

**Infinite dimensional Morse theory for  
geodesics on Finsler manifolds**

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## 1. Questions and previous methods

Professor Hams-Bert Rademacher had given two beautiful lectures on geodesics. So I only give a few of related notions.

A  $C^\infty$  **Finsler metric** on a  $C^\infty$  manifold  $M^n$  is a  $C^0$  function  $F : TM \rightarrow \mathbb{R}$  with properties:

- (i)  $C^\infty$  in  $TM \setminus \{0\}$ ,
- (ii)  $F(v) > 0 \forall v \in TM \setminus \{0\}$ ,
- (iii)  $F(tv) = tF(v) \forall t > 0$  and  $v \in TM$ ,
- (iv)  $F^2$  is fiberwise strongly convex, i.e., for any  $(x, y) \in TM \setminus 0_{TM}$  the symmetric bilinear form  $g^F(x, y) : T_x M \times T_x M \rightarrow \mathbb{R}$  given by

$$(u, v) \mapsto \frac{1}{2} \frac{\partial^2}{\partial s \partial t} \left[ F^2(x, y + su + tv) \right] \Big|_{s=t=0}$$

is positive definite. Call  $g^F$  the **fundamental tensor** of  $(M, F)$ .

**Geodesics** on  $(M, F)$  can be characterized as critical points of the **energy functional** on a Hilbert manifold:

$$W^{1,2}([0, 1], M) \ni \gamma \mapsto \mathcal{E}(\gamma) = \int_0^1 F^2(\gamma(t), \dot{\gamma}(t)) dt.$$

Since our theory is of local nature we **restrict to** a compact Finsler manifold  $(M, F)$  below.

Let  $I = [0, 1]$  and the Hilbert manifold  $W^{1,2}(I, M)$  be equipped with the **Riemannian structure** induced by a Riemann metric  $g$  on  $M$ :

$$\begin{aligned} \langle X, Y \rangle_1 &= \int_0^1 g(x(t)) [X(t), Y(t)] dt \\ &+ \int_0^1 g(x(t)) [\nabla_t^g X(t), \nabla_t^g Y(t)] dt. \end{aligned}$$

For a  $C^\infty$  closed submanifold  $Q$  of  $M \times M$  we

have a Riemannian-Hilbert submanifold

$$\Lambda_Q(M) := \{x \in W^{1,2}(I, M) \mid (x(0), x(1)) \in Q\}.$$

**Theorem 1 (I)** *On  $\Lambda_Q(M)$  the functional  $\mathcal{E}$  is  $C^{2-0}$ , and satisfies the **(PS)** condition.*

**(II)** *A curve  $\gamma \in \Lambda_Q(M)$  is a (non constant) critical point of  $E$  iff it is a constant (nonzero) speed geodesic on  $(M, F)$  with (BC):*

$$g^F(\gamma(0), \dot{\gamma}(0))[V, \dot{\gamma}(0)] = g^F(\gamma(1), \dot{\gamma}(1))[W, \dot{\gamma}(1)]$$

*for any  $(V, W) \in T_{(\gamma(0), \gamma(1))}Q$ .*

[Caponio-Javaloyes-Masiello, Math. Ann. 2010],  
[Mercuri, Math. Z, 156(1977), 231-245] for  $Q = \Delta_M$ ,  
[Kozma-Kristaly-Varga, Contributions to Algebraic Geometry, 45(2004), 47-59] for  $Q = M_1 \times M_2$  with submanifolds  $M_i$  of  $M$ ,  $i = 1, 2$ .

**Note:** Abbondandolo and Schwarz in

[Advanced Nonlinear Studies, **9**(2009),597-623]

showed: **the above energy functional  $\mathcal{E}$  is twice differentiable at a (nonconstant) critical point  $\gamma$  iff  $F^2$  is Riemannian along  $\gamma$ .**

In order to study **existence and multiplicity** of critical points of  $\mathcal{E}$  with Morse theory one need:

- to compute **critical groups**

$$C_*(\mathcal{E}, \gamma_0; \mathbb{K}) = H_*(\Lambda_Q(\gamma_0) \cup \{\gamma_0\}, \Lambda_Q(\gamma_0); \mathbb{K})$$

at a critical point  $\gamma_0$  (where  $\Lambda_Q(\gamma_0) = \{\mathcal{E} < \mathcal{E}(\gamma_0)\}$ ), **or**

$$C_*(\mathcal{E}, S^1 \cdot \gamma_0; \mathbb{K}) = H_*(\Lambda(\gamma_0) \cup S^1 \cdot \gamma_0, \Lambda(\gamma_0); \mathbb{K})$$

at a critical orbit  $S^1 \cdot \gamma_0$  if  $Q = \Delta_M$ ,

- to prove the induced homomorphism

$$\begin{aligned}
(\varphi_m)_* &: H_*(\Lambda(\gamma_0) \cup \{S^1 \cdot \gamma_0\}, \Lambda(\gamma_0); \mathbb{K}) \\
&\rightarrow H_*(\Lambda(\gamma_0^m) \cup \{S^1 \cdot \gamma_0^m\}, \Lambda(\gamma_0^m); \mathbb{K})
\end{aligned}$$

are isomorphisms under suitable conditions, where the  $m$ -th iterate

$$\varphi_m : \Lambda M \rightarrow \Lambda M, \gamma \rightarrow \gamma^m \quad (1)$$

by  $\gamma^m(t) = \gamma(mt) \forall t \in \mathbb{R}$ .

On Riemannian manifolds the energy functional  $\mathcal{E}$  are smooth and these can be completed with the **Gromoll-Meyer splitting lemma** for  $C^2$ -functionals on Hilbert spaces and its corollary—**shifting theorem**.

So one cannot directly apply Gromoll-Meyer theory to the Finsler energy functional  $\mathcal{E}$ . In

past almost all literatures one completed the related arguments by using Gromoll-Meyer theory on the **Morse's finite-dimensional approximation** of  $\Lambda_Q(M)$ . For  $a \in (0, \infty)$  and a large  $k \in \mathbb{N}$  let

$$\Lambda_Q^a(M) = \{\gamma \in \Lambda_Q(M) : \mathcal{E}(\gamma) \leq a\},$$

$$\Lambda_Q^a(k, M) = \{\gamma \in \Lambda_Q^a(M) : \gamma|_{[\frac{i}{k}, \frac{i+1}{k}]} \text{ is } F\text{-geodesic}\}.$$

Then  $\Lambda_Q^a(k, M)^\circ := \Lambda_Q^a(k, M) \cap \text{Int}(\Lambda_Q^a(M))$  is a smooth manifold of finite dimension, and

- the restriction of  $\mathcal{E}$  to  $\Lambda_Q^a(k, M)^\circ$  is smooth, and has the same critical set as  $\mathcal{E}$  in  $\text{Int}(\Lambda_Q^a(M))$ ,
- for each  $c < a$  the set

$$\Lambda_Q^c(k, M) = \Lambda_Q^a(k, M) \cap \Lambda_Q^c(M)$$

is compact, and a **deformation retract** of  $\Lambda_Q^c(M)$  (this is also true for  $c = a$ ).

Hence by applying Gromoll-Meyer theory to the restriction of  $\mathcal{E}$  to  $\Lambda_Q^a(k, M)^\circ$  one can complete the desired arguments. These can be found in

- H.H.Matthias [Bonner Math.Schr.128(1980)],
- H.B.Rademacher[[Bonner Math.Schr.229(1992)]
- Z.Shen [Lecture on Finsler Geometry, 2001]
- V.Bangert & Y.Long[Math.Ann.346(2010)335].

As on Riemannian manifolds it is expected to develop infinite-dimensional Morse theory methods for geodesics problem on Finsler manifolds for conveniences in some cases.

We state the expected first result for  $Q = \{p\} \times \{q\}$  with  $p \neq q$ . Let  $\gamma_0$  be a nonconstant cpt of

$$\Lambda_Q(M) \ni \gamma \mapsto \mathcal{E}(\gamma) = \int_0^1 F^2(\gamma(t), \dot{\gamma}(t)) dt.$$

Then  $\gamma_0 \in C_Q^\infty(I, M)$  and  $\exists c > 0$  such that

$$F(\gamma_0(t), \dot{\gamma}_0(t)) = \sqrt{c} \quad \forall t \in [0, 1]. \quad (2)$$

Note the restriction of  $\mathcal{E}$  to Banach manifold

$$C_Q^1(I, M) = \{x \in C^1(I, M) \mid (x(0), x(1)) \in Q\}$$

is  $C^2$  near each regular curve, but it does not satisfy the **(PS) condition** on this space.

Let  $\exp$  be the exponential map of a Riemann metric  $g$  on  $M$ , and take

$$0 < 2\rho < \inf\{\text{inj}(\gamma_0(t), g) \mid t \in [0, 1]\}.$$

Let  $e_1, \dots, e_n$  be a parallel orthonormal frame along  $\gamma_0$ . Define  $\varphi : I \times B^n(0, \rho) \rightarrow M$  by

$$\varphi(t, x_1, \dots, x_n) = \exp_{\gamma_0(t)}(x_1 e_1(t) + \dots + x_n e_n(t)).$$

It induces a chart

$$\varphi_* : H_0^1(I, B^n(0, \rho)) \rightarrow H_Q^1(I, M)$$

by  $\varphi_*(x)(t) = \varphi(t, x(t))$ . Then  $\varphi_*(0) = \gamma_0$  and

$$\tilde{\mathcal{E}}(x) := \mathcal{E} \circ \varphi_*(x) = \int_0^1 \tilde{F}^2(t, x(t), \dot{x}(t)) dt.$$

Here  $\tilde{F} : I \times B^n(0, \rho) \times \mathbb{R}^n \rightarrow \mathbb{R}$  is defined by

$$\tilde{F}(t, x, v) := F(\varphi(t, x), d\varphi(t, x)[(1, v)]).$$

It is  $C^2$  in  $(I \times B^n(0, \rho) \times \mathbb{R}^n) \setminus Z$ , where  $Z = \{(t, x, v) \in I \times B^n(0, \rho) \times \mathbb{R}^n \mid d\varphi(t, x)[(1, v)] = 0\}$ .

