

# On the Linear Arboricity of 1-Planar Graphs\*

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**Abstract** It is proved that the linear arboricity of every 1-planar graph with maximum degree  $\Delta \geq 33$  is  $\lceil \Delta/2 \rceil$ .

**Keywords** 1-planar graph, 1-embedded graph, linear arboricity

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## 1- 平面图的线性荫度

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**摘要** 证明了最大度  $\Delta \geq 33$  的 1- 平面图的线性荫度为  $\lceil \Delta/2 \rceil$

**关键词** 1- 平面图, 1- 嵌入图, 线性荫度

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## 0 Introduction

All graphs considered here are finite, simple and undirected. Most of the notions are standard and we refer the readers to [1]. A linear forest is a forest in which every connected component is a path. The linear arboricity  $la(G)$  of a graph  $G$  is the minimum number of linear forests in  $G$ , whose union is the set of all edges of  $G$ . Akiyama, Exoo and Harary<sup>[2]</sup> conjectured that  $la(G) = \lceil (\Delta(G) + 1)/2 \rceil$  for any regular graph  $G$ . It is obviously that  $la(G) \geq \lceil \Delta(G)/2 \rceil$  for every graph  $G$  and  $la(G) \geq \lceil (\Delta(G) + 1)/2 \rceil$  for every regular graph  $G$ . So this conjecture is equivalent to the following conjecture.

**Conjecture 1** For any graph  $G$ ,

$$\left\lceil \frac{\Delta(G)}{2} \right\rceil \leq la(G) \leq \left\lceil \frac{\Delta(G) + 1}{2} \right\rceil.$$

Now this conjecture was only proved for several special classes of graphs such as planar graphs<sup>[3-4]</sup> and is still widely open. Note that if this conjecture is true and  $G$  is a graph with even (resp. odd) maximum degree, then the linear arboricity of  $G$  is either  $\lceil \Delta(G)/2 \rceil$  or  $\lceil (\Delta(G) + 1)/2 \rceil$  (resp. exactly  $\lceil \Delta(G)/2 \rceil$ ). So the determination of  $la(G)$  for a graph  $G$

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seems interesting, although Péroche showed that this is an NP-hard problem<sup>[5]</sup>. In fact, the linear arboricity has been determined for many classes of graphs (see the introduction of [6] for detail) such as series-parallel graphs<sup>[7]</sup>.

In this paper, we focus on 1-planar graphs. Given a surface  $S$  we call a graph  $G$  1-embedded on  $S$  if  $G$  can be drawn on  $S$  so that each edge is crossed by at most one other edge. In particular, if  $S$  is a plane, then such a graph  $G$  is called 1-planar graph. The notion of 1-planar graphs was introduced by Ringel<sup>[8]</sup>, who proved that the chromatic number of each 1-planar graph is at most 7; this bound was latter improved to 6 (being sharp) by Borodin<sup>[9–10]</sup>. In [11], Albertson and Mohar considered the list vertex coloring of graphs 1-embedded on a given surface. Wang and Lih proved that each 1-planar graph is list 7-colorable<sup>[12]</sup>. It is also known that each 1-planar graph  $G$  is acyclically 20-colorable<sup>[13]</sup> and is edge  $\Delta(G)$ -colorable if  $\Delta(G) \geq 10$ <sup>[14]</sup> or  $\Delta(G) \geq 7$  and  $g(G) \geq 4$ <sup>[15]</sup>. Recently, Zhang et al. investigated the  $(p, 1)$ -total labelling of 1-planar graphs<sup>[16]</sup>.

In this paper we aim to investigate the linear arboricity of 1-planar graphs. One of the main results is the following Theorem 2, which implies that the linear arboricity of every 1-planar graph with maximum degree  $\Delta \geq 33$  is exactly  $\lceil \Delta/2 \rceil$ . The other result, which dedicates to the linear arboricity of graphs 1-embedded on a given surface, will be shown at the end of the paper.

