.W. Levi [16]	F.W. Levi [16]

**Remark 1.** By  $\Gamma(2, 2^2) = \sqrt{2}/2$  it follows that every two-dimensional convex domain  $K$  can be covered by four translates of  $\frac{\sqrt{2}}{2}K$ . As shown in the second table, the values of  $\Gamma(2, 5)$  and  $\Gamma(2, 6)$  have not been determined yet.

### 3. A four-step program to Hadwiger's conjecture

Let  $B^n$  denote the  $n$ -dimensional unit ball centered at the origin, and let  $\overline{\mathcal{K}^n}$  denote the set of all convex bodies  $K$  satisfying

$$B^n \subseteq K \subseteq nB^n. \quad (3)$$

According to John's theorem (see [12]), for each  $n$ -dimensional convex body  $K$  there is a non-singular linear transformation  $T \in \mathcal{T}^n$  such that

$$B^n \subseteq T(K) \subseteq nB^n.$$

Therefore, we have

$$\Gamma(n, m) = \max_{K \in \overline{\mathcal{K}^n}} \gamma_m(K).$$

**Definition 1.** Let  $\beta$  be a positive number, and let  $K_1, K_2, \dots, K_{l(\beta)}$  be  $l(\beta)$  convex bodies in  $\overline{\mathcal{K}^n}$ . If for any  $K \in \overline{\mathcal{K}^n}$  there is a corresponding  $K_i$  satisfying

$$\|K, K_i\| \leq \beta,$$

then we call  $\mathcal{N} = \{K_1, K_2, \dots, K_{l(\beta)}\}$  a  $\beta$ -net in  $\overline{\mathcal{K}^n}$ .

**Remark 2.** Defining

$$\mathcal{B}(K_i, \beta) = \{K \in \mathcal{K}^n : \|K, K_i\| \leq \beta\},$$

it is easy to see that  $\mathcal{N} = \{K_1, K_2, \dots, K_{l(\beta)}\}$  is a  $\beta$ -net in  $\mathcal{K}^n$  if and only if

$$\bigcup_{i=1}^{l(\beta)} \mathcal{B}(K_i, \beta) = \mathcal{K}^n.$$

**The philosophy of our program.** If Hadwiger's conjecture is true in  $E^n$ , then there is a positive number  $c_n < 1$  such that

$$\gamma_{2^n}(K) \leq c_n \quad (4)$$

holds for all  $K \in \overline{\mathcal{K}^n}$ . On the other hand, since  $\gamma_{2^n}(K)$  is continuous on  $\overline{\mathcal{K}^n}$ , there is a positive number  $\beta$  such that

$$|\gamma_{2^n}(K) - \gamma_{2^n}(K')| \leq \frac{1}{2}(1 - c_n) \quad (5)$$

holds whenever  $\|K, K'\| \leq \beta$ . By (3), we should be able to construct a  $\beta$ -net  $\mathcal{N}$  in  $\overline{\mathcal{K}^n}$  with the assistance of a computer.

**A four-step program for Hadwiger's conjecture.**

**Step 1.** In the considered dimension, for example  $n = 3$ , study the values of  $\gamma_{2^n}(K)$  for some particular convex bodies  $K$  and therefore choose a suitable constant  $c_n$  for (4).

**Step 2.** Choose a suitable positive number  $\beta$  to guarantee (5), based on a close study on the function  $\gamma_{2^n}(K)$ .

**Step 3.** Based on (3) to construct a suitable  $\beta$ -net  $\mathcal{N}$ . For example, choose a well-distributed set  $\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_p\}$  of points on the surface of  $nB^n$ . For each point  $\mathbf{x}_i$  we define

$$X_{i,k} = \left\{ \left( \frac{1}{n} + \frac{j}{k} \left( 1 - \frac{1}{n} \right) \right) \mathbf{x}_i : j = 0, \dots, k \right\}.$$

Then the set

$$\mathcal{P} = \{ \text{conv}\{\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_p\} : \mathbf{y}_i \in X_{i,k} \}$$

of polytopes will be a  $\beta$ -net in  $\overline{\mathcal{K}^n}$ , provided both  $p$  and  $k$  are large enough. Here, as usual,  $\text{conv}\{X\}$  denotes the convex hull of  $X$ .

