On a periodic 2-component μ -Hunter-Saxton equation

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Abstract

In this paper, we study the Cauchy problem of a periodic 2-component μ -Hunter-Saxton system. We first establish the local well-posedness for the periodic 2-component μ -Hunter-Saxton system by Kato's semigroup theory. Then, we derive precise blow-up scenarios for strong solutions to the system. Moreover, we present a blow-up result for strong solutions to the system. Finally, we give a global existence result to the system.

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1 Introduction

Recently, a new 2-component system was introduced by Zuo in [20] as follows:

$$\mu(u)_{t} - u_{txx} = 2\mu(u)u_{x} - 2u_{x}u_{xx} - uu_{xxx} + \rho\rho_{x} - \gamma_{1}u_{xxx},
t > 0, x \in \mathbb{R},
\rho_{t} = (\rho u)_{x} + 2\gamma_{2}\rho_{x}, t > 0, x \in \mathbb{R},
u(0, x) = u_{0}(x), x \in \mathbb{R}, t \geq 0, x \in \mathbb{R},
\rho(0, x) = \rho_{0}(x), x \in \mathbb{R}, t \geq 0, x \in \mathbb{R},
u(t, x + 1) = u(t, x), t \geq 0, x \in \mathbb{R},
\rho(t, x + 1) = \rho(t, x), t \geq 0, x \in \mathbb{R},$$

where $\mu(u) = \int_{\mathbb{S}} u dx$ with $\mathbb{S} = \mathbb{R}/\mathbb{Z}$ and $\gamma_i \in \mathbb{R}$, i = 1, 2. By integrating both sides of the first equation in the system (1.1) over the circle $\mathbb{S} = \mathbb{R}/\mathbb{Z}$ and using the periodicity of u, one obtain

$$\mu(u_t) = \mu(u)_t = 0.$$

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This yields the following periodic 2-component μ -Hunter-Saxton system:

$$\begin{cases}
-u_{txx} = 2\mu(u)u_x - 2u_xu_{xx} - uu_{xxx} + \rho\rho_x & -\gamma_1 u_{xxx}, \\
& t > 0, x \in \mathbb{R}, \\
\rho_t = (\rho u)_x + 2\gamma_2\rho_x, & t > 0, x \in \mathbb{R}, \\
u(0, x) = u_0(x), & x \in \mathbb{R}, \\
u(0, x) = \rho_0(x), & x \in \mathbb{R}, \\
\rho(0, x) = \rho_0(x), & x \in \mathbb{R}, \\
u(t, x + 1) = u(t, x), & t \ge 0, x \in \mathbb{R}, \\
\rho(t, x + 1) = \rho(t, x), & t \ge 0, x \in \mathbb{R}, \\
\end{cases}$$
(1.2)

with $\gamma_i \in \mathbb{R}$, i = 1, 2. This system is a 2-component generalization of the generalized Hunter-Saxton equation obtained in [15]. The author [20] shows that this system is a bihamiltonian Euler equation, and also can be viewed as a bivariational equation.

Obviously, (1.1) is equivalent to (1.2) under the condition $\mu(u_t) = \mu(u)_t = 0$. In this paper, we will study the system (1.2) under the assumption $\mu(u_t) = \mu(u)_t = 0$.

For $\rho \equiv 0$ and $\gamma = 0$, and replacing t by -t, the system (1.2) reduces to the generalized Hunter-Saxton equation (named μ -Hunter-Saxton equation) as follows:

$$-u_{txx} = -2\mu(u)u_x + 2u_xu_{xx} + uu_{xxx}, \tag{1.3}$$

which is obtained and studied in [15]. The μ -Hunter-Saxton equation lies mid-way between the periodic Hunter-Saxton and Camassa-Holm equations with u = u(t, x) being a time-dependent function on the circle $\mathbb{S} = \mathbb{R}/\mathbb{Z}$ and $\mu(u) = \int_{\mathbb{S}} u dx$ denotes its mean. Recently, the periodic μ -Hunter-Saxton equation and the periodic μ -Degasperis-Procesi equation have also been studied in [9]. For $\mu(u) = 0$, the equation (1.3) reduces to the Hunter-Saxton equation [10]

$$u_{txx} + 2u_x u_{xx} + u u_{xxx} = 0, (1.4)$$

modeling the propagation of weakly nonlinear orientation waves in a massive nematic liquid crystal. In the Hunter-Saxton equation [10], x is the space variable in a reference frame moving with the linearized wave velocity, t is a slow-time variable and u(t, x) is a measure of the average orientation of the medium locally around x at time t. More precisely, the orientation of the molecules is described by the field of unit vectors $(\cos u(t, x), \sin u(t, x))$ [19]. The singlecomponent model also arises in a different physical context as the high-frequency limit [6, 11] of the Camassa-Holm equation for shallow water waves [2, 12] and a re-expression of the geodesic flow on the diffeomorphism group of the circle [4] with a bi-Hamiltonian structure [8] which is completely integrable [5]. The Hunter-Saxton equation also has a bi-Hamiltonian structure [12, 17] and is completely integrable [1, 11]. The initial value problem for the Hunter-Saxton equation (1.4) on the line (nonperiodic case) and on the unit circle $\mathbb{S} = \mathbb{R}/\mathbb{Z}$ were studied by Hunter and Saxton in [10] using the method of characteristics and by Yin in [19] using Kato semigroup method, respectively.

For $\rho \neq 0$, $\gamma_i = 0, i = 1, 2 \ \mu(u) = 0$ and replacing t by -t, peakon solutions of the Cauchy problem of the system (1.2) have been analysed in [3]. Moreover, the Cauchy problem of 2component periodic Hunter-Saxton system has been discussed in [16]. However, the Cauchy problem of the system (1.2) has not been studied yet. The aim of this paper is to establish the local well-posedness for the system (1.2), to derive precise blow-up scenarios, to prove that the system (1.2) has global strong solutions and also finite time blow-up solutions. The paper is organized as follows. In Section 2, we establish the local well-posedness of the initial value problem associated with the system (1.2). In Section 3, we derive two precise blow-up scenarios. In Section 4, we present a explosion criteria of strong solutions to the system (1.2) with general initial data. In Section 5, we give a new global existence result of strong solutions to the system (1.2).

Notation Given a Banach space Z, we denote its norm by $\|\cdot\|_Z$. Since all space of functions are over $\mathbb{S} = \mathbb{R}/\mathbb{Z}$, for simplicity, we drop \mathbb{S} in our notations of function spaces if there is no ambiguity. We let [A, B] denote the commutator of linear operator A and B. For convenience, we let $(\cdot|\cdot)_{s\times r}$ and $(\cdot|\cdot)_s$ denote the inner products of $H^s \times H^r$, $s, r \in \mathbb{R}_+$ and H^s , $s \in \mathbb{R}_+$, respectively.