Let us define

$$H := H_0^1(I, \mathbb{R}^n),$$

$$X := \{x \in C^1(I, \mathbb{R}^n) \mid x(0) = x(1) = 0\},$$

$$\mathcal{U} := H_0^1(I, B^n(0, \rho)) = \{x \in H : x(I) \subset B^n(0, \rho)\},$$

$$\mathcal{U}_X := X \cap \mathcal{U} = \{x \in X \mid x(I) \subset B^n(0, \rho)\}.$$

Note that  $\varphi_*$  restricts to a chart

$$\varphi_*^X : \mathcal{U}_X \rightarrow C_Q^1(I, M).$$

Let  $B_\delta^X(0) := \{x \in X : \|x\|_X < \delta\}$ . Then

$$B_\delta^X(0) \subset \mathcal{U}_X \quad \text{for small } \delta > 0.$$

Since  $F^2(\gamma_0(t), \dot{\gamma}_0(t)) \equiv c > 0$  by (2), we shrink  $\delta > 0$  so that  $\forall x = \varphi_*^X(\tilde{x})$  with  $\tilde{x} \in B_\delta^X(0)$ ,

$$F^2(x(t), \dot{x}(t)) > \frac{2}{3}c \quad \forall t \in [0, 1]. \quad (3)$$

Then

- $\tilde{\mathcal{E}}^X := \mathcal{E} \circ \varphi_*^X = \tilde{\mathcal{E}}|_{\mathcal{U}_X}$  is  $C^2$  on  $B_\delta^X(0)$  and
- $\exists$  a Fredholm operator  $B_0 \in \mathcal{L}_s(H)$  s.t.

$$d^2\tilde{\mathcal{E}}^X(0)(u, v) = (B_0u, v)_H \quad \forall u, v \in X. \quad (4)$$

( $B_0$  is the second G-differential at 0 of  $\tilde{\mathcal{E}}^X$ .  $m^-(\gamma_0) = \max\{\dim S \mid \text{subspace } S \subset H, B_0|_S < 0\}$  is called **Morse index**  $\mathcal{E}$  at  $\gamma_0$ .)

- $N := \text{Ker}(B_0) \subset X$  and so orthogonal decomposition  $H = N \oplus N^\perp$  induces a topological direct sum decomposition of closed subspaces

$X = N \oplus (N^\perp \cap X)$  with projection operator  $(I - P_N)|_X : X \rightarrow N^\perp \cap X$ .

• The gradient  $\nabla \tilde{\mathcal{E}}$  on  $H$  maps  $B_\delta^X(0)$  into  $X$ , and thus give  $C^1$ -maps

$$A : B_\delta^X(0) \rightarrow X, x \mapsto \nabla \tilde{\mathcal{E}}(x), \quad (5)$$

$$E : (N \cap B_\delta^X(0)) \oplus (N^\perp \cap B_\delta^X(0)) \rightarrow N^\perp \cap X$$

given by  $E(u + v) = (I - P_N)|_X \circ A(u + v)$ .

Applying **IFT** to  $E$  we get  $r \in (0, \delta)$ ,  $C^1$ -map

$$h : B_r^X(0) \cap N \rightarrow N^\perp \cap B_\delta^X(0) \quad (6)$$

such that

$$(I - P_N)|_X \circ A(u + h(u)) = E(u + h(u)) = 0 \quad (7)$$

for all  $u \in B_r^X(0) \cap N$ . Define

$$\begin{aligned} \tilde{\mathcal{E}}^\circ : B_r^X(0) \cap N &\rightarrow \mathbb{R}, u \mapsto \tilde{\mathcal{E}}(u + h(u)) \\ &= \mathcal{E}(\varphi_*(u + h(u))). \end{aligned}$$

It is  $C^2$  and has an isolated critical point 0.

**(Expected) shifting theorem:**  $\forall q \in \mathbb{N} \cup \{0\}$ ,

$$C_q(\mathcal{E}, \gamma_0; \mathbb{K}) \cong C_q(\tilde{\mathcal{E}}, 0; \mathbb{K}) \quad (8)$$

$$\cong C_{q-m^-(\gamma_0)}(\tilde{\mathcal{E}}^\circ, 0; \mathbb{K})? \quad (9)$$

Since  $\varphi_*$  is a coordinate chart, (8) is obvious.

E. Caponio, M. A. Javaloyes, A. Masiello [Analyse Nonlinéaire 27(2010)857] used some ideas of K.-C. Chang in [Sci.Sinica Ser.A26(1983) 1241] and book(1993) to prove **a splitting lemma of  $\tilde{\mathcal{E}}_X$  near  $0 \in X = \{x \in C^1(I, \mathbb{R}^n) | x(0) = x(1) = 0\}$ :  $\exists$  homeomorphism  $\phi : B_\eta^X(0) \rightarrow \phi(B_\eta^X(0))$  with  $\phi(0) = 0$  ( $\eta < r$ ) such that**

$$\tilde{\mathcal{E}}^X(\phi(x)) = \frac{1}{2}(B_0 v, v)_H + \tilde{\mathcal{E}}(u + h(u))$$

**for any  $x \in B_r^X(0)$ ,  $u = P_N x$  and  $v = x - u$ .**

(**Actually**, this splitting lemma can also be proved by a generalization of Morse lemma by M. Jiang [Nonl.Anal,36(1999)943], see author's paper [arXiv:0909.0609 v1, 3 Sep 2009].)

As usual this implies for any  $q \in \mathbb{N} \cup \{0\}$ ,

$$C_q(\tilde{\mathcal{E}}^X, 0; \mathbb{K}) \cong C_{q-m^-(\gamma_0)}(\tilde{\mathcal{E}}^\circ, 0; \mathbb{K}). \quad (10)$$

However, they can only prove

$$C_*(\tilde{\mathcal{E}}, 0; \mathbb{K}) \cong C_*(\tilde{\mathcal{E}}^X, 0; \mathbb{K}) \quad (11)$$

and so the shifting theorem in (9) if

$\gamma_0$  (so 0) is a nondegenerate cpt.

See [E. Caponio, M. A. Javaloyes, A. Masiello, Addendum to "Morse theory... of a Finsler metric" [Ann.I.H.Poincaré-AN 27 (3)(2010)857-876].Ann.I.H.Poincaré-AN 30(5)(2013)961-968].

Their proof used ideas and techniques in

- Abbondandolo and Schwarz [Advanced Non-linear Studies, **9**(2009),597-623],
- K.-C. Chang in [Sci.Sinica Ser.A26(1983) 1241-1255],
- Palais [Th. 16,17, Topology 5(1966)1-16].

And **nondegeneracy of cpt  $\gamma_0$  was essential** to their Proposition 3:  $\exists \mu_0 > 0$  s.t.

$$d\tilde{\mathcal{E}}(u)[B_0u] \geq \mu_0 \|\nabla \tilde{\mathcal{E}}(u)\|^2 \quad \forall u \text{ near } 0 \in H,$$

where  $B_0$  represents the second G-differential at 0 of  $\tilde{\mathcal{E}}^X$  as in (4).

## Our methods

Follow author's preprint [arXiv:1212.2078v5]. We begin with our new splitting lemma in [Corrigendum: The Conley conjecture..., J.Funct. Anal.261(2011)542-589] (a more general version is in [The splitting lemmas for nonsmooth functionals on Hilbert spaces, Discr.Cont.Dyna. Syst-A. 33(2013)2939, arXiv:1102.2062v1]).

Hilbert space  $(H, (\cdot, \cdot)_H)$ ,  $\|\cdot\| = \sqrt{(\cdot, \cdot)_H}$ , and Banach space  $(X, \|\cdot\|_X)$  satisfy

**(S)**  $X \subset H$  is dense in  $H$  and  $\|x\| \leq \|x\|_X \forall x$ .

$\mathcal{U}$ — open neighborhood of  $0 \in H$ ,

$\mathcal{U}_X := \mathcal{U} \cap X$  open neighborhood of  $0 \in X$ .

$\mathcal{L} \in C^1(\mathcal{U}, \mathbb{R})$  has 0 as an isolated cpt.

Assumptions:  $\exists$  maps  $A \in C^1(\mathcal{U}_X, X)$  and  $B \in$

$C(\mathcal{U}_X, \mathcal{L}_s(H))$  such that

$$\mathcal{L}'(x)(u) = (A(x), u)_H \quad \forall x \in \mathcal{U}_X \ \& \ u \in X,$$

$$(A'(x)(u), v)_H = (B(x)u, v)_H \quad \forall x \in \mathcal{U}_X, \ u, v \in X.$$

(These imply: (a)  $\mathcal{L}|_{\mathcal{U}_X} \in C^2(\mathcal{U}_X, \mathbb{R})$ ,

(b)  $d^2\mathcal{L}|_{\mathcal{U}_X}(x)(u, v) = (B(x)u, v)_H \ \forall x \in \mathcal{U}_X \ \& \ u, v \in X$ , (c)  $B(x)(X) \subset X \ \forall x \in \mathcal{U}_X$ ).

