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# Differential plant damage due to litterfall in palm-dominated forest stands in a Central Pacific atoll

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**Abstract:** High densities of palms are common in many tropical forests. In some cases, the dominance of palms has been associated with a depauperate understorey and high rates of native seedling mortality. A variety of different potential mechanisms has been suggested to explain the sustained palm dominance in the understorey and canopy of these forests. Working in a *Cocos nucifera*-dominated wet tropical forest at Palmyra Atoll in the central Pacific, we examine how litterfall from this pantropical, and economically important palm, impacts seedling survival. We compare rates of litterfall, and rates of litterfall-associated damage, between forest stands dominated by *C. nucifera* (coconut palm) and forest stands with low abundance of *C. nucifera*. To assess litterfall damage we survey damage to both artificial seedlings (n = 711), outplanted real seedlings of two species (with and without protection via caging; n = 204), and standing rates of litterfall damage. We find that rates of large-litterfall damage were an average of five times higher in sites with high densities of *C. nucifera*. Associated with these increases we observe that levels of physical damage to artificial model seedlings caused by litterfall over a 4-mo period increased from 4.9% in sites with low abundance of *C. nucifera* to 16.1% in sites with high abundance of *C. nucifera*. Extrapolated to annual rates, litterfall damage of this magnitude exceeds the average levels observed in other published studies. Living native seedlings also showed more than 300% higher levels of mortality in forest stands with high densities of *C. nucifera*, a difference that was greatly reduced when protected by caging from litterfall. In contrast, uncaged *C. nucifera* seedlings actually had slightly higher survivorship in habitats dominated by conspecifics. We suggest that litterfall damage may be an important mechanism by which this tropical palm reaches and maintains near monodominance in many coastal and insular habitats.

**Key Words:** *Cocos nucifera*, diversity, island, litterfall, palm, regeneration

## INTRODUCTION

Palms of a variety of different species often reach very high densities in tropical forests (Aguirre *et al.* 2011, Kahn & De Granville 1992). At these high densities, they often strongly affect seedling establishment, causing reductions in density and diversity of woody species and understorey plants (Denslow & Guzmán 2000, Farris-López *et al.* 2004, Wang & Augspurger 2006). A variety of mechanisms has been proposed to explain how these palms may engineer their ecosystems to cause these effects, including changes in light availability, litterfall depth and abundance of seed and seedling predators

associated with palms (Farris-López *et al.* 2004, Wang & Augspurger 2006). An additional hypothesized pathway, examined here, suggests that physical damage from falling litterfall may be an important source of seedling mortality for other species, because of the large size and weight of palm fronds (Peters *et al.* 2004).

Mortality by litterfall damage is an important source of seedling mortality in a wide range of forests (Aide 1987, Clark & Clark 1991, Gregory 1966). Previous studies have shown that macro-litter (large leaves, branches or fronds) is particularly likely to cause damage and mortality to seedlings (Aguiar & Tabarelli 2010, Gillman *et al.* 2004, Peters *et al.* 2004). As litterfall has a disproportionately negative impact on species and individuals with particular traits (small stem size, limited capacity for resprouting, low root to shoot ratios, low wood density and high growth rates), high litterfall rates can change understorey plant

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communities by favouring species more resilient to such damage (Alvarez-Clare & Kitajima 2009, Gillman *et al.* 2003, Pauw *et al.* 2004, Peters *et al.* 2004).

In this study we examine the impact of large litterfall production by one pantropical canopy palm species, *Cocos nucifera* (coconut palm), on seedling mortality due to physical damage from litterfall. We hypothesize that rates of large litterfall, and litterfall-associated damage will be greatly elevated in *C. nucifera*-dominated habitats.

## METHODS

### Study site

This study was conducted at Palmyra atoll (5°54'N, 162°05'W), a wet tropical atoll located in the Northern Line Island Chain in the central Pacific Ocean. The atoll has no permanent human habitation and is administered as a National Wildlife Refuge by the US Fish and Wildlife Service. The atoll receives approximately 4450 mm rain annually, with low seasonality. Due to its remote location, Palmyra has a very species-poor plant community; more than 50% of the forest cover on the atoll is dominated by *C. nucifera* (Young *et al.* 2010a).