2 Local well-posedness

In this section, we will establish the local well-posedness for the Cauchy problem of the system (1.2) in $H^s \times H^{s-1}$, $s \ge 2$, by applying Kato's theory [13].

The condition $\mu(u_t) = 0$ ensures that the first equation in (1.2) can be recast in the form

$$u_t - (u + \gamma_1)u_x = \partial_x (\mu - \partial_x^2)^{-1} (2\mu u + \frac{1}{2}u_x^2 + \frac{1}{2}\rho^2),$$

where $A = \mu - \partial_x^2$ is an isomorphism between H^s and H^{s-2} . Using this identity, the system (1.2) takes the form of a quasi-linear evolution equation of hyperbolic type:

$$\begin{cases} u_t - (u + \gamma_1)u_x = \partial_x (\mu - \partial_x^2)^{-1} & (2\mu u + \frac{1}{2}u_x^2 + \frac{1}{2}\rho^2), \\ t > 0, x \in \mathbb{R}, \\ \rho_t - (u + 2\gamma_2)\rho_x = u_x\rho, & t > 0, x \in \mathbb{R}, \\ u(0, x) = u_0(x), & x \in \mathbb{R}, \\ u(0, x) = \rho_0(x), & x \in \mathbb{R}, \\ \rho(0, x) = \rho_0(x), & x \in \mathbb{R}, \\ \rho(t, x + 1) = \rho(t, x), & t \ge 0, x \in \mathbb{R}, \\ u(t, x + 1) = u(t, x), & t \ge 0, x \in \mathbb{R}. \end{cases}$$
(2.1)

Let
$$z := \begin{pmatrix} u \\ \rho \end{pmatrix}$$
, $A(z) = \begin{pmatrix} -(u+\gamma_1)\partial_x & 0 \\ 0 & -(u+2\gamma_2)\partial_x \end{pmatrix}$ and

$$f(z) = \begin{pmatrix} \partial_x(\mu - \partial_x^2)^{-1}(2\mu u + \frac{1}{2}u_x^2 + \frac{1}{2}\rho^2) \\ u_x\rho \end{pmatrix}.$$

Set $Y = H^s \times H^{s-1}$, $X = H^{s-1} \times H^{s-2}$, $\Lambda = (\mu - \partial_x^2)^{\frac{1}{2}}$ and $Q = \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix}$. Obviously, Q is an isomorphism of $H^s \times H^{s-1}$ onto $H^{s-1} \times H^{s-2}$.

Similar to the proof of Theorem 2.2 in [7], we get the following conclusion.

Theorem 2.1 Given $z_0 = (u_0, \rho_0) \in H^s \times H^{s-1}$, $s \ge 2$, then there exists a maximal $T = T(|| z_0 ||_{H^s \times H^{s-1}}) > 0$, and a unique solution $z = (u, \rho)$ to (2.1) such that

$$z = z(\cdot, z_0) \in C([0, T); H^s \times H^{s-1}) \cap C^1([0, T); H^{s-1} \times H^{s-2}).$$

Moreover, the solution depends continuously on the initial data, i.e., the mapping

$$z_0 \to z(\cdot, z_0) : H^s \times H^{s-1} \to C([0, T); H^s \times H^{s-1}) \cap C^1([0, T); H^{s-1} \times H^{s-2})$$

is continuous.

Recall that the periodic 2-component Hunter-Saxton system discussed in [16] only has local existence but not local well-posedness because of the lack of uniqueness. The ambiguity disappears in the case of the periodic 2-component μ -Hunter-Saxton system from the Theorem 2.1. This is a very important difference between the 2-component Hunter-Saxton system and the 2-component μ -Hunter-Saxton system.

Consequently, we will give another equivalent form of (1.2). Integrating both sides of the first equation of (1.2) with respect to x, we obtain

$$u_{tx} = -2\mu(u)u + \frac{1}{2}u_x^2 + uu_{xx} - \frac{1}{2}\rho^2 + \gamma_1 u_{xx} + a(t),$$

where a(t) is determined by the periodicity of u to be

$$a(t) = 2\mu(u)^2 + \frac{1}{2}\int_{\mathbb{S}} (u_x^2 + \rho^2)dx.$$

Using the system (1.2), we have

$$\frac{1}{2} \frac{d}{dt} \int_{\mathbb{S}} (u_x^2 + \rho^2) dx$$

$$= \int_{\mathbb{S}} (u_x u_{xt} + \rho \rho_t) dx$$

$$= -\int_{\mathbb{S}} u u_{txx} dx + \int_{\mathbb{S}} \rho \rho_t dx$$

$$= \int_{\mathbb{S}} 2\mu(u) u u_x dx - 2 \int_{\mathbb{S}} u u_x u_{xx} dx - \int_{\mathbb{S}} u^2 u_{xxx} dx + \int_{\mathbb{S}} u \rho_x \rho dx$$

$$- \gamma_1 \int_{\mathbb{S}} u u_{xxx} dx + \int_{\mathbb{S}} \rho(u\rho)_x dx + 2\gamma_2 \int_{\mathbb{S}} \rho \rho_x dx$$

$$= \int_{\mathbb{S}} u \rho_x \rho dx + \int_{\mathbb{S}} \rho(u\rho)_x dx = 0.$$
(2.2)

Combing $\mu(u)_t = \mu(u_t) = 0$, we have

$$\frac{d}{dt}a(t) = 0.$$

For the sake of convenience, let

$$\mu_0 := \mu(u_0) = \mu(u) = \int_{\mathbb{S}} u(t, x) dx,$$
$$\mu_1 := \left(\int_{\mathbb{S}} (u_x^2 + \rho^2) dx \right)^{\frac{1}{2}} = \left(\int_{\mathbb{S}} (u_{0,x}^2 + \rho_0^2) dx \right)^{\frac{1}{2}}$$

and write a := a(0) henceforth. Therefore,

$$u_{tx} = -2\mu_0 u + \frac{1}{2}u_x^2 + uu_{xx} - \frac{1}{2}\rho^2 + \gamma_1 u_{xx} + a$$
(2.3)

is a valid reformulation of the first equation in (1.2). Integrating once more in x, we get

$$u_t - (u + \gamma_1)u_x = \partial_x^{-1}(-2\mu_0 u - \frac{1}{2}u_x^2 - \frac{1}{2}\rho^2 + a) + h(t),$$

where $\partial_x^{-1} f(x) := \int_0^x f(y) dy$ and $h(t) : [0, \infty) \to \mathbb{R}$ is a continuous function. The same procedure in the case of the 2-component Hunter-Saxton system leads to the arbitrary continuous function h(t), which is the reason for non-uniqueness. This time, the condition $\mu(u_t) = 0$ implies that the mean value of the expression on the right-hand side above must be zero. This fact and the uniqueness of the solution of (1.2) imply that the continuous function h(t) is unique.