**Theorem 2** For every 1-planar graph  $G$  with maximum degree  $\Delta \leq M$  and  $M \geq 34$ , we have

$$la(G) \leq \left\lceil \frac{M}{2} \right\rceil.$$

From now on, for any 1-planar graph  $G$ , we always assume that  $G$  has been embedded on a plane such that every edge is crossed by at most one other edge and the number of crossings is as small as possible. We call such an embedding 1-plane graph. The associated plane graph  $G^\times$  of a 1-plane graph  $G$  is the plane graph that is obtained from  $G$  by turning all crossings of  $G$  into new 4-vertices. A vertex in  $G^\times$  is called false if it is not a vertex of  $G$  and true otherwise. Note that no two false vertices are adjacent in  $G^\times$ . By false face, we mean a face  $f$  in  $G^\times$  that is incident with at least one false vertex; otherwise we say that  $f$  is true. For a true vertex  $v$  in  $G^\times$ , we use  $\alpha(v)$  and  $\tau(v)$  to denote the number of false 3-faces and 3-faces incident with  $v$  in  $G^\times$ , respectively. Throughout this paper, a  $k^-$ ,  $k^+$ - and  $k^-$ -vertex (resp. face) is a vertex (resp. face) of degree  $k$ , at least  $k$  and at most  $k$ .

## 1 Main results and their proofs

First of all, we prove Theorem 2. Let  $G$  be a minimum counterexample to Theorem 2. It is easy to see that  $G$  is 2-connected and  $\delta(G) \geq 2$ . Moreover,  $G$  also has the following properties.

**Claim 1**<sup>[17]</sup> For every edge  $uv$  of  $G$ ,

$$d_G(u) + d_G(v) \geq 2 \left\lceil \frac{M}{2} \right\rceil + 2.$$

Let  $G_2$  be the subgraph of  $G$  induced by the edges incident with 2-vertices. It is proved in [6] that  $G_2$  is a forest. So it is easy to find a matching  $M$  in  $G$  saturating all

2-vertices. If  $uv \in M$  and  $d_G(u) = 2$ , then we call  $v$  the 2-master of  $u$ . For  $3 \leq t \leq \lfloor \frac{\Delta}{2} \rfloor$ , let  $X_t \subseteq \{v \mid 2 \leq d_G(v) \leq t\}$ ,  $Y_t = N(X_t)$  and  $B_t$  be the induced bipartite subgraph of  $G$  with partite sets  $X_t$  and  $Y_t$ . It follows from Claim 1 that  $X_t$  is an independent set of  $G$ . If  $X_t \neq \emptyset$  and there exists a bipartite subgraph  $M_t$  of  $B_t$  such that  $d_{M_t}(x) = 1$  for each  $x \in X_t$  and  $d_{M_t}(y) \leq 2t - 1$  for each  $y \in Y_t$ , then we call  $y$  the  $t$ -master of  $x$  in  $G$  for  $xy \in M_t$  and  $x \in X_t$ . The following claim is due to [6].

**Claim 2**<sup>[6]</sup> Each  $i$ -vertex in  $G$  (if exists) has one  $j$ -master, where  $2 \leq i \leq j \leq 7$ , and each  $M$ -vertex (if exists) in  $G$  can be 2-master of at most one vertex and each  $(M - i)$ -vertex (if exists) can be  $j$ -masters of at most  $2j - 1$  vertices, where  $2 \leq \max\{i + 2, 3\} \leq j \leq 7$ .

We call a vertex in  $G$  small if it is of degree no more than seven and big otherwise. A false 3-face in  $G^\times$  is called unbalanced or balanced according to whether or not it is incident with a small vertex. For a true vertex  $v$  in  $G^\times$ , let  $\alpha_a(v)$  be the number of unbalanced false 3-faces that are incident with  $v$  in  $G^\times$ .

**Claim 3**<sup>[14]</sup> Let  $v$  be a vertex in  $G$ . If  $d_G(v) = 2$ , then  $\alpha(v) = 0$ ; if  $d_G(v) = 3$  and  $\alpha(v) \geq 2$ , then  $v$  is incident with a  $5^+$ -face in  $G^\times$ ; if  $d_G(v) = 4$ , then  $\alpha(v) \leq 3$ ; and if  $d_G(v) \geq 5$ , then  $\alpha(v) \leq 2 \lfloor \frac{d_G(v)}{2} \rfloor$ .