Furthermore we also assume  $B$  to satisfy:

**(B1)**  $\{u \in H \mid B(0)(u) \in X\} \subset X$ , and

$B(0)u = \lambda u$  for  $u \in H \ \& \ \lambda < 0 \Rightarrow u \in X$ .

**(B2)**  $B : \mathcal{U}_X \rightarrow \mathcal{L}_s(H)$  has a decomposition

$$B(x) = P(x) + Q(x) \quad \forall x \in \mathcal{U}_X,$$

$P(x) \in \mathcal{L}_s(H)$  is positive definite,  $Q(x) \in \mathcal{L}_s(H)$

is compact, and also satisfy:

**(i)**  $\forall (x_k) \subset \mathcal{U}$  with  $\|x_k\| \rightarrow 0$  it holds that

$$\|P(x_k)u - P(0)u\| \rightarrow 0 \quad \forall u \in H;$$

(ii)  $\forall (x_k) \subset \mathcal{U} \cap X$  with  $\|x_k\| \rightarrow 0$  we have

$$\|Q(x_k) - Q(0)\|_{\mathcal{L}_s(H)} \rightarrow 0 \text{ as } k \rightarrow \infty;$$

(iii)  $\exists$  constants  $\eta_0 > 0$  and  $C_0 > 0$  such that

$$(P(x)u, u) \geq C_0 \|u\|^2 \quad \forall u \in H, \forall x \in B_{\eta_0}^H(0) \cap X.$$

$N := \text{Ker}(B(0))$ ,  $H^- =$  maximal negative definite subspace of  $B(0)$ . ((B1)+(B2) $\Rightarrow$

$$\dim(N + H^-) < \infty \ \& \ N + H^- \subset X.$$

Call  $m^0 = \dim N$  **nullity**,  $m^- = \dim H^-$  **Morse index**, the cpt 0 **nondegenerate** if  $m^0 = 0$ .

$P_N : H \rightarrow N$  is the orthogonal projection,

$X = N \oplus (N^\perp \cap X)$  is a topological direct sum decomposition. For  $\delta > 0$  let

$$B_\delta^H(0) = \{x \in H : \|x\| < \delta\}. \text{ (Note: norms } \|\cdot\|$$

and  $\|\cdot\|_X$  are equivalent on  $N$  since  $\dim N < \infty$ .) The following is our splitting lemma.

**Theorem 2** *Under (S) and (B1)-(B2),  $\exists \epsilon > 0$ ,  $C^1$  map  $h : B_\epsilon^N(0) = B_\epsilon^H(0) \cap N \rightarrow N^\perp \cap X$  satisfying  $h(0) = 0$  and*

$$(I - P_N)A(z + h(z)) = 0 \quad \forall z \in B_\epsilon^N(0), \quad (12)$$

- *an open neighborhood  $W$  of 0 in  $H$ ,*
- *an origin-preserving homeomorphism*

$$\Phi : B_\epsilon^N(0) \times B_\epsilon^{N^\perp}(0) \rightarrow W$$

*such that for all  $(z, u) \in B_\epsilon^N(0) \times B_\epsilon^{N^\perp}(0)$ ,*

$$\begin{aligned} \mathcal{L} \circ \Phi(z, u) &= \|u - P_N u - P_{H^\perp} u\|^2 - \|P_{H^\perp} u\|^2 \\ &\quad + \mathcal{L}(z + h(z)). \end{aligned}$$

**(i)**  $\Phi(z, 0) = z + h(z) \quad \forall z \in B_N(0, \epsilon)$ .

(ii)  $\forall z \in B_\epsilon^N(0)$ ,  $h'(z)$  is equal to

$$-[P_{N^\perp} A'(z + h(z))|_{N^\perp \cap X}]^{-1} \circ P_{N^\perp} A'(z + h(z))|_N.$$

(iii)  $B_\epsilon^N(0) \ni z \mapsto \mathcal{L}^\circ(z) := \mathcal{L}(z + h(z))$  is  $C^2$ , has 0 as an isolated cpt,  $d^2 \mathcal{L}^\circ(0) = 0$ , and

$$d\mathcal{L}^\circ(z_0)(z) = (A(z_0 + h(z_0)), z)_H$$

for all  $(z_0, z) \in B_\epsilon^N(0) \times N$ .

(iv) Let  $c = \mathcal{L}(0)$ ,  $\mathcal{L}^X = \mathcal{L}|_{\mathcal{U}^X}$ ,  $\mathcal{W} \subset \mathcal{U}$  be a neighborhood of 0 (so  $\mathcal{W}_X := \mathcal{W} \cap X$  a neighborhood of 0 in  $X$ ),  $\mathbb{K}$  be an Abel group. Then the inclusion

$$\left( \mathcal{L}_c^X \cap \mathcal{W}_X, \mathcal{L}_c^X \cap \mathcal{W}_X \setminus \{0\} \right) \hookrightarrow \left( \mathcal{L}_c \cap \mathcal{W}, \mathcal{L}_c \cap \mathcal{W} \setminus \{0\} \right) \quad (13)$$

induces **surjective homomorphisms**

$$H_* \left( \mathcal{L}_c^X \cap \mathcal{W}_X, \mathcal{L}_c^X \cap \mathcal{W}_X \setminus \{0\}; \mathbb{K} \right) \rightarrow H_* \left( \mathcal{L}_c \cap \mathcal{W}, \mathcal{L}_c \cap \mathcal{W} \setminus \{0\}; \mathbb{K} \right). \quad (14)$$

**Corollary 3 (Shifting)** For any Abel group  $\mathbb{K}$

$$C_q(\mathcal{L}, 0; \mathbb{K}) \cong C_{q-m^-}(\mathcal{L}^\circ, 0; \mathbb{K}) \quad \forall q = 0, 1, \dots .$$

**Corollary 4** For any field  $\mathbb{K}$  the surjective homomorphisms in (14) are all **isomorphisms !**

- Th. 2  $\Rightarrow$  Cor. 3 is standard, see Mawhin-Willem's book (89) and Chang's book (93).
- Under assumptions of Th.2 we use a generalized Morse lemma by M.Jiang [Nonl.Anal,36(1999) 943] to prove a **splitting lemma for  $\mathcal{L}^X$  at 0**:  
 $\exists$  **ball  $B_\delta^X(0) \subset \mathcal{U}_X \cap B_\epsilon^H(0)$ , an origin-preserving local homeomorphism  $\varphi$  from  $B_\delta^X(0)$  to a neighbor. of 0 in  $\mathcal{U}_X$  such that**

$$\mathcal{L}^X \circ \varphi(x) = \frac{1}{2}(B(0)x^\perp, x^\perp)_H + \mathcal{L}^\circ(z) \quad (15)$$

**for  $x \in B_\delta^X(0)$ , where  $z = P_N(x)$ ,  $x^\perp = x - z$ .**

So for any Abelian group  $\mathbb{K}$  and  $q \in \mathbb{N} \cup \{0\}$ ,

$$C_q(\mathcal{L}^X, 0; \mathbb{K}) \cong C_{q-m^-}(\mathcal{L}^\circ, 0; \mathbb{K}). \quad (16)$$

When  $\mathbb{K}$  is a field, this, Cor.3 and (14) lead to Cor.4, which is important for our methods.

A Lagrangian  $L : [0, 1] \times TM \rightarrow \mathbb{R}$  is called **convex quadratic growth** (CQG) if it satisfies:

**(L1)**  $\exists$  constant  $\ell_0 > 0$  such that

$$\partial_{vv}L(t, x, v) \geq \ell_0 I,$$

**(L2)**  $\exists$  constant  $\ell_1 > 0$  such that

$$\begin{aligned} \|\partial_{vv}L(t, x, v)\| &\leq \ell_1 \quad \text{and} \\ \|\partial_{xv}L(t, x, v)\| &\leq \ell_1(1 + |v|_x), \\ \|\partial_{xx}L(t, x, v)\| &\leq \ell_1(1 + |v|_x^2) \end{aligned}$$

with respect to some Riemann metric  $g$  (with  $|v|_x^2 = g_x(v, v)$ ).

In [J.Funct. Anal.261(2011)542-589] we had proved that for a CQG Lagrangian  $L : [0, 1] \times TM \rightarrow \mathbb{R}$  the corresponding energy functional on  $W^{1,2}(S^1, M)$  satisfies the conditions of Theorem 2 in a suitable chart.

Assume  $(M, F)$  is a compact Finsler manifold for simplicity. Since  $F^2$  is not  $C^2$  on  $TM$ , **our idea is to deform  $F^2$  to a CQG Lagrangian  $L^*$** . Fix a Riemann metric  $g$  and write  $|v|_x^2 = g_x(v, v)$ .  $\exists$  constant  $C_1 \geq 1$ ,

$$|v|_x^2 \leq F^2(x, v) \leq C_1 |v|_x^2 \quad \forall (x, v) \in TM. \quad (17)$$

Given  $c > 0$ , choose  $0 < \varepsilon < \delta < \frac{2c}{3C_1}$ . We have suitable constants  $\kappa > 0$ ,  $\varrho_0 < 0$ ,  $\mu > 0$  and  $b > 0$ , and  $C^\infty$  functions

$$\psi_{\varepsilon, \delta} : [0, \infty) \rightarrow \mathbb{R}, \quad \phi_{\mu, b} : [0, \infty) \rightarrow \mathbb{R}$$

as in the following figure:

Define  $L^* : TM \rightarrow \mathbb{R}$  by

$$L^*(x, v) = \frac{\psi_{\varepsilon, \delta}(F^2(x, v)) + \phi_{\mu, b}(|v|_x^2) + \mu\delta - \varrho_0}{\kappa}.$$

Clearly,  $L^*$  is of  $C^k$  if  $F$  is only of  $C^k$  ( $k \geq 2$ ).