Thus we get another equivalent form of (1.2)

$$u_{t} - (u + \gamma_{1})u_{x} = \partial_{x}^{-1}(-2\mu_{0}u - \frac{1}{2}u_{x}^{2}$$

$$-\frac{1}{2}\rho^{2} + a) + h(t), \qquad t > 0, x \in \mathbb{R},$$

$$\rho_{t} - (u + 2\gamma_{2})\rho_{x} = u_{x}\rho, \qquad t > 0, x \in \mathbb{R},$$

$$u(0, x) = u_{0}(x), \qquad x \in \mathbb{R},$$

$$\rho(0, x) = \rho_{0}(x), \qquad x \in \mathbb{R},$$

$$u(t, x + 1) = u(t, x), \qquad t \ge 0, x \in \mathbb{R},$$

$$\rho(t, x + 1) = \rho(t, x), \qquad t \ge 0, x \in \mathbb{R},$$

where $\partial_x^{-1} f(x) := \int_0^x f(y) dy$ and $h(t) : [0, \infty) \to \mathbb{R}$ is a continuous function.

3 The precise blow-up scenario

In this section, we present the precise blow-up scenarios for strong solutions to the system (1.2).

We first recall the following lemmas.

Lemma 3.1 [14] If r > 0, then $H^r \cap L^{\infty}$ is an algebra. Moreover

$$\| fg \|_{H^r} \le c(\| f \|_{L^{\infty}} \| g \|_{H^r} + \| f \|_{H^r} \| g \|_{L^{\infty}}),$$

where c is a constant depending only on r.

Lemma 3.2 [14] If r > 0, then

$$| [\Lambda^{r}, f]g \|_{L^{2}} \leq c(|| \partial_{x} f \|_{L^{\infty}} || \Lambda^{r-1}g \|_{L^{2}} + || \Lambda^{r} f \|_{L^{2}} || g \|_{L^{\infty}}),$$

where c is a constant depending only on r.

Next we prove the following useful result on global existence of solutions to (1.2).

Theorem 3.1 Let $z_0 = \begin{pmatrix} u_0 \\ \rho_0 \end{pmatrix} \in H^s \times H^{s-1}$, $s \ge 2$, be given and assume that T is the maximal existence time of the corresponding solution $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ to (2.4) with the initial data

 z_0 . If there exists M > 0 such that

$$||u_x(t,\cdot)||_{L^{\infty}} + ||\rho(t,\cdot)||_{L^{\infty}} + ||\rho_x(t,\cdot)||_{L^{\infty}} \le M, \quad t \in [0,T),$$

then the $H^s \times H^{s-1}$ -norm of $z(t, \cdot)$ does not blow up on [0, T).

Proof Let $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ be the solution to (2.4) with the initial data $z_0 \in H^s \times H^{s-1}$, $s \ge 2$, and let T be the maximal existence time of the corresponding solution z, which is guaranteed by Theorem 2.1. Throughout this proof, c > 0 stands for a generic constant depending only on s.

Applying the operator Λ^s to the first equation in (2.4), multiplying by $\Lambda^s u$, and integrating over \mathbb{S} , we obtain

$$\frac{d}{dt} \|u\|_{H^s}^2 = 2(uu_x, u)_s + 2(u, \partial_x^{-1}(-2\mu_0 u - \frac{1}{2}u_x^2 - \frac{1}{2}\rho^2 + a) + h(t))_s.$$
(3.1)

Let us estimate the first term of the right-hand side of (3.1).

$$\begin{aligned} |(uu_{x}, u)_{s}| &= |(\Lambda^{s}(u\partial_{x}u), \Lambda^{s}u)_{0}| \\ &= |([\Lambda^{s}, u]\partial_{x}u, \Lambda^{s}u)_{0} + (u\Lambda^{s}\partial_{x}u, \Lambda^{s}u)_{0}| \\ &\leq \|[\Lambda^{s}, u]\partial_{x}u\|_{L^{2}}\|\Lambda^{s}u\|_{L^{2}} + \frac{1}{2}|(u_{x}\Lambda^{s}u, \Lambda^{s}u)_{0}| \\ &\leq (c\|u_{x}\|_{L^{\infty}} + \frac{1}{2}\|u_{x}\|_{L^{\infty}})\|u\|_{H^{s}}^{2} \\ &\leq c\|u_{x}\|_{L^{\infty}}\|u\|_{H^{s}}^{2}, \end{aligned}$$
(3.2)

where we used Lemma 3.2 with r = s. Let $f \in H^{s-1}, s \ge 2$. We have

$$|\partial_x^{-1}f| = |\int_0^x f dx| \le \int_{\mathbb{S}} |f| dx \le ||f||_{L^2}$$

and

$$\|\partial_x^{-1}f\|_{L^2} = \left(\int_0^1 (\partial_x^{-1}f)^2 dx\right)^{1/2} \le \left(\int_0^1 \|f\|_{L^2}^2 dx\right)^{1/2} = \|f\|_{L^2}.$$

Thus

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$$\|\partial_x^{-1}f\|_{H^s} \le \|\partial_x^{-1}f\|_{L^2} + \|f\|_{H^{s-1}} \le 2\|f\|_{H^{s-1}}$$

Then, we estimate the second term of the right-hand side of (3.1) in the following way:

$$\begin{aligned} |(\partial_{x}^{-1}(-2\mu_{0}u - \frac{1}{2}u_{x}^{2} - \frac{1}{2}\rho^{2} + a) + h(t), u)_{s}| \\ \leq \|\partial_{x}^{-1}(-2\mu_{0}u - \frac{1}{2}u_{x}^{2} - \frac{1}{2}\rho^{2} + a) + h(t)\|_{H^{s}}\|u\|_{H^{s}} \\ \leq (\|\partial_{x}^{-1}(-2\mu_{0}u - \frac{1}{2}u_{x}^{2} - \frac{1}{2}\rho^{2} + a)\|_{H^{s}} + \|h(t)\|_{H^{s}})\|u\|_{H^{s}} \\ \leq (2\| - 2\mu_{0}u - \frac{1}{2}u_{x}^{2} - \frac{1}{2}\rho^{2} + a\|_{H^{s-1}} + \|h(t)\|_{H^{s}})\|u\|_{H^{s}} \\ \leq (4\|\mu_{0}\|\|u\|_{H^{s}} + \|u_{x}^{2}\|_{H^{s-1}} + \|\rho^{2}\|_{H^{s-1}} + 2\|a\|_{H^{s-1}} + \|h(t)\|_{H^{s}})\|u\|_{H^{s}} \\ \leq c(\|u\|_{H^{s}} + \|u_{x}\|_{L^{\infty}}\|u_{x}\|_{H^{s-1}} + \|\rho\|_{L^{\infty}}\|\rho\|_{H^{s-1}} + |a| + \max_{t\in[0,T)}|h(t)|)\|u\|_{H^{s}} \\ \leq c(\|u_{x}\|_{L^{\infty}} + \|\rho\|_{L^{\infty}} + 1)(\|u\|_{H^{s}}^{2} + \|\rho\|_{H^{s-1}}^{2} + 1), \end{aligned}$$

