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## VTI 介质起伏地表地震波场模拟

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摘 要 起伏地表下地震波场模拟有助于解释主动源和被动源地震探测中穿过山脉和盆地的测线所获得的资料. 然而传统的有限差分法处理起伏的自由边界比较困难,为了克服这一困难,我们将笛卡尔坐标系的各向异性介质弹性波方程和自由边界条件变换到曲线坐标系中,采用一种稳定的、显式的二阶精度有限差分方法离散(曲线坐标系)VTI介质中的弹性波方程;对地表自由边界条件处理时采用了一种修饰的差分算子来计算弹性波方程中的混合导数项在自由边界上的法向导数. 兰姆问题的解析解与本文的数值解对比结果表明该方法可以有效地处理自由地表边界条件. 模拟实例表明:起伏地表对地震波场有重要影响,各向异性导致弹性波波前形状复杂且具有明显的方向性.

关键词 起伏地表,各向异性,曲线网格,波场模拟,有限差分

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### Wave-field simulation in VTI media with irregular free surface

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Abstract Modeling of seismic wave propagation in anisotropic media with irregular topography is a powerful tool that may help to interpret seismic data acquired by active and passive source seismology conducted in areas of interest like mountain ranges and basins. The major challenge in this context is the numerical implementation of the free-surface boundary condition. To implement the free-surface boundary condition, we use the boundary-conforming grid and transform a rectangular grid onto a curved grid. We use a stable and explicit second-order finite difference scheme to discretize the elastic wave equations (in a curvilinear coordinate system) in heterogeneous anisotropic medium. The free-surface boundary conditions are numerically implemented by introducing a discretization that uses boundary-modified difference operators for the mixed derivatives in the governing equations. The accuracy of the proposed method is checked by comparing the numerical results obtained by the trial algorithm with the analytical solution of the Lamb's problem, for a transversely isotropic medium with a vertical symmetry axis.

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Efficiency tests performed by different numerical experiments illustrate clearly the influence of an irregular (non-flat) free surface on seismic wave propagation.

**Keywords** Irregular free surface, anisotropy, Curvilinear grids, Wave-field simulation, Finite-difference

## 1 引 言

在我国,目前的油气勘探重点已转移到了西部、西南部地区,其剧烈的地形起伏给地震勘探工作提出了严峻的挑战,传统的基于平缓构造勘探的地震数据采集、处理和解释方法在这类复杂地表地区已不再适用. 研究起伏地表地震波场数值模拟,对于认识起伏地表下地震波传播的规律及特征,进而研发基于起伏地表的地震资料处理、采集和解释方法均有非常重要的意义[1~8].

为了研究起伏地表条件下的地震波传播特征, 近年来提出了一些有针对性的数值模拟方法:包括 有限元法[9~11],谱元法[12,13],伪谱法[14~16],边界元 和边界积分法[17~19],有限差分法[20~27]等. 谱元法和 有限元都能自然满足自由表面边界条件,可以使用 曲边单元模拟二维自由表面和界面起伏. 传统的有 限元法计算代价很高;在谱元法中,需要使用比数值 频散所要求的小得多的空间离散步长来刻画大曲率 的地表面和界面[12];伪谱法中使用的全局基使模拟 结果变得不准确[28];边界元方法的半解析性质决定 了该方法不能适用于地表速度变化较大的情况[29], 而实际情况是,浅部地层受后期地质作用速度变化 甚为剧烈,有限差分法是数值模拟中最常用的一种 方法(与其他方法相比,有限差分法简单灵活),用有 限差分法求解二阶的弹性动力学方程已广泛应用于 波场的数值模拟中[30~34],但当处理自由边界条件且 纵横波速度比较大时往往出现不稳定问题[35,36]. Ilan<sup>[37]</sup>提出了一种改进的方法,但当处理强非均匀 介质时依然不稳定. Vidale 和 Clayton[38] 提出了一 种隐式的算法来处理自由边界. 为解决不稳定问题, 人们探索用一阶速度应力方程来处理自由边界,并 采用交错网格来离散化. 目前应用于地震波场数值 模拟的差分算法大部分是基于交错网格技术 的[39~42],然而交错网格方法处理起伏地表比较困 难,因而基于非结构网格的一类方法如谱元法等近 年来得到了迅速发展.

最近,Nilsson等<sup>[43]</sup>提出了一种稳定的、可以广泛应用于任意纵横波速度比、且基于二阶波动方程

的显式的处理自由边界的差分方法. 在边界上,采用 一阶差分算子来离散波动方程中混合导数项( OzOz 和 $\partial_z \partial_x$ )中的法向导数  $(\partial_z)$ ,而在内部网格点上, 用二阶中心差分来离散. 正如 Nilsson 等[43] 所证明 的,方程中在边界上的一阶离散代入边界条件方程 后精度就变成二阶了,这种处理自由地表边界条件 的方法不仅不会降低数值计算的精度,反而使处理 容易简便,且结果稳定[36].此后,Appelo 和 Petersson[44] 通过坐标转换,把这种方法推广到曲线坐标系,提出 了一种稳定的、可模拟不规则区域各向同性介质中 地震波传播的方法. 实际的地球介质常常是呈各向 异性的[45,46]. 这可能源于裂隙或孔隙诱导的各向异 性[47~50],薄各向同性层产生的各向异性[34,51]以及 组成岩石的矿物微粒在排列上有择优取向时形成岩 石的固有各向异性[52]等. 本文中将 Appelo 和 Petersson 提出的方法[44] 加以应用并推广以模拟复 杂地表非均匀各向异性介质中地震波的传播并对其 波场特征进行分析.

## 2 笛卡尔坐标和曲线坐标的转换

对于复杂地表的介质,离散网格边界需与起伏的地表吻合以避免人为的产生边界散射.这种网格被称作贴体网格<sup>[53,54]</sup>,且广泛应用于数值模拟中<sup>[25,55~59]</sup>.贴体网格可以通过由计算空间到物理空间的坐标变换来获得(图1).通过坐标变换曲线坐标系的 *q*,*r* 映射到物理空间的笛卡尔坐标系,这两个坐标系中垂直轴都取向下方向为正.

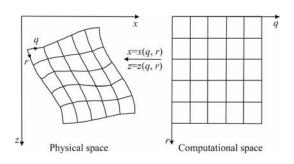


图 1 计算域和物理域映射示意图[22]

Fig. 1 Mapping between computational and physical space in two dimensions<sup>[22]</sup>

贴体网格可分为规则网格和不规则网格两种. 规则网格在每个坐标轴方向都有固定的单元数,在 二维情况下这些单元一般为四边形.本研究中采用 了规则的贴体网格.生成贴体曲线网格的方法主要 有代数法、保角变换法及偏微分方程法等[53,54,60,61]. 其中偏微分方程法因其能方便地控制所生成网格点 的疏密,且所生成的网格在边界处有良好的正交性 而得到广泛的应用.本文中采用偏微分方程法生成 贴体网格.

