

The lowest excited configuration of harmonium

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Abstract

The “harmonium model” (or “Moshinsky atom”) is usually regarded as an exactly solvable laboratory bench for quantum chemistry. In practice, only the ground state for the system has been considered heretofore. In this work we exhaustively study the lowest excited (spin triplet) state of harmonium. The task is made easier by working with Wigner quasiprobabilities on phase space.

1 Introduction

Replacing the wave function of electronic systems by the reduced 2-body density matrix γ_2 tremendously saves computation without losing relevant physical information. However, the N -representability problem has never been solved in an efficient way for that matrix, and in the last fifteen years there has been a considerable amount of work in trying to obtain it as a functional of the 1-body density matrix γ_1 . Starting with the pioneer work by Müller [1], several competing functionals have been designed, partly out of theoretical prejudice, partly with the aim of improving predictions for particular systems.

Two-electron systems are special in that γ_2 is known “almost exactly” in terms of γ_1 . Let us express γ_1 by means of the spectral theorem in terms of its natural orbitals and occupation numbers. For instance, the ground state of the system admits a 1-density matrix:

$$\gamma_1(\mathbf{x}, \mathbf{x}') = (\uparrow_1\uparrow_{1'} + \downarrow_1\downarrow_{1'})\gamma_1(\mathbf{r}, \mathbf{r}') = (\uparrow_1\uparrow_{1'} + \downarrow_1\downarrow_{1'}) \sum_i n_i \phi_i(\mathbf{r})\phi_i^*(\mathbf{r}'). \quad (1)$$

Here $\sum_i n_i = 1$. Mathematically this is a mixed state. The corresponding 2-density matrix is given by

$$\gamma_2(\mathbf{x}_1, \mathbf{x}_2; \mathbf{x}'_1, \mathbf{x}'_2) = (\uparrow_1\downarrow_2 - \downarrow_1\uparrow_2)(\uparrow_{1'}\downarrow_{2'} - \downarrow_{1'}\uparrow_{2'}) \sum_{ij} \frac{c_i c_j}{2} \phi_i(\mathbf{r}_1)\phi_i(\mathbf{r}_2)\phi_j^*(\mathbf{r}'_1)\phi_j^*(\mathbf{r}'_2),$$

with coefficients $c_i = \pm\sqrt{n_i}$. (2)

The expression is exact, but the signs of the c_i need to be determined to find the ground state. Note that $\gamma_2^2 = \gamma_2$. The first excited state of the system admits a reduced 1-density matrix of the kind:

$$\gamma_1(\mathbf{x}; \mathbf{x}') = (\text{spin factor}) \times \sum_{ij} n_i (\phi_{2i}(\mathbf{r}) \phi_{2i}^*(\mathbf{r}') + \phi_{2i+1}(\mathbf{r}) \phi_{2i+1}^*(\mathbf{r}'))$$

with $\sum_i n_i = 1$ and $\text{spin} \in \{\uparrow_1 \uparrow_{1'}, \frac{1}{2}(\uparrow_1 \uparrow_{1'} + \downarrow_1 \downarrow_{1'}), \downarrow_1 \downarrow_{1'}\}$. The corresponding spinless 2-density matrix $\gamma_2(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2)$ is given by

$$\begin{aligned} \sum_{ij} \frac{c_i c_j}{2} & [\phi_{2i}(\mathbf{r}_1) \phi_{2i+1}(\mathbf{r}_2) \phi_{2j}^*(\mathbf{r}'_1) \phi_{2j+1}^*(\mathbf{r}'_2) + \phi_{2i+1}(\mathbf{r}_1) \phi_{2i}(\mathbf{r}_2) \phi_{2j+1}^*(\mathbf{r}'_1) \phi_{2j}^*(\mathbf{r}'_2) \\ & - \phi_{2i}(\mathbf{r}_1) \phi_{2i+1}(\mathbf{r}_2) \phi_{2j+1}^*(\mathbf{r}'_1) \phi_{2j}^*(\mathbf{r}'_2) - \phi_{2i+1}(\mathbf{r}_1) \phi_{2i}(\mathbf{r}_2) \phi_{2j}^*(\mathbf{r}'_1) \phi_{2j+1}^*(\mathbf{r}'_2)], \end{aligned}$$

with coefficients $c_i = +\sqrt{n_i}$.

Due to the antisymmetry of this state, there is no ambiguity in the choice of sign.

With the purpose of calibrating correlation energy, Moshinsky [2] introduced a completely integrable analogue of a two-electron atom, here called *harmonium*. It describes two fermions interacting with an external harmonic potential and repelling each other by a Hooke-type force; thus the harmonium Hamiltonian in Hartree-like units is

$$H = \frac{p_1^2}{2} + \frac{p_2^2}{2} + \frac{k}{2}(r_1^2 + r_2^2) - \frac{\delta}{4} r_{12}^2, \quad (3)$$

where $r_{12} := |\mathbf{r}_1 - \mathbf{r}_2|$. Several problems related with this model are analytically solvable, and therefore it is tempting to employ it as a testing ground for methods used in other systems, such as the helium series. Indeed, there is considerable recent work on studying and learning from harmonium, including correlation [2–5], approximation of functionals [6, 7], questions of entanglement [8–11] and black hole entropy [12].

Now, for the analysis of harmonium the *phase space* representation of quantum mechanics recommends itself. This was taken up first by Dahl [13], and then developed, within the context of a phase space density functional theory (WDFT), by Ph. Blanchard, K. Ebrahimi-Fard and two of us [14–16]. Our goal in this article is to study in WDFT the first excited state set of harmonium. As for helium-like atoms, we expect it to be the lowest spin triplet state, to which we refer simply as the triplet.

The customary plan of the paper follows. In Section 2 we briefly recall for the benefit of the reader our treatment for the (spin singlet) ground state; this helps to introduce the notation. Sections 3 and 4 deal with the general mathematical structure of triplet 1-body Wigner functions. Section 5 computes the Wigner quasiprobabilities for the harmonium triplet. Section 6 deals with the corresponding natural orbitals. In Section 7 the behaviour of the occupation numbers, obtained numerically, is compared to that of the ground state. Section 8 continues this comparison in the setting of quantum information theory.

2 Wigner natural orbitals for the harmonium ground state

Given any interference operator $|\Psi\rangle\langle\Phi|$ acting on the Hilbert space of a two-electron system, we denote

$$P_{2\Psi\Phi}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{p}_1, \mathbf{p}_2; \varsigma_1, \varsigma_2; \varsigma_{1'}, \varsigma_{2'}) \quad (4)$$

$$:= \int \Psi(\mathbf{r}_1 - \mathbf{z}_1, \mathbf{r}_2 - \mathbf{z}_2; \varsigma_1, \varsigma_2) \Phi^*(\mathbf{r}_1 + \mathbf{z}_1, \mathbf{r}_2 + \mathbf{z}_2; \varsigma_{1'}, \varsigma_{2'}) e^{2i(\mathbf{p}_1 \cdot \mathbf{z}_1 + \mathbf{p}_2 \cdot \mathbf{z}_2)} d\mathbf{z}_1 d\mathbf{z}_2.$$

These are 4×4 matrices on spin space. When $\Psi = \Phi$ we speak of Wigner quasiprobabilities, which are always real, and we write d_2 for P_2 . The extension of this definition to mixed states is immediate. The corresponding reduced 1-body functions are found by

$$P_{1\Psi\Phi}(\mathbf{r}_1; \mathbf{p}_1; \varsigma_1; \varsigma_{1'}) = 2 \int P_{2\Psi\Phi}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{p}_1, \mathbf{p}_2; \varsigma_1, \varsigma_2; \varsigma_{1'}, \varsigma_{2'}) d\mathbf{r}_2 d\mathbf{p}_2 d\varsigma_2.$$

These are 2×2 matrices on spin space. When $\Psi = \Phi$ we write d_1 for P_1 . The associated spinless quantities $d_2(\mathbf{r}_1, \mathbf{r}_2; \mathbf{p}_1, \mathbf{p}_2)$ and $d_1(\mathbf{r}; \mathbf{p})$ are obtained by tracing on the spin variables. The marginals of d_2 give the pairs densities $\rho_2(\mathbf{r}_1, \mathbf{r}_2)$, $\pi_2(\mathbf{p}_1, \mathbf{p}_2)$. The marginals of d_1 give the electronic density, namely $\rho(\mathbf{r}_1) = \int d_1(\mathbf{r}_1, \mathbf{p}_1) d\mathbf{p}_1$, and the momentum density $\pi(\mathbf{p}_1) = \int d_1(\mathbf{r}_1, \mathbf{p}_1) d\mathbf{r}_1$.