where we used Lemma 3.1 with r = s - 1. Combining (3.2) and (3.3) with (3.1), we get

$$\frac{d}{dt} \|u\|_{H^s}^2 \le c(\|\rho\|_{L^{\infty}} + \|u_x\|_{L^{\infty}} + 1)(\|u\|_{H^s}^2 + \|\rho\|_{H^{s-1}}^2 + 1).$$
(3.4)

In order to derive a similar estimate for the second component ρ , we apply the operator Λ^{s-1} to the second equation in (2.4), multiply by $\Lambda^{s-1}\rho$, and integrate over S, to obtain

$$\frac{d}{dt} \|\rho\|_{H^{s-1}}^2 = 2(u\rho_x, \rho)_{s-1} + 2(u_x\rho, \rho)_{s-1}.$$
(3.5)

Let us estimate the first term of the right hand side of (3.5)

$$\begin{aligned} &|(u\rho_{x},\rho)_{s-1}| \\ &= |(\Lambda^{s-1}(u\partial_{x}\rho),\Lambda^{s-1}\rho)_{0}| \\ &= |([\Lambda^{s-1},u]\partial_{x}\rho,\Lambda^{s-1}\rho)_{0} + (u\Lambda^{s-1}\partial_{x}\rho,\Lambda^{s-1}\rho)_{0}| \\ &\leq \|[\Lambda^{s-1},u]\partial_{x}\rho\|_{L^{2}}\|\Lambda^{s-1}\rho\|_{L^{2}} + \frac{1}{2}|(u_{x}\Lambda^{s-1}\rho,\Lambda^{s-1}\rho)_{0}| \\ &\leq c(\|u_{x}\|_{L^{\infty}}\|\rho\|_{H^{s-1}} + \|\rho_{x}\|_{L^{\infty}}\|u\|_{H^{s-1}})\|\rho\|_{H^{s-1}} + \frac{1}{2}\|u_{x}\|_{L^{\infty}}\|\rho\|_{H^{s-1}}^{2} \\ &\leq c(\|u_{x}\|_{L^{\infty}} + \|\rho_{x}\|_{L^{\infty}})(\|\rho\|_{H^{s-1}}^{2} + \|u\|_{H^{s}}^{2}), \end{aligned}$$

here we applied Lemma 3.2 with r = s - 1. Then we estimate the second term of the right hand side of (3.5). Based on Lemma 3.1 with r = s - 1, we get

$$\begin{aligned} |(u_x\rho,\rho)_{s-1}| &\leq \|u_x\rho\|_{H^{s-1}} \|\rho\|_{H^{s-1}} \\ &\leq c(\|u_x\|_{L^{\infty}} \|\rho\|_{H^{s-1}} + \|\rho\|_{L^{\infty}} \|u_x\|_{H^{s-1}}) \|\rho\|_{H^{s-1}} \\ &\leq c(\|u_x\|_{L^{\infty}} + \|\rho_x\|_{L^{\infty}})(\|\rho\|_{H^{s-1}}^2 + \|u\|_{H^s}^2). \end{aligned}$$

Combining the above two inequalities with (3.5), we get

$$\frac{d}{dt} \|\rho\|_{H^{s-1}}^2 \le c(\|u_x\|_{L^{\infty}} + \|\rho\|_{L^{\infty}} + \|\rho_x\|_{L^{\infty}})(\|u\|_{H^s}^2 + \|\rho\|_{H^{s-1}}^2 + 1).$$
(3.6)

By (3.4) and (3.6), we have

$$\frac{d}{dt}(\|u\|_{H^s}^2 + \|\rho\|_{H^{s-1}}^2 + 1)$$

$$\leq c(\|u_x\|_{L^{\infty}} + \|\rho\|_{L^{\infty}} + \|\rho_x\|_{L^{\infty}} + 1)(\|u\|_{H^s}^2 + \|\rho\|_{H^{s-1}}^2 + 1)$$

An application of Gronwall's inequality and the assumption of the theorem yield

$$(\|u\|_{H^s}^2 + \|\rho\|_{H^{s-1}}^2 + 1) \le \exp(c(M+1)t)(\|u_0\|_{H^s}^2 + \|\rho_0\|_{H^{s-1}}^2 + 1)$$

This completes the proof of the theorem.

Given $z_0 \in H^s \times H^{s-1}$ with $s \ge 2$. Theorem 2.1 ensures the existence of a maximal T > 0and a solution $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ to (2.4) such that

$$z = z(\cdot, z_0) \in C([0, T); H^s \times H^{s-1}) \cap C^1([0, T); H^{s-1} \times H^{s-2})$$

Consider now the following initial value problem

$$\begin{cases} q_t = u(t, -q) + 2\gamma_2, & t \in [0, T), \\ q(0, x) = x, & x \in \mathbb{R}, \end{cases}$$

$$(3.7)$$

where u denotes the first component of the solution z to (2.4). Then we have the following two useful lemmas.

Similar to the proof of Lemma 4.1 in [18], applying classical results in the theory of ordinary differential equations, one can obtain the following result on q which is crucial in the proof of blow-up scenarios.