**Step 4.** By considering several patterns, with the assistance of a computer, verify that

$$\gamma_{2^n}(K_i) \leq c_n$$

holds for all  $K_i \in \mathcal{N}$ .

**Remark 3.** In principle, the conjecture can be proved in  $E^n$  by our program if it is true in this particular dimension and the computing facility is efficient enough. Clearly the set  $\mathcal{P}$  can be much reduced in cardinality.

## 4. The covering functions on $\mathcal{K}^3$

In this section, among other things, we will prove Theorem 1 and Theorem 2. As a consequence, we give some insight to a reasonable estimate for the constant  $c_3$  defined in the previous section. First, let us introduce two lemmas.

**Lemma 1 (Besicovitch [2]).** *Each two-dimensional convex domain has an inscribed affine regular hexagon.*

**Remark 4.** Affine regular hexagons are the images of a regular hexagon under non-singular linear transformations.

**Lemma 2.** *Let  $K$  be a two-dimensional convex domain, let  $\lambda$  be a real number satisfying  $0 < \lambda < 1$ , and let  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  be an ordered triple on the boundary of  $K$ . If  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\} \subset \lambda K + \mathbf{y}$ , then the whole curve from  $\mathbf{x}_1$  to  $\mathbf{x}_3$  passing  $\mathbf{x}_2$  belongs to  $\lambda K + \mathbf{y}$ .*

**Proof.** For convenience, we assume that  $\mathbf{o} \in \text{int}(K)$  and  $\mathbf{y} = (0, a)$ . It is well known in convexity (see Eggleston [9]) that the set of *regular convex domains* (each tangent touches  $K$  at exactly one point and there is one and only one tangent at each boundary point) is dense in  $\mathcal{K}^2$ . Therefore, without loss of generality, we assume that  $K$  is regular.

Let  $\mathbf{x}_1 = (x_1, y_1)$  and  $\mathbf{x}_3 = (x_3, y_3)$  denote the points of  $\partial(K) \cap (\lambda K + \mathbf{y})$  with maximal and minimal  $x$ -coordinates, respectively. Let  $y = f(x)$  denote the curve of  $\partial(K)$  from  $\mathbf{x}_3$  to  $\mathbf{x}_1$ , and let  $y = g(x)$  denote the above part of

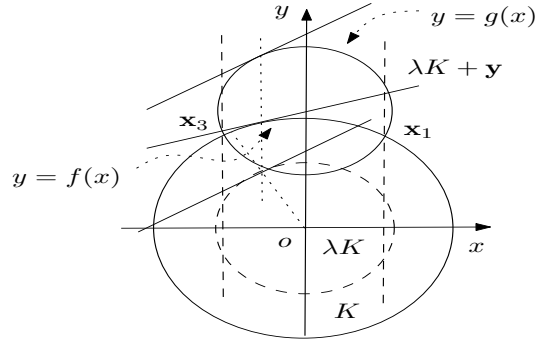


Figure 1

$\partial(\lambda K) + \mathbf{y}$  in the strip of  $x_3 \leq x \leq x_1$ . By convexity, as shown in Figure 1, we have

$$g(x_3) \geq f(x_3),$$

$$g(x_1) \geq f(x_1),$$

$$g'(x) = f'(\frac{1}{\lambda}x) \geq f'(x)$$

for  $x_3 \leq x \leq 0$ , and

$$g'(x) = f'(\frac{1}{\lambda}x) \leq f'(x)$$

for  $0 \leq x \leq x_1$ . Thus, we get

$$g(x) - f(x) = g(x_3) - f(x_3) + \int_{x_3}^x (g'(t) - f'(t))dt \geq 0$$

when  $x_3 \leq x \leq 0$ , and

$$g(x) - f(x) = g(x_1) - f(x_1) + \int_0^x (f'(t) - g'(t))dt \geq 0$$

when  $0 \leq x \leq x_1$ . Therefore, by convexity, the whole curve  $y = f(x)$  belongs to  $\lambda K + \mathbf{y}$ . The lemma is proved.  $\diamond$