It should be obvious how to extend the definitions to N -electron systems and their reduced quantities; the combinatorial factor for $d_N \mapsto d_n$ is $\binom{N}{n}$.

Putting together (2) and (1) with (4), one arrives [14] at:

$$d_2(\mathbf{r}_1, \mathbf{r}_2; \mathbf{p}_1, \mathbf{p}_2; \varsigma_1, \varsigma_2; \varsigma_{1'}, \varsigma_{2'}) = (\text{spin factor}) \times \sum_{ij} \frac{c_i c_j}{2} \chi_{ij}(\mathbf{r}_1; \mathbf{p}_1) \chi_{ij}(\mathbf{r}_2; \mathbf{p}_2), \quad (5)$$

$$\text{and } d_1(\mathbf{r}_1; \mathbf{p}_1; \varsigma_1, \varsigma_{1'}) = 2 \int d_2(\mathbf{r}_1, \mathbf{r}_2; \mathbf{p}_1, \mathbf{p}_2; \varsigma_1, \varsigma_2; \varsigma_{1'}, \varsigma_{2'}) d\varsigma_2 d\mathbf{r}_2 d\mathbf{p}_2$$

$$= (\uparrow_1 \uparrow_{1'} + \downarrow_1 \downarrow_{1'}) \sum_i n_i \chi_i(\mathbf{r}_1; \mathbf{p}_1),$$

where n_i are the occupation numbers with $\sum_i n_i = 1$, the χ_{ij} the natural Wigner interferences and $\chi_i := \chi_{ii}$ denote the natural Wigner orbitals; the spin factor is that of (2). Evidently $(\uparrow_1 \uparrow_{1'} + \downarrow_1 \downarrow_{1'})$ is a rotational scalar. We replace it by 2 in what follows.

The relation $c_i = \pm\sqrt{n_i}$ holds. In principle there still remains the problem of determining the signs of the infinite set of square roots, to find the ground state. To recover d_2 from d_1 is no mean feat, since it involves going from a statistical mixture to a pure state —see below.

Bringing in extracule and intracule coordinates, respectively given by

$$\mathbf{R} = \frac{1}{\sqrt{2}}(\mathbf{r}_1 + \mathbf{r}_2), \quad \mathbf{r} = \frac{1}{\sqrt{2}}(\mathbf{r}_1 - \mathbf{r}_2),$$

$$\mathbf{P} = \frac{1}{\sqrt{2}}(\mathbf{p}_1 + \mathbf{p}_2), \quad \mathbf{p} = \frac{1}{\sqrt{2}}(\mathbf{p}_1 - \mathbf{p}_2),$$

the harmonium Hamiltonian is rewritten:

$$H = H_R + H_r := \frac{P^2}{2} + \frac{\omega^2 R^2}{2} + \frac{p^2}{2} + \frac{\mu^2 r^2}{2}.$$

We have introduced the frequencies $\omega := \sqrt{k}$ and $\mu := \sqrt{k - \delta}$. Assume $\delta < k$, so both “electrons” remain in the potential well. For the harmonium ground state the (spinless) Wigner 2-body quasiprobability is readily found [13]:

$$d_2(\mathbf{r}_1, \mathbf{r}_2; \mathbf{p}_1, \mathbf{p}_2) = \frac{1}{\pi^6} \exp\left(-\frac{2H_R}{\omega}\right) \exp\left(-\frac{2H_r}{\mu}\right). \quad (6)$$

The reduced 1-body phase space quasiprobability for the ground state is thus obtained:

$$d_1(\mathbf{r}_1; \mathbf{p}_1) = \frac{2}{\pi^3} \left(\frac{4\omega\mu}{(\omega + \mu)^2} \right)^{3/2} e^{-2r_1^2\omega\mu/(\omega+\mu)} e^{-2p_1^2/(\omega+\mu)}.$$

For its natural orbital expansion, with i integer ≥ 0 and L_i the corresponding Laguerre polynomial, one finds [14]

$$c_i^2 = n_i = \frac{4\sqrt{\omega\mu}}{(\sqrt{\omega} + \sqrt{\mu})^2} \left(\frac{\sqrt{\omega} - \sqrt{\mu}}{\sqrt{\omega} + \sqrt{\mu}} \right)^{2i} =: (1 - t^2) t^{2i}; \quad (7)$$

$$f_i(\mathbf{r}_1; \mathbf{p}_1) = f_i(x_1; p_{1x}) f_i(y_1; p_{1y}) f_i(z_1; p_{1z}), \quad \text{where}$$

$$f_i(x; p_x) = \frac{1}{\pi} (-1)^i L_i(2\sqrt{\omega\mu} x^2 + 2p_x^2/\sqrt{\omega\mu}) e^{-\sqrt{\omega\mu} x^2 - p_x^2/\sqrt{\omega\mu}}.$$

The functions f_i determine up to a phase the interferences: for $j \geq k$,

$$f_{jk}(x, p_x) = \frac{1}{\pi} (-1)^k \frac{\sqrt{k!}}{\sqrt{j!}} (2\sqrt{\omega\mu} x^2 + 2p_x^2/\sqrt{\omega\mu})^{(j-k)/2} \\ \times e^{-i(j-k)\vartheta} L_k^{j-k}(2\sqrt{\omega\mu} x^2 + 2p_x^2/\sqrt{\omega\mu}) e^{-\sqrt{\omega\mu} x^2 - p_x^2/\sqrt{\omega\mu}},$$

where $\vartheta := \arctan(p_x/\sqrt{\omega\mu} x)$. The L_k^{j-k} are associated Laguerre polynomials. The f_{kj} are complex conjugates of the f_{jk} . Now, with the *alternating choice* (unique up to a global sign):

$$c_i = (-)^i \sqrt{n_i} = \sqrt{1 - t^2} (-t)^i,$$

and the above f_{jk} , formula (5) does reproduce (6). This was originally proved in [14], and verified by minimization in [15]. Trivially, the same sign rule holds for natural orbitals of the garden variety (2).

3 Generalities on the triplet state

For a general two-electron system in a triplet spin state the reduced 1-density possesses three different spin factors, say

$$\uparrow_1 \uparrow_{1'} \quad \text{and} \quad \frac{1}{2} (\uparrow_1 \uparrow_{1'} + \downarrow_1 \downarrow_{1'}) \quad \text{and} \quad \downarrow_1 \downarrow_{1'}.$$

While the spatial function for the ground state is symmetric, and consequently its spin part antisymmetric, for the first excited state the situation is exactly the opposite: the spatial function is antisymmetric and its spin part is symmetric. General triplet states can be taken of the form [17, 18]:

$$\begin{aligned}\Psi_{t1}(\mathbf{r}_1, \mathbf{r}_2; \zeta_1, \zeta_2) &= \uparrow_1 \uparrow_2 \sum_{ij} \frac{1}{2} c_{ij} [\psi_i(\mathbf{r}_1) \psi_j(\mathbf{r}_2) - \psi_j(\mathbf{r}_1) \psi_i(\mathbf{r}_2)], \\ \Psi_{t0}(\mathbf{r}_1, \mathbf{r}_2; \zeta_1, \zeta_2) &= \frac{1}{\sqrt{2}} (\uparrow_1 \downarrow_2 + \downarrow_1 \uparrow_2) \sum_{ij} \frac{1}{2} c_{ij} [\psi_i(\mathbf{r}_1) \psi_j(\mathbf{r}_2) - \psi_j(\mathbf{r}_1) \psi_i(\mathbf{r}_2)], \\ \Psi_{t,-1}(\mathbf{r}_1, \mathbf{r}_2; \zeta_1, \zeta_2) &= \downarrow_1 \downarrow_2 \sum_{ij} \frac{1}{2} c_{ij} [\psi_i(\mathbf{r}_1) \psi_j(\mathbf{r}_2) - \psi_j(\mathbf{r}_1) \psi_i(\mathbf{r}_2)],\end{aligned}$$

where $c_{ij} = -c_{ji}$. Here $\{\psi_i\}$ is a complete orthonormal set. In the absence of magnetic fields, the wave functions can be taken real. We thus assume that the matrix $C = [c_{ij}]$ is real, as well as the functions ψ_i . Wave function normalization gives rise to $\text{Tr}(C^t C) = \sum_{ij} c_{ij}^2 = 1$.