Lemma 3.3 Let $u \in C([0,T); H^s) \cap C^1([0,T); H^{s-1})$, $s \geq 2$. Then Eq.(3.7) has a unique solution $q \in C^1([0,T) \times \mathbb{R}; \mathbb{R})$. Moreover, the map $q(t, \cdot)$ is an increasing diffeomorphism of \mathbb{R} with

$$q_x(t,x) = \exp\left(-\int_0^t u_x(s,-q(s,x))ds\right) > 0, \quad (t,x) \in [0,T) \times \mathbb{R}$$

Lemma 3.4 Let $z_0 = \begin{pmatrix} u_0 \\ \rho_0 \end{pmatrix} \in H^s \times H^{s-1}$, $s \ge 2$ and let T > 0 be the maximal existence

time of the corresponding solution $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ to (1.2). Then we have

$$\rho(t, -q(t, x))q_x(t, x) = \rho_0(-x), \quad \forall \ (t, x) \in [0, T) \times \mathbb{S}.$$
(3.8)

Moreover, if there exists M > 0 such that $u_x \leq M$ for all $(t, x) \in [0, T) \times \mathbb{S}$, then

$$\|\rho(t,\cdot)\|_{L^{\infty}} \le e^{MT} \|\rho_0(\cdot)\|_{L^{\infty}}, \quad \forall \ t \in [0,T).$$

Proof Differentiating the left-hand side of the equation (3.8) with respect to the time variable t, and applying the relations (2.4) and (3.7), we obtain

$$\begin{aligned} &\frac{d}{dt}\rho(t, -q(t, x))q_x(t, x) \\ &= (\rho_t(t, -q) - \rho_x(t, -q)q_t(t, x))q_x(t, x) + \rho(t, -q(t, x))q_{xt}(t, x) \\ &= (\rho_t - (u(t, -q) + 2\gamma_2)\rho_x)q_x(t, x) - u_x\rho q_x(t, x) \\ &= (\rho_t - (u + 2\gamma_2)\rho_x - u_x\rho)q_x(t, x) = 0 \end{aligned}$$

This proves (3.8). By Lemma 3.3, in view of (3.8) and the assumption of the lemma, we obtain

$$\begin{aligned} \|\rho(t,\cdot)\|_{L^{\infty}(\mathbb{S})} &= \|\rho(t,\cdot)\|_{L^{\infty}(\mathbb{R})} \\ &= \|\rho(t,-q(t,\cdot))\|_{L^{\infty}(\mathbb{R})} \\ &= \|exp\left(\int_{0}^{t} u_{x}(s,-q(s,x))ds\right)\rho_{0}(-x)\|_{L^{\infty}(\mathbb{R})} \\ &\leq e^{MT}\|\rho_{0}(\cdot)\|_{L^{\infty}(\mathbb{R})} = e^{MT}\|\rho_{0}(\cdot)\|_{L^{\infty}(\mathbb{S})}, \quad \forall \ t \in [0,T). \end{aligned}$$

Our next result describes the precise blow-up scenarios for sufficiently regular solutions to (1.2).

Theorem 3.2 Let $z_0 = \begin{pmatrix} u_0 \\ \rho_0 \end{pmatrix} \in H^s \times H^{s-1}$, $s > \frac{5}{2}$ be given and let T be the maximal

existence time of the corresponding solution $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ to (2.4) with the initial data z_0 . Then the corresponding solution blows up in finite time if and only if

$$\limsup_{t \to T} \sup_{x \in \mathbb{S}} \{ u_x(t, x) \} = +\infty \quad or \quad \limsup_{t \to T} \{ \| \rho_x(t, \cdot) \|_{L^{\infty}} \} = +\infty$$

Proof By Theorem 2.1 and Sobolev's imbedding theorem it is clear that if

$$\limsup_{t \to T} \sup_{x \in \mathbb{S}} \{ u_x(t, x) \} = +\infty \quad \text{or} \quad \limsup_{t \to T} \{ \| \rho_x(t, \cdot) \|_{L^{\infty}} \} = +\infty,$$

then $T < \infty$.

Let $T < \infty$. Assume that there exists $M_1 > 0$ and $M_2 > 0$ such that

$$u_x(t,x) \le M_1, \quad \forall \ (t,x) \in [0,T) \times \mathbb{S},$$

and

$$\|\rho_x(t,\cdot)\|_{L^{\infty}} \le M_2, \quad \forall \ t \in [0,T).$$

By Lemma 3.4, we have

$$\|\rho(t,\cdot)\|_{L^{\infty}} \le e^{M_1 T} \|\rho_0\|_{L^{\infty}}, \quad \forall \ t \in [0,T).$$

By (2.2) and the first equation in (2.4), a direct computation implies the following inequality

$$\begin{aligned} &\frac{d}{dt} \int_{\mathbb{S}} u(t,x)^2 dx \end{aligned} \tag{3.9} \\ &= 2 \int_{\mathbb{S}} u \left((u+\gamma_1)u_x + \partial_x^{-1} (-2\mu_0 u - \frac{1}{2}u_x^2 - \frac{1}{2}\rho^2 + a) + h(t) \right) dx \\ &\leq \int_{\mathbb{S}} u^2 dx + \int_{\mathbb{S}} \left(\int_0^x (-2\mu_0 u - \frac{1}{2}u_y^2 - \frac{1}{2}\rho^2 + a) dy \right)^2 dx + 2|h(t)| \int_{\mathbb{S}} |u(t,x)| dx \\ &\leq \int_{\mathbb{S}} u^2 dx + 8\mu_0^2 (\int_{\mathbb{S}} |u| dx)^2 + 2 \left(\int_{\mathbb{S}} (\frac{1}{2}u_x^2 + \frac{1}{2}\rho^2 + a) dx \right)^2 \\ &+ \max_{t \in [0,T)} |h(t)| + \max_{t \in [0,T)} |h(t)| \int_{\mathbb{S}} u(t,x)^2 dx \\ &= (1 + 8\mu_0^2 + \max_{t \in [0,T)} |h(t)|) \int_{\mathbb{S}} u^2 dx + \frac{1}{2} \left[\int_0^1 (u_{0,x}^2 + \rho_0^2 + 2a) dx \right]^2 + \max_{t \in [0,T)} |h(t)| \end{aligned}$$

for $t \in (0, T)$.

Multiplying the first equation in (1.2) by $m = u_{xx}$ and integrating by parts, we find

$$\frac{d}{dt} \int_{\mathbb{S}} m^2 dx = -4\mu \int_{\mathbb{S}} mu_x dx + 4 \int_{\mathbb{S}} u_x m^2 dx + 2 \int_{\mathbb{S}} umm_x dx \qquad (3.10)$$

$$-2 \int_{\mathbb{S}} m\rho \rho_x dx + 2\gamma_1 \int_{\mathbb{S}} mm_x dx$$

$$= 3 \int_{\mathbb{S}} u_x m^2 dx - 2 \int_{\mathbb{S}} m\rho \rho_x dx$$

$$\leq 3M_1 \int_{\mathbb{S}} m^2 dx + \|\rho\|_{L^{\infty}} \int_{\mathbb{S}} m^2 + \rho_x^2 dx$$

$$\leq (3M_1 + \|\rho\|_{L^{\infty}}) \int_{\mathbb{S}} m^2 dx + \|\rho\|_{L^{\infty}} \int_{\mathbb{S}} \rho_x^2 dx.$$