贴体网格生成之后,笛卡尔坐标系中的网格点 与曲线坐标系中的网格点就——对应,即

$$x = x(q,r), z = z(q,r),$$
 (1)

由链锁规则,我们有

$$\partial_x = q_x \partial_q + r_x \partial_r, \qquad (2a)$$

$$\partial_z = q_z \partial_q + r_z \partial_r,$$
 (2b)

$$\partial_q = x_q \partial_x + z_q \partial_z, \qquad (3a)$$

$$\partial_r = x_r \partial_x + z_r \partial_z, \tag{3b}$$

这里  $q_x$  表示  $\partial q(x,z)/\partial x$ ,  $q_z$ ,  $r_x$ ,  $r_z$  的意义也类似. 这些导数叫做度量导数, 把式 (2a,b) 分别代入式 (3a,b), 经过化简, 可得

$$q_x = \frac{z_r}{J}, q_z = \frac{-x_r}{J}, r_x = \frac{-z_q}{J}, r_z = \frac{x_q}{J}.$$
 (4)

J是变换的雅克比矩阵,它可以表示为  $J = x_q z_r - x_r z_q$ ,详细的推导过程请参见附录 A. 值得注意的是,即使映射关系式(1)有解析的形式,度量导数依然通过数值的方式来计算以避免当使用守恒形式的动量方程时系数偏导数引起的假源项<sup>[54]</sup>. 本文中所有例子的度量导数均采用二阶差分计算.

## 3 笛卡尔坐标系和曲线坐标系中的 VTI 介质弹性波方程

对 VTI 介质,不考虑外力时,笛卡尔坐标系中的弹性波方程为

$$\rho \frac{\partial^2 u}{\partial t^2} = \frac{\partial}{\partial x} \left( c_{11} \frac{\partial u}{\partial x} + c_{13} \frac{\partial v}{\partial z} \right) + \frac{\partial}{\partial z} \left( c_{44} \frac{\partial u}{\partial z} + c_{44} \frac{\partial v}{\partial x} \right),$$
(5a)

$$\rho \frac{\partial^2 v}{\partial t^2} = \frac{\partial}{\partial x} \left( c_{44} \frac{\partial v}{\partial x} + c_{44} \frac{\partial u}{\partial z} \right) + \frac{\partial}{\partial z} \left( c_{33} \frac{\partial v}{\partial z} + c_{13} \frac{\partial u}{\partial x} \right), \tag{5b}$$

其中, $c_{ij}(x,z)$ 表示介质的弹性参数;u,v分别是沿x,z轴方向的位移分量; $\rho(x,z)$ 为介质密度.

利用关系式(2a, b),方程(5a, b)可变换为

$$J\rho\,\frac{\partial^2 u}{\partial t^2} =$$

$$\frac{\partial}{\partial q} \{ \mathbf{J} q_x \left[ c_{11} (q_x \partial_q + r_x \partial_r) u + c_{13} (q_z \partial_q + r_z \partial_r) v \right] 
+ \mathbf{J} q_z \left[ c_{44} (q_x \partial_q + r_x \partial_r) v + c_{44} (q_z \partial_q + r_z \partial_r) u \right] \} 
+ \frac{\partial}{\partial r} \{ \mathbf{J} r_x \left[ c_{11} (q_x \partial_q + r_x \partial_r) u + c_{13} (q_z \partial_q + r_z \partial_r) v \right] \right] 
+ \mathbf{J} r_z \left[ c_{44} (q_x \partial_q + r_x \partial_r) v + c_{44} (q_z \partial_q + r_z \partial_r) u \right] \},$$
(6)
$$\mathbf{J} \rho \frac{\partial^2 v}{\partial t^2} = \frac{\partial}{\partial q} \{ \mathbf{J} q_x \left[ c_{44} (q_x \partial_q + r_x \partial_r) v + c_{44} (q_z \partial_q + r_z \partial_r) u \right] \right] 
+ \mathbf{J} q_z \left[ c_{33} (q_z \partial_q + r_z \partial_r) v + c_{13} (q_x \partial_q + r_x \partial_r) u \right] 
+ \frac{\partial}{\partial r} \{ \mathbf{J} r_x \left[ c_{44} (q_x \partial_q + r_x \partial_r) v + c_{44} (q_z \partial_q + r_z \partial_r) u \right]$$

# 4 笛卡尔坐标系和曲线坐标系中的自由边界条件

 $+Jr_z[c_{33}(q_z\partial_q+r_z\partial_r)v+c_{13}(q_x\partial_q+r_x\partial_r)u].$ 

笛卡尔坐标系中的自由边界条件为

$$\begin{bmatrix} c_{11} \frac{\partial u}{\partial x} + c_{13} \frac{\partial v}{\partial z} & c_{44} \frac{\partial u}{\partial z} + c_{44} \frac{\partial v}{\partial x} \\ c_{44} \frac{\partial v}{\partial x} + c_{44} \frac{\partial u}{\partial z} & c_{33} \frac{\partial v}{\partial z} + c_{13} \frac{\partial u}{\partial x} \end{bmatrix} \begin{bmatrix} n_x \\ n_z \end{bmatrix} = 0,$$
(8)

式中, $[n_x, n_z]^T$  为自由表面的内法线. 利用关系式 (2a,b),自由边界条件可变换为

$$\bar{r}_{x} \left[ c_{11} (q_{x} u_{q} + r_{x} u_{r}) + c_{13} (q_{z} v_{q} + r_{z} v_{r}) \right] 
+ \bar{r}_{z} \left[ c_{44} (q_{z} u_{q} + r_{z} u_{r}) + c_{44} (q_{x} v_{q} + r_{x} v_{r}) \right] = 0,$$
(9)

$$\bar{r}_{x} \left[ c_{44} (q_{x}v_{q} + r_{x}v_{r}) + c_{44} (q_{z}u_{q} + r_{z}u_{r}) \right] 
+ \bar{r}_{z} \left[ c_{33} (q_{z}v_{q} + r_{z}v_{r}) + c_{13} (q_{x}u_{q} + r_{x}u_{r}) \right] = 0,$$
(10)

式中,用法向度量来表示法向

$$\bar{r}_x = \frac{r_x}{\sqrt{r_x^2 + r_z^2}}, \ \bar{r}_z = \frac{r_z}{\sqrt{r_x^2 + r_z^2}}.$$
 (11)