### Study species

*Cocos nucifera* L. (Arecaceae) is of both ecological and economic importance in much of the world. The leaves of this palm are large (6–7 m in length; 15 kg in weight), and about 13–14 fronds per plant are lost per year. The fruits are likewise large (1.2–2.0 kg each), with 50–80 fruits produced per year by adult palms (Taffin 1998). The plant often occurs in high densities and can readily reach stand monodominance (Young *et al.* 2010a). While several potential mechanisms allowing this palm to reach monodominance have been proposed (Young *et al.* 2010a, 2013) the role of litterfall has not yet been considered.

### Litterfall inputs

Litterfall data were collected from 2007 to 2010. To quantify the amount of large litterfall inputs under different densities of *C. nucifera*, in July 2008 we established 35 litterfall monitoring plots. The plots were distributed in randomly selected locations across the atoll (using a random number generator to calculate distance along coast from a given starting point, and the distance inland). At each litterfall plot all litter and debris > 1 cm in diameter was cleared from a 1 × 1-m area. After 1 mo, all litter where any part of a branch, stem or rachis exceeded

1 cm in diameter was collected and weighed. Litter that was partially in the plot was cut at the boundaries of the plot and only the portion of the litter in the plot was weighed; for large nuts which could not be cut in the field, they were weighed if more than 50% of the nut was in the plot and otherwise excluded. Litter was then removed from the plot and the process was repeated for a second month. Litterfall inputs were averaged across the two periods. Canopy cover by species at each litterfall plot was visually estimated, and subsequently categorized as palm dominated (>75% *C. nucifera* dominance), or mixed dicot (<25% *C. nucifera* dominance) by two observers for a 3-m radius surrounding the centre of each plot. Plots with intermediate levels of *C. nucifera* dominance (n = 5) were excluded.

### Vegetation surveys

The remainder of the components of this study were conducted on 10 sites selected from a larger set of 83 randomly located 50 × 2-m vegetation transects surveyed on the atoll. Siting and survey methodology for these transects was modified from Gentry (1988) (details provided in Young *et al.* 2010a), in which all plants > 1 cm dbh (diameter at breast height) in each transect were identified and measured. From this larger set of transects, we selected five from each of those sites classified as either palm-dominated stands (>75% basal area of *C. nucifera*) or mixed dicot stands (<25% basal area of *C. nucifera*). The mixed dicot sites were all largely dominated (>70% basal area) by two other common, native species, *Pisonia grandis* R. Br. (Nyctaginaceae) and *Tournefortia argentea* L. f. (Boraginaceae). *Pandanus tectorius* Parkinson (Pandanaceae), and *Scaevola taccada* (Gaertn.) Roxb. (Goodeniaceae) were also present, but at lower densities.

### Live seedlings

Seedlings from two of the most common tree species at Palmyra, *C. nucifera* and *P. grandis*, were used in experiments to measure the lethal and sublethal effects of litterfall. We planted 102 seedlings of each species in the 10 selected sites. Individual plants were placed approximately 4-m apart from the nearest plant in a grid. If an adult tree or a crab hole occurred within 1-m of the assigned planting location, this location was skipped and another location was added to the end of the row. Treatment (caged or uncaged) was randomly assigned to each plot. At the time of planting all seedlings were between 3 and 10 cm in height. Of these seedlings, 46 per species per forest type were caged and 56 were uncaged. Cages protected plants against both litterfall damage and

herbivore damage (predominantly caused by crabs). Due to low overall survivorship, particularly for *P. grandis* in palm-dominated sites, data were pooled by forest type and analysed using a chi-square test. Any litter that was observed caught on the cage was removed from the cage and placed on the ground directly adjacent to the cage in order to reduce shading artifacts. The data reported here are survivorship after 2 y. Details of the cages and plantings are reported in Young *et al.* (2013).