Differentiating the first equation in (1.2) with respect to x, multiplying the obtained equation by $m_x = u_{xxx}$, integrating by parts and using Lemma 3.4, we obtain

$$\begin{aligned} \frac{d}{dt} \int_{\mathbb{S}} m_x^2 dx & (3.11) \\ &= -4\mu \int_{\mathbb{S}} mm_x + 4 \int_{\mathbb{S}} m^2 m_x dx + 6 \int_{\mathbb{S}} u_x m_x^2 + 2 \int_{\mathbb{S}} um_{xx} m_x \\ &- 2 \int_{\mathbb{S}} \rho_x^2 m_x - 2 \int_{\mathbb{S}} \rho \rho_{xx} m_x dx + 2\gamma_1 \int_{\mathbb{S}} m_x m_{xx} dx \\ &= 5 \int_{\mathbb{S}} u_x m_x^2 dx - 2 \int_{\mathbb{S}} \rho_x^2 m_x dx - 2 \int_{\mathbb{S}} \rho \rho_{xx} m_x dx \\ &\leq 5M_1 \int_{\mathbb{S}} m_x^2 dx + 2 \|\rho_x\|_{L^{\infty}}^2 \int_{\mathbb{S}} |m_x| dx + \|\rho\|_{L^{\infty}} \int_{\mathbb{S}} (\rho_{xx}^2 + m_x^2) dx \\ &\leq 5M_1 \int_{\mathbb{S}} m_x^2 dx + \|\rho\|_{L^{\infty}} \int_{\mathbb{S}} (\rho_{xx}^2 + m_x^2) dx + 2 \|\rho_x\|_{L^{\infty}}^2 + 2 \|\rho_x\|_{L^{\infty}}^2 \int_{\mathbb{S}} m_x^2 dx \\ &\leq (5M_1 + \|\rho\|_{L^{\infty}} + 2M_2^2) \int_{\mathbb{S}} m_x^2 dx + \|\rho\|_{L^{\infty}} \int_{\mathbb{S}} \rho_{xx}^2 dx + 2M_2^2. \end{aligned}$$

Differentiating the second equation in (1.2) with respect to x, multiplying the obtained equation by ρ_x and integrating by parts, we obtain

$$\frac{d}{dt} \int_{\mathbb{S}} \rho_x^2 dx = 3 \int_{\mathbb{S}} u_x \rho_x^2 dx + 2 \int_{\mathbb{S}} m\rho \rho_x dx \qquad (3.12)$$

$$\leq 3M_1 \int_{\mathbb{S}} \rho_x^2 dx + \|\rho\|_{L^{\infty}} \int_{\mathbb{S}} (m^2 + \rho_x^2) dx$$

$$= (3M_1 + \|\rho\|_{L^{\infty}}) \int_{\mathbb{S}} \rho_x^2 dx + \|\rho\|_{L^{\infty}} \int_{\mathbb{S}} m^2 dx.$$

Differentiating the second equation in (1.2) with respect to x twice, multiplying the obtained equation by ρ_{xx} , integrating by parts and using Lemma 3.4, we obtain

$$\frac{d}{dt} \int_{\mathbb{S}} \rho_{xx}^{2} dx \qquad (3.13)$$

$$= 5 \int_{\mathbb{S}} u_{x} \rho_{xx}^{2} dx + \int_{\mathbb{S}} u_{xxx} (2\rho\rho_{xx} - 3\rho_{x}^{2}) dx
\leq 5 M_{1} \int_{\mathbb{S}} \rho_{xx}^{2} dx + \int_{\mathbb{S}} m_{x} (2\rho\rho_{xx} - 3\rho_{x}^{2}) dx
\leq 5 M_{1} \int_{\mathbb{S}} \rho_{xx}^{2} dx + 3 \|\rho_{x}\|_{L^{\infty}}^{2} \int_{\mathbb{S}} |m_{x}| dx + \|\rho\|_{L^{\infty}} \int_{\mathbb{S}} 2m_{x}\rho_{xx} dx
\leq (5 M_{1} + \|\rho\|_{L^{\infty}}) \int_{\mathbb{S}} \rho_{xx}^{2} dx + (3M_{2}^{2} + \|\rho\|_{L^{\infty}}) \int_{\mathbb{S}} m_{x}^{2} dx + 3M_{2}^{2}.$$

Summing (2.2), (3.9)-(3.13), we have

$$\frac{d}{dt} \int_{\mathbb{S}} (u^2 + u_x^2 + m^2 + m_x^2 + \rho^2 + \rho_x^2 + \rho_{xx}^2) dx$$

$$\leq K_1 \int_{\mathbb{S}} (u^2 + u_x^2 + m^2 + m_x^2 + \rho^2 + \rho_x^2 + \rho_{xx}^2) dx + K_2,$$

where

$$K_{1} = 1 + 8\mu_{0}^{2} + \max_{t \in [0,T)} |h(t)| + 8e^{M_{1}T} \|\rho_{0}\|_{L^{\infty}} + 16M_{1} + 5M_{2}^{2}$$
$$K_{2} = \frac{1}{2} \left[\int_{\mathbb{S}} (u_{0,x}^{2} + \rho_{0}^{2} + 2a) dx \right]^{2} + \max_{t \in [0,T)} |h(t)| + 5M_{2}^{2}.$$

By means of Gronwall's inequality and the above inequality, we deduce that

$$\begin{aligned} \|u(t,\cdot)\|_{H^3}^2 + \|\rho(t,\cdot)\|_{H^2}^2 \\ &\leq e^{K_1 t} (\|u_0\|_{H^3}^2 + \|\rho_0\|_{H^2}^2 + \frac{K_2}{K_1}), \quad \forall \ t \in [0,T). \end{aligned}$$

The above inequality, Sobolev's imbedding theorem and Theorem 3.1 ensure that the solution z does not blow-up in finite time. This completes the proof of the theorem.

For initial data $z_0 = \begin{pmatrix} u_0 \\ \rho_0 \end{pmatrix} \in H^2 \times H^1$, we have the following precise blow-up scenario.

Theorem 3.3 Let $z_0 = \begin{pmatrix} u_0 \\ \rho_0 \end{pmatrix} \in H^2 \times H^1$, and let *T* be the maximal existence time of the corresponding solution $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ to (2.4) with the initial data z_0 . Then the corresponding solution blows up in finite time if and only if

$$\limsup_{t \to T} \sup_{x \in \mathbb{S}} u_x(t, x) = +\infty.$$

Proof Let $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ be the solution to (2.4) with the initial data $z_0 \in H^2 \times H^1$, and let T be the maximal existence time of the solution z, which is guaranteed by Theorem 2.1.