## 5 曲线坐标系网格中的离散化方法

为了离散方程 $(6)\sim(7)$ ,我们用以下网格点来离散一矩形区域:

$$q_i = (i-1)h_q, i = 0, \dots, N_q, h_q = \frac{l}{(N_q - 1)},$$
  
 $r_j = (j-1)h_r, j = 0, \dots, N_r, h_r = \frac{w}{(N_r - 1)},$ 

式中,l, w 分别为计算区域的长和宽, $h_q, h_r > 0$  且分别表示沿 q, r 方向的网格间距. 两个波场分量为

$$[u_{i,j}(t),v_{i,j}(t)] = [u(q_i,r_j,t),v(q_i,r_j,t)].$$

为简化起见, 仿照 Appelo 和 Petersson 的做法引入下列差分算子表示符:

$$D_{+}^{q} u_{i,j} = \frac{u_{i+1,j} - u_{i,j}}{h_{q}},$$

$$D_{-}^{q} u_{i,j} = D_{+}^{q} u_{i-1,j},$$

$$D_{0}^{q} u_{i,j} = \frac{1}{2} (D_{+}^{q} u_{i,j} + D_{-}^{q} u_{i,j}),$$

$$D_{+}^{r} u_{i,j} = \frac{u_{i,j+1} - u_{i,j}}{h_{r}},$$

$$D_{-}^{r} u_{i,j} = D_{+}^{r} u_{i,j-1},$$

$$D_{0}^{r} u_{i,j} = \frac{1}{2} (D_{+}^{r} u_{i,j} + D_{-}^{r} u_{i,j}).$$
(12)

方程(6)~(7)的右边部分的空间导数包含了四种基本的类型,可以分别按照以下方式来离散:

$$\frac{\partial}{\partial q}(a_{\omega_q}) \approx D_{-}^q (E_{1/2}^q(a)D_{+}^q \omega),$$

$$\frac{\partial}{\partial q}(b_{\omega_r}) \approx D_{0}^q(b\widetilde{D}_{0}^r \omega),$$

$$\frac{\partial}{\partial r}(c\omega_q) \approx \widetilde{D}_{0}^r(cD_{0}^q \omega),$$

$$\frac{\partial}{\partial r}(d\omega_r) \approx D_{-}^r (E_{1/2}^r(d)D_{+}^r \omega),$$
(13)

式中, $\omega$ 表示u或v;a,b,c,d为度量导数和弹性参数的组合;E为求平均算子:

$$E_{1/2}^{q}(\gamma_{i,j}) = \gamma_{i+1/2,j} = \frac{\gamma_{i,j} + \gamma_{i+1,j}}{2},$$

$$E_{1/2}^{r}(\gamma_{i,j}) = \gamma_{i,j+1/2} = \frac{\gamma_{i,j} + \gamma_{i,j+1}}{2}.$$
(14)

在边界上 (j = 1),采用一阶差分算子来离散 波动方程中混合导数项  $(\partial_q \partial_r \ n \ \partial_r \partial_q)$  中的法向导数  $(\partial_r)$ ,而在内部网格点上  $(j \ge 2)$ ,用二阶中心差分来离散,即

$$\widetilde{D}_{0}^{r}u_{i,j} = \begin{cases} D_{+}^{r}u_{i,j}, & j = 1, \\ D_{0}^{r}u_{i,j}, & j \geqslant 2. \end{cases}$$
(15)

(16)

#### 5.1 VTI 介质波动方程的离散

 $\equiv L^{(u)}(u,v),$ 

采用(13)式近似计算方程(6) $\sim$ (7)的空间导数项,为了便于阅读,去掉了角标(i,j),可得:

$$\begin{split} \boldsymbol{J}\!\rho \, & \frac{\partial^2 u}{\partial t^2} = D_-^q \, \big[ E_{1/2}^q (M_1^{q_1}) D_+^q \, u + E_{1/2}^q (M_2^{q_2}) D_+^q \, v \big] \\ & + D_0^q \big[ M_1^{q_r} \, \widetilde{D_0^r} u + M_2^{q_r} \, \widetilde{D_0^r} v \big] \\ & + \widetilde{D_0^r} \big[ M_1^{q_1} D_0^q u + M_2^{q_2} D_0^q v \big] \\ & + D_-^r \, \big[ E_{1/2}^r (M_1^r) D_+^r \, u + E_{1/2}^r (M_2^r) D_+^r \, v \big] \end{split}$$

$$J\rho \frac{\partial^{2} v}{\partial t^{2}} = D_{-}^{q} \left[ E_{1/2}^{q}(M_{3}^{qq}) D_{+}^{q} v + E_{1/2}^{q}(M_{2}^{qq}) D_{+}^{q} u \right]$$

$$+ D_{0}^{q} \left[ M_{3}^{qr} \widetilde{D_{0}^{r}} v + M_{2}^{rq} \widetilde{D_{0}^{r}} u \right]$$

$$+ \widetilde{D_{0}^{r}} \left[ M_{3}^{rq} D_{0}^{q} v + M_{2}^{qr} D_{0}^{q} u \right]$$

$$+ D_{-}^{r} \left[ E_{1/2}^{r}(M_{3}^{rr}) D_{+}^{r} v + E_{1/2}^{r}(M_{2}^{rr}) D_{+}^{r} u \right]$$

$$\equiv L^{(v)}(u, v).$$

$$(17)$$

其中 M 为

$$M_1^{kl} = Jk_x l_x c_{11} + Jk_z l_z c_{44},$$

$$M_2^{kl} = Jk_x l_z c_{13} + Jk_z l_x c_{44},$$

$$M_3^{kl} = Jk_x l_x c_{44} + Jk_z l_z c_{33},$$
(18)

式中,k,l 表示q 或r;  $k_x$ , $k_z$ , $l_x$ , $l_z$  由公式(4)计算.