**Proposition 5 (i)**  $L^*$  is CQG,

**(ii)**  $L^*(x, v) = F^2(x, v)$  if  $F^2(x, v) \geq \frac{2c}{3C_1}$ ,

**(iii)**  $L^* \geq 0$ , and  $L^*(x, v) = 0 \iff v = 0$ ,

**(iv)**  $L^*(x, v) \leq F^2(x, v) \forall (x, v) \in TM$ ,

**(v)** if  $F$  is reversible, so is  $L^*$ ,

For  $\tau \in [0, 1]$  we define  $L^\tau : TM \rightarrow \mathbb{R}$  by

$$L^\tau(x, v) = (1 - \tau)F^2(x, v) + \tau L^*(x, v). \quad (18)$$

We present our results for two kinds of boundary conditions:

- $Q = M_0 \times M_1$ ,  $M_0$  and  $M_1$  are two disjoint boundaryless submanifolds of  $M$ ,
- $Q = \Delta_M$ .

## Case 1

Let  $\gamma_0 \in \Lambda_Q(M)$  be an isolated nonconstant cpt of  $\mathcal{L}$  on  $\Lambda_Q(M)$ . Then  $\exists c > 0$ , s.t.

$F(\gamma_0(t), \dot{\gamma}_0(t)) \equiv \sqrt{c} > 0$ . We can choose the Riemannian metric  $g$  such that:

**$M_0$  (resp.  $M_1$ ) is totally geodesic near  $\gamma_0(0)$  (resp.  $\gamma_0(1)$ ).**

$\Lambda_Q(M)$  is equipped with *Hilbert-Riemannian structure* induced by  $g$ . Let  $\exp$  denote the

exponential map of  $g$ , and for  $\rho > 0$  let

$$\mathbf{B}_{2\rho}(T_{\gamma_0}\Lambda_Q(M)) = \{\xi \in T_{\gamma_0}\Lambda_Q(M) \mid \|\xi\|_1 < 2\rho\}.$$

$\exists \rho > 0$  such that

$$\text{EXP}_{\gamma_0} : \mathbf{B}_{2\rho}(T_{\gamma_0}\Lambda_Q(M)) \rightarrow \Lambda_Q(M) \quad (19)$$

given by  $\text{EXP}_{\gamma_0}(\xi)(t) = \exp_{\gamma_0(t)}(\xi(t))$ , is a coordinate chart around  $\gamma_0$  on  $\Lambda_Q(M)$ . Define

$$\mathcal{L}^\tau(\gamma) = \int_0^1 L^\tau(\gamma(t), \dot{\gamma}(t)) dt \quad \forall \gamma \in \Lambda_Q(M) \quad (20)$$

for  $L^\tau$  in (18).

Then  $\mathcal{L}^\tau \circ \text{EXP}_{\gamma_0}$  is  $C^{2-0}$  and has an isolated cpt  $0 \in T_{\gamma_0}\Lambda_Q(M) = W_Q^{1,2}(\gamma_0^*TM)$ . Let  $I = [0, 1]$ . Consider the Banach manifold

$$\mathcal{X} = C_Q^1(I, M) = \{\gamma \in C^1(I, M) \mid (\gamma(0), \gamma(1)) \in Q\}.$$

$$T_{\gamma_0}\mathcal{X} = \{\xi \in C^1(\gamma_0^*TM) \mid (\xi(0), \xi(1)) \in TQ\}$$

with usual  $C^1$ -norm. Let  $\mathcal{E}^X = \mathcal{E}|_{\mathcal{X}}$  and

$$\mathbf{B}_{2\rho}(T_{\gamma_0}\mathcal{X}) = \{\xi \in T_{\gamma_0}\mathcal{X} : \|\xi\|_{C^1} < 2\rho\}.$$

Then  $\mathbf{B}_{2\rho}(T_{\gamma_0}\mathcal{X}) \subset \mathbf{B}_{2\rho}(T_{\gamma_0}\Lambda_Q(M)) \cap T_{\gamma_0}\mathcal{X}$ . Let

$$\mathcal{A}^\tau = \nabla(\mathcal{L}^\tau \circ \text{EXP}_{\gamma_0})|_{\mathbf{B}_{2\rho}(T_{\gamma_0}\mathcal{X})}.$$

We can shrink  $\rho > 0$  so that

$$\min_t F^2(\gamma(t), \dot{\gamma}(t)) \geq \frac{2c}{3C_1} \quad \forall \gamma \in \text{EXP}_{\gamma_0}(\mathbf{B}_{2\rho}(T_{\gamma_0}\mathcal{X})).$$

By Prop.5(ii), for any  $\tau \in [0, 1]$

$$\mathcal{A}^\tau = \mathcal{A} = \nabla(\mathcal{E} \circ \text{EXP}_{\gamma_0})|_{\mathbf{B}_{2\rho}(T_{\gamma_0}\mathcal{X})}$$

is a  $C^1$ -map to  $T_{\gamma_0}\mathcal{X}$  and

$$\langle d\mathcal{A}^\tau(0)[\xi], \eta \rangle_1 = d^2\mathcal{E}^X(\gamma_0)[\xi, \eta] \quad \forall \xi, \eta \in T_{\gamma_0}\mathcal{X}.$$

The symmetric bilinear form  $d^2\mathcal{E}^X(\gamma_0)$  can be extended into such a form on  $T_{\gamma_0}\Lambda_Q(M)$ , also denoted by  $d^2\mathcal{E}^X(\gamma_0)$ . The associated self-adjoint operator is Fredholm, has finite dimen-

sional **negative definite and null spaces**

$$\mathbf{H}^-(d^2\mathcal{E}^X(\gamma_0)) \quad \text{and} \quad \mathbf{H}^0(d^2\mathcal{E}^X(\gamma_0)),$$

which are actually contained in  $T_{\gamma_0}\mathcal{X}$ . Call

$$m^-(\gamma_0) := \dim \mathbf{H}^-(d^2\mathcal{E}^X(\gamma_0)) \quad \text{and} \\ m^0(\gamma_0) := \dim \mathbf{H}^0(d^2\mathcal{E}^X(\gamma_0))$$

**Morse index** and **nullity** of  $\gamma_0$ , respectively.  $\exists$  the orthogonal decomposition

$$T_{\gamma_0}\Lambda_Q(M) = \mathbf{H}^-(d^2\mathcal{E}^X(\gamma_0)) \oplus \mathbf{H}^0(d^2\mathcal{E}^X(\gamma_0)) \\ \oplus \mathbf{H}^+(d^2\mathcal{E}^X(\gamma_0)), \quad (21)$$

which induces a (topological) direct sum decomposition of Banach spaces

$$T_{\gamma_0}\mathcal{X} = \mathbf{H}^-(d^2\mathcal{E}^X(\gamma_0)) \dot{+} \mathbf{H}^0(d^2\mathcal{E}^X(\gamma_0)) \\ \dot{+} (\mathbf{H}^+(d^2\mathcal{E}^X(\gamma_0)) \cap T_{\gamma_0}\mathcal{X}).$$

Using IFT,  $\exists \delta \in (0, 2\rho]$  and a unique  $C^1$ -map

$h$  from ball  $\mathbf{B}_\delta(\mathbf{H}^0(d^2\mathcal{E}^X(\gamma_0))) \subset \mathbf{B}_{2\rho}(T_{\gamma_0}\mathcal{X})$  to

$$\mathbf{H}^-(d^2\mathcal{E}^X(\gamma_0)) \dot{+} (\mathbf{H}^+(d^2\mathcal{E}^X(\gamma_0)) \cap T_{\gamma_0}\mathcal{X})$$

such that  $h(0) = 0$ ,  $dh(0) = 0$  and

$$(I - P^0)\mathcal{A}(\xi + h(\xi)) = 0 \quad \forall \xi \in \mathbf{B}_\delta(\mathbf{H}^0(d^2\mathcal{E}^X(\gamma_0))),$$

where  $P^\star : T_{\gamma_0}\Lambda_Q(M) \rightarrow \mathbf{H}^\star(d^2\mathcal{E}^X(\gamma_0))$ ,  $\star = -, 0, +$ , are orthogonal projections given by (21).

Define  $\mathcal{E}^\circ : \mathbf{B}_\delta(\mathbf{H}^0(d^2\mathcal{E}^X(\gamma_0))) \rightarrow \mathbb{R}$  by

$$\mathcal{E}^\circ(\xi) = \mathcal{E} \circ \text{EXP}_{\gamma_0}(\xi + h(\xi)). \quad (22)$$

It is  $C^2$ , has an isolated cpt 0, and  $d^2\mathcal{E}^\circ(0) = 0$ .

**Theorem 6** *Let  $\mathbb{K}$  be an Abel group.*

(i)  $C_*(\mathcal{L}^\tau, \gamma_0; \mathbb{K}) \cong C_*(\mathcal{E}, \gamma_0; \mathbb{K}) \quad \forall \tau \in [0, 1]$ .