**Corollary 1.** *Let  $K$  be an  $n$ -dimensional convex body,  $\lambda$  be a real number satisfying  $0 < \lambda < 1$ ,  $R$  be a closed region on  $\partial(K)$  with boundary  $\Gamma$  and a relatively interior point  $\mathbf{p}$ . If*

$$\Gamma \cup \{\mathbf{p}\} \subset \lambda K + \mathbf{y}$$

*holds for some point  $\mathbf{y}$ , then we have*

$$R \subset \lambda K + \mathbf{y}.$$

**Proof of Theorem 1.** Let  $K$  be a three-dimensional cone over a convex domain  $D$ . By Lemma 1, there is an affine regular hexagon  $H$  inscribed in  $D$ .

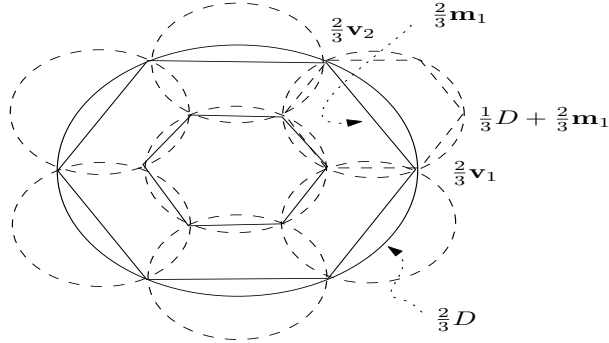


Figure 2

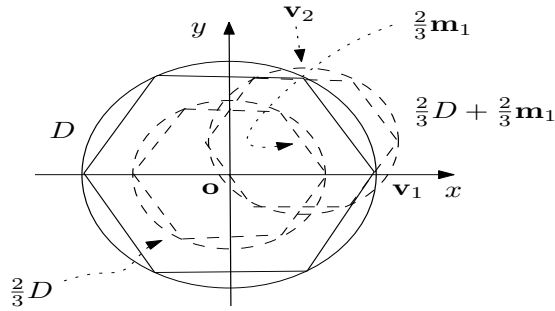


Figure 3

Without loss of generality, we assume that  $\mathbf{v} = (0, 0, 1)$  is the vertex of  $K$ ,  $H$  is perpendicular to  $\mathbf{v}$  and centered at the origin  $\mathbf{o} = (0, 0, 0)$ .

Let  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_6$  be the six vertices of  $H$  and let  $\mathbf{m}_1, \mathbf{m}_2, \dots, \mathbf{m}_6$  denote the midpoints of  $\mathbf{v}_1\mathbf{v}_2, \mathbf{v}_2\mathbf{v}_3, \dots, \mathbf{v}_6\mathbf{v}_1$ , respectively. By elementary argument, as shown in Figure 2, we have

$$\left\{ \frac{2}{3}\mathbf{v}_1, \frac{2}{3}\mathbf{v}_2 \right\} \subset \frac{1}{3}D + \frac{2}{3}\mathbf{m}_1.$$