For the spin part, a less conventional and more cogent description is found in terms of polarization vectors and the correlation tensor [19, App. F]; however, it is hardly worthwhile to introduce it here. So we shall be content with presenting the Wigner 2-body quasiprobabilities for triplet states under the matrix form

$$\begin{aligned}P_{2\Psi_{t1}\Psi_{t1}} &= \uparrow_1 \uparrow_2 \uparrow_{1'} \uparrow_{2'} d_2 = \begin{pmatrix} d_2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, & P_{2\Psi_{t,-1}\Psi_{t,-1}} &= \downarrow_1 \downarrow_2 \downarrow_{1'} \downarrow_{2'} d_2 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & d_2 \end{pmatrix}, \\ P_{2\Psi_{t0}\Psi_{t0}} &= \frac{1}{2} (\uparrow_1 \downarrow_2 + \downarrow_1 \uparrow_2) (\uparrow_{1'} \downarrow_{2'} + \downarrow_{1'} \uparrow_{2'}) d_2 = \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & d_2 & d_2 & 0 \\ 0 & d_2 & d_2 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix};\end{aligned}$$

where d_2 is the spinless Wigner 2-body quasiprobability, given by the expression

$$\begin{aligned}d_2(\mathbf{r}_1, \mathbf{r}_2; \mathbf{p}_1, \mathbf{p}_2) &= \frac{1}{4} \sum_{ij,kl} c_{ij} c_{kl} \int [\psi_i(\mathbf{r}_1 - \mathbf{z}_1) \psi_j(\mathbf{r}_2 - \mathbf{z}_2) - \psi_j(\mathbf{r}_1 - \mathbf{z}_1) \psi_i(\mathbf{r}_2 - \mathbf{z}_2)] \\ &\quad \times [\psi_k^*(\mathbf{r}_1 + \mathbf{z}_1) \psi_l^*(\mathbf{r}_2 + \mathbf{z}_2) - \psi_l^*(\mathbf{r}_1 + \mathbf{z}_1) \psi_k^*(\mathbf{r}_2 + \mathbf{z}_2)] e^{2i(\mathbf{p}_1 \cdot \mathbf{z}_1 + \mathbf{p}_2 \cdot \mathbf{z}_2)} d\mathbf{z}_1 d\mathbf{z}_2 \\ &= \frac{1}{4} \sum_{ij,kl} c_{ij} c_{kl} [P_{ik}(\mathbf{r}_1; \mathbf{p}_1) P_{jl}(\mathbf{r}_2; \mathbf{p}_2) - P_{il}(\mathbf{r}_1; \mathbf{p}_1) P_{jk}(\mathbf{r}_2; \mathbf{p}_2) \\ &\quad - P_{jk}(\mathbf{r}_1; \mathbf{p}_1) P_{il}(\mathbf{r}_2; \mathbf{p}_2) + P_{jl}(\mathbf{r}_1; \mathbf{p}_1) P_{ik}(\mathbf{r}_2; \mathbf{p}_2)].\end{aligned}\tag{8}$$

By integrating out one set of coordinates, we obtain the 1-body quasiprobabilities:

$$P_{1\Psi_{t_1}\Psi_{t_1}} = \uparrow\uparrow' d_1 = \begin{pmatrix} d_1 & 0 \\ 0 & 0 \end{pmatrix}, \quad P_{1\Psi_{t,-1}\Psi_{t,-1}} = \downarrow\downarrow' d_1 = \begin{pmatrix} 0 & 0 \\ 0 & d_1 \end{pmatrix},$$

$$P_{1\Psi_{t_0}\Psi_{t_0}} = \frac{1}{2}(\uparrow\uparrow' + \downarrow\downarrow') d_1 = \frac{1}{2} \begin{pmatrix} d_1 & 0 \\ 0 & d_1 \end{pmatrix}.$$

Here d_1 is the spinless 1-body quasidensity corresponding to the triplet:

$$\begin{aligned} d_1(\mathbf{r}; \mathbf{p}) &= 2 \int d_2(\mathbf{r}, \mathbf{r}_2; \mathbf{p}, \mathbf{p}_2) d\mathbf{r}_2 d\mathbf{p}_2 \\ &= \frac{1}{2} \sum_{ij,kl} c_{ij} c_{kl} \int [P_{ik}(\mathbf{r}; \mathbf{p}) P_{jl}(\mathbf{r}_2; \mathbf{p}_2) - P_{il}(\mathbf{r}; \mathbf{p}) P_{jk}(\mathbf{r}_2; \mathbf{p}_2) \\ &\quad - P_{jk}(\mathbf{r}; \mathbf{p}) P_{il}(\mathbf{r}_2; \mathbf{p}_2) + P_{jl}(\mathbf{r}; \mathbf{p}) P_{ik}(\mathbf{r}_2; \mathbf{p}_2)] d\mathbf{r}_2 d\mathbf{p}_2 \\ &= \frac{1}{2} \sum_{ij,kl} c_{ij} c_{kl} [P_{ik}(\mathbf{r}; \mathbf{p}) \delta_l^j - P_{il}(\mathbf{r}; \mathbf{p}) \delta_k^j - P_{jk}(\mathbf{r}; \mathbf{p}) \delta_l^i + P_{jl}(\mathbf{r}; \mathbf{p}) \delta_k^i] \\ &= 2 \sum_{ij,k} c_{ik} c_{jk} P_{ij}(\mathbf{r}; \mathbf{p}) = 2 \sum_{ij} d_{ij} P_{ij}(\mathbf{r}; \mathbf{p}), \end{aligned}$$

where $D = CC^t = -C^2$ is a positive definite matrix.

4 The Schmidt decomposition of the triplet

Let C be any real antisymmetric square matrix. Then, there exists a real orthogonal matrix Q such that $A = Q^t C Q$, with A a real block-diagonal matrix of the sort [20]:

$$A = \text{diag}[A_0, A_1, \dots], \quad A_0 = 0, \quad A_i = \begin{pmatrix} 0 & a_i \\ -a_i & 0 \end{pmatrix}.$$

By convention, here $a_i \geq 0$. Therefore

$$\begin{aligned} &\sum_{ij,kl} c_{ij} c_{kl} P_{ik}(\mathbf{r}_1; \mathbf{p}_1) P_{jl}(\mathbf{r}_2; \mathbf{p}_2) \\ &= \sum_{ij,kl,vw} a_v a_w [q_{i,2v} q_{j,2v+1} - q_{i,2v+1} q_{j,2v}] [q_{k,2w} q_{l,2w+1} - q_{k,2w+1} q_{l,2w}] P_{ik}(\mathbf{r}_1; \mathbf{p}_1) P_{jl}(\mathbf{r}_2; \mathbf{p}_2) \\ &= \sum_{ij,kl,vw} a_v a_w [q_{i,2v} P_{ik}(\mathbf{r}_1; \mathbf{p}_1) q_{k,2w} q_{j,2v+1} P_{jl}(\mathbf{r}_2; \mathbf{p}_2) q_{l,2w+1} \\ &\quad - q_{i,2v} P_{ik}(\mathbf{r}_1; \mathbf{p}_1) q_{k,2w+1} q_{j,2v+1} P_{jl}(\mathbf{r}_2; \mathbf{p}_2) q_{l,2w} \\ &\quad - q_{i,2v+1} P_{ik}(\mathbf{r}_1; \mathbf{p}_1) q_{k,2w} q_{j,2v} P_{jl}(\mathbf{r}_2; \mathbf{p}_2) q_{l,2w+1} \\ &\quad + q_{i,2v+1} P_{ik}(\mathbf{r}_1; \mathbf{p}_1) q_{k,2w+1} q_{j,2v} P_{jl}(\mathbf{r}_2; \mathbf{p}_2) q_{l,2w}]. \end{aligned}$$