(ii)  $\exists$  a splitting lemma for  $\mathcal{L}^* \circ \text{EXP}_{\gamma_0} := \mathcal{L}^1 \circ \text{EXP}_{\gamma_0}$  at  $0 \in T_{\gamma_0}\Lambda_Q(M)$ , i.e. (by shrinking  $\delta > 0$ )  $\exists$  an origin-preserving homeomorphism

$\psi$  from  $\mathbf{B}_\delta(T_{\gamma_0}\Lambda_Q(M))$  to an open neighborhood of 0 in  $T_{\gamma_0}\Lambda_Q(M)$  such that

$$\mathcal{L}^* \circ \text{EXP}_{\gamma_0} \circ \psi(\xi) = \|P^+\xi\|_1^2 - \|P^-\xi\|_1^2 + \mathcal{E}^\circ(P^0\xi)$$

$\forall \xi \in \mathbf{B}_\delta(T_{\gamma_0}\Lambda_Q(M))$ , and hence  $\forall q \in \mathbb{N} \cup \{0\}$

$$C_q(\mathcal{L}^*, 0; \mathbb{K}) \cong C_{q-m^-(\gamma_0)}(\mathcal{E}^\circ, 0; \mathbb{K}). \quad (23)$$

(iii)  $\exists$  a splitting lemma for  $\mathcal{E}^X \circ \text{EXP}_{\gamma_0}$  at  $0 \in T_{\gamma_0}\mathcal{X}$ , i.e.  $\exists \epsilon \in (0, \delta)$  and an origin-preserving homeomorphism  $\varphi$  from  $\mathbf{B}_\epsilon(T_{\gamma_0}\mathcal{X})$  to an open neighborhood of 0 in  $T_{\gamma_0}\mathcal{X}$  such that for any  $\xi \in \mathbf{B}_\epsilon(T_{\gamma_0}\mathcal{X})$ ,

$$\begin{aligned} \mathcal{E}^X \circ \text{EXP}_{\gamma_0} \circ \varphi(\xi) &= \frac{1}{2}d^2\mathcal{E}^X(\gamma_0)[P^+\xi, P^+\xi] \\ &\quad - \|P^-\xi\|_1^2 + \mathcal{E}^\circ(P^0\xi), \end{aligned}$$

and hence  $\forall q \in \mathbb{N} \cup \{0\}$

$$C_q(\mathcal{E}^X, 0; \mathbb{K}) \cong C_{q-m^-(\gamma_0)}(\mathcal{E}^\circ, 0; \mathbb{K}). \quad (24)$$

(iv) Let  $\mathcal{L}^{*X} = \mathcal{L}^*|_{\mathcal{X}}$ ,  $\mathcal{W} \subset \Lambda_Q(M)$  be a neighborhood of  $\gamma_0$  (so  $\mathcal{W}_X := \mathcal{W} \cap \mathcal{X}$  a neighborhood of  $\gamma_0$  in  $\mathcal{X}$ ). Then the inclusion

$$\begin{aligned} (\mathcal{L}_c^{*X} \cap \mathcal{W}_X, \mathcal{L}_c^{*X} \cap \mathcal{W}_X \setminus \{\gamma_0\}) &\hookrightarrow \\ (\mathcal{L}_c^* \cap \mathcal{W}, \mathcal{L}_c^* \cap \mathcal{W} \setminus \{\gamma_0\}) &\quad (25) \end{aligned}$$

induces **surjective homomorphisms**

$$\begin{aligned} H_* (\mathcal{L}_c^{*X} \cap \mathcal{W}_X, \mathcal{L}_c^{*X} \cap \mathcal{W}_X \setminus \{\gamma_0\}; \mathbb{K}) &\rightarrow \\ H_* (\mathcal{L}_c^* \cap \mathcal{W}, \mathcal{L}_c^* \cap \mathcal{W} \setminus \{\gamma_0\}; \mathbb{K}), &\quad (26) \end{aligned}$$

which are also **isomorphisms** if  $\mathbb{K}$  is a **field**.

When  $\mathcal{W} \subset \Lambda_Q(M)$  is a closed neighborhood of  $\gamma_0$ ,  $\mathcal{W}_X := \mathcal{W} \cap \mathcal{X}$  is also a closed neighborhood of  $\gamma_0$  in  $\mathcal{X}$ ). Choose an open neighborhood  $\mathcal{O}$  of  $\gamma_0$  in  $\mathcal{X}$  such that  $\mathcal{W}_X \setminus \mathcal{O}$  is contained in the interior of  $\mathcal{W}_X \setminus \{\gamma_0\}$  and that  $\mathcal{L}^* = \mathcal{E}$  in  $\mathcal{O}$ . Then by **excision theorem for singular**

**homology groups** the inclusion

$$\begin{aligned} & (\mathcal{E}_c^X \cap \mathcal{O}, \mathcal{E}_c^X \cap \mathcal{O} \setminus \{\gamma_0\}) \hookrightarrow \\ & (\mathcal{L}_c^{*X} \cap \mathcal{W}_X, \mathcal{L}_c^{*X} \cap \mathcal{W}_X \setminus \{\gamma_0\}) \end{aligned} \quad (27)$$

induces **isomorphisms**

$$\begin{aligned} & H_* (\mathcal{E}_c^X \cap \mathcal{O}, \mathcal{E}_c^X \cap \mathcal{O} \setminus \{\gamma_0\}; \mathbb{K}) \rightarrow \\ & H_* (\mathcal{L}_c^{*X} \cap \mathcal{W}_X, \mathcal{L}_c^{*X} \cap \mathcal{W}_X \setminus \{\gamma_0\}; \mathbb{K}) \end{aligned} \quad (28)$$

for any abel group  $\mathbb{K}$ .

These and Theorem 6 are sufficient for Morse theory arguments needed.

The above versions are convenient in applications. Their proofs can be completed in another chart. Since  $M_0$  (resp.  $M_1$ ) is totally geodesic near  $\gamma_0(0)$  (resp.  $\gamma_0(1)$ ) with respect to the metric  $g$  on  $M$ . Since  $\gamma_0$  is of class  $C^\infty$

we may take a parallel orthogonal  $C^\infty$  frame field along  $\gamma_0$  with respect to the metric  $g$ ,  $I \ni t \rightarrow (e_1(t), \dots, e_n(t))$ . For a small open ball  $B^n(0, 2\rho) \subset \mathbb{R}^n$  we get a  $C^\infty$  map

$$\phi : I \times B^n(0, 2\rho) \rightarrow M, (t, v) \mapsto \exp_{\gamma_0(t)} \left( \sum_{i=1}^n v_i e_i(t) \right).$$

Since  $\exists$  linear subspaces  $V_i \subset \mathbb{R}^n$ ,  $i = 0, 1$ , such that  $v \in V_i \Leftrightarrow \sum_{k=1}^n v_k e_k(i) \in T_{\gamma_0(i)} M_i$ ,  $i = 0, 1$ , by shrinking  $\rho > 0$  (if necessary) we get

$$v \in V_i \cap B^n(0, 2\rho) \Leftrightarrow \phi(i, v) \in M_i, i = 0, 1.$$

Set  $V := V_0 \times V_1$  and

$$H_V := \{\zeta \in W^{1,2}(I, \mathbb{R}^n) \mid (\zeta(0), \zeta(1)) \in V\},$$

$$X_V := \{\zeta \in C^1(I, \mathbb{R}^n) \mid (\zeta(0), \zeta(1)) \in V\}.$$

Use  $(\cdot, \cdot)_{W^{1,2}}$  and  $\|\cdot\|_{W^{1,2}}$  to denote the inner product and norm in  $H_V$ . Let  $\mathbf{B}_{2\rho}(H_V) := \{\zeta \in$

$H_V \mid \|\zeta\|_{W^{1,2}} < 2\rho\}$ . Then the map

$$\Phi : \mathbf{B}_{2\rho}(H_V) \rightarrow \Lambda_Q(M) \quad (29)$$

defined by  $\Phi(\zeta)(t) = \phi(t, \zeta(t))$ , gives a coordinate chart around  $\gamma_0$  on  $\Lambda_Q(M)$ . Define

$\tilde{L}^\tau : I \times B_{2\rho}^n(0) \times \mathbb{R}^n \rightarrow \mathbb{R}$  by

$$\tilde{L}^\tau(t, x, v) = L^\tau\left(\phi(t, x), d\phi(t, x)[(1, v)]\right),$$

and  $\tilde{\mathcal{L}}^\tau : \mathbf{B}_{2\rho}(H_V) \rightarrow \mathbb{R}$  by

$$\tilde{\mathcal{L}}^\tau(\xi) = \int_0^1 \tilde{L}^\tau(t, \xi(t), \dot{\xi}(t)) dt \quad \forall \xi \in \mathbf{B}_{2\rho}(H_V).$$

We can prove that this family of functionals satisfies **stability theorem of critical groups** (see Th.8.8 in Mawhin-Willem's book (89) or Th.5.6 in Chang's book (93), or Cingolani and Degiovanni [Adv.Nonl.Stud.**9**(2009)679]). So

for any  $q = 0, 1, \dots$ , we get

$$\begin{aligned} C_q(\mathcal{E}, \gamma_0; \mathbb{K}) &= C_q(\tilde{\mathcal{L}}^0, 0; \mathbb{K}) \\ &= C_q(\tilde{\mathcal{L}}^1, 0; \mathbb{K}) = C_q(\mathcal{L}^*, \gamma_0; \mathbb{K}). \end{aligned}$$

Moreover we can prove that Theorem 2 can be applied to  $\tilde{\mathcal{L}}^* := \tilde{\mathcal{L}}^1$ . Hence Theorem 6 follows.

## Case 2

For  $Q = \Delta_M$ ,

$$\begin{aligned} \Lambda_Q(M) &= \Lambda M := W^{1,2}(S^1, M) \\ &= \{\gamma \in W_{loc}^{1,2}(\mathbb{R}, M) \mid \gamma(t+1) = \gamma(t) \forall t \in \mathbb{R}\}. \end{aligned}$$

Here  $S^1 := \mathbb{R}/\mathbb{Z} = \{[s] \mid [s] = s + \mathbb{Z}, s \in \mathbb{R}\}$ .

