# Finite-dimensional vertex algebra modules over fixed point commutative subalgebras

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#### Abstract

Let A be a connected commutative  $\mathbb{C}$ -algebra with derivation D, G a finite linear automorphism group of A which preserves D, and  $R = A^G$  the fixed point subalgebra of A under the action of G. We show that if A is generated by a single element as an R-algebra and is a Galois extension over R in the sense of M. Auslander and O. Goldman, then every finite-dimensional vertex algebra R-module has a structure of twisted vertex algebra A-module.

Keywords: vertex algebra; Galois extension; commutative algebra

#### 1 Introduction

Vertex algebras and modules over a vertex algebra were introduced by Borcherds in [4]. As an example, every commutative ring A with an arbitrary derivation D has a structure of vertex algebra, and every ring A-module naturally

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becomes a vertex algebra A-module. However, this does not imply that ring A-modules and vertex algebra A-modules are same. In fact, a vertex algebra  $\mathbb{Z}[z, z^{-1}]$ -module which is not a ring  $\mathbb{Z}[z, z^{-1}]$ -module was given in [4, Section 8], where  $\mathbb{Z}[z, z^{-1}]$  is the ring of Laurent polynomials over  $\mathbb{Z}$ . This tells us that in general these two kind of A-modules are certainly different. From now on, for a commutative  $\mathbb{C}$ -algebra A with derivation D, we shall call a vertex algebra A-module a vertex algebra (A, D)-module to distinguish it from ring A-modules. It is a natural first step to investigate vertex algebra. In [19, 20] for the polynomial ring  $\mathbb{C}[s]$  and the field of rational functions  $\mathbb{C}(s)$ , the finite-dimensional vertex algebra modules which are not  $\mathbb{C}$ -algebra modules are classified.

Let A be a commutative  $\mathbb{C}$ -algebra with derivation D, G a finite linear automorphism group of A which preserves D, and  $R = A^G$  the fixed point subalgebra of A under the action of G. In this paper, we shall investigate a relation between vertex algebra (R, D)-modules and twisted vertex algebra (A, D)-modules. In Theorem 1, I shall show that if A is a connected commutative  $\mathbb{C}$ -algebra generated by a single element as an *R*-algebra and is a finite Galois extension over R in the sense of [3, p.396], then every finite-dimensional indecomposable vertex algebra (R, D)-module becomes a g-twisted vertex algebra (A, D)-module for some  $g \in G$ . This is a generalization of [20, Theorem 1] and is related the following open conjecture on vertex operator algebras: let V be a vertex operator algebra and H a finite automorphism group of V. It is conjectured that under some conditions on V. every irreducible module over the fixed point vertex operator subalgebra  $V^H$ is contained in some irreducible h-twisted V-module for some  $h \in H$  (cf.[7]). The conjecture is confirmed for some examples in [1, 8, 10, 11, 12, 21, 22]. However A is not a vertex operator algebra except in the case that D = 0and  $\dim_{\mathbb{C}} A < \infty$ , Theorem 1 implies that the conjecture holds for all finitedimensional vertex algebra *R*-modules in a stronger sense.

This paper is organized as follows: In Section 2 we recall some notation and properties of Galois extensions of rings, vertex algebras and their modules. In Section 3 we show that every finite-dimensional indecomposable vertex algebra *R*-module becomes a *g*-twisted vertex algebra (A, D)-module for some  $g \in G$ . In Section 4 we give the classification of the finite-dimensional vertex algebra  $\mathbb{C}[s, s^{-1}]$ -modules which are not  $\mathbb{C}$ -algebra  $\mathbb{C}[s, s^{-1}]$ -modules. In Section 5 for the  $\mathbb{C}$ -algebra  $A = \mathbb{C}[s, s^{-1}][t]/(t^n - s)$ , which is a Galois extension over  $\mathbb{C}[s, s^{-1}]$  with Galois group the cyclic group of order *n*, and for all finite-dimensional indecomposable vertex algebra  $\mathbb{C}[s, s^{-1}]$ -modules  $(M, Y_M)$  obtained in Section 4, we study twisted vertex algebra (A, D)-module structures over  $(M, Y_M)$ .

### 2 Preliminary

We assume that the reader is familiar with the basic knowledge on vertex algebras as presented in [4, 9, 17].

Throughout this paper all rings and algebras are commutative and associative and have identity elements, R denotes a ring, R[Z] denotes the polynomial ring in one variable Z over R, G denotes a finite group,  $\zeta_p$  denotes a primitive p-th root of unity for a positive integer p, and  $(V, Y, \mathbf{1})$  denotes a vertex algebra. Recall that V is the underlying vector space,  $Y(\cdot, x)$  is the linear map from V to  $(\text{End } V)[[x, x^{-1}]]$ , and  $\mathbf{1}$  is the vacuum vector. Let  $\mathcal{D}$ be the endomorphism of V defined by  $\mathcal{D}v = v_{-2}\mathbf{1}$  for  $v \in V$ .

First, we recall some results in [3, 5, 6, 15] for separable algebras over a ring. A ring R is called *connected* if R has no idempotent other than 0 and 1. An R-algebra A is called *separable* if A is a projective  $A \otimes_R A$ -module. An R-algebra A is called *strongly separable* if it is finitely generated, projective, and separable over R. Let us recall the Galois extension of R introduced in [3, p.396]. The following definition, which is equivalent to that in [3, p.396], is given in [5, Theorem 1.3].

**Definition 1.** Let A be a ring extension of R and let G be a finite group of automorphisms of A. We denote by  $A^G$  the fixed point subring of A under the action of G. The ring A is called a *Galois extension* of R with Galois group G, if the following three conditions hold:

- (1)  $A^G = R$ .
- (2) For each non-zero idempotent  $e \in A$  and each  $g \neq h$  in G, there is an element  $x \in A$  with  $g(x)e \neq h(x)e$ .
- (3) A is a separable R-algebra.

Note that if A is connected, then the condition (2) in Definition 1 is always satisfied. It follows from [5, Theorem 1.3] that if A is a Galois extension of R, then A is a strongly separable R-algebra.

In [15, p.467], A polynomial  $P(Z) \in R[Z]$  is called *separable* in case P(Z) is monic and the factor ring R[Z]/(P(Z)) is a separable *R*-algebra. In this case, R[Z]/(P(Z)) is strongly separable since R[Z]/(P(Z)) is a free *R*-module of rank deg P(Z). For an *R*-algebra *A*, an element  $\theta \in A$  is called a *primitive element* if  $A = R[\theta]$ , namely *A* is generated by a single element  $\theta$  as an *R*-algebra. It is shown in [15, Theorem 2.9] that if *A* is a strongly separable *R*-algebra and if *A* has a primitive element, then there is a separable polynomial P(Z) such that  $A \cong R[Z]/(P(Z))$  as *R*-algebras.

Let R be a connected ring,  $P(Z) \in R[Z]$  a separable polynomial, and suppose that the factor ring A = R[Z]/(P(Z)) is connected and is a Galois extension of R with Galois group G. Set  $\theta = Z + P(Z) \in A$ . Since  $A = R[\theta]$ , we have  $g(\theta) \neq \theta$  for all  $g \in G$  without the identity element. By [5, Lemma 4.1] and [15, Lemma 2.1], the order of G is equal to deg P(Z). Thus, Gacts regularly on the set of all roots of the polynomial P(Z) in A and hence  $P(Z) = \prod_{g \in G} (Z - g(\theta))$ . For an R-linear homomorphism f from A to an R-algebra B, [15, Lemma 2.1] says that  $f(g(\theta)) \neq f(h(\theta))$  for all  $g \neq h$  in G. This tells us that if B is an integral domain, f induces a bijection from  $\{g(\theta) \mid g \in G\}$  to the set of all roots of  $f(P(Z)) \in B[Z]$  in B. In particular, f(P(Z)) has no multiple root.