Let us now make the definition $\chi_{rp}(\mathbf{r}; \mathbf{p}) := \sum_{mk} q_{mr} P_{mk}(\mathbf{r}; \mathbf{p}) q_{kp}$, so that $P_{mk}(\mathbf{r}; \mathbf{p}) = \sum_{rp} q_{mr} \chi_{rp}(\mathbf{r}; \mathbf{p}) q_{kp}$. This is the set of Wigner natural orbitals, and has the following nice

property:

$$\int \chi_{rp}(\mathbf{r}; \mathbf{p}) d\mathbf{r} d\mathbf{p} = \int \sum_{mk} q_{mr} P_{mk}(\mathbf{r}; \mathbf{p}) q_{kp} d\mathbf{r} d\mathbf{p} = \sum_{mk} q_{mr} q_{kp} \delta_k^m = \delta_p^r.$$

Hence,

$$\begin{aligned} & \sum_{ij,kl} c_{ij} c_{kl} P_{ik}(\mathbf{r}_1; \mathbf{p}_1) P_{jl}(\mathbf{r}_2; \mathbf{p}_2) \\ &= \sum_{vw} a_v a_w [\chi_{2v,2w}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v+1,2w+1}(\mathbf{r}_2; \mathbf{p}_2) - \chi_{2v,2w+1}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v+1,2w}(\mathbf{r}_2; \mathbf{p}_2) \\ & \quad - \chi_{2v+1,2w}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v,2w+1}(\mathbf{r}_2; \mathbf{p}_2) + \chi_{2v+1,2w+1}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v,2w}(\mathbf{r}_2; \mathbf{p}_2)]. \end{aligned}$$

The other three summands in (8) yield the same expression. For instance, the third is

$$\begin{aligned} & - \sum_{ij,kl} c_{ij} c_{kl} P_{il}(\mathbf{r}_1; \mathbf{p}_1) P_{jk}(\mathbf{r}_2; \mathbf{p}_2) \\ &= - \sum_{ij,kl,vw} a_v a_w [q_{i,2v} q_{j,2v+1} - q_{i,2v+1} q_{j,2v}] [q_{k,2w} q_{l,2w+1} - q_{k,2w+1} q_{l,2w}] P_{il}(\mathbf{r}_1; \mathbf{p}_1) P_{jk}(\mathbf{r}_2; \mathbf{p}_2) \\ &= - \sum_{ij,kl,vw} a_v a_w [q_{i,2v} P_{il}(\mathbf{r}_1; \mathbf{p}_1) q_{l,2w+1} q_{j,2v+1} P_{jk}(\mathbf{r}_2; \mathbf{p}_2) q_{k,2w} \\ & \quad - q_{i,2v} P_{il}(\mathbf{r}_1; \mathbf{p}_1) q_{l,2w} q_{j,2v+1} P_{jk}(\mathbf{r}_2; \mathbf{p}_2) q_{k,2w+1} \\ & \quad - q_{i,2v+1} P_{il}(\mathbf{r}_1; \mathbf{p}_1) q_{l,2w+1} q_{j,2v} P_{jk}(\mathbf{r}_2; \mathbf{p}_2) q_{k,2w} \\ & \quad + q_{i,2v+1} P_{il}(\mathbf{r}_1; \mathbf{p}_1) q_{l,2w} q_{j,2v} P_{jk}(\mathbf{r}_2; \mathbf{p}_2) q_{k,2w+1}]. \end{aligned}$$

This leads to the same contribution as the first summand. Then use symmetry under the interchange of the two particles. In summary,

$$\begin{aligned} & d_2(\mathbf{r}_1, \mathbf{r}_2; \mathbf{p}_1, \mathbf{p}_2) \\ &= \sum_{vw} a_v a_w [\chi_{2v,2w}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v+1,2w+1}(\mathbf{r}_2; \mathbf{p}_2) - \chi_{2v,2w+1}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v+1,2w}(\mathbf{r}_2; \mathbf{p}_2) \\ & \quad - \chi_{2v+1,2w}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v,2w+1}(\mathbf{r}_2; \mathbf{p}_2) + \chi_{2v+1,2w+1}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v,2w}(\mathbf{r}_2; \mathbf{p}_2)]. \quad (9) \end{aligned}$$

The reduced 1-body phase space (spinless) quasidensity for the triplet is obtained, as before,

$$d_1(\mathbf{r}; \mathbf{p}) = 2 \int d_2(\mathbf{r}, \mathbf{r}_2; \mathbf{p}, \mathbf{p}_2) d\mathbf{r}_2 d\mathbf{p}_2 = 2 \sum_w a_w^2 [\chi_{(2w,2w)}(\mathbf{r}; \mathbf{p}) + \chi_{(2w+1,2w+1)}(\mathbf{r}; \mathbf{p})]. \quad (10)$$

Notice that in the previous equation each occupation number $n_i := 2a_i^2$ appears twice. This is a consequence of the Pauli exclusion principle.

Unlike the singlet case, there is no sign rule to be deciphered here. Instead there are the ambiguities:

$$\begin{aligned} \chi_{2w,2w} &= \chi'_{2w,2w} \cos^2 \theta_w - (\chi'_{2w,2w+1} + \chi'_{2w+1,2w}) \sin \theta_w \cos \theta_w + \chi'_{2w+1,2w+1} \sin^2 \theta_w, \\ \chi_{2w+1,2w+1} &= \chi'_{2w,2w} \sin^2 \theta_w + (\chi'_{2w,2w+1} + \chi'_{2w+1,2w}) \sin \theta_w \cos \theta_w + \chi'_{2w+1,2w+1} \cos^2 \theta_w. \end{aligned}$$

They clearly leave the form (10) untouched. We see here the action of $SO(2)$ on each invariant block. One may choose the angles as to maximize their overlap with the leading natural orbitals for the ground state, as done in the seminal paper by Löwdin and Shull [17]. We omit that. Let us define

$$A_w := \begin{pmatrix} \cos \theta_w & -\sin \theta_w \\ \sin \theta_w & \cos \theta_w \end{pmatrix}.$$

The above transformation can be construed as

$$\chi = (A_v \otimes A_w) \chi' = \begin{pmatrix} \cos \theta_v \cos \theta_w & -\cos \theta_v \sin \theta_w & -\sin \theta_v \cos \theta_w & \sin \theta_v \sin \theta_w \\ \cos \theta_v \sin \theta_w & \cos \theta_v \cos \theta_w & -\sin \theta_v \sin \theta_w & -\sin \theta_v \cos \theta_w \\ \sin \theta_v \cos \theta_w & -\sin \theta_v \sin \theta_w & \cos \theta_v \cos \theta_w & -\cos \theta_v \sin \theta_w \\ \sin \theta_v \sin \theta_w & \sin \theta_v \cos \theta_w & \cos \theta_v \sin \theta_w & \cos \theta_v \cos \theta_w \end{pmatrix} \chi',$$

with

$$\chi := \begin{pmatrix} \chi_{2v,2w} \\ \chi_{2v,2w+1} \\ \chi_{2v+1,2w} \\ \chi_{2v+1,2w+1} \end{pmatrix}, \quad \text{and similarly for } \chi',$$

in the case $v = w$.

To examine the symmetry of expression (9), one works with objects of the kind:

$$\chi(\mathbf{r}_1; \mathbf{p}_1) \otimes \chi(\mathbf{r}_2; \mathbf{p}_2) = (A_v \otimes A_w)^{\otimes 2} \chi'(\mathbf{r}_1; \mathbf{p}_1) \otimes \chi'(\mathbf{r}_2; \mathbf{p}_2).$$

Again one does not have to contend with the whole tensor product matrix, since most contributions vanish. As regards the sum (9), one can write in compressed form:

$$\chi\chi = \begin{pmatrix} \cos^2 \theta_v \cos^2 \theta_w & -\cos^2 \theta_v \sin^2 \theta_w & -\sin^2 \theta_v \cos^2 \theta_w & \sin^2 \theta_v \sin^2 \theta_w \\ -\cos^2 \theta_v \sin^2 \theta_w & \cos^2 \theta_v \cos^2 \theta_w & \sin^2 \theta_v \sin^2 \theta_w & -\sin^2 \theta_v \cos^2 \theta_w \\ -\sin^2 \theta_v \cos^2 \theta_w & \sin^2 \theta_v \sin^2 \theta_w & \cos^2 \theta_v \cos^2 \theta_w & -\cos^2 \theta_v \sin^2 \theta_w \\ \sin^2 \theta_v \sin^2 \theta_w & -\sin^2 \theta_v \cos^2 \theta_w & -\cos^2 \theta_v \sin^2 \theta_w & \cos^2 \theta_v \cos^2 \theta_w \end{pmatrix} \chi' \chi',$$

with

$$\chi\chi := \begin{pmatrix} \chi_{2v,2w}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v+1,2w+1}(\mathbf{r}_2; \mathbf{p}_2) \\ \chi_{2v,2w+1}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v+1,2w}(\mathbf{r}_2; \mathbf{p}_2) \\ \chi_{2v+1,2w}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v,2w+1}(\mathbf{r}_2; \mathbf{p}_2) \\ \chi_{2v+1,2w+1}(\mathbf{r}_1; \mathbf{p}_1) \chi_{2v,2w}(\mathbf{r}_2; \mathbf{p}_2) \end{pmatrix}; \quad \text{and similarly for } \chi' \chi'.$$

One verifies that (9) is invariant under this set of transformations.