Thus, by Lemma 2 we get

$$\frac{2}{3}D + \frac{1}{3}\mathbf{v} \subseteq \bigcup_{i=0}^6 \left( \frac{1}{3}D + \frac{2}{3}\mathbf{m}_i + \frac{1}{3}\mathbf{v} \right), \quad (6)$$

where  $\mathbf{m}_0 = (0, 0, 0)$ . Similarly, as shown in Figure 3, we have

$$\{\mathbf{v}_1, \mathbf{v}_2\} \subset \frac{2}{3}D + \frac{2}{3}\mathbf{m}_1$$

and therefore

$$D \subseteq \bigcup_{i=0}^6 \left( \frac{2}{3}D + \frac{2}{3}\mathbf{m}_i \right). \quad (7)$$

On the other hand, we have

$$\frac{1}{3}D + \frac{2}{3}\mathbf{m}_i + \frac{1}{3}\mathbf{v} \subset \frac{2}{3}K + \frac{2}{3}\mathbf{m}_i \quad (8)$$

and

$$\frac{2}{3}D + \frac{2}{3}\mathbf{m}_i \subset \frac{2}{3}K + \frac{2}{3}\mathbf{m}_i. \quad (9)$$

Therefore, by (6), (7), (8), (9) and convexity we get

$$K \subseteq \bigcup_{i=0}^7 \left( \frac{2}{3}K + \frac{2}{3}\mathbf{m}_i \right),$$

where  $\mathbf{m}_7 = \frac{1}{2}\mathbf{v}$ . Theorem 1 is proved.  $\diamond$

**Proof of Theorem 2.**

**Case 1.**  $1 \leq p \leq 2$ . In this case, we take

$$\Gamma = \left\{ \mathbf{x} = (x_1, x_2, x_3) : x_1 = \left(\frac{1}{3}\right)^{\frac{1}{p}}, \mathbf{x} \in \partial(K_p) \right\},$$

$\lambda = \sqrt{\frac{2}{3}}$ ,  $\mathbf{y} = \left(\left(\frac{1}{3}\right)^{\frac{1}{p}}, 0, 0\right)$ , and let  $R$  denote the part of  $\partial(K_p)$  bounded by  $\Gamma$  and containing  $(1, 0, 0)$ .

For any point  $\mathbf{x} \in \Gamma$ , we have

$$\begin{aligned} \left(\left(\frac{1}{3}\right)^{\frac{1}{p}}\right)^p + |x_2|^p + |x_3|^p &= 1, \\ |x_2|^p + |x_3|^p &= \frac{2}{3} \leq \left(\sqrt{\frac{2}{3}}\right)^p \end{aligned}$$

and therefore

$$\Gamma \subset \lambda K_p + \mathbf{y}.$$

On the other hand, it can be verified that

$$(1, 0, 0) \in \lambda K_p + \mathbf{y}.$$

By Corollary 1 we have

$$R \subset \lambda K_p + \mathbf{y}.$$

Therefore, in this case  $K_p$  can be covered by the union of  $\lambda K_p \pm \left(\left(\frac{1}{3}\right)^{\frac{1}{p}}, 0, 0\right)$ ,  $\lambda K_p \pm \left(0, \left(\frac{1}{3}\right)^{\frac{1}{p}}, 0\right)$  and  $\lambda K_p \pm \left(0, 0, \left(\frac{1}{3}\right)^{\frac{1}{p}}\right)$  and thus

$$\gamma_8(K_p) \leq \gamma_6(K_p) \leq \sqrt{\frac{2}{3}}.$$

**Case 2.**  $2 \leq p \leq \infty$ . In this case we define

$$\Gamma_i = \left\{ \mathbf{x} = (x_1, x_2, x_3) : x_i = 0, x_j \geq 0, j \neq i, \mathbf{x} \in \partial(K_p) \right\},$$

$$\Gamma = \Gamma_1 \cup \Gamma_2 \cup \Gamma_3,$$

$\lambda = \sqrt{\frac{2}{3}}$ ,  $\mathbf{y} = \left(\frac{1}{3}, \frac{1}{3}, \frac{1}{3}\right)$ , and let  $R$  denote the part of  $\partial(K_p)$  bounded by  $\Gamma$  and containing the point  $\left(\left(\frac{1}{3}\right)^{\frac{1}{p}}, \left(\frac{1}{3}\right)^{\frac{1}{p}}, \left(\frac{1}{3}\right)^{\frac{1}{p}}\right)$ .