在时间域我们用二阶精度的中心差分进行离散,完整形式的离散方程形式如下:

$$\rho\left(\frac{u^{n+1}-2u^{n}+u^{n-1}}{(\Delta t)^{2}}\right)=L^{(u)}(u^{n},v^{n}), \quad (19)$$

$$\rho\left(\frac{v^{n+1}-2v^{n}+v^{n-1}}{(\Delta t)^{2}}\right)=L^{(v)}(u^{n},v^{n}). \quad (20)$$

#### 5.2 自由地表边界条件的离散

边界条件方程(9)~(10)可离散为

$$\frac{1}{2} \left[ (M_1^r)_{i,3/2} D_+^r u_{i,1} + (M_1^r)_{i,1/2} D_+^r u_{i,0} \right] 
+ (M_1^{rq})_{i,1} D_0^q u_{i,1} + \frac{1}{2} \left[ (M_2^r)_{i,3/2} D_+^r v_{i,1} \right] 
+ (M_2^r)_{i,1/2} D_+^r v_{i,0} \right] + (M_2^{rq})_{i,1} D_0^q v_{i,1} = 0,$$
(21)

$$\frac{1}{2} \left[ (M_{3}^{rr})_{i,3/2} D_{+}^{r} v_{i,1} + (M_{3}^{rr})_{i,1/2} D_{+}^{r} v_{i,0} \right] 
+ (M_{3}^{rq})_{i,1} D_{0}^{q} v_{i,1} + \frac{1}{2} \left[ (M_{2}^{rr})_{i,3/2} D_{+}^{r} u_{i,1} \right] 
+ (M_{2}^{rr})_{i,1/2} D_{+}^{r} u_{i,0} \right] + (M_{2}^{qr})_{i,1} D_{0}^{q} u_{i,1} = 0, 
i = 1, \dots, N_{q}.$$
(22)

满足边界条件(21)~(22)的波动方程(19)~(20)的具体的差分格式详见附录 B.

在求  $\partial_q \partial_r$  和  $\partial_r \partial_q$  项中的法向导数  $(\partial_r)$  时巧妙 地运用一阶算子  $D_0'$  是本文所用方法的关键所在,这一点和在笛卡尔坐标系中的情形完全相同. 计算初期,会误以为运用一阶差分算子会降低数值计算的精度,然而,正如 Nilsson 等<sup>[43]</sup>对于在笛卡尔坐标系中的离散所证明的,方程中在自由边界上的一阶离散代入自由边界条件方程后精度就变成了二阶.

模拟中的吸收边界条件采用 Cerjan 等<sup>[62]</sup>建议的吸收边界:

 $G = \exp[-\alpha (I - i)^2]$ ,  $1 \le i \le I$ , (23) 其中 I 为给定的吸收边界带宽的节点数,i 为吸收 边界内的节点号, α 是衰减系数, 其值与 I 的大小有关.

## 6 数值模拟实例

#### 6.1 水平地表模型

首先设计了一个均匀 VTI 介质模型,该模型尺寸为 6000 m×3000 m. 模型介质弹性参数为  $c_{11}$  = 25.5 GPa,  $c_{13}$  = 14.0 GPa,  $c_{33}$  = 18.4 GPa,  $c_{44}$  = 5.6 GPa,密度为 2.59 g/cm³. 模拟计算中,纵横向空间网格大小均为 10 m,时间采样间隔取1 ms. 垂直方向点力源位于地表(500 m,0 m) 处,力源子波函数为

$$f(t) = e^{-0.5f_0^2(t-t_0)^2} \cos \pi f_0(t-t_0), \qquad (24)$$
其中,  $t_0 = 0.5$  s, 截止频率  $f_0 = 10$  Hz.

把数值解与二维兰姆问题的解析解进行对比考查本文方法处理自由地表边界条件的正确性.将 VTI介质自由半空间格林函数和子波函数卷积即 可得到 VTI 介质兰姆问题的解析解<sup>[45,63]</sup>. 从地震记录中分别取炮检距为 120 m 和 990 m 的地震道,和解析解对比结果见图 2,数值解和解析解吻合得很好,说明本文采用的数值方法对自由边界条件的实现是正确的.

图 3(a,b)分别为模拟所得到的水平和垂直分量的地震记录. 图中,直达 qP 波、qSV 波和瑞雷面波(由于瑞雷波和横波的速度差异比较小,在波场记录中很难区分)清晰可见. 由弹性波数值模拟传播 1.8 s 后的波场快照(图 4)可见:各向异性介质中弹性波波前面具有明显的方向性; qSV 波波前初至出现三叉区现象<sup>[32,64,65]</sup>;自由界面产生较强能量的瑞雷面波,并随深度增加迅速衰减;发现连接 qSV波和沿地表传播的 qP 波的首波 H.

#### 6.2 起伏地表模型

起伏地表对地震波的传播有重要影响. 选用了三个起伏地表模型加以研究. 首先是一个边界光滑的小山丘模型,接着我们考虑一个自由表面有半圆

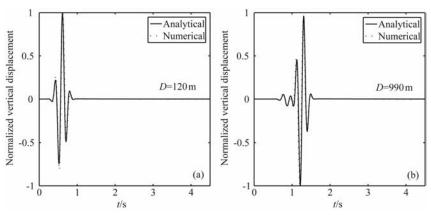


图 2 水平自由表面均匀半空间模型中偏移距分别为 120m (a)和 990 m(b)的 地震道解析解和数值解对比.实线为解析解,虚线为数值解

Fig. 2 Waveform comparisons of the vertical component between analytical and numerical solutions at the receivers with the offsets of 120 and 990 m, respectively, for the homogeneous planar free surface model. Solid and dotted lines are analytical and numerical solutions, respectively.

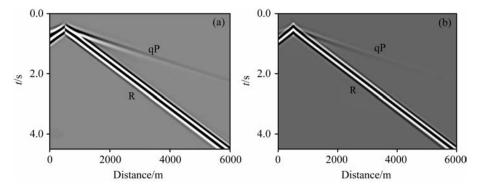


图 3 水平自由表面均匀半空间模型中波场位移 x 分量(a)和 z 分量记录(b)

Fig. 3 Seismograms for the homogeneous planar free surface model

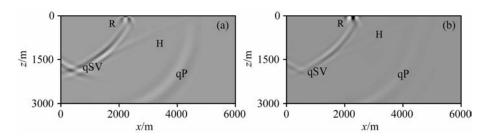


图 4 二维水平自由表面均匀半空间模型波场位移 1.8 s 时 x 分量(a)和 z 分量(b)快照

Fig. 4 Snapshots of the wavefield at 1.8 s for the homogeneous planar free surface model

形凹陷的半空间模型,对这个模型的研究有助于测试本文的方法处理不光滑地表地形的能力,为了简单起见,假设半空间是均匀的,介质的弹性参数与上例相同,震源位于地表距左侧边界 500 m 处.最后是一个地表地形为正弦形变化的不均匀介质模型.三个模型中震源均与水平地表模型的相同.