5 Lowest triplet state of harmonium

The energy spectrum for harmonium is obviously $(\mathbb{N} + \frac{3}{2})\omega + (\mathbb{N} + \frac{3}{2})\mu$. Since $\mu < \omega$, the energy of the first excited states is $E_{\text{fs}} = (3\omega + 5\mu)/2$. For our present purposes, it is

enough to choose an intracule excitation state along the x -axis (say). The corresponding 2-quasidensity is given by:

$$\frac{2}{\pi^6} \exp\left(-\frac{2H_R}{\omega}\right) \exp\left(-\frac{2H_r}{\mu}\right) \left(\frac{(p_{1x} - p_{2x})^2 + \mu^2(x_1^2 - x_2^2)^2}{\mu} - \frac{1}{2}\right). \quad (11)$$

Henceforth we work in the chosen nontrivial mode, since the problem factorizes completely. By integrating one set of variables, the reduced one-body spinless quasidensity is obtained, after some work:

$$d_1(r; p) = 2 \int d_2(r, r_2; p, p_2) dr_2 dp_2 = \frac{2}{\pi} \left(\frac{2\sqrt{\omega\mu}}{\omega + \mu}\right)^3 e^{-\frac{2\omega\mu}{\omega+\mu}r^2 - \frac{2}{\omega+\mu}p^2} \left(\omega r^2 + \frac{1}{\omega}p^2\right). \quad (12)$$

The marginals of d_1 give the electronic density and momentum density:

$$\begin{aligned} \rho(r) &= \int d_1(r; p) dp = \frac{2}{\pi} \left(\frac{2\sqrt{\omega\mu}}{\omega + \mu}\right)^3 e^{-\frac{2\omega\mu}{\omega+\mu}r^2} \int e^{-\frac{2}{\omega+\mu}p^2} \left(\omega r^2 + \frac{1}{\omega}p^2\right) dp \\ &= \frac{2}{\pi} \left(\frac{2\sqrt{\omega\mu}}{\omega + \mu}\right)^3 e^{-\frac{2\omega\mu}{\omega+\mu}r^2} \left(\frac{\pi(\omega + \mu)}{2}\right)^{1/2} \left(\omega r^2 + \frac{\omega + \mu}{4\omega}\right), \\ \pi(p) &= \int d_1(r; p) dr = \frac{2}{\pi} \left(\frac{2\sqrt{\omega\mu}}{\omega + \mu}\right)^3 e^{-\frac{2}{\omega+\mu}p^2} \int e^{-\frac{2\omega\mu}{\omega+\mu}r^2} \left(\omega r^2 + \frac{1}{\omega}p^2\right) dr \\ &= \frac{2}{\pi} \left(\frac{2\sqrt{\omega\mu}}{\omega + \mu}\right)^3 e^{-\frac{2}{\omega+\mu}p^2} \left(\frac{\pi(\omega + \mu)}{2\omega\mu}\right)^{1/2} \left(\frac{\omega + \mu}{4\mu} + \frac{1}{\omega}p^2\right). \end{aligned}$$

Finally, as expected, we get

$$\int \pi(p) dp = \int \rho(r) dr = \frac{2}{\pi} \left(\frac{2\sqrt{\omega\mu}}{\omega + \mu}\right)^3 \left(\frac{\pi(\omega + \mu)}{2}\right)^{1/2} \left(\frac{\pi(\omega + \mu)}{2\omega\mu}\right)^{1/2} \left(\frac{\omega + \mu}{4\mu} + \frac{\omega + \mu}{4\omega}\right) = 2.$$

From the viewpoint of WDFT, the most interesting part of the energy corresponds to the interelectronic repulsion of this first excited state $E_{2\text{fs}}$. The 1-body Hamiltonian is given by $h(r, p) = p^2/2 + \omega^2 r^2/2$. It is a simple exercise to obtain the 1-body energy $E_{1\text{fs}}$ by integrating expression (12) with this observable:

$$E_{1\text{fs}} = \frac{\omega}{2} + \frac{3(\mu^2 + \omega^2)}{4\mu}.$$

The interelectronic potential in (3) is $(\mu^2 - \omega^2)r_{12}^2/4$, so to obtain the repulsion energy $E_{2\text{fs}}$, one has just to integrate expression (11) with this observable:

$$\begin{aligned} E_{2\text{fs}} &= \int \frac{2}{\pi^2} \exp\left(-\frac{2H_R}{\omega}\right) \exp\left(-\frac{2H_r}{\mu}\right) \left[\frac{2H_r}{\mu} - \frac{1}{2}\right] \frac{\mu^2 - \omega^2}{4} r_{12}^2 dR dr dP dp \\ &= \frac{1}{\pi} (\mu^2 - \omega^2) \int \exp\left(-\frac{2H_r}{\mu}\right) \left[\frac{r^2 p^2}{\mu} + \mu r^4 - \frac{r^2}{2}\right] dr dp = \frac{3}{4} \frac{\mu^2 - \omega^2}{\mu}, \end{aligned}$$

which is 3 times the interelectronic repulsion energy for the corresponding mode of the singlet [14]. This is not surprising, since, in the triplet configuration the electrons tend to be mutually further apart than in the singlet.

Interestingly, (12) is a non-Gaussian Wigner function taking only positive values. This prompts two remarks. First, in consonance with common wisdom [21, 22], it is confirmed that as of itself d_1 is a nearly classical state. Second, there are “mathematical machines” that produce such positive-valued Wigner functions representing mixed states [23, 24]. It would be good to know whether or not (12) can be obtained as such an output.

6 Spectral analysis of the 1-body triplet state

In order to determine the occupation numbers of this system, first we have to find the good coordinates. Let us perform the transformation

$$(Q, P) := ((\omega\mu)^{1/4}r, (\omega\mu)^{-1/4}p); \quad \text{or, in shorthand,} \quad U = Su,$$

where S is symplectic and $u = (r, p)$. We may also write $\vartheta := \arctan(P/Q)$, so that

$$P = U \sin \vartheta \quad \text{and} \quad Q = U \cos \vartheta.$$

Recalling $2\sqrt{\omega\mu}/(\omega + \mu) = (1 - t^2)/(1 + t^2)$ from (7), the 1-quasidensity (12) takes the simple form:

$$\begin{aligned} d_1(U, \vartheta) &:= d_1(u(U, \vartheta)) = \frac{2(1 - t^2)^3}{\pi(1 + t^2)^3} e^{-(1-t^2)U^2/(1+t^2)} U^2 \left(\frac{1+t}{1-t} \cos^2 \vartheta + \frac{1-t}{1+t} \sin^2 \vartheta \right) \\ &= \frac{2(1 - t^2)^3}{\pi(1 + t^2)^3} e^{-(1-t^2)U^2/(1+t^2)} U^2 \left(\frac{1+t^2}{1-t^2} + \frac{2t}{1-t^2} \cos 2\vartheta \right). \end{aligned}$$

The one-body quasidensity may be expanded in the form:

$$d_1(U, \vartheta) = \sum_{rs} f_{rs}(U, \vartheta) d_{rs} \quad \text{where} \quad d_{rs} = 2\pi \int d_1(U, \vartheta) f_{rs}^*(U, \vartheta) U dU d\vartheta.$$