### Litterfall damage

To assess the extent to which litterfall damage from *C. nucifera* was a significant cause of mortality and damage among actual seedlings and saplings, we surveyed damage on all live saplings (<2.5 m in height;  $n = 449$ ) found in three sites per forest type. Most sites were  $25 \times 5$ -m in size, but due to low seedling densities at two mixed-dicot sites, an additional  $25 \times 5$ -m area adjacent to the initial survey area was surveyed at these sites to increase number of surveyed seedlings. Physical damage due to debris was visually estimated and classified as severe (>75% damage to stem or leaf), moderate (25–75% damage to stem or leaf), or low to absent. Both the species damaged and the species that caused the damage were recorded. We analysed only the subset of individuals where the species causing the damage could be determined. Results from this type of survey are likely to be an underestimate because they only monitor seedlings that have survived up to time of monitoring (Gillman *et al.* 2002), but are likely consistent across forest types.

### Artificial seedlings

Artificial seedling models were constructed after the fashion of Clark & Clark (1989). Each artificial seedling was made from a 13-cm-long wire, stapled inside a 15-cm-long stem made from a 20-cm-long plastic drinking straw with the top folded over. A 'branch' was added by stapling a second straw perpendicular to the stem. We placed a total of 90 artificial seedlings at each of 10 sites (five sites per forest type). At each site there were three parallel lines of 30 seedlings, with each seedling 2 m from the neighbouring seedling in the line. Each line was 5 m apart from a neighbouring line. If the seedling placement point fell on a tree stem, the seedling was placed adjacent to the tree stem. As some seedlings disappeared over the course of the study, a total of 384 seedlings were monitored in palm-dominated sites and 327 in mixed-dicot sites.

Artificial seedlings were placed in June 2007 and then monitored in October 2007. While weather is relatively constant across seasons at Palmyra, there is some increase in heavy storms with high wind and rain between

November and February. Our estimates of annual damage levels may thus be underestimates. Damage levels of seedlings were assessed using modified criteria of Clark & Clark (1989) with severely litterfall-damaged seedlings being those that were bent such that the branch was in contact with the ground or flattened. Minor damage included seedlings bent, but not in contact with the ground. As in Clark & Clark (1989), our analyses of damaged individuals included as damaged only those individuals where litterfall could be identified as the cause of damage. Given that previous work shows that animal damage to seedlings is not accurately quantified by artificial seedlings (Gillman *et al.* 2002), and that animal damage in the first months of an experiment is often elevated due to a novelty interest by animals (Clark & Clark 1989), we do not include this type of damage in any analyses. The proportion of damaged individuals is thus calculated as the per cent of total planted individuals that were damaged by falling litterfall. For data analysis, we pooled data by site, and compared damage levels across forest types.

## RESULTS

### Litterfall inputs

Litterfall inputs rates varied from 0 to  $12.3 \text{ kg m}^{-2} \text{ mo}^{-1}$ . Litterfall input rates were significantly higher in palm-dominated (mean 2.3 kg) vs. mixed-dicot (mean 0.4 kg) stands ( $\chi^2 = 10.9$ ,  $df = 1$ ,  $P < 0.001$ ). There was no significant difference in total canopy cover between these forest types.

### Live seedlings

Seedling survivorship of uncaged live plants was significantly higher in mixed-dicot stands than palm-dominated stands for *P. grandis* ( $\chi^2 = 11.7$ ,  $df = 1$ ,  $P < 0.001$ ; Table 1). *Cocos nucifera* survivorship was, in contrast, higher in palm-dominated than in mixed-dicot stands ( $\chi^2 = 8.3$ ,  $df = 1$ ,  $P < 0.01$ ; Table 1). There was a positive effect of caging on survivorship for both species in both forest types (Table 1).