#### 6.2.1 小山丘地表模型

模型大小为 6 km×3 km,在模型表面的中央有一个小山丘,它的高程可以用高斯函数表示:

$$y(x) = -150 \exp\left(-\left(\frac{x - 3000}{150}\right)^{2}\right) m,$$

$$x \in (0,6) \text{ km.}$$
 (25)

纵横向采样间隔均为 10 m,时间采样间隔为 0.8 ms,图 5 为小山丘模型结构化网格剖分图.图 6(a,b)分别为模拟所得到的水平和垂直分量的地震记录.从图中可以看出,由于自由地表小山丘的影响(与图 3 的地震记录比较),小山丘右侧 qP 波和瑞雷面波的振幅均有所减小,在直达 qP 波之后观测到由瑞雷面波散射转换成的次生 qP 波(RqPf),同理,在瑞雷面波之前也可以观察到由于 qP 散射转换的次生瑞

雷面波(qPRf)的存在,另外,观察到反射的瑞雷面波(qPRb,RR)和 qP波(qPqP,RqPb),这充分地体现了起伏的小山丘对地震波传播的影响.

图  $7(a\sim h)$ 是弹性波数值模拟的垂直分量在不同时刻的波场快照. 从快照图 7(a,b)看,此刻波场中存在的波为 qP 波,qSV 波,瑞雷面波以及连接 qSV波和沿地表传播的 qP波的首波,在2.3 s时

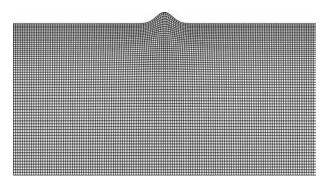


图 5 小山丘模型结构化网格剖分为清楚起见,网格密度减少为原来的 1/3.

Fig. 5 The grids in the hill topography model. For clarity, the grids are displayed with a reducing density factor of 3

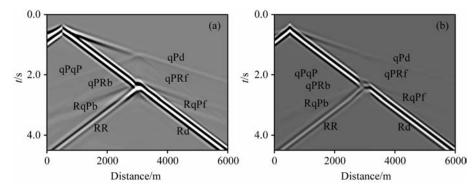


图 6 小山丘模型中波场位移 x 分量(a)和 z 分量(b)记录

图中 qPd,Rd 分别表示 qP 波衍射波和瑞雷面波衍射波;qPRf, qPRb 分别表示 qP 波发生散射,转换为 Rayleigh 面波向前和向后传播; RqPf,RqPb 分别表示 Rayleigh 面波发生散射,转换为 qP 波向前和向后传播;qPqP 表示 qP 波反射波; RR 表示 Rayleigh 波反射波.

Fig. 6 Seismograms for the hill topography model

Symbols mean the following (qPd) qP wave diffracts to qP wave; (Rd) Rayleigh wave diffracts to Rayleigh wave; (qPRf) qP wave scatters to Rayleigh wave and propagates backward; (qPqP) qP wave reflectes to qP wave; (RqPf) Rayleigh wave scatters to qP wave and propagates forward; (RqPb) Rayleigh wave scatters to qP wave and propagates backward; (RqPb) Rayleigh wave scatters to qP wave and propagates backward; (RqPb) Rayleigh wave.

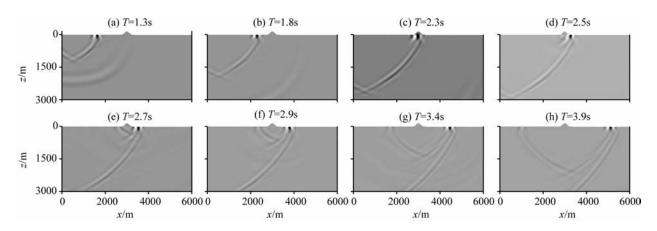


图 7 小山丘模型中波场位移 z 分量不同时刻的快照

Fig. 7 Snapshots of the vertical component of the wavefield at different propagation times for the hill topography model

(图 7c)瑞雷面波刚刚到达小山丘表面,开始产生反射波和转换波.与图 7(a,b)不同的是在快照图 7(e~h)上均可发现有比较明显的反射瑞雷面波的存在,由于在小凸面上存在着波的类型的转换等现象,故反射瑞雷面波的能量大为减弱.同样可发现由小凸面产生的反射 qSV 波存在.

#### 6.2.2 半圆形凹陷地表模型

第一个模型实例的地表起伏是光滑连续的,即地表处处都有连续且有限的斜率. 半圆形凹陷模型在凹陷边缘的斜率是无穷大的,因此模拟这样一个极端的起伏模型具有重要意义. 半圆凹陷位于模型的中央,半径为150 m.

数值模型沿 x、z 分别含有 601、291 个网格点,沿 x 方向的网格间距均为 10 m,垂直方向的网格间距随着深度是变化的,靠近自由表面时间距较小,靠近模型底部时间距较大,最小和最大间距分别为 6 m和 12 m,沿垂向的网格间距平均约为 10.3 m (图 8),时间采样间隔为 0.8 ms.

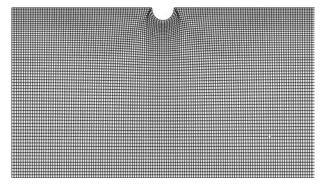


图 8 半圆形凹陷模型结构化网格剖分为清楚起见,网格密度减少为原来的 1/3. Fig. 8 The grids in the semi-circular shape depression topography model
For clarity, the grids are displayed with a reducing density factor of 3.

由于凹陷的存在,qP 波和瑞雷面波的振幅在凹 陷右侧都明显的减小. 另外,在直达 qP 波之后也可 以观察到由瑞雷面波散射转换成的次生 qP 波 (RqPf),同理,在瑞雷面波之前也可以观察到由于 qP 散射转换的次生瑞雷面波(qPRf)的存在,还有一 些反射的瑞雷面波 (qPRb, RR)和 qP 波(qPqP, RqPb). 凹陷的边缘处(x=2850 和 x=3150 m),由 于地形突变而引起的体波和瑞雷面波发生强烈的散 射,这些都可以在地震记录图 9 中清楚地观察到.由 于面波的波长较小,因此瑞雷面波产生的散射要明 显强于体波,这表明这样的陡凹陷模型能明显地阻 碍瑞雷面波的传播.图 10 为波场位移垂直分量的波 场快照,与小山丘模型的波场快照(图7)相比,我们 可以看到由于瑞雷面波在半圆凹陷的边缘就发生散 射,因此反射的瑞雷面波波前较小山丘模型中的(反 射瑞雷面波波前)超前,而且能量也比后者强,这也 表明了陡凹陷模型更能阻碍瑞雷面波的传播.