Then, for $r \geq s$,

$$\begin{aligned} &2\pi \int f_{rs}^*(U, \vartheta) d_1(U, \vartheta) U dU d\vartheta \\ &= \frac{4(1 - t^2)^3}{\pi(1 + t^2)^3} (-1)^s \frac{\sqrt{s!}}{\sqrt{r!}} \int_0^\infty e^{-(1-t^2)U^2/(1+t^2)} e^{-U^2} (2U^2)^{(r-s)/2} L_s^{r-s}(2U^2) U^3 dU \\ &\quad \times \int_{-\pi}^\pi e^{i(r-s)\vartheta} \left[\frac{1+t^2}{1-t^2} + \frac{2t}{1-t^2} \cos 2\vartheta \right] d\vartheta \\ &= \frac{4(1 - t^2)^3}{\pi(1 + t^2)^3} (-1)^s \frac{\sqrt{s!}}{\sqrt{r!}} \int_0^\infty e^{-(1-t^2)U^2/(1+t^2)} e^{-U^2} (2U^2)^{(r-s)/2} L_s^{r-s}(2U^2) U^3 dU \\ &\quad \times \pi \left[\frac{2(1+t^2)}{1-t^2} \delta_r^s + \frac{2t}{1-t^2} (\delta_r^{s+2} + \delta_r^{s-2}) \right], \end{aligned}$$

so that

$$d_1(U, \vartheta) = \sum_s d_{ss}(t) f_{ss}(U, \vartheta) + d_{s+2,s}(t) f_{s+2,s}(U, \vartheta) + d_{s,s+2}(t) f_{s,s+2}(U, \vartheta),$$

where actually $d_{s+2,s} = d_{s,s+2}$.

Using the standard Mellin transform [25, 26]:

$$\int_0^\infty x^{\alpha-1} e^{-px} L_n^\lambda(cx) dx = \frac{\Gamma(\alpha)}{p^\alpha} P_n^{(\lambda, \alpha-\lambda-n-1)} \left(1 - \frac{2c}{p}\right) = \frac{\Gamma(\alpha)}{p^\alpha} \frac{(\lambda+1)_n}{n!} {}_2F_1 \left(\begin{matrix} -n, \alpha \\ \lambda+1 \end{matrix}; \frac{c}{p} \right),$$

we obtain by fairly easy manipulations,

$$\begin{aligned} d_{ss}(t) &= (1-t^2)^2 (s t^{2s-2} + (1+s) t^{2s}); \\ d_{s,s+2}(t) &= (1-t^2)^2 \sqrt{(s+1)(s+2)} t^{2s+1}. \end{aligned}$$

This means that, to find the occupation numbers, one has to diagonalize a symmetric pentadiagonal matrix:

$$D = (1-t^2)^2 \begin{pmatrix} 1 & 0 & \alpha_0 t & 0 & 0 & 0 & \dots \\ 0 & 1+2t^2 & 0 & \alpha_1 t^3 & 0 & 0 & \dots \\ \alpha_0 t & 0 & 2t^2+3t^4 & 0 & \alpha_2 t^5 & 0 & \dots \\ 0 & \alpha_1 t^3 & 0 & 3t^4+4t^6 & 0 & \alpha_3 t^7 & \dots \\ 0 & 0 & \alpha_2 t^5 & 0 & 4t^6+5t^8 & 0 & \dots \\ 0 & 0 & 0 & \alpha_3 t^7 & 0 & 5t^8+6t^{10} & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}, \quad (13)$$

where $\alpha_s := \sqrt{(s+1)(s+2)}$.

It is readily checked that the trace of this matrix is 2, as it should be. Its eigenspaces split into two parts: $\ell_2 = V_1 \oplus V_2$, where $V_1 = \{ \mathbf{x} : \text{all } x_{2n} = 0 \}$ and $V_2 = \{ \mathbf{x} : \text{all } x_{2n+1} = 0 \}$. They correspond respectively to the matrices

$$D_{\text{even}} = (1-t^2)^2 \begin{pmatrix} 1 & \alpha_0 t & 0 & 0 & 0 & \dots \\ \alpha_0 t & 2t^2+3t^4 & \alpha_2 t^5 & 0 & 0 & \dots \\ 0 & \alpha_2 t^5 & 4t^6+5t^8 & \alpha_4 t^9 & 0 & \dots \\ 0 & 0 & \alpha_4 t^9 & 6t^{10}+7t^{12} & \alpha_6 t^{13} & \dots \\ 0 & 0 & 0 & \alpha_6 t^{13} & 8t^{14}+9t^{16} & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

and

$$D_{\text{odd}} = (1-t^2)^2 \begin{pmatrix} 1+2t^2 & \alpha_1 t^3 & 0 & 0 & 0 & \dots \\ \alpha_1 t^3 & 3t^4+4t^6 & \alpha_3 t^7 & 0 & 0 & \dots \\ 0 & \alpha_3 t^7 & 5t^8+6t^{10} & \alpha_5 t^{11} & 0 & \dots \\ 0 & 0 & \alpha_5 t^{11} & 7t^{12}+8t^{14} & \alpha_7 t^{15} & \dots \\ 0 & 0 & 0 & \alpha_7 t^{15} & 9t^{16}+10t^{18} & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}.$$

It is easily checked that these matrices have the same set of eigenvalues, as they should, since the occupation numbers must appear twice.

As was shown in Section 3, there is a skewsymmetric matrix C such that $D = C^t C$. This matrix is tridiagonal, and is the sum of two skew-symmetric matrices whose diagonalization is trivial:

$$C = (1 - t^2) \begin{pmatrix} 0 & -1 & 0 & 0 & 0 & \cdots \\ 1 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & -\sqrt{3}t^2 & 0 & \cdots \\ 0 & 0 & \sqrt{3}t^2 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} + (1 - t^2) \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & \sqrt{2}t & 0 & 0 & \cdots \\ 0 & -\sqrt{2}t & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & \sqrt{4}t^3 & \cdots \\ 0 & 0 & 0 & -\sqrt{4}t^3 & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

$$=: A + B.$$

Also, D is the sum of two Hermitian matrices, namely $A^t A + B^t B$, which is diagonal, and $A^t B + B^t A$. The N -representability problem in quantum chemistry is directly related to the famous Weyl–Horn problem (given two Hermitian matrices whose spectra are known, what would be the spectrum of their sum) and deep related problems in representation theory [27, 28]. This fact transpires in this simple instance.

The matrices D_{even} and D_{odd} are tridiagonal symmetric real matrices. The general eigenvalue problem for a matrix T of this kind reduces to solving the following set of recurrence equations:

$$\begin{pmatrix} d_0 & t_1 & 0 & 0 & \cdots \\ t_1 & d_1 & t_2 & 0 & \cdots \\ 0 & t_2 & d_2 & t_3 & \cdots \\ 0 & 0 & t_3 & d_3 & \cdots \\ 0 & 0 & 0 & t_4 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} \phi_0(n_r) \\ \phi_1(n_r) \\ \phi_2(n_r) \\ \phi_3(n_r) \\ \phi_4(n_r) \\ \vdots \end{pmatrix} = n_r \begin{pmatrix} \phi_0(n_r) \\ \phi_1(n_r) \\ \phi_2(n_r) \\ \phi_3(n_r) \\ \phi_4(n_r) \\ \vdots \end{pmatrix},$$

where n_r is an eigenvalue. The general solution is completely given in terms of the occupation numbers, by the following formula [29, Sect. 5.48]:

$$\phi_m(\lambda) = \frac{\phi_0(\lambda)}{t_1 t_2 \dots t_m} \det[\lambda I - T]_{mm}, \quad \text{for each } m \geq 1,$$

where $[\lambda I - T]_{mm}$ is the upper left $m \times m$ submatrix of $(\lambda I - T)$, and $\phi_0(\lambda) \neq 0$ is chosen so as to normalize the eigenvector.