Next, we recall some results in [4] for a vertex algebra constructed from a commutative  $\mathbb{C}$ -algebra with a derivation.

**Proposition 1.** [4] *The following hold:* 

(1) Let A be a commutative  $\mathbb{C}$ -algebra with identity element 1 and D a derivation of A. For  $a \in A$ , define  $Y(a, x) \in (\text{End } A)[[x]]$  by

$$Y(a, x)b = \sum_{i=0}^{\infty} \frac{1}{i!} (D^i a)bx^i$$

for  $b \in A$ . Then, (A, Y, 1) is a vertex algebra.

(2) Let  $(V, Y, \mathbf{1})$  be a vertex algebra such that  $Y(u, x) \in (\operatorname{End} V)[[x]]$  for all  $u \in V$ . Define a multiplication on V by  $uv = u_{-1}v$  for  $u, v \in V$ . Then, V is a commutative  $\mathbb{C}$ -algebra with identity element  $\mathbf{1}$  and  $\mathcal{D}$  is a derivation of V.

Throughout the rest of this section, A is a commutative  $\mathbb{C}$ -algebra with identity element 1 and D a derivation of A. Let (A, Y, 1) be the vertex algebra

constructed from A and D in Proposition 1 and let  $(M, Y_M)$  be a vertex algebra A-module. We call M a vertex algebra (A, D)-module to distinguish vertex algebra A-modules from  $\mathbb{C}$ -algebra A-modules as stated in Section 1.

**Proposition 2.** [4] The following hold:

(1) Let M be a  $\mathbb{C}$ -algebra A-module. For  $a \in A$ , define  $Y_M(a, x) \in (\operatorname{End}_{\mathbb{C}} M)[[x]]$ by

$$Y(a,x)u = \sum_{i=0}^{\infty} \frac{1}{i!} (D^i a) u x^i$$

for  $u \in M$ . Then,  $(M, Y_M)$  is a vertex algebra (A, D)-module.

(2) Let  $(M, Y_M)$  be a vertex algebra (A, D)-module such that  $Y(a, x) \in (\operatorname{End}_{\mathbb{C}} M)[[x]]$  for all  $a \in A$ . Define an action of A on M by  $au = a_{-1}u$  for  $a \in A$  and  $u \in M$ . Then, M is a  $\mathbb{C}$ -algebra A-module.

By Proposition 2, if there exists a vertex algebra (A, D)-module  $(M, Y_M)$ with  $Y_M(a, x) \notin (\operatorname{End}_{\mathbb{C}} M)[[x]]$  for some element a in A, then vertex algebra (A, D)-modules and  $\mathbb{C}$ -algebra A-modules are different. However, no simple criterion for the existence of such a module  $(M, Y_M)$  is known.

For a  $\mathbb{C}$ -linear automorphism g of V of finite order p, set  $V^r = \{u \in V \mid gu = \zeta_p^r u\}, 0 \leq r \leq p-1\}$ . We recall the definition of g-twisted V-modules.

**Definition 2.** A *g*-twisted V-module M is a vector space equipped with a linear map

$$Y_M(\cdot, x) : V \ni v \mapsto Y_M(v, x) = \sum_{i \in (1/p)\mathbb{Z}} v_i x^{-i-1} \in (\text{End}_{\mathbb{C}} M)[[x^{1/p}, x^{-1/p}]]$$

which satisfies the following four conditions:

- (1)  $Y_M(u, x) = \sum_{i \in r/p + \mathbb{Z}} u_i x^{-i-1}$  for  $u \in V^r$ .
- (2)  $Y_M(u, x)w \in M((x^{1/p}))$  for  $u \in V$  and  $w \in M$ .
- (3)  $Y_M(\mathbf{1}, x) = \mathrm{id}_M$ .

(4) For 
$$u \in V^r$$
,  $v \in V^s$ ,  $m \in r/T + \mathbb{Z}$ ,  $n \in s/T + \mathbb{Z}$ , and  $l \in \mathbb{Z}$ ,  

$$\sum_{i=0}^{\infty} \binom{m}{i} (u_{l+i}v)_{m+n-i}$$

$$= \sum_{i=0}^{\infty} \binom{l}{i} (-1)^i (u_{l+m-i}v_{n+i} + (-1)^{l+1}v_{l+n-i}u_{m+i}).$$

For a g-twisted vertex algebra (A, D)-module  $(M, Y_M)$  and a linear automorphism h of A which preserves D, define  $(M, Y_M) \circ h = (M \circ h, Y_{M \circ h})$ by  $M \circ h = M$  as vector spaces and  $Y_{M \circ h}(a, x) = Y_M(ha, x)$  for all  $a \in A$ . Then,  $(M, Y_M) \circ h$  is a  $h^{-1}gh$ -twisted vertex algebra (A, D)-module.

# 3 Finite-dimensional vertex algebra modules over fixed point commutative subalgebras

Throughout this section, R is a connected commutative  $\mathbb{C}$ -algebra, A is a commutative  $\mathbb{C}$ -algebra generated by a single element as an R-algebra and is a Galois extension of R with Galois group G. It follows from [15, Theorem 2.9] that  $A \cong R[Z]/(P(Z))$  as R-algebras for some separable polynomial  $P(Z) \in R[Z]$ . Let D be a derivation of A which is invariant under the action of G. For a finite-dimensional vertex algebra (R, D)-module  $(M, Y_M), g \in G$  of order p, and a linear map  $\tilde{Y}(\cdot, x)$  from A to  $(\operatorname{End}_{\mathbb{C}} M)((x^{1/p}))$ , we call  $(M, \tilde{Y}_M)$  a g-twisted vertex algebra (A, D)-module structure over  $(M, Y_M)$  if  $(M, \tilde{Y}_M)$  is a g-twisted vertex algebra (A, D)-module and if  $\tilde{Y}(\cdot, x)|_R = Y(\cdot, x)$ .

In this section, we shall show that every finite-dimensional indecomposable vertex algebra (R, D)-module has a g-twisted vertex algebra (A, D)module structure over  $(M, Y_M)$  for some  $g \in G$ . We use the following notation in [20, Section 3]. For a commutative ring C, let  $\operatorname{Mat}_n(C)$  denote the set of all  $n \times n$  matrices with entries in C. Let  $E_n$  denote the  $n \times n$  identity matrix and let  $E_{ij}$  denote the matrix whose (i, j) entry is 1 and all other entries are 0. Define  $\Delta_k(C) = \{(x_{ij}) \in \operatorname{Mat}_n(C) \mid x_{ij} = 0 \text{ if } i + k \neq j\}$  for  $0 \leq k \leq n$ . Then, for  $a \in \Delta_k(C)$  and  $b \in \Delta_l(C)$ , we have  $ab \in \Delta_{k+l}(C)$ . For  $X = (x_{ij}) \in \operatorname{Mat}_n(C)$  and  $k = 0, \ldots, n-1$ , define the matrix  $X^{(k)} =$  $\sum_{i=1}^n x_{i,i+k} E_{i,i+k} \in \Delta_k(C)$ . For a upper triangular matrix X, we see that  $X = \sum_{k=0}^{n-1} X^{(k)}$ .