#### 6.2.3 正弦形地表模型

最后,我们选取一个地表高程可以用下列正弦 函数表述的不均匀介质模型:

$$z(x) = 50 \cdot \sin\left(\frac{x}{70}\right)m, x \in [0 \text{ m}, 6000 \text{ m}]. (26)$$

模型分两层,模型长 6000 m,宽 3000 m,上、下层各宽 1500 m. 选取上层介质的弹性参数与前面例子中的(弹性参数)相同,模型下层的弹性参数为:  $c_{11}$ =71.8 GPa, $c_{13}$ =1.2 GPa, $c_{33}$ =53.4 GPa, $c_{44}$ =26.1 GPa,密度为 2.81 g/cm³.图 11 是正弦形地表地形模型结构化网格剖分图,纵横向网格大小分别为 6 和 10 m.图 12(a~f)分别显示传播 0.8 s, 1.3, 1.8,2.3,3.3 s 和 4.3 s 后垂直分量波场快照,图 13为地表观测的波场位移记录.从中可见,任意起

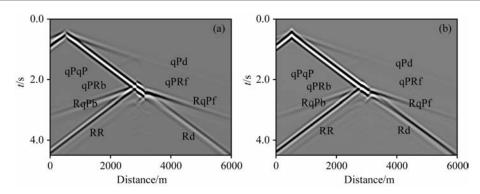


图 9 半圆形凹陷模型中波场位移 x 分量(a)和 z 分量(b)记录(图中符号的含义同图 6) Fig. 9 Seismograms for the semi-circular shape depression topography model (The meanings of the symbols are the same as in Fig. 6)

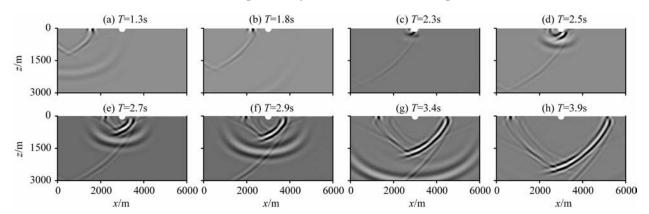


图 10 半圆形凹陷模型中波场位移 z 分量的快照

Fig. 10 Snapshots of the vertical component of the wavefield at different propagation times for the semi-circular shape depression topography model

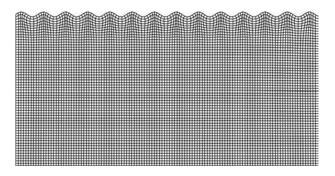


图 11 正弦形地表地形模型结构化网格剖分. 为清楚起见,网格密度减少为原来的 1/5.

Fig. 11 The grids in the sinusoidal topography model.

For clarity, the grids are displayed with

a reducing density factor of 5.

伏地表使得波场成分复杂:单炮记录中直达波同相 轴不再是直线,反射波同相轴变为非双曲线,并显示 出与地形起伏强相关的特点;不规则起伏地表成为 强散射源,导致地表记录和波场快照上散射波发育; 散射波、直达波和反射波混叠使得识别地下的有效 信息变得异常困难.

## 7 认识与结论

本文应用了 Appelo 和 Petersson 的方法,实现了起伏地表下不均匀各向异性介质中地震波场传播的显式有限差分法模拟,获得如下基本认识与结论:

- (1)通过把矩形网格映射到曲线网格上处理了 起伏地表问题,采用在边界上修饰的差分算子离散 波动方程中含垂向导数的混合导数项,有效地处理 了自由地表的问题.
- (2)复杂地形的自由地表模拟实例充分地展现了真实地球表面附近传播的地震波场的复杂.合成地震记录和波场快照显示:地表起伏会使直达波和反射波同相轴弯曲,并显示出与地形起伏强相关的特点;产生散射波、衍射波、多次反射波与转换波等复杂震相;在各向异性介质的波场快照中也观察到qS波三叉区的存在.

**致** 谢 本研究工作得到了中国科学院地质与地球物理研究所张中杰研究员的悉心指导和帮助. 笔者

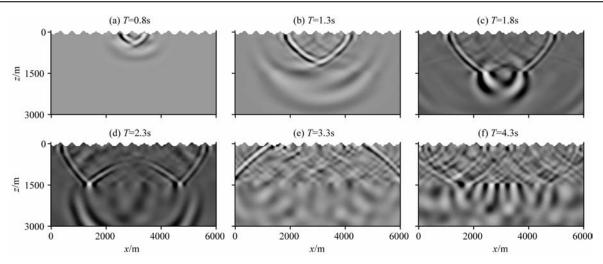


图 12 正弦形地表地形模型中波场位移 z 分量的快照

Fig. 12 Snapshots of the vertical component of the wavefield for the sinusoidal topography model

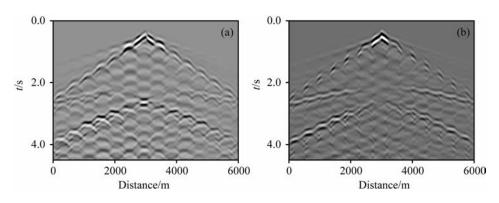


图 13 正弦形地表地形模型中波场位移 x 分量(a)和 z 分量(b)记录

Fig. 13 Synthetic seismograms coming from the sinusoidal surface topography model

在此表示衷心的感谢.

## 附录 A 度量导数

把式 2(a,b)分别代入式 3(a,b),可得

$$\partial_q = x_q (q_x \partial_q + r_x \partial_r) + z_q (q_z \partial_q + r_z \partial_r),$$
 (A1)

$$\partial_r = x_r(q_x \partial_q + r_x \partial_r) + z_r(q_z \partial_q + r_z \partial_r),$$
 (A2)

经过整理可得

$$\partial_q = (x_q q_x + z_q q_z) \partial_q + (x_q r_x + z_q r_z) \partial_r$$
, (A3)

$$\partial_r = (x_r q_x + z_r q_z) \partial_q + (x_r r_x + z_r r_z) \partial_r$$
, (A4)

对比偏导数两边的系数,有

$$x_q q_x + z_q q_z = 1, (A5)$$

$$x_r r_x + z_r r_z = 1,$$
 (A6)

$$x_a r_x + z_a r_z = 0, (A7)$$

$$x_r q_x + z_r q_z = 0, (A8)$$

求解上述方程可得

$$q_x = \frac{1}{J} z_r, q_z = -\frac{1}{J} x_r, r_x = -\frac{1}{J} z_q, r_z = \frac{1}{J} x_q.$$
 (A9)

其中, J 为转换的 Jacobi 矩阵  $J = x_q z_r - x_r z_q$ .