This result implies that $T = Q D Q^t$, where $d_{ij} = n_i \delta_j^i$ is the diagonal matrix whose entries are the eigenvalues and $q_{ij} = \phi_i(n_j)$. Since $Q Q^t = Q^t Q = 1$, the following orthogonality relations hold:

$$\sum_{r=0}^{\infty} \phi_m(n_r) \phi_l(n_r) = \delta_l^m, \quad \sum_{m=0}^{\infty} \phi_m(n_r) \phi_m(n_s) = \delta_s^r,$$

$$\sum_{r=0}^{\infty} n_r \phi_m(n_r) \phi_l(n_r) = d_m \delta_l^m + t_m \delta_l^{m-1}.$$

In summary, for d_1 we obtain

$$d_1(\cdot) = \sum_r n_r \left[\sum_{i=0}^{\infty} f_{2i,2i}(\cdot) \phi_{\text{even},i}^2(n_r) + \sum_{i=0}^{\infty} (f_{2i,2i+2} + f_{2i+2,2i})(\cdot) \phi_{\text{even},i}(n_r) \phi_{\text{even},i+1}(n_r) \right. \\ \left. + \sum_{i=0}^{\infty} f_{2i+1,2i+1}(\cdot) \phi_{\text{odd},i}^2(n_r) + \sum_{i=0}^{\infty} (f_{2i+1,2i+3} + f_{2i+3,2i+1})(\cdot) \phi_{\text{odd},i}(n_r) \phi_{\text{odd},i+1}(n_r) \right].$$

Here n_r depends only on the parameter t of (7).

7 Numerical analysis of the occupation numbers

To find the n_r we fall back on numerical computation. Figure 1 shows the behavior of the rank-eight approximation of the eigenvalues, as t is varied. Note that the first eigenvalue is very close to 1 in the neighborhood of $t = 0$, while the others are very small. As the value of t rises, the first eigenvalue begins to decrease and the others rise for a while. In the neighborhood of $t = 1$ all eigenvalues approach zero.

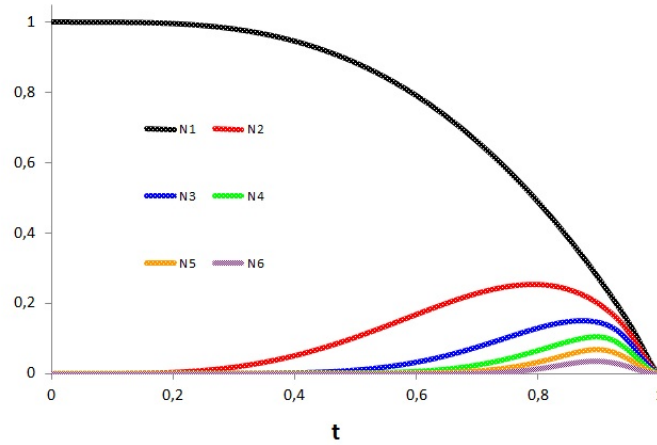


Figure 1: First six eigenvalues of the matrix D_{even} .

Note that t is a very nonlinear parameter: although $t \sim \delta/8k$ for small δ , the value $t = 1/2$ means $\mu/\omega = 1/9$ or $\delta/k = 80/81$. This shows that, unless δ is pretty close to the dissociation value, the harmonium triplet is not badly described by a Hartree–Fock state. Whenever $t \lesssim 0.6$, that is, $\delta/k \lesssim 255/256$, the first two occupation numbers contain almost all the physical information for the system.

Also, one can show that whenever $t \lesssim 0.5$, a good approximation to the five first occupation numbers is

$$\lambda_1 \approx 1 - 3t^4 + 8t^6, \quad \lambda_2 \approx 3t^4 - 8t^6, \quad \lambda_3 \approx 5t^8, \quad \lambda_4 \approx 7t^{12} \quad \text{and} \quad \lambda_5 \approx 9t^{16}.$$

Figure 2 compares the behavior of the first two eigenvalues for the singlet and triplet states of harmonium. In this sense, the Hartree–Fock approximation works better in the triplet

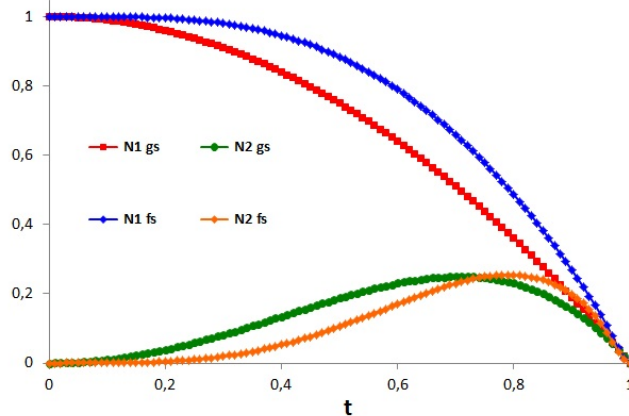


Figure 2: First (red line) and second (green) occupation numbers of the ground state and the first (blue) and second (orange) occupation numbers of the first excited state.

case than for the singlet. Around $t = 0.4$ the second approximated occupation number for the latter is above 0.13, and for the former is below 0.052. The same behaviour was also observed in the toy model studied in [30]. This does not mean, however, that correlation is always weaker in the triplet state —see the next section.

8 Spatial entropy and correlation energies

We move towards the comparison of the triplet system with the singlet system in regard to disorder (suppressing the spin variables). To measure this, a useful quantity is the linear entropy s associated to the 1-body function:

$$s = 1 - \Pi(d_1),$$

where $\Pi(d_1)$ is the *purity* of the system —see below. Mathematically, the quantity s is a lower bound for the Jaynes entropy, which has been used to quantify the entanglement between one particle and the other $N - 1$ particles of the system [30], and proposed as a handle on the correlation energies [31]. In this paper the singlet has been modelled in such a way that, for each one-dimensional mode:

$$\Pi_{\text{gs},1}(d_1) = \int d_1^2(r; p) dr dp = \sum_i n_i^2.$$

Instead, for the triplet one should take for the excited mode:

$$\Pi_{\text{fs},x}(d_1) = \frac{1}{2} \int d_1^2(r_x; p_x) dr_x dp_x = \sum_i n_i^2.$$

This second definition is natural in that correlations due solely to the antisymmetric character of the wave function do not contribute to the entanglement of the system [10, 32, 33]. This ensures that the entropy for a 1-body function of the Hartree–Fock type is zero.

In the singlet case, the occupation numbers are equal to $(1 - t^2)t^{2i}$. Thus, the purity of this system is easily computable, to wit, $\Pi_{\text{gs},1}(d_1) = (1 - t^2)/(1 + t^2)$ for each mode. This quantity coincides with the quotient of the geometric and arithmetic means of the frequencies, that is, $\Pi_{\text{gs},1} = 2\sqrt{\omega\mu}/(\omega + \mu)$. For n modes one just takes the n th power [9]. Moreover, for small values of the coupling δ , we obtain

$$s_{\text{gs},1} \sim \frac{1}{32} \frac{\delta^2}{\omega^4}, \quad (14)$$

which for this approximation is exactly the absolute value of the (dimensionless) correlation energy [16]. This appears to vindicate the contention of [31]. (Actually, for the singlet it is not difficult to compute the Jaynes entropy, given by

$$-\sum_i n_i \log n_i = -\log(1 - t^2) - \frac{t^2 \log t^2}{1 - t^2}.$$

This was done by Srednicki [12] some time ago.)

For the triplet state, we have to compute $\text{Tr}(d_1^2)$ for the matrix given in (13). Since

$$d_1^2 = (1 - t^2)^4 \begin{pmatrix} 1 + \alpha_0^2 t^2 & 0 & \alpha_0 t(1 + 2t^2 + 3t^4) & \cdots \\ 0 & (1 + 2t^2)^2 + \alpha_1^2 t^6 & 0 & \cdots \\ \alpha_0 t(1 + 2t^2 + 3t^4) & 0 & \alpha_0^2 t^2 + (2t^2 + 3t^4)^2 + \alpha_2^2 t^{10} & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix},$$

we get

$$\begin{aligned} \text{Tr}(d_1^2) &= (1 - t^2)^4 \left[4 \sum_{i=0}^{\infty} \alpha_i^2 t^{2(2i+1)} + 2 \sum_{i=1}^{\infty} i^2 t^{4(i-1)} \right] \\ &= 2(1 - t^2)^4 \sum_{i=1}^{\infty} \left[2i(i+1) t^{2(2i-1)} + i^2 t^{4(i-1)} \right] = \frac{2(1 - t^2)}{1 + t^2} \left[1 + \frac{2t^2}{(1 + t^2)^2} \right], \end{aligned}$$

after some calculation. So the purity of the first excited mode is

$$\Pi_{\text{fs},x} = \frac{1 - t^2}{1 + t^2} \left[1 + \frac{2t^2}{(1 + t^2)^2} \right] = \Pi_{\text{gs},1} \left[1 + \frac{2t^2}{(1 + t^2)^2} \right] = \frac{2\sqrt{\omega\mu}}{\omega + \mu} \left(1 + \frac{1}{2} \left(\frac{\omega - \mu}{\omega + \mu} \right)^2 \right).$$