Let $T < \infty$. Assume that there exists $M_1 > 0$ such that

$$u_x(t,x) \le M_1, \quad \forall \ (t,x) \in [0,T) \times \mathbb{S}.$$

By Lemma 3.4, we have

$$\|\rho(t,\cdot)\|_{L^{\infty}} \le e^{M_1 T} \|\rho_0\|_{L^{\infty}}, \quad \forall \ t \in [0,T).$$

Combining (2.2), (3.9)-(3.10) and (3.12), we obtain

$$\frac{d}{dt} \int_{\mathbb{S}} (u^2 + u_x^2 + m^2 + \rho^2 + \rho_x^2) dx \le K_3 \int_{\mathbb{S}} (u^2 + u_x^2 + m^2 + \rho^2 + \rho_x^2) dx + K_4,$$

where

$$K_{3} = 1 + 8\mu_{0}^{2} + \max_{t \in [0,T]} |h(t)| + 6M_{1} + 4e^{M_{1}T} ||\rho_{0}||_{L^{\infty}}$$
$$K_{4} = \frac{1}{2} \left[\int_{0}^{1} (u_{0,x}^{2} + \rho_{0}^{2} + 2a) dx \right]^{2} + \max_{t \in [0,T]} |h(t)|.$$

By means of Gronwall's inequality and the above inequality, we get

$$\|u(t,\cdot)\|_{H^2}^2 + \|\rho(t,\cdot)\|_{H^1}^2 \le e^{K_3 t} (\|u_0\|_{H^2}^2 + \|\rho_0\|_{H^1}^2 + \frac{K_4}{K_3}).$$

The above inequality ensures that the solution z does not blow-up in finite time.

On the other hand, by Sobolev's imbedding theorem, we see that if

$$\limsup_{t \to T} \sup_{x \in \mathbb{S}} u_x(t, x) = +\infty,$$

then the solution will blow up in finite time. This completes the proof of the theorem.

Remark 3.1 Note that Theorem 3.2 shows that

$$T(||z_0||_{H^s \times H^{s-1}}) = T(||z_0||_{H^{s'} \times H^{s'-1}}), \quad \forall s, s' > \frac{5}{2},$$

while Theorem 3.3 implies that

$$T(\|z_0\|_{H^s \times H^{s-1}}) \le T(\|z_0\|_{H^2 \times H^1}), \quad \forall s, s' \ge 2.$$

4 Blow-up

In this section, we discuss the blow-up phenomena of the system (1.2) and prove that there exist strong solutions to (1.2) which do not exist globally in time.

Lemma 4.1 ([9]) If $f \in H^1(\mathbb{S})$ is such that $\int_{\mathbb{S}} f(x) dx = 0$, then we have

$$\max_{x \in \mathbb{S}} f^2(x) \le \frac{1}{12} \int_{\mathbb{S}} f_x^2(x) dx$$

Note that $\int_{\mathbb{S}}(u(t,x)-\mu_0)dx = \mu_0 - \mu_0 = 0$. By Lemma 4.1, we find that

$$\max_{x \in \mathbb{S}} [u(t, x) - \mu_0]^2 \le \frac{1}{12} \int_{\mathbb{S}} u_x^2(t, x) dx \le \frac{1}{12} \mu_1^2$$

So we have

$$\|u(t,\cdot)\|_{L^{\infty}(\mathbb{S})} \le |\mu_0| + \frac{\sqrt{3}}{6}\mu_1.$$
(4.1)

Theorem 4.1 Let $z_0 = \begin{pmatrix} u_0 \\ \rho_0 \end{pmatrix} \in H^s \times H^{s-1}, s \ge 2$, and T be the maximal time of the solution $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ to (1.2) with the initial data z_0 . If $\gamma_1 = 2\gamma_2$, $\mu_0 = 0$ and there exists a

point $x_0 \in S$, such that $\rho_0(-x_0) = 0$, then the corresponding solutions to (1.2) blow up in finite time.

Proof Let $m(t) = u_x(t, -q(t, x_0)), \ \gamma(t) = \rho(t, -q(t, x_0)),$ where q(t, x) is the solution of Eq.(3.7). By Eq.(3.7) we can obtain

$$\frac{dm}{dt} = (u_{tx} - (u + \gamma_1)u_{xx})(t, -q(t, x_0)).$$

Evaluating the integrated representation (2.3) at $(t, -q(t, x_0))$ with the assumption $\mu = 0$ we get

$$\frac{d}{dt}m(t) = \frac{1}{2}m(t)^2 - \frac{1}{2}\gamma(t)^2 + a.$$

Since $\gamma(0) = 0$, we infer from Lemmas 3.3-3.4 that $\gamma(t) = 0$ for all $t \in [0, T)$. Note that $a = 2\mu(u)^2 + \frac{1}{2} \int_{\mathbb{S}} (u_x^2 + \rho^2) dx > 0$. (Indeed, if a(t) = 0, then $(u, \rho) = (0, 0)$. This is a trivial case, we do not consider it.) Then we have $\frac{d}{dt}m(t) \ge a > 0$. Thus, it follows that $m(t_0) > 0$ for some $t_0 \in (0, T)$. Solving the following inequality yields

$$\frac{d}{dt}m(t) \ge \frac{1}{2}m(t)^2.$$

Therefore

$$0 < \frac{1}{m(t)} \le \frac{1}{m(t_0)} - \frac{1}{2}(t - t_0), \quad t \in [t_0, T).$$

The above inequality implies that $T < t_0 + \frac{2}{m(t_0)}$ and $\lim_{t \to T} m(t) = +\infty$. In view of Theorem 3.2, this completes the proof of the theorem.

Theorem 4.2 Let $z_0 = \begin{pmatrix} u_0 \\ \rho_0 \end{pmatrix} \in H^s \times H^{s-1}, s \ge 2$, and T be the maximal time of the solution $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ to (1.2) with the initial data z_0 . If $\gamma_1 = 2\gamma_2, \mu_0 \ne 0, |\mu_0| + \frac{\sqrt{3}}{6}\mu_1 < \frac{a}{2|\mu_0|}$ and there exists a point $x_0 \in \mathbb{S}$, such that $\rho_0(-x_0) = 0$, then the corresponding solutions to (1.2) blow up in finite time.

Proof Let $m(t) = u_x(t, -q(t, x_0)), \gamma(t) = \rho(t, -q(t, x_0))$, where q(t, x) is the solution of Eq.(3.7). By Eq.(3.7) we can obtain

$$\frac{dm}{dt} = (u_{tx} - (u + \gamma_1)u_{xx})(t, -q(t, x_0)).$$

Evaluating the integrated representation (2.3) at $(t, -q(t, x_0))$ we have

$$\frac{d}{dt}m(t) = \frac{1}{2}m(t)^2 - \frac{1}{2}\gamma(t)^2 + a - 2\mu_0 u.$$

Since $\gamma(0) = 0$, we infer from Lemmas 3.3-3.4 that $\gamma(t) = 0$ for all $t \in [0, T)$. In view of (4.1) and the condition $|\mu_0| + \frac{\sqrt{3}}{6}\mu_1 < \frac{a}{2|\mu_0|}$, we have $a - 2\mu_0 u \ge a - 2|\mu_0 u| > 0$. Then we have $\frac{d}{dt}m(t) \ge a - 2\mu_0 u > 0$. The left proof is the same as Theorem 4.1, so we omit it here.