## 附录 B VTI 介质波动方程(曲线坐标系)的 差分格式

在边界上 (j = 1),波动方程需满足自由地表边界条件,把边界条件方程 (21) 代入波动方程 (19),可得到自由地表上水平向的位移分量 u 满足的差分格式:

$$\begin{aligned} u_{i,1}^{n+1} &= 2u_{i,1}^n - u_{i,1}^{n-1} + (\rho \mathbf{J})^{-1} \cdot (\Delta t)^2 * \left\{ \frac{1}{2h_q^2} \{ \left[ (M_1^{qq})_{i,1} + (M_1^{qq})_{i+1,1} \right] \cdot (u_{i+1,1}^n - u_{i,1}^n) \right. \\ &- \left[ (M_1^{qq})_{i,1} + (M_1^{qq})_{i-1,1} \right] \cdot (u_{i,1}^n - u_{i-1,1}^n) + \left[ (M_2^{qq})_{i,1} + (M_2^{qq})_{i+1,1} \right] \\ &\cdot (v_{i+1,1}^n - v_{i,1}^n) - \left[ (M_2^{qq})_{i,1} + (M_2^{qq})_{i-1,1} \right] \cdot (v_{i,1}^n - v_{i-1,1}^n) \} \end{aligned}$$

$$+ \frac{1}{2h_{q}h_{r}} \left[ (M_{1}^{qr})_{i+1,1} \cdot (u_{i+1,2}^{n} - u_{i+1,1}^{n}) - (M_{1}^{qr})_{i-1,1} \cdot (u_{i-1,2}^{n} - u_{i-1,1}^{n}) \right]$$

$$+ (M_{2}^{qr})_{i+1,1} \cdot (v_{i+1,2}^{n} - v_{i+1,1}^{n}) - (M_{2}^{qr})_{i-1,1} \cdot (v_{i-1,2}^{n} - v_{i-1,1}^{n}) \right]$$

$$+ \frac{1}{2h_{q}h_{r}} \left[ (M_{1}^{rq})_{i,2} \cdot (u_{i+1,2}^{n} - u_{i-1,2}^{n}) - (M_{1}^{rq})_{i,1} \cdot (u_{i+1,1}^{n} - u_{i-1,1}^{n}) \right]$$

$$+ (M_{2}^{rq})_{i,2} \cdot (v_{i+1,2}^{n} - v_{i-1,2}^{n}) - (M_{2}^{rq})_{i,1} \cdot (v_{i+1,1}^{n} - v_{i-1,1}^{n}) \right]$$

$$+ \frac{1}{h_{r}^{2}} \left\{ \left[ (M_{1}^{rr})_{i,1} + (M_{1}^{rr})_{i,2} \right] \cdot (u_{i,2}^{n} - u_{i,1}^{n}) + \left[ (M_{2}^{rr})_{i,1} + (M_{2}^{rr})_{i,2} \right] \cdot (v_{i,2}^{n} - v_{i,1}^{n}) \right\}$$

$$+ \frac{1}{h_{r}h_{r}} \left[ (M_{1}^{rq})_{i,1} \cdot (u_{i+1,1}^{n} - u_{i-1,1}^{n}) + (M_{2}^{rq})_{i,1} \cdot (v_{i+1,1}^{n} - v_{i-1,1}^{n}) \right] \right\},$$

$$(B1)$$

方程中各变量的意义同文章中(19)~(20)式相同. 把边界条件方程(22)代入波动方程(20),可得到自由地表上垂直向的位移分量v满足的差分格式:

$$v_{i,1}^{n+1} = 2v_{i,1}^{n} - v_{i,1}^{n-1} + (\rho J)^{-1} \cdot (\Delta t)^{2} * \left\{ \frac{1}{2h_{q}^{2}} \left\{ \left[ (M_{2}^{m})_{i,1} + (M_{2}^{qq})_{i+1,1} \right] \cdot (u_{i+1,1}^{n} - u_{i,1}^{n}) - \left[ (M_{2}^{qq})_{i,1} + (M_{2}^{qq})_{i+1,1} \right] \cdot (u_{i,1}^{n} - u_{i-1,1}^{n}) + \left[ (M_{3}^{qq})_{i,1} + (M_{3}^{qq})_{i+1,1} \right] \right\} + (v_{i+1,1}^{n} - v_{i,1}^{n}) - \left[ (M_{3}^{qq})_{i,1} + (M_{3}^{qq})_{i-1,1} \right] \cdot (v_{i,1}^{n} - v_{i-1,1}^{n}) \right\} + \frac{1}{2h_{q}h_{r}} \left[ (M_{2}^{qq})_{i+1,1} \cdot (u_{i+1,2}^{n} - u_{i+1,1}^{n}) - (M_{2}^{qq})_{i-1,1} \cdot (u_{i-1,2}^{n} - u_{i-1,1}^{n}) + (M_{3}^{qq})_{i+1,1} \cdot (v_{i+1,2}^{n} - v_{i+1,1}^{n}) - (M_{3}^{qq})_{i-1,1} \cdot (v_{i-1,2}^{n} - v_{i-1,1}^{n}) \right] + \frac{1}{2h_{q}h_{r}} \left[ (M_{3}^{qq})_{i,2} \cdot (v_{i+1,2}^{n} - v_{i-1,2}^{n}) - (M_{3}^{qq})_{i,1} \cdot (v_{i+1,1}^{n} - v_{i-1,1}^{n}) + (M_{2}^{qq})_{i,2} \cdot (u_{i+1,2}^{n} - u_{i-1,2}^{n}) - (M_{2}^{qq})_{i,1} \cdot (u_{i+1,1}^{n} - u_{i-1,1}^{n}) \right] + \frac{1}{h_{r}^{2}} \left\{ \left[ (M_{3}^{qq})_{i,1} + (M_{3}^{qq})_{i,2} \right] \cdot (v_{i,2}^{n} - v_{i,1}^{n}) + \left[ (M_{2}^{qq})_{i,1} + (M_{2}^{qq})_{i,2} \right] \cdot (u_{i,2}^{n} - u_{i,1}^{n}) \right\} + \frac{1}{h_{r}h_{r}} \left[ (M_{3}^{qq})_{i,1} \cdot (v_{i+1,1}^{n} - v_{i-1,1}^{n}) + (M_{2}^{qq})_{i,1} \cdot (u_{i+1,1}^{n} - u_{i-1,1}^{n}) \right] \right\}.$$
(B2)