### Litterfall damage

Of the 449 seedlings or saplings surveyed, 32 had experienced high-litterfall-induced damage. *Cocos nucifera* was identified as the source of damage in 94% of these instances of high damage and was a partial cause of damage in all the remaining 6%. Including minor damage, 173 plants suffered either minor or severe

**Table 1.** Effects of forest type and caging on survivorship (mean  $\pm$  SD) of seedlings of two species at Palmyra Atoll (central Pacific Ocean) after 2 y, with and without caging. Caging effect is analysed using a chi-square test.

Species	Forest type	Caged	Control	Caging effect ( $\chi^2$ , df, P)
<i>Pisonia grandis</i>	Palm-dominated	0.41 $\pm$ 0.12	0.04 $\pm$ 0.09	31.9, 1, <0.0001
<i>Cocos nucifera</i>	Palm-dominated	1 $\pm$ 0	0.93 $\pm$ 0.07	4.9, 1, 0.03
<i>Pisonia grandis</i>	Mixed dicot	0.28 $\pm$ 0.22	0.15 $\pm$ 0.21	3.7, 1, 0.05
<i>Cocos nucifera</i>	Mixed dicot	1 $\pm$ 0	0.71 $\pm$ 0.25	20.4, 1, <0.001

litterfall damage, of which 89% of the damage was caused solely by *C. nucifera*, with an additional 4% caused at least in part by *C. nucifera*.

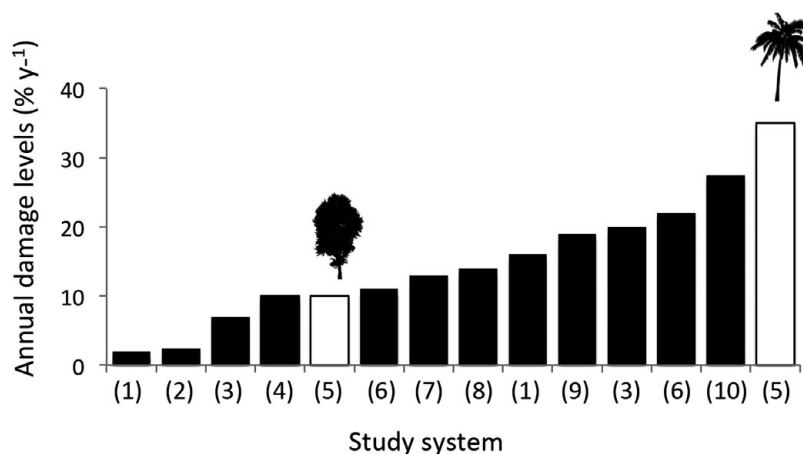
### Artificial seedlings

After a period of 4-mo, 15% of the total seedlings in palm-dominated stands and 27% of seedlings in mixed dicot forests were missing, with most of this loss occurring in the first weeks after planting, likely due to crab handling. Crabs were frequently observed handling the seedlings in the first days after plantings and many artificial seedlings were found visibly snipped by crabs or in crab burrows. Of the remaining seedlings, 4.9%  $\pm$  4.3% of the seedlings placed in mixed dicot stands were severely damaged by falling litter after 4 mo while 16.1%  $\pm$  9.1% of seedlings were similarly damaged in palm-dominated stands ( $t = 2.1$ ,  $df = 8$ ,  $P = 0.04$ ). Minor damage by litter was received by an additional 1.2%  $\pm$  1.8% of seedlings in mixed-dicot stands, and by an additional 9.9%  $\pm$  6.2% of seedlings in palm-dominated stands (all damage by forest type comparison:  $t = 2.6$ ,  $df = 8$ ,  $P = 0.03$ ). To estimate annual rates we assumed that these rates of litterfall-associated damage continued evenly across the year.