Let A be a commutative  $\mathbb{C}$ -algebra, D a derivation of A, g a  $\mathbb{C}$ -linear automorphism of A of finite order p. For a vector space W over  $\mathbb{C}$  and a linear map  $Y_W(\cdot, x)$  from A to  $(\operatorname{End}_{\mathbb{C}} W)[[x^{1/p}, x^{-1/p}]]$ , we denote by  $\mathcal{A}_W(A)$ the subalgebra of  $\operatorname{End}_{\mathbb{C}} W$  generated by all coefficients of  $Y_W(a, x)$  where a ranges over all elements of A. Let M be a finite-dimensional g-twisted vertex algebra (A, D)-module. Then,  $\mathcal{A}_M(A)$  is a commutative  $\mathbb{C}$ -algebra and M is a finite-dimensional  $\mathcal{A}_M(A)$ -module. Note that every  $\mathcal{A}_M(A)$ -module becomes g-twisted vertex algebra (A, D)-module. Let  $\mathcal{J}_M(A)$  denote the Jacobson radical of  $\mathcal{A}_M(A)$ . Recall that the module top  $M = M/\mathcal{J}_M(A)M$  is called the top of M, which is completely reducible (cf. [2, Chapter I]). Since  $\mathcal{A}_M(A)$  is a finite-dimensional commutative C-algebra, the Wedderburn-Malcev theorem (cf.[18, Section 11.6]) says that  $\mathcal{A}_M(A) = \bigoplus_{i=1}^m \mathbb{C}e_i \oplus \mathcal{J}_M(A)$  where  $e_1, \ldots, e_m$ are primitive orthogonal idempotents of  $\mathcal{A}_M(A)$ . For  $U \in \mathcal{A}_M(A)((x))$ , we denote by  $U^{[0]}$  the image of U under the projection  $\mathcal{A}_M(A)((x)) =$  $\oplus_{i=1}^m \mathbb{C}((x))e_i \oplus \mathcal{J}_M(A)((x)) \to \oplus_{i=1}^m \mathbb{C}((x))e_i \cong \mathbb{C}((x))^{\oplus m}$ . We denote by  $\psi[A, (M, Y_M)]$  the  $\mathbb{C}$ -algebra homomorphism  $Y_M(\cdot, x)^{[0]}$  from A to  $\mathbb{C}((x))^{\oplus m}$ , which corresponds to the module top M. Note that  $\mathcal{J}_M(A)^n((x)) = 0$ , where  $n = \dim_{\mathbb{C}} M$ . Since  $\mathcal{A}_M(A)$  is commutative, we shall sometimes identify  $\operatorname{End}_{\mathbb{C}} M$  with  $\operatorname{Mat}_n(\mathbb{C})$  by fixing a basis of M so that all elements of  $\mathcal{A}_M(A)$ are upper triangular matrices. Under this identification, for  $U \in \mathcal{A}_M(A)((x))$ we see that  $U^{[0]} = U^{(0)}$ .

Let M be a finite-dimensional indecomposable vertex algebra (R, D)module. Since  $\mathcal{A}_M(R)$  is local, we see that  $\mathcal{A}_M(R) = \mathbb{C} \operatorname{id} \oplus \mathcal{J}_M(R)$ . In this case we shall often identify the subalgebra  $\mathbb{C}((x))$  id in  $\mathcal{A}_M(A)((x))$ with  $\mathbb{C}((x))$ . Let  $(M, \tilde{Y}_M)$  be a g-twisted vertex algebra (A, D)-module structure over  $(M, Y_M)$ . Since  $\mathcal{A}_M(R)$  is a subalgebra of  $\mathcal{A}_M(A)$ , we see that M is an indecomposable  $\mathcal{A}_M(A)$ -module. Therefore,  $\mathcal{A}_M(A)$  is local since  $\mathcal{A}_M(A)$  is commutative. Thus,  $\mathcal{A}_M(A) = \mathbb{C} \operatorname{id} \oplus \mathcal{J}_M(A)$  and hence  $\psi[A, (M, \tilde{Y}_M)]|_R = \psi[R, (M, Y_M)]$ . It follows from Nakayama's lemma (cf. [2, Lemma 2.2]) that  $\mathcal{J}_M(A)M \neq M$  and hence  $\mathcal{J}_M(A)M = \mathcal{J}_M(R)M$  is a proper  $\mathcal{A}_M(A)$ -submodule of M. This tells us that top  $M = M/\mathcal{J}_M(R)M$  has a g-twisted vertex algebra (A, D)-module structure over (top  $M, Y_{\text{top} M})$ . We conclude that a g-twisted vertex algebra (A, D)-module structure  $(M, \tilde{Y}_M)$ over  $(M, Y_M)$  induces a g-twisted vertex algebra (A, D)-module structure (top  $M, \tilde{Y}_{\text{top} M})$  over (top  $M, Y_{\text{top} M})$ .

Now we state our main theorem.

**Theorem 1.** Let A be a connected commutative  $\mathbb{C}$ -algebra which is a Galois

extension of R with Galois group G and let D be a derivation of A which is invariant under the action of G. Suppose A is generated by a single element as an R-algebra. Then, for every non-zero finite-dimensional indecomposable vertex algebra (R, D)-module  $(M, Y_M)$ , we have the following results:

- (1) M has a g-twisted vertex algebra (A, D)-module structure over  $(M, Y_M)$ for some  $g \in G$ .
- (2) Let  $g \in G$ . If top M has a g-twisted vertex algebra (A, D)-module structure over  $(top M, Y_{top M})$ , then M has a unique g-twisted vertex algebra (A, D)-module structure  $(M, \tilde{Y}_M)$  over  $(M, Y_M)$  such that top  $M \cong M/\mathcal{J}_M(A)M$  as g-twisted vertex algebra (A, D)-modules.
- (3) Let  $g \in G$  and let  $(M, \tilde{Y}_M)$  be a g-twisted vertex algebra (A, D)-module structure over  $(M, Y_M)$ . Then,  $\tilde{Y}_M \circ h, h \in G$ , are all distinct homomorphisms from A to  $(\operatorname{End}_{\mathbb{C}} M)((x^{1/|g|}))$ .
- (4) For each k = 1, 2, let  $g_k$  be an element in G and let  $(M, \tilde{Y}_M^k)$  be a  $g_k$ -twisted vertex algebra (A, D)-module structure over  $(M, Y_M)$ . Then,  $(M, \tilde{Y}_M^1) \circ h \cong (M, \tilde{Y}_M^2)$  for some  $h \in G$ .

Proof. Set  $n = \dim_{\mathbb{C}} M$  and N = |G|. Let the notation be as above. By [15, Theorem 2.9], we may assume A = R[Z]/(P(Z)) where  $P(Z) = \sum_{i=0}^{N} P_i Z^i \in R[Z]$  is a separable polynomial. We denote by  $R_0$  the image of the homomorphism  $\psi[R, (M, Y_M)] : R \to \mathbb{C}((x))$ , by  $Q(R_0)$  the quotient field of  $R_0$  in  $\mathbb{C}((x))$ , by  $\theta$  the primitive element  $Z + (P(Z)) \in A$ , by  $\hat{P}(Z) \in (\mathcal{A}_M(R)((x)))[Z]$  the image of P(Z) under the map  $Y_M(\cdot, x)$ , and by  $\hat{P}^{[0]}(Z) \in \mathbb{C}((x))[Z]$  the image of P(Z) under the map  $\psi[R, (M, Y_M)]$ . We write  $\hat{P}(Z) = \sum_{i=0}^{N} \hat{P}_i(x)Z^i, \hat{P}_i(x) \in \mathcal{A}_M(R)((x))$ . We use [20, Lemma 4] by setting  $\mathcal{B} = R \cup \{\theta\}$ .