**Claim 4** Let  $v$  be a big vertex in  $G$ . If  $\tau(v) = d_G(v)$ , then

$$\alpha_a(v) \leq \left\lfloor \frac{\tau(v)}{2} \right\rfloor;$$

and if  $\tau(v) = d_G(v) - i \geq \frac{2}{3}d_G(v)$ , then

$$\alpha_a(v) \leq \left\lceil \frac{\tau(v)}{2} \right\rceil + i - 1.$$

**Proof** If any of the two facts does not hold, then there must be three consecutive unbalanced false 3-faces that are incident with  $v$  in  $G^\times$ , which implies that two small vertices are adjacent in  $G$ , a contradiction to Claim 1

Now we continue the proof of Theorem 2 by the discharging method. Define an initial charge  $c$  on  $V(G) \cup F(G^\times)$  by letting  $c(v) = d_G(v) - 4$  for every  $v \in V(G)$  and  $c(f) = d_{G^\times}(f) - 4$  for every  $f \in F(G^\times)$ . By Euler's formula,

$$\sum_{x \in V(G) \cup F(G^\times)} c(x) = -8.$$

Now we redistribute the charges by the following rules.

**R1.** If  $f$  is a true or balanced false 3-face in  $G^\times$ , then  $f$  receives  $\frac{1}{2}$  from each of its incident big vertices.

**R2.** If  $f$  is an unbalanced false 3-face in  $G^\times$ , then  $f$  receives  $\frac{1}{4}$  from its incident small vertex and  $\frac{3}{4}$  from its incident big vertex.

**R3.** If  $f$  is a  $5^+$  face in  $G^\times$ , then  $f$  sends  $\frac{1}{2}$  to each of its incident 3-vertices.

**R4.** If  $v$  is 2-vertex in  $G$ , then  $v$  receives  $\frac{3}{4}$ ,  $\frac{1}{2}$  and  $\frac{3}{4}$  from each of its 2-masters, 3-masters and 4-masters, respectively.

**R5.** If  $v$  is 3-vertex in  $G$ , then  $v$  receives  $\frac{1}{2}$  and  $\frac{3}{4}$  from each of its 3-masters and 4-masters, respectively.

**R6.** If  $v$  is 4-vertex in  $G$ , then  $v$  receives  $\frac{3}{4}$  from each of its 4-masters.

We consider the final charge  $c'$  of the vertices in  $G$  and faces in  $G^\times$ . Note that if  $f$  is a true or balanced false 3-face in  $G^\times$ , then  $f$  is incident with at least two big vertices by Claim 1, and if  $f$  is an unbalanced false 3-face in  $G^\times$ , then  $f$  is incident with exactly one small vertex and one big vertex. So  $c'(f) = 0$  for every 3-face in  $G^\times$  by R1 and R2. Since 4-faces are involved in none of the rules, their final charges remain zero. For a  $5^+$ -face  $f$  in  $G^\times$ ,  $f$  can be incident with at most  $\lfloor \frac{d_{G^\times}(f)}{2} \rfloor$  3-vertices by Claim 1. So by R3,

$$c'(f) \geq d_{G^\times}(f) - 4 - \frac{1}{2} \left\lfloor \frac{d_{G^\times}(f)}{2} \right\rfloor \geq 0$$

for  $d_{G^\times}(f) \geq 5$ .