在内部网格点上  $(j \ge 2)$ ,用二阶中心差分来离散,可分别得  $u \searrow 0$  所满足的差分格式:

$$u_{i,j}^{n+1} = 2u_{i,j}^{n} - u_{i,j}^{n-1} + (\rho \mathbf{J})^{-1} \cdot (\Delta t)^{2} * \left\{ \frac{1}{2h_{q}^{2}} \{ \left[ (M_{1}^{m})_{i,j} + (M_{1}^{m})_{i+1,j} \right] \cdot (u_{i+1,j}^{n} - u_{i,j}^{n}) \right. \\ - \left[ (M_{1}^{m})_{i,j} + (M_{1}^{m})_{i-1,j} \right] \cdot (u_{i,j}^{n} - u_{i-1,j}^{n}) + \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i+1,j} \right] \cdot (v_{i+1,j}^{n} - v_{i,j}^{n}) \right. \\ - \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i-1,j} \right] \cdot (v_{i,j}^{n} - v_{i-1,j}^{n}) \} + \frac{1}{4h_{q}h_{r}} \left[ (M_{1}^{m})_{i+1,j} \cdot (u_{i+1,j+1}^{n} - u_{i+1,j-1}^{n}) - (M_{2}^{m})_{i+1,j} \cdot (u_{i+1,j+1}^{n} - v_{i+1,j-1}^{n}) - (M_{2}^{m})_{i-1,j} \right. \\ - \left. (M_{1}^{m})_{i-1,j} \cdot (u_{i-1,j+1}^{n} - u_{i-1,j-1}^{n}) + (M_{2}^{m})_{i+1,j} \cdot (v_{i+1,j+1}^{n} - v_{i+1,j-1}^{n}) - (M_{2}^{m})_{i-1,j} \right. \\ - \left. (v_{i-1,j+1}^{n} - v_{i-1,j-1}^{n}) \right] + \frac{1}{4h_{q}h_{r}} \left[ (M_{1}^{m})_{i,j+1} \cdot (u_{i+1,j+1}^{n} - u_{i-1,j+1}^{n}) - (M_{1}^{m})_{i,j-1} \cdot (w_{1}^{n})_{i,j-1} \right. \\ + \left. (u_{i+1,j-1}^{n} - u_{i-1,j-1}^{n}) + (M_{2}^{m})_{i,j+1} \cdot (v_{i+1,j+1}^{n} - v_{i-1,j+1}^{n}) - (M_{2}^{m})_{i,j-1} \cdot (v_{i+1,j-1}^{n} - v_{i-1,j-1}^{n}) \right] \\ + \frac{1}{2h_{r}^{2}} \left\{ \left[ (M_{1}^{m})_{i,j} + (M_{1}^{m})_{i,j+1} \right] \cdot (u_{i,j+1}^{n} - v_{i,j}^{n}) - \left[ (M_{1}^{m})_{i,j} + (M_{1}^{m})_{i,j-1} \right] \cdot (v_{i,j}^{n} - v_{i,j-1}^{n}) \right\} \right\},$$

$$(B3)$$

$$v_{i,j}^{n+1} = 2v_{i,j}^{n} - v_{i,j}^{n+1} + (\rho \mathbf{J})^{-1} \cdot (\Delta t)^{2} * \left\{ \frac{1}{2h_{q}^{2}} \left[ \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i+1,j} \right] \cdot (u_{i+1,j}^{n} - u_{i,j}^{n}) - \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i+1,j} \right] \cdot (v_{i+1,j}^{n} - v_{i,j}^{n}) - \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i+1,j} \right] \cdot (v_{i+1,j}^{n} - v_{i,j}^{n}) - \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i+1,j} \right] \cdot (v_{i+1,j}^{n} - v_{i,j}^{n}) - \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i+1,j} \right] \cdot (v_{i+1,j}^{n} - v_{i,j}^{n}) - \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i+1,j} \right] \cdot (v_{i+1,j}^{n} - v_{i,j}^{n}) - \left[ (M_{2}^{m})_{i,j} + (M_{2}^{m})_{i+1,j} \right] \cdot (v_{i+1,j}^{n} - v_{i,j}^{n}) + \left[ (M_{2}^{m})_{i+1,j} \right] \cdot (v_{i+1,j}^{n} - v_{i,j}^{n}) + \left[ (M_{2}^{m})_{i+1,j} \right$$

 $-\left.\left(M_{2}^{qq}\right)_{i-1,j}\bullet\left(u_{i-1,j+1}^{n}-u_{i-1,j-1}^{n}\right)+\left(M_{3}^{qr}\right)_{i+1,j}\bullet\left(v_{i+1,j+1}^{n}-v_{i+1,j-1}^{n}\right)-\left(M_{3}^{qr}\right)_{i-1,j}$ 

$$\cdot (v_{i-1,j+1}^{n} - v_{i-1,j-1}^{n}) \Big] + \frac{1}{4h_{q}h_{r}} \Big[ (M_{3}^{qr})_{i,j+1} \cdot (v_{i+1,j+1}^{n} - v_{i-1,j+1}^{n}) - (M_{3}^{qr})_{i,j-1} \\ \cdot (v_{i+1,j-1}^{n} - v_{i-1,j-1}^{n}) + (M_{2}^{qr})_{i,j+1} \cdot (u_{i+1,j+1}^{n} - u_{i-1,j+1}^{n}) - (M_{2}^{qr})_{i,j-1} \cdot (u_{i+1,j-1}^{n} - u_{i-1,j-1}^{n}) \Big] \\ + \frac{1}{2h_{r}^{2}} \Big\{ \Big[ (M_{3}^{rr})_{i,j} + (M_{3}^{rr})_{i,j+1} \Big] \cdot (v_{i,j+1}^{r} - v_{i,j}^{n}) - \Big[ (M_{3}^{rr})_{i,j} + (M_{3}^{rr})_{i,j-1} \Big] \cdot (v_{i,j}^{n} - v_{i,j-1}^{n}) \\ + \Big[ (M_{2}^{rr})_{i,j} + (M_{2}^{rr})_{i,j+1} \Big] (u_{i,j+1}^{n} - u_{i,j}^{n}) - \Big[ (M_{2}^{rr})_{i,j} + (M_{2}^{rr})_{i,j-1} \Big] \cdot (u_{i,j}^{n} - u_{i,j-1}^{n}) \Big\} \Big\}.$$

$$(B4)$$

通过观察上面的差分格式(需把 M 中的  $q_x$ ,  $q_z$ ,  $r_x$ ,  $r_z$  用(4)式替换)不难发现,在实际计算中,差分格式中系数的  $h_q$ ,  $h_r$  (曲线坐标系中的网格间距),同 M 中的  $h_q$ ,  $h_r$  抵消掉了,因此差分格式实际是与  $h_q$ ,  $h_r$  无关的(这只是个虚拟步长,它的值不会影响计算的结果).

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