It is well known that any finite extension of  $\mathbb{C}((x))$  is  $\mathbb{C}((x^{1/j}))$  for some positive integer j and  $\Omega = \bigcup_{j=1}^{\infty} \mathbb{C}((x^{1/j}))$  is the algebraic closure of  $\mathbb{C}((x))$ (cf. [13, Corollary 13.15]). The field  $\mathbb{C}((x^{1/j}))$  becomes a Galois extension of  $\mathbb{C}((x))$  whose Galois group is the cyclic group generated by the automorphism sending  $x^{1/j}$  to  $\zeta_j x^{1/j}$ . Let  $K_0$  denote the splitting field of  $\hat{P}^{[0]}(Z)$  in  $\Omega$ .

(1) Since  $K_0$  is a finite extension of  $Q(R_0)$  and  $Q(R_0)$  is a subfield of  $\mathbb{C}((x))$ , we see that  $K_0\mathbb{C}((x)) = \mathbb{C}((x^{1/p}))$  for some positive integer p. It follows from the isomorphism  $\operatorname{Gal}(\mathbb{C}((x^{1/p}))/\mathbb{C}((x))) \cong \operatorname{Gal}(K_0/(K_0 \cap \mathbb{C}((x))))$ that  $\operatorname{Gal}(K_0/(K_0 \cap \mathbb{C}((x))))$  has an element  $\sigma$  of order p. Since  $K_0$  is a field, there is  $a_0 \in K_0$  such that  $\sigma a_0 = \zeta_p^j a_0$  with (j, p) = 1. It follows from  $a_0^p \in K_0 \cap \mathbb{C}((x))$  that  $a_0$  is a root of the polynomial  $Z^p - a_0^p \in \mathbb{C}((x))[Z]$ . Thus,  $a_0$  is an element of  $x^{-r/p}\mathbb{C}((x))$  for some integer r. We have (r, p) = 1 since  $a_0^i \notin K_0^{\langle \sigma \rangle}$  for all  $i = 1, \ldots, p - 1$ . Let  $\gamma, \delta$  be integers with  $\gamma r + \delta p = 1$ . By replacing  $a_0$  by  $a_0^{\gamma}$ , we have  $\sigma a_0 = \zeta_p^{\gamma j} a_0$  and  $a_0 \in x^{-1/p}\mathbb{C}((x))$ . Since  $(\gamma j, p) = 1$ , by replacing  $\sigma$ by a suitable power of  $\sigma$ , we have  $\sigma a_0 = \zeta_p a_0$  and  $a_0 \in x^{-1/p}\mathbb{C}((x))$ . For all  $b_0 \in K_0$  with  $\sigma b_0 = \zeta_p^i b_0$ , we have  $\sigma(a_0^{-i}b_0) = a_0^{-i}b_0$  and hence  $b_0 \in x^{-i/p}\mathbb{C}((x))$ .

Let  $T(x)^{[0]} \in K_0$  be a root of  $\hat{P}^{[0]}(Z)$ . We have a  $\mathbb{C}$ -algebra homomorphism  $\rho$  from A = R[Z]/(P(Z)) to  $K_0$  with  $\rho(\theta) = T(x)^{[0]}$ . Since  $\sigma$  fixes all elements in  $Q(R_0) \subset K_0 \cap \mathbb{C}((x))$ ,  $\sigma(T(x)^{[0]})$  is a root of  $\hat{P}^{[0]}(Z)$ . Since  $A = R[\theta]$  and  $\rho$  induces a bijection from  $\{g(\theta) \mid g \in G\}$ to the set of all roots of  $\hat{P}^{[0]}(Z)$  in  $K_0$  as explained just before Proposition 1,  $T(x)^{[0]}$  is a primitive element of  $K_0$  over  $Q(R_0)$  and there exists a unique  $g \in G$  with  $\rho(g(\theta)) = \sigma(T(x)^{[0]}) = \sigma(\rho(\theta))$ . These results tell us that  $\rho g = \sigma \rho$  and hence the order of g is equal to p.

Set  $\hat{P}^{[1]}(Z) = \hat{P}(Z) - \hat{P}^{[0]}(Z)$  id  $\in \mathcal{J}_M(R)((x))[Z]$  and  $\hat{P}^{[k]}(Z) = 0$  for all  $k \ge 2$ . We write  $\hat{P}^{[k]}(Z) = \sum_{i=0}^N \hat{P}_i(x)^{[k]} Z^i, \hat{P}_i(x)^{[k]} \in \mathcal{J}_M(R)^k((x)),$ for all  $k \ge 0$ .

Since  $\hat{P}^{[0]}(Z)$  has no multiple root in  $\Omega$ , we see that  $(d\hat{P}^{[0]}/dZ)(T(x)^{[0]}) \neq 0$ . For  $k = 1, 2, \ldots, n-1$  we inductively define  $T(x)^{[k]} \in \mathcal{J}_M(R)^k((x^{1/p}))$  by

$$T(x)^{[k]} = -\left(\frac{d\dot{P}^{[0]}}{dZ}(T(x)^{[0]})\right)^{-1} \times \sum_{i=0}^{N} \sum_{\substack{j_0=0 \ 0 \le j_1, \dots, j_i < k \\ j_0+j_1+\dots+j_i = k}} \hat{P}_i(x)^{[j_0]}T(x)^{[j_1]}\cdots T(x)^{[j_i]}.$$
 (3.1)

Set  $T(x) = \sum_{k=0}^{n-1} T(x)^{[k]} \in \mathcal{A}_M(R)((x^{1/p}))$ . Since  $\mathcal{J}_M(R)^n((x)) = 0$ ,

we have

$$\begin{split} \hat{P}(T(x)) &= \hat{P}^{[0]}(T(x)^{[0]}) \\ &+ \sum_{k=1}^{n-1} \sum_{i=0}^{N} \sum_{\substack{0 \le j_0, j_1, \dots, j_i \\ j_0 + j_1 + \dots + j_i = k}} \hat{P}_i(x)^{[j_0]} T(x)^{[j_1]} \cdots T(x)^{[j_i]} \\ &= 0 + \sum_{k=1}^{n-1} \left( T(x)^{[k]} \frac{d\hat{P}^{[0]}}{dZ} (T(x)^{[0]}) \right) \\ &+ \sum_{i=0}^{N} \sum_{\substack{j_0 = 0 \\ j_0 + j_1 + \dots + j_i = k}} \hat{P}_i(x)^{[j_0]} T(x)^{[j_1]} \cdots T(x)^{[j_i]} \right) \\ &= 0. \end{split}$$

This result enables us to define a homomorphism  $\tilde{Y}_M(\cdot, x)$  from A = R[Z]/(P(Z)) to  $\mathcal{A}_M(R)((x^{1/p}))$  sending  $\theta$  to T(x). Since  $\mathcal{A}_M(R)$  is commutative, the subalgebra  $\mathcal{A}_M(A)$  of  $\operatorname{End}_{\mathbb{C}} M$  obtained by  $\tilde{Y}_M(\cdot, x)$  is commutative.

For all  $b \in A$  with  $gb = \zeta_p^i b$ , we shall show that  $\tilde{Y}_M(b, x) \in x^{-i/p}(\operatorname{End}_{\mathbb{C}} M)((x))$ . Set  $B(x) = \tilde{Y}_M(b, x)$  and  $Q(x) = B(x)^p \in \mathcal{A}_M(R)((x))$ . We identify  $\operatorname{End}_{\mathbb{C}} M$  with  $\operatorname{Mat}_n(\mathbb{C})$  by fixing a basis of M so that all elements of  $\mathcal{A}_M(R)$  are upper triangular matrices. We use the expansion  $B(x) = \sum_{k=0}^{n-1} B(x)^{(k)}, B(x)^{(k)} \in \Delta_k(\operatorname{End}_{\mathbb{C}} M)((x^{1/p}))$ . Since  $\zeta_p^i \rho(b) = \rho(gb) = \sigma(\rho(b))$ , we have already seen that  $B(x)^{(0)} = \rho(b) \in x^{-i/p}(\operatorname{End}_{\mathbb{C}} M)((x))$ . By  $B(x)^p = Q(x)$ , for all  $k = 1, \ldots, n-1$  we have

$$B(x)^{(k)} = -p^{-1} (B(x)^{(0)})^{-p+1} \times (Q(x)^{(k)} + \sum_{\substack{0 \le j_1, \dots, j_p < k \\ j_1 + \dots + j_p = k}} B(x)^{(j_1)} \cdots B(x)^{(j_p)}).$$

It follows by induction on k that  $B(x)^{(k)} \in x^{-i/p}(\operatorname{End}_{\mathbb{C}} M)((x))$  and hence  $B(x) \in x^{-i/p}(\operatorname{End}_{\mathbb{C}} M)((x))$ .