$\exists$  equivariant and isometric operations of  $S^1$ -action on  $W^{1,2}(S^1, M)$  and  $TW^{1,2}(S^1, M)$ :

$$\begin{aligned} [s] \cdot \gamma(t) &= \gamma(s+t), \quad \forall [s] \in S^1, \gamma \in \Lambda M, \\ [s] \cdot \xi(t) &= \xi(s+t), \quad \forall [s] \in S^1, \xi \in T_\gamma \Lambda M, \end{aligned}$$

which are **continuous**, but **not differentiable**.  $\mathcal{E}$  is  $S^1$ -invariant. Let  $\gamma_0 \in \Lambda M$  be a (nonconstant) cpt of  $\mathcal{E}$  with critical value  $c > 0$ . The orbit  $\mathcal{O} := S^1 \cdot \gamma_0$  is a **smooth critical submanifold** of  $\mathcal{E}$  in  $\Lambda M$ . We assume:  $\mathcal{O}$  is an **isolated critical orbit**.

Let  $\mathcal{X} = C^1(S^1, M)$ ,  $\pi : N\mathcal{O} \rightarrow \mathcal{O}$  be the normal bundle of  $\mathcal{O}$  in  $\Lambda M$ . Then  $XN\mathcal{O} := T_{\mathcal{O}}\mathcal{X} \cap N\mathcal{O}$  is a Banach vector bundle over  $\mathcal{O}$ . Define

$$\left. \begin{aligned} N\mathcal{O}(\varepsilon) &= \{(x, v) \in N\mathcal{O} \mid \|v\|_1 < \varepsilon\}, \\ XN\mathcal{O}(\varepsilon) &= \{(x, v) \in XN\mathcal{O} \mid \|v\|_{C^1} < \varepsilon\}. \end{aligned} \right\}$$

Clearly,  $XN\mathcal{O}(\varepsilon) \subset N\mathcal{O}(\varepsilon)$ . (Replacing  $M$  by a compact neighborhood of  $\mathcal{O}$ ) we may assume  $\varepsilon > 0$  so small that the map

$$\text{EXP} : T\Lambda M(\varepsilon) = \{(x, v) \in T\Lambda M \mid \|v\|_1 < \varepsilon\} \rightarrow \Lambda M$$

defined by  $\text{EXP}(x, v)(t) = \exp_{x(t)} v(t) \forall t \in \mathbb{R}$ ,

restricts to a  $S^1$ -equivariant diffeomorphism from the **normal disk bundle**  $N\mathcal{O}(\varepsilon)$  onto a  $S^1$ -invariant open neighborhood of  $\mathcal{O}$  in  $\Lambda M$ ,

$$F : N\mathcal{O}(\varepsilon) \rightarrow \mathcal{N}(\mathcal{O}, \varepsilon). \quad (30)$$

Then

$$\mathcal{F} := \mathcal{E} \circ F \quad \text{and} \quad \mathcal{F}^* := \mathcal{L}^* \circ F \quad (31)$$

are  $C^{2-0}$ ,  $S^1$ -invariant and satisfy (PS). Let

$$\mathcal{F}^X = \mathcal{F}|_{N\mathcal{O}(\varepsilon) \cap XN\mathcal{O}}, \quad \mathcal{F}^{*X} = \mathcal{F}^*|_{N\mathcal{O}(\varepsilon) \cap XN\mathcal{O}}$$

and let  $\mathcal{F}_x, \mathcal{F}_x^*, \mathcal{F}_x^X, \mathcal{F}_x^{*X}$  be restrictions of  $\mathcal{F}, \mathcal{F}^*$  and  $\mathcal{F}^X, \mathcal{F}^{*X}$  to the fibres at  $x \in \mathcal{O}$ . Let

$$A_x := \nabla \mathcal{F}_x|_{N\mathcal{O}(\varepsilon)_x \cap XN\mathcal{O}_x}.$$

When  $\delta > 0$  is small  $A_x$  is a  $C^1$  map from  $XN\mathcal{O}(\delta)_x$  to  $XN\mathcal{O}_x$  (so  $\mathcal{F}_x^X$  is  $C^2$  on  $XN\mathcal{O}(\delta)_x$ ).

$$A_{s \cdot x}(s \cdot v) = s \cdot A_x(v) \quad \forall s \in S^1, \quad v \in N\mathcal{O}(\varepsilon)_x \cap XN\mathcal{O}_x.$$

Denote by  $B_x$  the symmetric bilinear form  $d^2\mathcal{F}_x^X(0)$  and by its extension on  $N\mathcal{O}_x$ . The associated self-adjoint operator is Fredholm, has finite dimensional **negative definite and null spaces**

$$\mathbf{H}^-(B_x) \quad \text{and} \quad \mathbf{H}^0(B_x).$$

Moreover,  $\mathbf{H}^-(B_x) + \mathbf{H}^0(B_x) \subset XN\mathcal{O}_x$ , and  $\exists$  orthogonal decomposition

$$N\mathcal{O}_x = \mathbf{H}^-(B_x) \oplus \mathbf{H}^0(B_x) \oplus \mathbf{H}^+(B_x). \quad (32)$$

Since  $B_{s \cdot x}(s \cdot \xi, s \cdot \eta) = B_x(\xi, \eta) \forall s \in S^1, x \in \mathcal{O}$ , (32) leads to a natural Hilbert vector bundle orthogonal decomposition

$$N\mathcal{O} = \mathbf{H}^-(B) \oplus \mathbf{H}^0(B) \oplus \mathbf{H}^+(B) \quad (33)$$

with  $\mathbf{H}^*(B)_x = \mathbf{H}^*(B_x)$  for  $x \in \mathcal{O}$  and  $\star = +, 0, -$ , which induces a Banach vector bundle

(topological) direct sum decomposition

$$XN\mathcal{O} = \mathbf{H}^-(B) \dot{+} \mathbf{H}^0(B) \dot{+} (\mathbf{H}^+(B) \cap XN\mathcal{O}).$$

$m^-(\mathcal{O}) := \text{rank}\mathbf{H}^-(B)$  and  $m^0(\mathcal{O}) := \text{rank}\mathbf{H}^0(B)$  are called **Morse index** and **nullity** of  $\mathcal{O}$ . When  $m^0(\mathcal{O}) = 0$  the orbit  $\mathcal{O}$  is called **nondegenerate**. Moreover  $0 \leq m^0(\mathcal{O}) \leq 2n - 1$ .

Let  $\mathbf{P}^* : N\mathcal{O} \rightarrow \mathbf{H}^*(B)$  be the orthogonal bundle projections,  $\star = +, 0, -$ , and let

$$\mathbf{H}^0(B)(\epsilon) = \mathbf{H}^0(B) \cap N\mathcal{O}(\epsilon) \text{ for } \epsilon > 0.$$

Then  $\mathbf{H}^0(B)(\epsilon) \subset XN\mathcal{O}$  and we may shrink  $\epsilon > 0$  so that  $\mathbf{H}^0(B)(\epsilon) \subset XN\mathcal{O}(\delta)$  since  $\text{rank}\mathbf{H}^0(B) < \infty$  and  $\mathcal{O}$  is compact. By IFT, shrinking  $\epsilon > 0$  assures:  $\forall x \in \mathcal{O} \exists$  unique  $S_x^1$ -equivariant  $C^1$

map

$$\mathfrak{h}_x : \mathbf{H}^0(B)(\epsilon)_x \rightarrow \mathbf{H}^-(B)_x \dot{+} (\mathbf{H}^+(B)_x \cap XN\mathcal{O}_x) \quad (34)$$

such that  $\mathfrak{h}_x(0_x) = 0_x$ ,  $d\mathfrak{h}_x(0_x) = 0_x$  and

$$(\mathbf{P}_x^+ + \mathbf{P}_x^-) \circ A_x(v + \mathfrak{h}_x(v)) = 0 \quad \forall v \in \mathbf{H}^0(B)(\epsilon)_x.$$

Moreover, the functional  $\mathcal{E}_{\Delta}^{\circ}$  given by

$$\mathbf{H}^0(B)(\epsilon) \ni (x, v) \rightarrow \mathcal{E} \circ \text{EXP}_x(v + \mathfrak{h}_x(v)) \quad (35)$$

is  $C^1$ , has the **isolated critical orbit**  $\mathcal{O}$  and restricts to a  $C^2$  functional  $\mathcal{E}_{\Delta x}^{\circ}$  in fiber  $\mathbf{H}^0(B)(\epsilon)_x$ .