Let  $v$  be a 2-vertex. Then by Claim 3,  $v$  is incident with no false 3-faces and by Claim 2,  $v$  has a 2-master, a 3-master and a 4-master. So by R4,

$$c'(v) = -2 + \frac{3}{4} + \frac{1}{2} + \frac{3}{4} = 0.$$

Let  $v$  be a 3-vertex. Then  $v$  has a 3-master and a 4-master. If  $\alpha(v) \leq 1$ , then

$$c'(v) \geq -1 - \frac{1}{4} + \frac{1}{2} + \frac{3}{4} = 0$$

by R2 and R5, and if  $\alpha(v) \geq 2$ , then by Claim 3,  $v$  is also incident with a  $5^+$ -face, which implies that

$$c'(v) \geq -1 - 2 \times \frac{1}{4} + \frac{1}{2} + \frac{3}{4} + \frac{1}{2} > 0$$

by R2, R3 and R5. Let  $v$  be a 3-vertex. Then  $v$  has a 4-master by Claim 2 and  $\alpha(v) \leq 3$  by Claim 3. This implies that

$$c'(v) \geq 0 - 3 \times \frac{1}{4} + \frac{3}{4} = 0$$

by R2 and R6. Let  $v$  be a vertex of degree between 5 and 7. Then  $v$  only sends at most  $\frac{1}{4}$  to each of its incident false 3-faces by R1 and R2. So

$$c'(v) \geq d_G(v) - 4 - \frac{1}{4}\alpha(v) \geq d_G(v) - 4 - \frac{1}{2} \left\lfloor \frac{d_G(v)}{2} \right\rfloor \geq 0$$

for  $d_G(v) \geq 5$  by Claim 3. Let  $v$  be a vertex of degree between 8 and  $M - 6$ . Then by Claim 1,  $v$  is adjacent to no small vertices and thus  $v$  sends out no charges by R2 and R4–R6. This implies that

$$c'(v) \geq d_G(v) - 4 - \frac{1}{2}d_G(v) \geq 0$$

by R1 for  $d_G(v) \geq 8$ . Let  $v$  be a vertex of degree between  $M - 5$  and  $M - 3$ . Then by Claim 1,  $v$  is adjacent to no  $4^-$ -vertices and thus  $v$  sends out no charges by R4–R6. This implies that

$$c'(v) \geq d_G(v) - 4 - \frac{3}{4}d_G(v) \geq 0$$

by R2 for  $d_G(v) \geq M - 5 > 16$ . Finally, let  $v$  be a vertex of degree between  $M - 2$  and  $M$ . If  $d_G(v) = M$ , then by Claim 2,  $v$  sends at most

$$\frac{3}{4} + 5 \times \frac{1}{2} + 7 \times \frac{3}{4} = \frac{17}{2}$$

to its neighbors by R4–R6. If  $\tau(v) \leq M - 6$ , then by R1 and R2,

$$c'(v) \geq M - 4 - \frac{17}{2} - \frac{3}{4}(M - 6) = \frac{1}{8}(2M - 64) > 0$$

for  $M \geq 34$ . If  $M - 5 \leq \tau(v) \leq M - 1$ , then by R1, R2 and Claim 4,

$$\begin{aligned} c'(c) &\geq M - 4 - \frac{17}{2} - \frac{3}{4}\alpha_a(v) - \frac{1}{2}(\tau(v) - \alpha_a(v)) \\ &= M - \frac{25}{2} - \frac{1}{4}\alpha_a(v) - \frac{1}{2}\tau(v) \\ &\geq M - \frac{25}{2} - \frac{1}{4}\left(\left\lceil \frac{\tau(v)}{2} \right\rceil + M - \tau(v) - 1\right) - \frac{1}{2}\tau(v) \\ &\geq \frac{1}{8}(3M - 96) > 0 \end{aligned}$$

for  $M \geq 34$ . If  $\tau(v) = M$ , then by R1, R2 and Claim 4,

$$\begin{aligned} c'(v) &\geq M - 4 - \frac{17}{2} - \frac{3}{4}\alpha_a(v) - \frac{1}{2}(\tau(v) - \alpha_a(v)) \\ &= M - \frac{25}{2} - \frac{1}{4}\alpha_a(v) - \frac{1}{2}\tau(v) \\ &\geq M - \frac{25}{2} - \frac{1}{8}\tau(v) - \frac{1}{2}\tau(v) \\ &\geq \frac{1}{8}(3M - 100) > 0 \end{aligned}$$

for  $M \geq 34$ . By similar arguments, one can also check that the final charges of the  $(M - 2)$ -vertices and  $(M - 1)$ -vertices are nonnegative. Hence, the proof of Theorem 2 completes, since

$$-8 = \sum_{x \in V(G) \cup F(G^\times)} c(x) = \sum_{x \in V(G) \cup F(G^\times)} c'(x) > 0,$$

a contradiction.