It follows from  $P(\theta) = 0$  that  $0 = D(P(\theta)) = \sum_{i=0}^{N} (DP_i)\theta^i + (dP/dZ)(\theta)(D\theta)$ . Note that  $(d\hat{P}(Z)/dZ)(T(x))$  is an invertible element in  $\mathcal{A}_M(R)((x^{1/p}))$ since  $(d\hat{P}^{[0]}(Z)/dZ)(T(x)^{[0]}) \neq 0$ . Since  $Y_M(DP_i, x) = dY_M(P_i, x)/dx$ for all i, we have  $\tilde{Y}_M(D\theta, x) = d\tilde{Y}_M(\theta, x)/dx$ . We conclude that  $(M, \tilde{Y}_M)$  is a *g*-twisted vertex algebra (A, D)-module structure over  $(M, Y_M)$ .

(2) We denote the order of g by p. Let  $(\operatorname{top} M, \tilde{Y}_{\operatorname{top} M})$  be a g-twisted vertex algebra (A, D)-module structure over  $(\operatorname{top} M, Y_{\operatorname{top} M})$ . Let us denote by  $\varphi$  the map  $\tilde{Y}_{\operatorname{top} M}(\cdot, x) : A \to \Omega$ , namely  $\varphi = \psi[A, (\operatorname{top} M, \tilde{Y}_{\operatorname{top} M})]$ . Note that  $\varphi|_R = \psi[R, (M, Y_M)]$  and  $\varphi(\theta)$  is a root of  $\hat{P}^{[0]}(Z)$  in  $\Omega$ . By the same argument as in (1), we can construct a root  $T(x) \in \mathcal{A}_M(R)((x^{1/p}))$ of  $\hat{P}(Z)$  whose semisimple part  $T(x)^{[0]}$  is equal to  $\varphi(\theta)$ . The linear homomorphism from A to  $\mathcal{A}_M(R)((x^{1/p}))$  sending  $\theta$  to T(x) induces a gtwisted vertex algebra (A, D)-module structure  $(M, \tilde{Y}_M)$  over  $(M, Y_M)$ . Since  $\theta$  is a primitive element of A over R, we see that  $\psi[A, (M, \tilde{Y}_M)] = \varphi$ .

We shall show the uniqueness of the g-twisted vertex algebra (A, D)module structure over  $(M, Y_M)$  which satisfies the conditions. Let  $(M, \tilde{Y}_M^1)$  be a g-twisted vertex algebra (A, D)-module structure over  $(M, Y_M)$  with  $\psi[(A, (M, \tilde{Y}_M^1)] = \varphi$ . We identify  $\operatorname{End}_{\mathbb{C}} M$  with  $\operatorname{Mat}_n(\mathbb{C})$ by fixing a basis of M so that all elements of  $\mathcal{A}_M(A)$  are upper triangular matrices. Set  $U(x) = \tilde{Y}_M^1(\theta, x) \in (\operatorname{Mat}_n(\mathbb{C}))((x^{1/p}))$ . We use the expansion  $U(x) = \sum_{k=0}^{n-1} U(x)^{(k)}$  and  $\hat{P}_i(x) = \sum_{k=0}^{n-1} \hat{P}_i(x)^{(k)}$ , where  $U(x)^{(k)}, \hat{P}_i(x)^{(k)} \in \Delta_k(\operatorname{End}_{\mathbb{C}} M)((x^{1/p}))$ . Set  $\hat{P}^{(0)}(Z) = \sum_{i=0}^{N} \hat{P}_i(x)^{(0)} Z^i$ . Under the identification of  $\operatorname{End}_{\mathbb{C}} M$  with  $\operatorname{Mat}_n(\mathbb{C})$ , we have  $\hat{P}^{[0]}(Z) =$  $\hat{P}^{(0)}(Z)$ . Note that  $U(x)^{(0)} = \varphi(\theta)$  and we do not assume  $U(x) \in$  $\mathcal{A}_M(R)((x^{1/p}))$ . We have

$$0 = \hat{P}(U(x))$$
  
=  $\hat{P}^{(0)}(U(x)^{(0)})$   
+  $\sum_{k=1}^{n-1} \sum_{i=0}^{N} \sum_{\substack{0 \le j_0, j_1, \dots, j_i \\ j_0 + j_1 + \dots + j_i = k}} \hat{P}_i(x)^{(j_0)} U(x)^{(j_1)} \cdots U(x)^{(j_i)}$   
=  $0 + U(x)^{(k)} \frac{d\hat{P}^{(0)}}{dZ} (U(x)^{(0)})$   
+  $\sum_{k=1}^{n-1} \sum_{i=0}^{N} \sum_{\substack{j_0=0 \ 0 \le j_1, \dots, j_i < k \\ j_0 + j_1 + \dots + j_i = k}} \hat{P}_i(x)^{(j_0)} U(x)^{(j_1)} \cdots U(x)^{(j_i)}$ 

and hence

$$U(x)^{(k)} = -\left(\frac{d\hat{P}^{[0]}}{dZ}(\varphi(\theta))^{-1} \times \sum_{i=0}^{N} \sum_{j_0=0}^{k} \sum_{\substack{0 \le j_1, \dots, j_i < k \\ j_0+j_1+\dots+j_i=k}} \hat{P}_i(x)^{(j_0)} U(x)^{(j_1)} \cdots U(x)^{(j_i)}$$

It follows by induction on k that  $U(x) = \sum_{k=0}^{n-1} U(x)^{(k)}$  is uniquely determined by  $\varphi(\theta)$  and  $\hat{P}(Z)$ . We conclude that M has a unique gtwisted vertex algebra (A, D)-module structure  $(M, \tilde{Y}_M)$  over  $(M, Y_M)$ such that  $\psi[(A, (M, \tilde{Y}_M)] = \varphi$ .

- (3) Let  $h \in G$  with  $h \neq 1$ . Since  $\theta$  and  $h(\theta)$  are distinct roots of P(Z)in A, [15, Lemma 2.1] says that  $\theta - h(\theta)$  is an invertible element of A. Since  $\tilde{Y}_{M \circ h}(\theta, x) = \tilde{Y}_M(h\theta, x) \neq \tilde{Y}_M(\theta, x)$ , we see that  $\tilde{Y}_{M \circ h}(\cdot, x)$  is distinct from  $\tilde{Y}_M(\cdot, x)$ . This says that  $\tilde{Y}_M \circ h, h \in G$ , are all distinct homomorphisms from A to  $(\operatorname{End}_{\mathbb{C}} M)((x^{1/|g|}))$ .
- (4) For each k = 1, 2, let  $g_k$  be an element in G and let  $(M, \tilde{Y}_M^k)$  be a  $g_k$ -twisted vertex algebra (A, D)-module structure over  $(M, Y_M)$ . We denote  $\psi[A, (M, \tilde{Y}_M^k)]$  by  $\psi_k$  and  $\psi[R, (M, Y_M)]$  by  $\psi$  briefly. Since each  $\psi_k$  induces a bijection from  $\{g(\theta) \mid g \in G\}$  to the set of all roots of  $\hat{P}^{[0]}(Z)$  in  $K_0$  as explained just before Proposition 1, there is an element  $h \in G$  with  $\psi_1(h(\theta)) = \psi_2(\theta)$ . This tells us that  $(\operatorname{top} M, \tilde{Y}_{\operatorname{top} M}^1) \circ h \cong (\operatorname{top} M, \tilde{Y}_{\operatorname{top} M}^2)$  and hence  $(M, \tilde{Y}_M^1) \circ h \cong (M, \tilde{Y}_M^2)$  by (2).