Since the other two modes contribute with two ground state factors, the total purity can be written as $\Pi_{\text{fs}} = \Pi_{\text{fs},x} \Pi_{\text{gs},y} \Pi_{\text{gs},z}$. For the purity parameter, one obtains finally

$$s_{\text{gs}} = 1 - \left(\frac{1 - t^2}{1 + t^2} \right)^3 \quad \text{and} \quad s_{\text{fs}} = 1 - \left(\frac{1 - t^2}{1 + t^2} \right)^3 \left[1 + \frac{2t^2}{(1 + t^2)^2} \right] = s_{\text{gs}} - \frac{2t^2(1 - t^2)^3}{(1 + t^2)^5}.$$

In conclusion, $s_{\text{fs}} \leq s_{\text{gs}}$.

At long last, we may go back to Moshinsky's starting point, the assessment of electron correlation, only now for the *excited* state. The Hartree–Fock approximation for the relevant mode, in view of (8), is of the form

$$W_{\text{HF}}(r_1, r_2; p_1, p_2) = \frac{1}{2} \left[W_{00}(r_1; p_1)W_{11}(r_2; p_2) - W_{01}(r_1; p_1)W_{10}(r_2; p_2) \right. \\ \left. - W_{10}(r_1; p_1)W_{01}(r_2; p_2) + W_{11}(r_1; p_1)W_{00}(r_2; p_2) \right],$$

where $W_{00}(r; p) = \frac{1}{\pi} e^{-\eta r^2 - p^2/\eta}$, $W_{11}(r; p) = \frac{2}{\pi} e^{-\eta r^2 - p^2/\eta} (\eta r^2 + p^2/\eta - \frac{1}{2})$,

with their corresponding interferences. Remember that $\int W_{ij} dr dp = \delta_{ij}$. In intracule-extra-cule coordinates:

$$W_{\text{HF}}(R, r; P, p) = \frac{2}{\pi^2} (\eta r^2 + p^2/\eta - \frac{1}{2}) e^{-\eta R^2 - P^2/\eta - \eta r^2 - p^2/\eta}.$$

The parameter η is determined by minimization. The mean value of the energy predicted by this function is:

$$E_{\text{HF}} = \frac{1}{2} \int (p^2 + \omega^2 r^2) [W_{00}(r; p) + W_{11}(r; p)] dr dp - \frac{\delta}{4} \int (r_1 - r_2)^2 W_{\text{HF}}(1, 2) d1 d2 \\ = \left(\eta + \frac{\omega^2}{\eta} \right) - \frac{3\delta}{4\eta} = \eta + \frac{\omega^2 + 3\mu^2}{4\eta}.$$

The minimum $dE/d\eta = 0$ occurs when $\eta = \frac{1}{2} \sqrt{\omega^2 + 3\mu^2}$. Therefore, the energy predicted by Hartree–Fock is $\sqrt{\omega^2 + 3\mu^2}$. Thus, the “correlation energy” for the lowest excited state of harmonium is:

$$E_{\text{c,fs}} = E_{\text{fs}} - E_{\text{HF}} = \frac{3\omega + 5\mu}{2} - \sqrt{\omega^2 + 3\mu^2} - 2\sqrt{(\omega^2 + \mu^2)/2} \sim -\frac{7}{64} \frac{\delta^2}{\omega^3}.$$

Thus, the relative correlation energies are

$$\mathcal{E}_{\text{fs}} := \frac{|E_{\text{c,fs}}|}{E_{\text{fs}}} \sim \frac{7}{256} \frac{\delta^2}{\omega^4} \quad \text{and} \quad \mathcal{E}_{\text{gs}} := \frac{|E_{\text{c,gs}}|}{E_{\text{gs}}} \sim \frac{1}{32} \frac{\delta^2}{\omega^4}.$$

Both quantities are related by a factor of 7/8. For this approximation, as one would have expected, $\mathcal{E}_{\text{fs}} \leq \mathcal{E}_{\text{gs}}$.

Figure 3 shows the exact dependence of the *relative* correlation energy for both systems as a function of δ/ω^2 . The relative correlation energy for the singlet is greater than for the triplet, just as the purity parameter for the singlet is greater than the one for the triplet. At $\delta/\omega^2 = 0.67$ the relation between these two quantities changes and the relative correlation energy for the triplet is greater than for the singlet. Note however that the entropy depends only the behavior of the occupation numbers, while the correlation energy has to do with the natural orbitals as well. Such a nice proportionality as (14) fails for the triplet state.

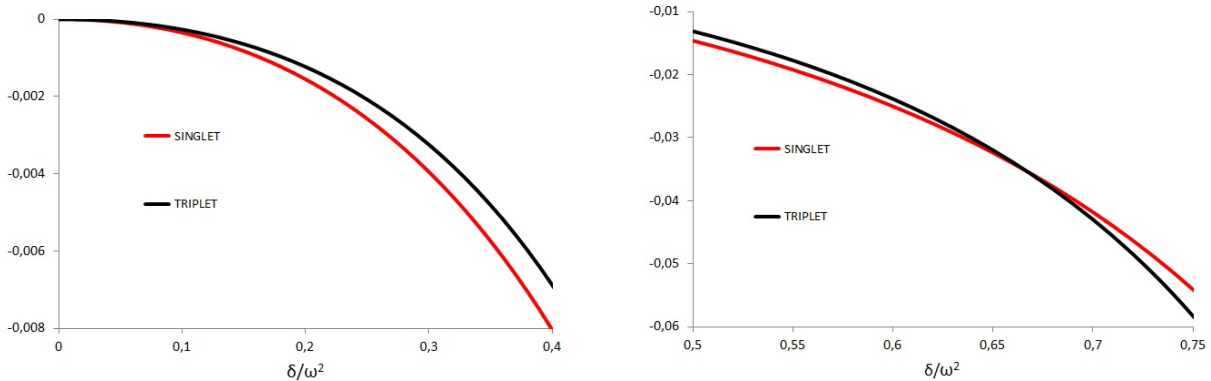


Figure 3: *Relative* correlation energy of the singlet and of the triplet excited mode. As expected, the relative correlation energy for the singlet is greater than for the triplet for small values of the coupling. At $\delta/\omega^2 \sim 0.67$ the order is inverted.

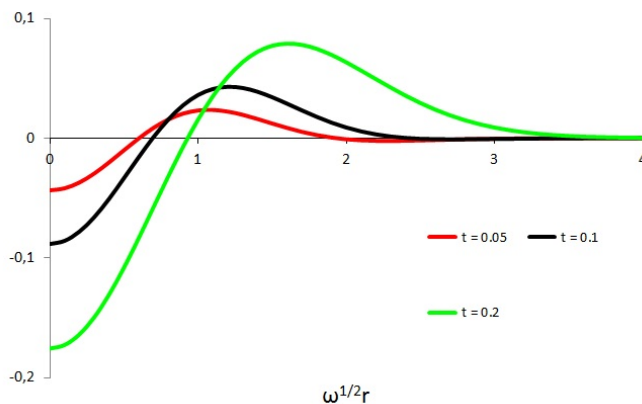


Figure 4: Moshinsky’s hole for the triplet: $(\rho(r) - \rho_{\text{HF}}(r))/\omega^{1/2}$ as a function of $\omega^{1/2}r$.

Finally, Figure 4 shows the difference between the exact profile 1-density and the Hartree–Fock profile 1-density for the harmonium triplet, $\rho_{\text{HF}}(r) := \int W_{\text{HF}}(r, r_2; p_1, p_2) dp_1 dr_2 dp_2$. This description goes back to the Coulson–Neilson classic paper [34] on the helium Coulomb system. The “Moshinsky’s hole” observed in the neighborhood of $r = 0$ graphically shows the Hartree–Fock underestimation of the mean distance between the fermions, for the excited configuration of harmonium as well.

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