Let  $J$  denote the intersection of  $K_p$  with the plane  $x_1 = 0$ , and let  $J'$  denote the intersection of  $\lambda K_p + \mathbf{y}$  with the plane. It is easy to see that  $J'$  is homothetic to  $J$ . By a routine computation, for all  $2 \leq p \leq +\infty$ , it can be shown that

$$\left(\frac{2}{3}\right)^p + 2\left(\frac{1}{3}\right)^p \leq \left(\frac{2}{3}\right)^{\frac{p}{2}}.$$

Thus, both  $(0, 1, 0)$  and  $(0, 0, 1)$  belong to  $J'$ . Consequently, we also have

$$\left(0, \left(\frac{1}{2}\right)^{\frac{1}{p}}, \left(\frac{1}{2}\right)^{\frac{1}{p}}\right) \in J'.$$

By Lemma 2, we get

$$\Gamma_1 \subset J' \subset \lambda K_p + \mathbf{y}$$

and therefore

$$\Gamma \subset \lambda K_p + \mathbf{y}.$$

On the other hand, it can be verified that  $\mathbf{o} \in \lambda K_p + \mathbf{y}$ ,

$$3\left(\left(\frac{1}{3}\right)^{\frac{1}{p}} - \frac{1}{3}\right)^p \leq \left(\frac{2}{3}\right)^{\frac{p}{2}}$$

and therefore

$$\left(\left(\frac{1}{3}\right)^{\frac{1}{p}}, \left(\frac{1}{3}\right)^{\frac{1}{p}}, \left(\frac{1}{3}\right)^{\frac{1}{p}}\right) \in \lambda K_p + \mathbf{y}.$$

By Corollary 1 we get

$$R \subset \lambda K_p + \mathbf{y}.$$

Thus, in this case  $K_p$  can be covered by the union of the eight translates  $\sqrt{\frac{2}{3}}K_p + (\pm\frac{1}{3}, \pm\frac{1}{3}, \pm\frac{1}{3})$  and hence

$$\gamma_8(K_p) \leq \sqrt{\frac{2}{3}}.$$

As a conclusion of the two cases, Theorem 2 is proved.  $\diamond$

**Remark 5.** It was shown by Schütte [21] that

$$\gamma_8(K_2) \leq \sin 48^\circ 9' = 0.744894 \dots < \sqrt{\frac{2}{3}}.$$

Thus, following the proof of Theorem 2 we can get that  $\sqrt{\frac{2}{3}}$  is not the optimal upper bound for  $\gamma_8(K_p)$ . However, perhaps one can take  $c_3 = \sqrt{\frac{2}{3}}$ .

**Remark 6.** Let  $T$  denote a regular tetrahedron. The next table lists the values of  $\gamma_m(K)$  for some small  $m$  and some particular  $K$ , where the values of  $\gamma_4(K_2)$  and  $\gamma_6(K_2)$  were discovered by L. Fejes Tóth [10] and the values of  $\gamma_5(K_2)$  and  $\gamma_7(K_2)$  were discovered by Schütte [21].

**Remark 7.** If Hadwiger's conjecture is true for all dimensions, then we have

$$\Gamma(n, 2^n) < 1$$

for all  $n$ . Nevertheless, it seems that

$$\lim_{n \rightarrow \infty} \Gamma(n, 2^n) = 1.$$

$m$	4	5	6	7	8
$\gamma_m(T)$	$\frac{3}{4}$	$\frac{9}{13}$	?	?	?
$\gamma_m(K_1)$	1	1	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
$\gamma_m(K_2)$	0.9428...	0.8944...	0.8164...	0.7775...	?

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