# 4 Finite-dimensional vertex algebra $\mathbb{C}[s, s^{-1}]$ modules

Let  $\mathbb{C}[s, s^{-1}]$  be the algebra of Laurent polynomials in one variable s over  $\mathbb{C}$ . In this section we shall classify the finite-dimensional vertex algebra  $\mathbb{C}[s, s^{-1}]$ -modules. We use the notation introduced in Section 3. It is easy to see that every non-zero derivation D of  $\mathbb{C}[s, s^{-1}]$  can be expressed as  $D = (p(s)/s^{N_q})d/ds$  so that the polynomials p(s) and  $s^{N_q}$  in  $\mathbb{C}[s]$  are coprime.

The following lemma easily follows from [20, Lemma 4].

**Lemma 3.** Let the notation be as above. Let M be a finite-dimensional vector space and let  $S(x) = \sum_{i \in \mathbb{Z}} S_{(i)}x^i$  be a non-zero element of  $(\operatorname{End}_{\mathbb{C}} M)((x))$ . Then, there exists a vertex algebra  $(\mathbb{C}[s, s^{-1}], D)$ -module  $(M, Y_M)$  with  $Y_M(s, x) = S(x)$  if and only if the following three conditions hold:

- (i) S(x) is an invertible element in  $(\operatorname{End}_{\mathbb{C}} M)((x))$ .
- (*ii*) For all  $i, j \in \mathbb{Z}$ ,  $S_{(i)}S_{(j)} = S_{(j)}S_{(i)}$ .
- (iii)  $S(x)^{N_q} dS(x)/dx = p(S(x)).$

In this case, for  $u(s) \in \mathbb{C}[s, s^{-1}]$  we have  $Y_M(u(s), x) = u(S(x))$  and hence  $(M, Y_M)$  is uniquely determined by S(x).

*Proof.* If  $(M, Y_M)$  is a vertex algebra  $(\mathbb{C}[s, s^{-1}], D)$ -module, then [20, Lemma 4] tells us that the conditions (i)–(iii) are clearly hold and  $Y_M(u(s), x) = u(S(x))$  for all  $u(s) \in \mathbb{C}[s, s^{-1}]$ .

Conversely, suppose that  $(M, Y_M)$  satisfies the conditions (i)–(iii). We use [20, Lemma 4] by setting  $\mathcal{B} = \{s, s^{-1}\}$ . For  $u(s) \in \mathbb{C}[s]$ , set  $Y_M(u(s), x) = u(S(x))$ . Since S(x) is an invertible element in  $(\operatorname{End}_{\mathbb{C}} M)((x))$ , this induces a  $\mathbb{C}$ -algebra homomorphism from  $\mathbb{C}[s, s^{-1}]$  to  $(\operatorname{End}_{\mathbb{C}} M)((x))$ . Since  $S(x)^{-1}$ is a polynomial in S(x), we see that  $\mathcal{A}_M(\mathbb{C}[s, s^{-1}])$  is commutative. Since

$$Y_M(D(s^{-1}), x) = Y_M(-(Ds)(s^{-2}), x)$$
  
=  $-Y_M(Ds, x)Y_M(s, x)^{-2}$   
=  $\frac{d}{dx}(Y_M(s, x))^{-1}),$ 

we conclude that  $(M, Y_M)$  is a vertex algebra  $(\mathbb{C}[s, s^{-1}], D)$ -module.

Let  $(M, Y_M)$  be a finite-dimensional indecomposable vertex algebra  $\mathbb{C}[s, s^{-1}]$ module. We identify  $\operatorname{End}_{\mathbb{C}} M$  with  $\operatorname{Mat}_n(\mathbb{C})$  by fixing a basis of M so that all elements of  $\mathcal{A}_M(\mathbb{C}[s, s^{-1}])$  are upper triangular matrices. Let  $J_n$  denote the following  $n \times n$  matrix:

$$J_n = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ \vdots & & \ddots & \ddots & 0 \\ \vdots & & & \ddots & 1 \\ 0 & \cdots & \cdots & 0 \end{pmatrix}.$$

We denote  $Y_M(s, x)$  by S(x). We use the expansion  $S(x) = \sum_{k=0}^{n-1} S(x)^{(k)}, S(x)^{(k)} \in \Delta_k(\operatorname{End}_{\mathbb{C}} M)((x))$ , as in Section 3. Recall that under this identification, the semisimple part  $S(x)^{[0]}$  of S(x) is equal to  $S(x)^{(0)}$ .

For all  $H(x) = \sum_{i=L}^{\infty} H_i x^i \in (\operatorname{End}_{\mathbb{C}} M)((x))$  with  $H_L \neq 0$ , we denote L by  $\operatorname{ld}(H(x))$  and  $H_L$  by  $\operatorname{lc}(H(x))$ . Note that if  $\operatorname{ld}(S(x)^{[0]}) > 0$ , then  $\operatorname{ld}((S(x)^{-1})^{[0]}) = \operatorname{ld}((S(x)^{[0]})^{-1}) < 0$ . This implies that if  $\operatorname{ld}(S(x)^{[0]}) \neq 0$ , then vertex algebra  $(\mathbb{C}[s, s^{-1}], D)$ -module  $(M, Y_M)$  is not a  $\mathbb{C}$ -algebra  $\mathbb{C}[s, s^{-1}]$ -module.

**Theorem 2.** Let  $\alpha$  be a non-zero complex number and  $D = (p(s)/s^{N_q})d/ds$ a non-zero derivation of  $\mathbb{C}[s, s^{-1}]$  such that the polynomials p(s) and  $s^{N_q}$  of  $\mathbb{C}[s]$  are coprime. We write  $p(s) = \sum_{i=L_p}^{N_p} p_i s^i$  where  $p_{L_p}, p_{N_p}$  are non-zero complex numbers. Then, the following results hold:

- (1) Every finite-dimensional indecomposable vertex algebra  $(\mathbb{C}[s, s^{-1}], D)$ module M with  $\mathrm{ld}(S(x)^{[0]}) = 0$  is a  $\mathbb{C}$ -algebra A-module.
- (2) There exists a non-zero finite-dimensional indecomposable vertex algebra ( $\mathbb{C}[s, s^{-1}], D$ )-module M with  $\mathrm{ld}(S(x)^{[0]}) > 0$  and with  $\mathrm{lc}(S(x)^{[0]}) = \alpha$  if and only if  $N_q = 0$  and  $p(0) = \alpha$ . Moreover, in this case  $\mathrm{ld}(S(x)^{[0]}) = 1$ .
- (3) There exists a non-zero finite-dimensional indecomposable vertex algebra ( $\mathbb{C}[s, s^{-1}], D$ )-module M with  $\mathrm{ld}(S(x)^{[0]}) < 0$  and with  $\mathrm{lc}(S(x)^{[0]}) = \alpha$  if and only if  $N_p = N_q + 2$  and  $\alpha = -1/p_{N_p}$ . Moreover, in this case  $\mathrm{ld}(S(x)^{[0]}) = -1$ .