**Theorem 7 (i)**  $C_*(\mathcal{L}^{\tau}, \mathcal{O}; \mathbb{K}) = C_*(\mathcal{E}, \mathcal{O}; \mathbb{K}) \quad \forall \tau.$

**(ii)**  $\exists$  a *splitting lemma* for  $\mathcal{F}^* := \mathcal{L}^* \circ F$  near  $\mathcal{O} \subset N\mathcal{O}(\epsilon)$ : shrinking  $\epsilon > 0$ ,  $\exists$  a  $S^1$ -invariant open neighborhood  $U$  of  $\mathcal{O} \subset N\mathcal{O}$ , a  $S^1$ -equivariant fiber-preserving,  $C^1$  map  $\mathfrak{h}$  given by (34), and a

$S^1$ -equivariant fiber-preserving homeomorphism  $\Upsilon : N\mathcal{O}(\epsilon) \rightarrow U$  such that for all  $(x, u) \in N\mathcal{O}(\epsilon)$ ,

$$\begin{aligned} \mathcal{F}^* \circ \Upsilon(x, u) &= \mathcal{L}^* \circ \text{EXP} \circ \Upsilon(x, u) \\ &= \|\mathbf{P}_x^+ u\|_1^2 - \|\mathbf{P}_x^- u\|_1^2 + \mathcal{E}_{\Delta x}^\circ(\mathbf{P}_x^0 u). \end{aligned}$$

(iii)  $\exists$  a splitting lemma for  $\mathcal{F}^X := \mathcal{E}^X \circ F$  near  $\mathcal{O} \subset XN\mathcal{O}(\epsilon)$ : shrinking  $\epsilon > 0 \exists S^1$ -invariant open neighborhood  $V$  of  $\mathcal{O} \subset XN\mathcal{O}$ ,  $S^1$ -equivariant fiber-preserving  $C^1$  map  $\mathfrak{h}$  given by (34),  $S^1$ -equivariant fiber-preserving homeomorphism  $\Psi : XN\mathcal{O}(\epsilon) \rightarrow V$  such that for all  $(x, v) \in XN\mathcal{O}(\epsilon)$ ,

$$\begin{aligned} \mathcal{E}^X \circ \text{EXP} \circ \Psi(x, v) &= \frac{1}{2} d^2 \mathcal{L}|_{\mathcal{X}}(x) [\mathbf{P}_x^+ v, \mathbf{P}_x^+ v] \\ &\quad - \|\mathbf{P}_x^- v\|_1^2 + \mathcal{L}_{\Delta x}^\circ(\mathbf{P}_x^0 v). \end{aligned}$$

(iv) For any open neighborhood  $\mathcal{W}$  of  $\mathcal{O}$  in  $\Lambda M$  and a field  $\mathbb{K}$ , write  $\mathcal{W}_X = \mathcal{W} \cap \mathcal{X}$  as an open subset of  $\mathcal{X}$ , then the inclusion

$$((\mathcal{L}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X, (\mathcal{L}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X \setminus \mathcal{O}) \hookrightarrow (\mathcal{L}_c^* \cap \mathcal{W}, \mathcal{L}_c^* \cap \mathcal{W} \setminus \mathcal{O})$$

induces isomorphisms

$$\begin{aligned} H_* \left( (\mathcal{L}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X, (\mathcal{L}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X \setminus \mathcal{O}; \mathbb{K} \right) &\rightarrow \\ H_* \left( \mathcal{L}_c^* \cap \mathcal{W}, \mathcal{L}_c^* \cap \mathcal{W} \setminus \mathcal{O}; \mathbb{K} \right). \end{aligned}$$

The corresponding conclusion is still true if  $((\mathcal{L}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X, (\mathcal{L}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X \setminus \mathcal{O})$  and  $(\mathcal{L}_c^* \cap \mathcal{W}, \mathcal{L}_c^* \cap \mathcal{W} \setminus \mathcal{O})$  are replaced by  $((\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X \cup \mathcal{O}, (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X)$  and  $(\tilde{\mathcal{L}}_c^* \cap \mathcal{W} \cup \mathcal{O}, \tilde{\mathcal{L}}_c^* \cap \mathcal{W})$ , respectively, where  $\tilde{\mathcal{L}}_c^* = \{\mathcal{L}^* < c\}$  and  $(\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c = \{\mathcal{L}^*|_{\mathcal{X}} < c\}$ .

Let  $\mathbf{H}^{0-}(B) = \mathbf{H}^0(B) + \mathbf{H}^-(B)$  and

$$\mathbf{H}^{0-}(B)(\epsilon) = (\mathbf{H}^0(B) + \mathbf{H}^-(B)) \cap N\mathcal{O}(\epsilon).$$

Then  $\mathbf{H}^{0-}(B) \subset XN\mathcal{O}$ . Define

$$\mathfrak{L} : \mathbf{H}^{0-}(B)(\epsilon) \rightarrow \mathbb{R} \tag{36}$$

by  $\mathfrak{L}(x, v) = -\|\mathbf{P}_x^- v\|_1^2 + \mathcal{L}_{\Delta x}^\circ(\mathbf{P}_x^0 v)$ . The usual

deformation arguments and two splitting lemmas in Th.7(ii)-(iii) lead to

$$\begin{aligned} C_*(\mathcal{L}^*, \mathcal{O}; \mathbb{K}) &\cong C_*(\mathfrak{L}, \mathcal{O}; \mathbb{K}) \\ &\cong C_*(\mathcal{E}^X, \mathcal{O}; \mathbb{K}), \end{aligned} \quad (37)$$

which can also be derived from Th.7(iv). This and Th.7(i) yield

$$\begin{aligned} C_*(\mathcal{E}, \mathcal{O}; \mathbb{K}) &\cong C_*(\mathcal{E}^X, \mathcal{O}; \mathbb{K}) \\ &\cong C_*(\mathfrak{L}, \mathcal{O}; \mathbb{K}). \end{aligned} \quad (38)$$

Let  $S_x^1 \subset S^1$  denote the stabilizer of  $x \in \mathcal{O}$ . It is a finite cyclic group and  $\mathcal{L}_{\Delta x}^\circ$  is  $S_x^1$ -invariant. Let  $C_*(\mathcal{L}_{\Delta x}^\circ, 0; \mathbb{K})^{S_x^1}$  denote the subgroup of all elements in  $C_*(\mathcal{L}_{\Delta x}^\circ, 0; \mathbb{K})$ , which are fixed by the induced action of  $S_x^1$  on the homology. Since  $\mathbf{H}^{0-}(B) \subset XN\mathcal{O}$  is a smooth manifold of finite dimension using (38) and repeating the

arguments by Bangert and Long [Prop.3.7, *Math. Ann.*, **346**(2010)335] we can obtain their following generalization of the **Gromoll-Meyer shifting theorem** for Finsler manifolds.

**Theorem 8** *Let  $\mathbb{K}$  be a field of characteristic 0 or prime to order  $|S_{\gamma_0}^1|$  of  $S_{\gamma_0}^1$ . Then for any  $x \in \mathcal{O} = S^1 \cdot \gamma_0$  and  $q = 0, 1, \dots$ ,*

$$C_q(\mathcal{E}, \mathcal{O}; \mathbb{K}) =$$

$$\left( H_{m^-(\mathcal{O})}(\mathbf{H}^-(B)_x, \mathbf{H}^-(B)_x \setminus \{0_x\}; \mathbb{K}) \otimes C_{q-m^-(\mathcal{O})}(\mathcal{E}_{\Delta x}^\circ, 0; \mathbb{K}) \right)^{S_x^1} \\ \oplus \left( H_{m^-(\mathcal{O})}(\mathbf{H}^-(B)_x, \mathbf{H}^-(B)_x \setminus \{0_x\}; \mathbb{K}) \otimes C_{q-m^-(\mathcal{O})-1}(\mathcal{L}_{\Delta x}^\circ, 0; \mathbb{K}) \right)^{S_x^1}.$$

*provided  $m^-(\mathcal{O})m^0(\mathcal{O}) > 0$ . Moreover,*

$$C_q(\mathcal{E}, \mathcal{O}; \mathbb{K}) = (C_{q-1}(\mathcal{E}_{\Delta x}^\circ, 0; \mathbb{K}))^{S_x^1} \oplus (C_q(\mathcal{E}_{\Delta x}^\circ, 0; \mathbb{K}))^{S_x^1}$$

*if  $m^-(\mathcal{O}) = 0$  and  $m^0(\mathcal{O}) > 0$ , and*

$$C_q(\mathcal{E}, \mathcal{O}; \mathbb{K}) = H_q(\mathbf{H}^-(B), \mathbf{H}^-(B) \setminus \mathcal{O}; \mathbb{K}) \\ = \left( H_{q-1}(\mathbf{H}^-(B)_x, \mathbf{H}^-(B)_x \setminus \{0_x\}; \mathbb{K}) \right)^{S_x^1} \\ \oplus \left( H_q(\mathbf{H}^-(B)_x, \mathbf{H}^-(B)_x \setminus \{0_x\}; \mathbb{K}) \right)^{S_x^1}$$

if  $m^-(\mathcal{O}) > 0$  and  $m^0(\mathcal{O}) = 0$ . Finally,

$$C_q(\mathcal{E}, S^1 \cdot \gamma_0; \mathbb{K}) = H_q(S^1; \mathbb{K})$$

for any Abel group  $\mathbb{K}$  if  $m^-(\mathcal{O}) = m^0(\mathcal{O}) = 0$ .