5 Global Existence

In this section, we will present a global existence result. Firstly, we give two useful lemmas.

Theorem 5.1 Let $z_0 = \begin{pmatrix} u_0 \\ \rho_0 \end{pmatrix} \in H^2 \times H^1$, and T be the maximal time of the solution $z = \begin{pmatrix} u \\ \rho \end{pmatrix}$ to (1.2) with the initial data z_0 . If $\gamma_1 = 2\gamma_2$, $\rho_0(x) \neq 0$ for all $x \in \mathbb{S}$, then the corresponding solution z exists globally in time.

Proof By Lemma 3.3, we know that $q(t, \cdot)$ is an increasing diffeomorphism of \mathbb{R} with

$$q_x(t,x) = exp\left(\int_0^t u_x(s,q(s,x))ds\right) > 0, \quad \forall \ (t,x) \in [0,T) \times \mathbb{R}$$

Moreover,

$$\sup_{y \in \mathbb{S}} u_y(t, y) = \sup_{x \in \mathbb{R}} u_x(t, -q(t, x)), \ \forall \ t \in [0, T).$$
(5.1)

Set $M(t,x) = u_x(t,-q(t,x))$ and $\alpha(t,x) = \rho(t,-q(t,x))$ for $t \in [0,T)$ and $x \in \mathbb{R}$. By $\gamma_1 = 2\gamma_2$, (1.2) and Eq.(3.7), we have

$$\frac{\partial M}{\partial t} = (u_{tx} - (u + \gamma_1)u_{xx})(t, -q(t, x)) \text{ and } \frac{\partial \alpha}{\partial t} = \alpha M.$$
(5.2)

Evaluating (2.3) at (t, -q(t, x)) we get

$$\partial_t M(t,x) = \frac{1}{2}M(t,x)^2 - \frac{1}{2}\alpha(t,x)^2 + a - 2\mu_0 u(t,-q(t,x)).$$

Write $f(t,x) = a - 2\mu_0 u(t, -q(t,x))$. By (4.1) we have

$$|f(t,x)| \le a + 2|\mu_0| ||u||_{L^{\infty}} \le a + 2|\mu_0|(|\mu_0| + \frac{\sqrt{3}}{6}\mu_1)$$
$$= 4\mu_0^2 + \frac{1}{2}\mu_1^2 + \frac{\sqrt{3}}{3}|\mu_0|\mu_1$$

and

$$\partial_t M(t,x) = \frac{1}{2} M(t,x)^2 - \frac{1}{2} \alpha(t,x)^2 + f(t,x).$$
(5.3)

By Lemmas 3.3-3.4, we know that $\alpha(t, x)$ has the same sign with $\alpha(0, x) = \rho_0(-x)$ for every $x \in \mathbb{R}$. Moreover, there is a constant $\beta > 0$ such that $\inf_{x \in \mathbb{R}} |\alpha(0, x)| = \inf_{x \in \mathbb{S}} |\rho_0(-x)| \ge \beta > 0$ since $\rho_0(x) \ne 0$ for all $x \in \mathbb{S}$ and \mathbb{S} is a compact set. Thus,

$$\alpha(t,x)\alpha(0,x) > 0, \quad \forall x \in \mathbb{R}.$$

Next, we consider the following Lyapunov function first introduced in [3].

$$w(t,x) = \alpha(t,x)\alpha(0,x) + \frac{\alpha(0,x)}{\alpha(t,x)}(1+M^2), \quad (t,x) \in [0,T) \times \mathbb{R}.$$
 (5.4)

By Sobolev's imbedding theorem, we have

$$0 < w(0, x) = \alpha(0, x)^{2} + 1 + M(0, x)^{2}$$

= $\rho_{0}(x)^{2} + 1 + u_{0,x}(x)^{2}$
 $\leq 1 + \max_{x \in \mathbb{S}} (\rho_{0}(x)^{2} + u_{0,x}(x)^{2}) := C_{1}.$ (5.5)

Differentiating (5.4) with respect to t and using (5.2)-(5.3), we obtain

$$\begin{aligned} \frac{\partial w}{\partial t}(t,x) &= \frac{\alpha(0,x)}{\alpha(t,x)} M(t,x) (2f-1) \\ &\leq |f - \frac{1}{2}| \frac{\alpha(0,x)}{\alpha(t,x)} (1+M^2) \\ &\leq (4\mu_0^2 + \frac{1}{2}\mu_1^2 + \frac{\sqrt{3}}{3}|\mu_0|\mu_1 + \frac{1}{2}) w(t,x) \end{aligned}$$

By Gronwall's inequality, the above inequality and (5.5), we have

$$w(t,x) \le w(0,x)e^{(4\mu_0^2 + \frac{1}{2}\mu_1^2 + \frac{\sqrt{3}}{3}|\mu_0|\mu_1 + \frac{1}{2})t} \le C_1 e^{(4\mu_0^2 + \frac{1}{2}\mu_1^2 + \frac{\sqrt{3}}{3}|\mu_0|\mu_1 + \frac{1}{2})t}$$

for all $(t, x) \in [0, T) \times \mathbb{R}$. On the other hand,

$$w(t,x) \ge 2\sqrt{\alpha^2(0,x)(1+M^2)} \ge 2\beta |M(t,x)|, \quad \forall \ (t,x) \in [0,T) \times \mathbb{R}.$$

Thus,

$$|M(t,x)| \le \frac{1}{2\beta}w(t,x) \le \frac{1}{2\beta}C_1 e^{(4\mu_0^2 + \frac{1}{2}\mu_1^2 + \frac{\sqrt{3}}{3}|\mu_0|\mu_1 + \frac{1}{2})t}$$

for all $(t, x) \in [0, T) \times \mathbb{R}$. Then by (5.1) and the above inequality, we have

$$\limsup_{t \to T} \sup_{y \in \mathbb{S}} u_y(t, y) = \limsup_{t \to T} \sup_{x \in \mathbb{R}} u_x(t, -q(t, x)) \le \frac{1}{2\beta} C_1 e^{(4\mu_0^2 + \frac{1}{2}\mu_1^2 + \frac{\sqrt{3}}{3}|\mu_0|\mu_1 + \frac{1}{2})t}.$$

This completes the proof by using Theorem 3.3.

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