In the case of (2) and (3), for every positive integer n, there exists a unique n-dimensional indecomposable vertex algebra ( $\mathbb{C}[s, s^{-1}], D$ )-module which satisfies the conditions up to isomorphism.

Proof. We use Lemma 3. Let  $(M, Y_M)$  be a non-zero finite-dimensional indecomposable vertex algebra  $(\mathbb{C}[s, s^{-1}], D)$ -module with  $lc(S(x)^{[0]}) = \alpha$ . Since M is indecomposable, we see that  $S(x)^{(0)} \in \mathbb{C}((x))E_n$ . Since S(x) is invertible, we have  $S(x)^{(0)} \neq 0$  and

$$S(x)^{-1} = (S(x)^{(0)} + \sum_{k=1}^{n-1} S(x)^{(k)})^{-1}$$
  
=  $\sum_{i=0}^{n-1} (-1)^i (S(x)^{(0)})^{-1-i} (\sum_{k=1}^{n-1} S(x)^{(k)})^i.$  (4.1)

By Lemma 3, we have

$$S(x)^{N_q} \frac{dS(x)}{dx} = p(S(x)).$$
 (4.2)

and hence

$$(S(x)^{(0)})^{N_q} \frac{dS(x)^{(0)}}{dx} = p(S(x)^{(0)}).$$
(4.3)

We shall give a formula for  $S(x)^{(k)} = \sum_{i \in \mathbb{Z}} S_{(i)}^{(k)} x^i \in \Delta_k((\operatorname{End}_{\mathbb{C}} M)((x)))$ for k = 1, 2..., n-1. By standard Jordan canonical form theory, we may assume  $S_{(0)} = S_{(0)}^{(0)} + S_{(0)}^{(1)}$ , that is,  $S_{(0)}^{(j)} = 0$  for all j = 2, ..., n-1. We have the following expansions of  $(dS(x)/dx)S(x)^{N_q}$  and p(S(x)):

$$\frac{dS(x)}{dx}S(x)^{N_q} = \sum_{j_0=0}^{n-1} \frac{dS(x)^{(j_0)}}{dx} \Big( \sum_{0 \le j_1, \dots, j_{N_q} \le n-1} S(x)^{(j_1)} \cdots S(x)^{(j_{N_q})} \Big) \\
= \sum_{0 \le j_0, j_1, \dots, j_{N_q} \le n-1} \frac{dS(x)^{(j_0)}}{dx} S(x)^{(j_1)} \cdots S(x)^{(j_{N_q})} \\
= \sum_{k=0}^{n-1} \sum_{\substack{0 \le j_0, j_1, \dots, j_{N_q} = k \\ j_0 + j_1 + \dots + j_{N_q} = k}} \frac{dS(x)^{(j_0)}}{dx} S(x)^{(j_1)} \cdots S(x)^{(j_{N_q})} \\
= \frac{dS(x)^{(0)}}{dx} (S(x)^{(0)})^{N_q} \\
+ \sum_{k=1}^{n-1} \Big( \frac{dS(x)^{(k)}}{dx} (S(x)^{(0)})^{N_q} + N_q \frac{dS(x)^{(0)}}{dx} (S(x)^{(0)})^{N_q-1} S(x)^{(k)} \\
+ \sum_{\substack{0 \le j_0, j_1, \dots, j_{N_q} < k \\ j_0 + j_1 + \dots + j_{N_q} = k}} \frac{dS(x)^{(j_0)}}{dx} S(x)^{(j_1)} \cdots S(x)^{(j_{N_q})} \Big)$$

and

$$p(S(x)) = p(S(x)^{(0)}) + \sum_{k=1}^{n-1} \left(\frac{dp}{ds}(S(x)^{(0)})S(x)^{(k)} + \sum_{i=0}^{N_p} p_i \sum_{\substack{0 \le j_1, \dots, j_i < k \\ j_1 + \dots + j_i = k}} S(x)^{(j_1)} \cdots S(x)^{(j_i)}\right)$$

By (4.2) for  $k = 1, 2, \ldots$ , we have a formula

$$\frac{dS(x)^{(k)}}{dx} = (S(x)^{(0)})^{-N_q} \Big( (-N_q \frac{dS(x)^{(0)}}{dx} (S(x)^{(0)})^{N_q - 1} + \frac{dp}{ds} (S(x)^{(0)}) \Big) S(x)^{(k)} 
- \sum_{\substack{0 \le j_0, j_1, \dots, j_{N_q} < k \\ j_0 + \dots + j_{N_q} = k}} \frac{dS(x)^{(j_0)}}{dx} S(x)^{(j_1)} \cdots S(x)^{(j_{N_q})} 
+ \sum_{i=0}^{N_p} p_i \sum_{\substack{0 \le j_1, \dots, j_i < k \\ j_1 + \dots + j_i = k}} S(x)^{(j_1)} \cdots S(x)^{(j_i)} \Big).$$
(4.4)

We write  $S(x)^{(0)} = \sum_{i=L}^{\infty} S_{(i)}^{(0)} x^i$ , where  $L = \mathrm{ld}(S(x)^{(0)})$ .

Suppose that L = 0. We shall show that  $S(x)^{(k)} \in (\operatorname{End}_{\mathbb{C}} M)[[x]]$  by induction on k. The case k = 0 follows from L = 0. For k > 0, suppose that  $\operatorname{ld}(S(x)^{(k)}) < 0$ . Since  $(S(x)^{(0)})^{-N_q}$  is an element of  $\mathbb{C}[[x]]$ , the lowest degree of the right-hand side of (4.4) is greater than or equal to  $\operatorname{ld}(S(x)^{(k)})$  by the induction assumption. This contradicts that  $\operatorname{ld}(dS(x)^{(k)}/dx) = \operatorname{ld}(S(x)^{(k)}) -$ 1. It follows from (4.1) that S(x) and  $S(x)^{-1}$  are elements in  $(\operatorname{End}_{\mathbb{C}} M)[[x]]$ and hence  $Y_M(a,x) \in (\operatorname{End}_{\mathbb{C}} M)[[x]]$  for all  $a \in \mathbb{C}[s, s^{-1}]$ . We conclude that if L = 0 then  $(M, Y_M)$  is a  $\mathbb{C}$ -algebra  $\mathbb{C}[s, s^{-1}]$ -module. This completes the proof of (1).

Suppose that L > 0. In (4.3), the term with the lowest degree of the left-hand side is  $L(S_{(L)}^{(0)})^{N_q+1}x^{L(N_q+1)-1}$  and the term with the lowest degree of the right-hand side is  $p_{L_p}(S_{(L)}^{(0)})^{L_p}x^{LL_p}$ . Comparing these terms, we have  $L(L_p - N_q - 1) = -1$  and hence L = 1 and  $L_p = N_q$ . We have  $L_p = N_q = 0$  since p(s) and  $s^{N_q}$  are coprime. Comparing coefficients of these terms with

the lowest degree in (4.3), we have D = p(s)d/ds,  $S_{(1)}^{(0)} = \alpha = p(0) \neq 0$ , and  $S_{(0)}^{(0)} = 0$ . For all positive integers n, we shall show the uniqueness of n-dimensional indecomposable vertex algebra ( $\mathbb{C}[s, s^{-1}], D$ )-module which satisfies the conditions in (2). Setting  $N_q = 0$  in (4.4), the same argument as in the case of L = 0 shows that  $S(x)^{(k)} \in (\operatorname{End}_{\mathbb{C}} M)[[x]]$  for all k = $0, 1, \ldots, n - 1$ . For all positive integers m, comparing the coefficients of  $x^m$ in (4.3), we have

$$(m+1)S_{(m+1)}^{(0)} = \sum_{i=0}^{N_p} p_i \sum_{\substack{0 \le j_1, \dots, j_i \le m \\ j_0 + j_1 + \dots + j_i = m}} S_{(j_1)}^{(0)} \cdots S_{(j_i)}^{(0)}.$$
 (4.5)