### DISCUSSION

Artificial seedling results show significant evidence for increased mortality of seedlings as a result of litterfall in palm-dominated sites. These observations are congruent with measurements of higher total quantity of macro-litter in sites with high abundance of *C. nucifera*. Extrapolating to annual levels of severe litterfall damage to artificial seedlings, for purposes of comparison to other studies, we find estimates of damage rates in palm-dominated stands (35.7%  $y^{-1}$ ) to be higher than average values observed in any other studied system (Figure 1). In contrast, damage in mixed-dicot stands was consistent with estimates of damage in most other sites (Figure 1). Although there are difficulties in extrapolating from several months to a full year, as both of these values were calculated including all originally planted seedlings, of which a large fraction were removed by crabs shortly after planting, and were calculated during the months with the mildest weather patterns, we believe these values to be conservative for both forest types.

We expect that the high rates of macro-litterfall in palm-dominated stands will translate into similarly negative impacts on real seedlings, and that these effects will fall disproportionately on native dicot species, all of which



**Figure 1.** Annual levels of damage (% damaged individuals  $y^{-1}$ ) to artificial seedlings reported in other studies (black bars) from a wide variety of temperate and tropical forests are consistent with those observed in the mixed-dicot, native-species-dominated stands in this study (first white bar), and much lower than those observed in high *Cocos nucifera*-dominated stands (second white bar). We present average values for each type of system studied from (1) New Zealand (Gilman *et al.* 2002); (2) New Jersey (McCarthy & Facelli 1990); (3) Hawaii (Drake & Pratt 2001); (4) Panama (Alvarez-Clare & Kitajima 2009), (5) Line Islands (Young *et al.* this study), (6) Brazil (Portela & Santos (2009), (7) Mack (1998), (8) Márquez *et al.* (2010), (9) Costa Rica (Clark & Clark 1989), (10) Central Amazonia (Scariot 2000).

have much smaller stems and smaller seeds (Young *et al.* 2013). Consistent with this expectation, nearly all of moderate and high damage observed on understory seedlings was caused by *C. nucifera* litterfall. Seedling survivorship for transplanted experimental seedlings showed that survivorship for *P. grandis*, but not *C. nucifera*, was much lower in palm-dominated stands than mixed-dicot stands. While protection from litterfall damage is likely an important effect of caging, particularly for small-seeded native species, it should be noted that protection from herbivores (predominantly land crabs) also likely explains much of the difference in survivorship (Young *et al.* 2013).

While other studies have noted the importance of plant community composition in determining physical litterfall damage to understory plants, the average levels of damage we observed on artificial seedlings exceeds the average of any other system studied using similar methods, and we expect the impacts on seedling composition might be similarly stronger. We suggest that this simple physical force may be a large part of the explanation for the observation that understory regeneration is much less diverse than the canopy in palm-dominated stands at this site (Young *et al.* 2010a). Litterfall likely acts in concert with other factors, creating a strong biophysical filter that effectively culls other species from these stands (Young *et al.* 2010b, 2011, 2013). With its extremely remote location, and depauperate flora, Palmyra is certainly an extreme, and atypical tropical system. Yet, we believe the results about the impact of palm litter on seedling establishment from this system may extend to more diverse systems. *Cocos nucifera* in particular has a pantropical distribution and these litterfall impacts could thus be having large-scale influences on community composition of coastal tropical forests in more diverse continental settings. Other large dominant palms found in more interior sites will likely also have similar impacts on establishment (Peters *et al.* 2004, Wang & Augspurger 2006).

Further research is still needed to explore the effects of litterfall damage from *C. nucifera* and other large palms specifically on diversity and composition of regeneration. We would expect to see pervasive and systematic changes in characteristics of those species or individuals that persist in *C. nucifera*-dominated stands (e.g. changes in stem thickness, ability to resprout, root to shoot ratios). Over long time scales we may also expect that species that tend to utilize the same habitats as these large palms may evolve physical or biotic defences when these large palms occur, but this hypothesis has not yet been explored. Comparative work in forests dominated by other types of palm, such as the widespread *Sabal*-dominated palmettos (López & Dirzo 2007) or the *Astrocaryum*-dominated forests (Aguirre *et al.* 2011) in the Neotropics will help identify if these levels of litterfall damage and their impact

on plants are a pervasive way in which palms may change their environment.

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