For a field  $\mathbb{K}$  Th. 7(iv) claimed the inclusion

$$\left( (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X \cup \mathcal{O}, (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X \right) \hookrightarrow \left( \tilde{\mathcal{L}}_c^* \cap \mathcal{W} \cup \mathcal{O}, \tilde{\mathcal{L}}_c^* \cap \mathcal{W} \right)$$

induces isomorphisms

$$\begin{aligned} H_* \left( (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X \cup \mathcal{O}, (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \cap \mathcal{W}_X; \mathbb{K} \right) \\ \rightarrow H_* \left( \tilde{\mathcal{L}}_c^* \cap \mathcal{W} \cup \mathcal{O}, \tilde{\mathcal{L}}_c^* \cap \mathcal{W}; \mathbb{K} \right), \end{aligned}$$

and hence (by excision) the inclusion

$$\left( (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \cup \mathcal{O}, (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \right) \hookrightarrow \left( \tilde{\mathcal{L}}_c^* \cup \mathcal{O}, \tilde{\mathcal{L}}_c^* \right)$$

induces isomorphisms

$$H_* \left( (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c \cup \mathcal{O}, (\tilde{\mathcal{L}}^*|_{\mathcal{X}})_c; \mathbb{K} \right) \rightarrow H_* \left( \tilde{\mathcal{L}}_c^* \cup \mathcal{O}, \tilde{\mathcal{L}}_c^*; \mathbb{K} \right).$$

Take a neighborhood  $\mathcal{V}$  of  $\mathcal{O}$  in  $\mathcal{X}$  such that  $\mathcal{L}^* = \mathcal{E}$  in  $\mathcal{V}$ . Using the excision again we derive that the inclusion

$$\left( (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \cup \mathcal{O}, (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \right) \hookrightarrow \left( \mathcal{L}_c^* \cup \mathcal{O}, \mathcal{L}_c^* \right)$$

induces isomorphisms

$$H_* \left( (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \cup \mathcal{O}, (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V}; \mathbb{K} \right) \rightarrow H_* \left( \mathcal{L}_c^* \cup \mathcal{O}, \mathcal{L}_c^*; \mathbb{K} \right).$$

It is  $\mathcal{L}^* \leq \mathcal{E}$  (since  $L^* \leq F^2$  by Prop.5(iv)) that we have the commutative diagram

$$\begin{array}{ccc} \left( (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \cup \mathcal{O}, (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \right) & \xrightarrow{\text{Inclusion}} & \left( \mathcal{E}_c \cup \mathcal{O}, \mathcal{E}_c \right) \\ \text{Identity} \downarrow & & \downarrow \text{Inclusion} \\ \left( (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \cup \mathcal{O}, (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \right) & \xrightarrow{\text{Inclusion}} & \left( \mathcal{L}_c^* \cup \mathcal{O}, \mathcal{L}_c^* \right) \end{array}$$

and hence commutative diagram

$$\begin{array}{ccc} H_* \left( (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \cup \mathcal{O}, (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V}; \mathbb{K} \right) & \xrightarrow{\text{Homeomorphism}} & H_* \left( \mathcal{E}_c \cup \mathcal{O}, \mathcal{E}_c; \mathbb{K} \right) \\ \text{Identity} \downarrow & & \text{Homeomorphism} \downarrow \\ H_* \left( (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \cup \mathcal{O}, (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V}; \mathbb{K} \right) & \xrightarrow{\text{Isomorphism}} & H_* \left( \mathcal{L}_c^* \cup \mathcal{O}, \mathcal{L}_c^*; \mathbb{K} \right) \end{array}$$

It follows that the homeomorphisms

$$H_* \left( (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V} \cup \mathcal{O}, (\mathcal{E}|_{\mathcal{X}})_c \cap \mathcal{V}; \mathbb{K} \right) \rightarrow H_* \left( \mathcal{E}_c \cup \mathcal{O}, \mathcal{E}_c; \mathbb{K} \right)$$

are injective, and hence isomorphisms since the associated groups are all vector spaces of same finite dimension. Using the excision again we deduce

**Claim 9** *The inclusion*

$$\left( (\mathring{\mathcal{E}}|_{\mathcal{X}})_c \cup \mathcal{O}, (\mathring{\mathcal{E}}|_{\mathcal{X}})_c \right) \hookrightarrow \left( \mathring{\mathcal{E}}_c \cup \mathcal{O}, \mathring{\mathcal{E}}_c \right)$$

*induces isomorphisms*

$$H_* \left( (\mathring{\mathcal{E}}|_{\mathcal{X}})_c \cup \mathcal{O}, (\mathring{\mathcal{E}}|_{\mathcal{X}})_c; \mathbb{K} \right) \rightarrow H_* \left( \mathring{\mathcal{E}}_c \cup \mathcal{O}, \mathring{\mathcal{E}}_c; \mathbb{K} \right).$$

For an integer  $m > 0$  define the  $m$ -th iterate

$$\varphi_m : \Lambda M \rightarrow \Lambda M, \gamma \rightarrow \gamma^m \quad (39)$$

by  $\gamma^m(t) = \gamma(mt) \forall t \in \mathbb{R}$ . For some integer  $m > 1$ , suppose  $\varphi_m(\mathcal{O}) = S^1 \cdot \gamma_0^m$  is an isolated critical orbit of  $\mathcal{E}$  in  $\Lambda M$ . Claim 9 implies that

the inclusion

$$\left( (\tilde{\mathcal{E}}|_{\mathcal{X}})_{m^2c} \cup \varphi_m(\mathcal{O}), (\tilde{\mathcal{E}}|_{\mathcal{X}})_{m^2c} \right) \hookrightarrow \left( \tilde{\mathcal{E}}_{m^2c} \cup \varphi_m(\mathcal{O}), \tilde{\mathcal{E}}_{m^2c} \right)$$

induces isomorphisms

$$H_*\left( (\tilde{\mathcal{E}}|_{\mathcal{X}})_{m^2c} \cup \varphi_m(\mathcal{O}), (\tilde{\mathcal{E}}|_{\mathcal{X}})_{m^2c}; \mathbb{K} \right) \rightarrow H_*\left( \tilde{\mathcal{E}}_{m^2c} \cup \varphi_m(\mathcal{O}), \tilde{\mathcal{E}}_{m^2c}; \mathbb{K} \right). \quad (40)$$

Furthermore, let

$$m^-(\mathcal{O}) = m^-(\varphi_m(\mathcal{O})), \quad m^0(\mathcal{O}) = m^0(\varphi_m(\mathcal{O})). \quad (41)$$

As in Riemannian geometry using the splitting lemma in Th. 7(iii) we may prove that

$$\varphi_m : \left( (\tilde{\mathcal{E}}|_{\mathcal{X}})_c \cup \mathcal{O}, (\tilde{\mathcal{E}}|_{\mathcal{X}})_c \right) \rightarrow \left( (\tilde{\mathcal{E}}|_{\mathcal{X}})_{m^2c} \cup \varphi_m(\mathcal{O}), (\tilde{\mathcal{E}}|_{\mathcal{X}})_{m^2c} \right)$$

induces isomorphisms

$$\begin{aligned} (\varphi_m)_* : \quad & H_*\left( (\tilde{\mathcal{E}}|_{\mathcal{X}})_c \cup \mathcal{O}, (\tilde{\mathcal{E}}|_{\mathcal{X}})_c; \mathbb{K} \right) \\ & \rightarrow H_*\left( (\tilde{\mathcal{E}}|_{\mathcal{X}})_{m^2c} \cup \varphi_m(\mathcal{O}), (\tilde{\mathcal{E}}|_{\mathcal{X}})_{m^2c}; \mathbb{K} \right). \end{aligned}$$

Hence commutative diagram

$$\begin{array}{ccc}
 ((\tilde{\mathcal{E}}|_{\mathcal{X}})_c \cup \mathcal{O}, (\tilde{\mathcal{E}}|_{\mathcal{X}})_c) & \xrightarrow{\varphi_m} & (\tilde{\mathcal{E}}_{m^2c} \cup \varphi_m(\mathcal{O}), \tilde{\mathcal{E}}_{m^2c}) \\
 \text{Inclusion} \downarrow & & \text{Inclusion} \downarrow \\
 (\mathcal{E}_c \cup \mathcal{O}, \mathcal{E}_c) & \xrightarrow{\varphi_m} & (\mathcal{E}_{m^2c} \cup \varphi_m(\mathcal{O}), \mathcal{E}_{m^2c})
 \end{array}$$

lead to the following generalization of a result by Gromoll-Meyer on Finsler manifolds.

**Theorem 10** *For some integer  $m > 1$ , suppose that  $\mathcal{O} = S^1 \cdot \gamma_0$  and  $\varphi_m(\mathcal{O}) = S^1 \cdot \gamma_0^m$  are two isolated critical orbits of  $\mathcal{E}$  in  $\Lambda M$  and that (41) is satisfied. Then for any field  $\mathbb{K}$ ,*

$$\varphi_m : (\tilde{\mathcal{E}}_c \cup \mathcal{O}, \tilde{\mathcal{E}}_c) \rightarrow (\tilde{\mathcal{E}}_{m^2c} \cup \varphi_m(\mathcal{O}), \tilde{\mathcal{E}}_{m^2c})$$

*induces isomorphisms*

$$(\varphi_m)_* : H_*\left(\tilde{\mathcal{E}}_c \cup \mathcal{O}, \tilde{\mathcal{E}}_c; \mathbb{K}\right) \rightarrow H_*\left(\tilde{\mathcal{E}}_{m^2c} \cup \varphi_m(\mathcal{O}), \tilde{\mathcal{E}}_{m^2c}; \mathbb{K}\right)$$

(or in the usual notation

$$\begin{aligned} (\varphi_m)_* : \quad & H_* \left( \Lambda(\gamma_0) \cup S^1 \cdot \gamma_0, \Lambda(\gamma_0); \mathbb{K} \right) \\ & \rightarrow H_* \left( \Lambda(\gamma_0^m) \cup S^1 \cdot \gamma_0^m, \Lambda(\gamma_0^m); \mathbb{K} \right) \end{aligned}$$

As applications we may prove the following generalization of a famous result on Riemannian manifolds by Bangert and Klingenberg [Topology, 23(1983)379].

**Theorem 11** *A connected closed Finsler manifold  $(M, F)$  of dimension  $n > 1$  has infinitely many geometrically distinct closed geodesics provided that there exists a nonconstant closed geodesic  $\bar{\gamma}$  such that  $m^-(\bar{\gamma}^k) \equiv 0$  and*

$$H_{\bar{p}}(\Lambda(\bar{\gamma}) \cup S^1 \cdot \bar{\gamma}, \Lambda(\bar{\gamma}); \mathbb{Q}) \neq 0$$

*with some integer  $\bar{p} \geq 2$ .*

A slightly different version of it was proved by H.B.Rademacher [Th.7.5, Bonner Math.Schr.229 (1992)] with finite-dimensional approximations.

Thank you!