It follows by induction on m that every  $S_{(m)}^{(0)}$  is uniquely determined by  $S_{(1)}^{(0)}$ . By (4.4) for all m > 0,  $S_{(m)}^{(k)}$  is a polynomial in  $\{S_{(j)}^{(k)} \mid 0 \le j \le m-1\} \cup \{S_{(j)}^{(i)} \mid 0 \le i \le k-1, j \ge 0\}$ . Since  $S_{(0)}^{(i)} = 0$  for all  $i = 2, \ldots, n-1$ , it follows by induction on k and m that every  $S_{(m)}^{(k)}$  is a polynomial in  $S_{(0)}^{(1)}$  and hence is uniquely determined by  $S_{(0)}^{(1)}$ . Since  $S_{(0)}^{(1)}$  is the nilpotent part of  $S_{(0)}$  and M is indecomposable,  $S_{(0)}^{(1)}$  conjugates to  $J_n$ . Thus, we have shown that the uniqueness of n-dimensional indecomposable vertex algebra ( $\mathbb{C}[s, s^{-1}], D$ )module which satisfies the conditions in (2).

Conversely, suppose that  $\alpha = p(0)$ . Set  $S_{(1)}^{(0)} = \alpha$  and  $S_{(i)}^{(0)} = 0$  for all non-positive integers *i*. By (4.5) we can inductively define  $S_{(m)}^{(0)}$  for m =2,3,.... The obtained  $S(x)^{(0)} = \sum_{i=1}^{\infty} S_{(i)}^{(0)} x^i \in \mathbb{C}[[x]]$  satisfies  $\mathrm{ld}(S(x)^{(0)}) = 1$ ,  $\mathrm{lc}(S(x)^{(0)}) = \alpha$ , and (4.3). Set  $S_{(0)}^{(1)} = J_n$ ,  $S_{(0)}^{(k)} = 0$  for all  $k = 2, \ldots, n-1$ , and  $S_{(i)}^{(k)} = 0$  for all  $k = 1, \ldots, n-1$  and all negative integers *i*. After (4.5), we have seen that every  $S_{(m)}^{(k)}$  is a polynomial in  $S_{(0)}^{(1)}$  if it exists. By the same argument, we can inductively define  $S_{(m)}^{(k)} \in \mathrm{End}_{\mathbb{C}} M$  for  $k = 1, 2, \ldots, n-1$  and  $m = 1, 2, \ldots$ . By the argument to get (4.4) and (4.5) above, it is easy to see that the obtained  $S(x) = \sum_{k=0}^{n-1} S(x)^{(k)} \in (\mathrm{End}_{\mathbb{C}} M)[[x]]$  satisfies (4.2). Since all coefficients of S(x) are polynomials in  $S_{(0)}^{(1)} = J_n$ , we see that  $S_{(i)}S_{(j)} =$  $S_{(j)}S_{(i)}$  for all  $i, j \in \mathbb{Z}$ . Thus, we have an *n*-dimensional vertex algebra  $(\mathbb{C}[s, s^{-1}], D)$ -module M with  $\mathrm{ld}(S(x)^{(0)}) = 1$  and with  $\mathrm{lc}(S(x)^{(0)}) = \alpha$ . This completes the proof of (2).

Next suppose that L < 0. Set  $\tilde{s} = 1/s$ . By (4.1), we have  $Y_M(\tilde{s}, x)^{[0]} =$ 

 $(S(x)^{-1})^{[0]} = (S(x)^{[0]})^{-1}$  and

$$D = -\tilde{s}^{N_q+2}p(1/\tilde{s})\frac{d}{d\tilde{s}}$$

Since  $S(x)^{-1}$  is a polynomial in S(x), all coefficients in  $S(x)^{-1}$  are commutative. Thus, this case reduces to the case of L > 0.

#### 5 Examples

Throughout this section, D is a non-zero derivation of  $\mathbb{C}[s, s^{-1}]$ . For a positive integer n, the  $\mathbb{C}$ -algebra  $A = \mathbb{C}[s, s^{-1}][t]/(t^n - s)$  is a Galois extension of  $\mathbb{C}[s, s^{-1}]$  (cf. [14, Lemma 5.1 in Chapter 0]). The Galois group of A over  $\mathbb{C}[s, s^{-1}]$  is the cyclic group of order n generated by  $\tau$  with  $\tau(t) = \zeta_n t$ . Since  $t^n - s$  is an irreducible element in the unique factorization domain  $\mathbb{C}[s, s^{-1}][t]$ ,  $t^n - s$  is a prime element. Therefore, A is an integral domain and hence is a connected  $\mathbb{C}$ -algebra. We can extend D to a unique derivation of A, which we denote by the same notation D, by setting  $D(t) = s^{-1}tD(s)/n$ . It is easy to see that D is invariant under the action of  $\tau$ .

In Theorem 2, we have classified the finite-dimensional indecomposable  $(\mathbb{C}[s, s^{-1}], D)$ -modules  $(M, Y_M)$  which are not  $\mathbb{C}$ -algebra  $\mathbb{C}[s, s^{-1}]$ -modules. In this section, we shall investigate twisted vertex algebra (A, D)-module structures over  $(M, Y_M)$ . We denote  $Y_M(s, x)$  by S(x) and  $S(x)^{[0]} = \sum_{i=L}^{\infty} S_{(i)}^{[0]} x^i \in \mathbb{C}((x))$  with  $S_{(L)}^{[0]} \neq 0$  as in Section 4. It follows from Theorem 2 that  $L = \mathrm{ld}(S(x)^{[0]}) = 1$  or -1.

**Proposition 4.** Let  $(M, Y_M)$  be a finite-dimensional indecomposable vertex algebra  $(\mathbb{C}[s, s^{-1}], D)$ -module which is not a  $\mathbb{C}$ -algebra A-module. Set  $L = \mathrm{ld}(S(x)^{[0]})$ . Then, for the  $\mathbb{C}$ -algebra  $A = \mathbb{C}[s, s^{-1}][t]/(t^n - s)$ ,  $(M, Y_M)$  has exactly  $n \tau^{-L}$ -twisted vertex algebra (A, D)-module structure.

Proof. We use the notation in the proof of Theorem 1 (1). If  $\operatorname{ld}(S(x)^{[0]}) = 1$ , then every root of the polynomial  $Z^n - S(x)^{[0]}$  in  $\Omega = \bigcup_{i=1}^{\infty} \mathbb{C}((x^{1/i}))$  is an element in  $x^{1/n}\mathbb{C}((x)) = x^{-(-1/n)}\mathbb{C}((x))$ . It follows from the argument in the proof of Theorem 1 (1) that  $(M, Y_M)$  has a  $\tau^{-1}$ -twisted vertex algebra (A, D)-module structure  $(M, \tilde{Y}_M)$  with  $\tilde{Y}_M(t, x) \in x^{-(-1/n)}(\operatorname{Mat}_{\mathbb{C}} M)((x))$ . We conclude by Theorem 1 (3) and (4) that  $(M, Y_M)$  has exactly  $n \tau^{-1}$ twisted vertex algebra (A, D)-module structures. The same argument tells us that if  $ld(S(x)^{[0]}) = -1$ , then  $(M, Y_M)$  has exactly  $n \tau$ -twisted vertex algebra (A, D)-module structures.

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