In the following, we focus on graphs 1-embedded on surfaces and prove the following theorem.

**Theorem 3** Let  $G$  be graph 1-embedded on a surface with Euler characteristic  $\varepsilon$ . If

$$\Delta(G) \geq 25 + \sqrt{841 - 72\varepsilon},$$

then

$$la(G) = \left\lceil \frac{\Delta(G)}{2} \right\rceil.$$

**Proof** The proof of Theorem 2 implies that the linear arboricity of every graph 1-embedded on a surface with nonnegative Euler characteristic is  $\lceil \frac{\Delta(G)}{2} \rceil$  if  $\Delta(G) \geq 33$ . So we assume  $\varepsilon < 0$  below. Similarly, choose a minimum counterexample  $G$  to the theorem and then  $G$  is 2-connected with  $\delta(G) \geq 2$ . Moreover, Claims 1 and 2 in Section 1 are also valid for this proof. But we need one additional claim here.

**Claim 5**<sup>[6]</sup> There are at least  $\lfloor \frac{\Delta}{3} \rfloor + 2$  vertices of degree greater than  $\lfloor \frac{\Delta}{3} \rfloor$  in  $G$ .

Now we assign an initial charge  $c(v) = d_G(v) - 8$  to every vertex  $v \in V(G)$ . Since  $|E(G)| \leq 4(|V(G)| - \varepsilon)$  (see Lemma 2.2 of [18]),

$$\sum_{v \in V(G)} c(v) = 2|E(G)| - 8|V(G)| \leq -8\varepsilon.$$

In the following, we will redistribute the charges by the following discharging rules.

**$\tilde{R}1$ .** Each  $i$ -vertex receives 1 from its  $j$ -master, where  $2 \leq i \leq 7$  and  $i \leq j \leq 7$ .

Let  $c'(v)$  denote the final charge of a vertex  $v \in V(G)$ . By Claims 1, 2 and  $\tilde{R}1$ ,  $c'(v) = 0$  for each  $7^-$ -vertices and  $c'(v) = c(v) = d_G(v) - 8 \geq 0$  for each vertex of degree between 8 and  $\Delta - 6$ . Let  $v$  be a  $\Delta$ -vertex. By Claim 2,  $v$  may be 7-masters, 6-masters, 5-masters, 4-masters, 3-masters and 2-master of at most thirteen, eleven, nine, seven, five and one vertices, respectively. This implies that

$$c'(v) \geq \Delta - 8 - 13 - 11 - 9 - 7 - 5 - 1 = \Delta - 54$$

by  $\tilde{R}1$ . Similarly, we can prove that  $c'(v) \geq \Delta - 54$  for every vertex of degree between  $\Delta - 5$  and  $\Delta - 1$ . Therefore,  $c'(v) > 0$  for every vertex  $v$  in  $G$  and  $c'(v) > \frac{\Delta}{3} - 18$  for every vertex of degree greater than  $\lfloor \frac{\Delta}{3} \rfloor$ , since

$$\Delta(G) \geq 25 + \sqrt{841 - 72\varepsilon} > 55.$$

So by Claim 5,

$$\begin{aligned} \sum_{v \in V(G)} c'(v) &> \left( \left\lfloor \frac{\Delta}{3} \right\rfloor + 2 \right) \left( \frac{\Delta}{3} - 18 \right) \\ &\geq \left( \frac{\Delta + 4}{3} \right) \left( \frac{\Delta - 54}{3} \right) \\ &\geq \frac{1}{9} \left( \sqrt{841 - 72\varepsilon} + 29 \right) \left( \sqrt{841 - 72\varepsilon} - 29 \right) \\ &= -8\varepsilon \\ &= \sum_{v \in V(G)} c(v), \end{aligned}$$

a contradiction.

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