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# On restricted edge connectivity and extra edge connectivity of hypercubes and folded hypercubes\*

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**Abstract**: The 2-extra edge connectivity of the hypercubes and the 1-extra edge connectivity and restricted edge connectivity of the folded hypercubes are determined.

Key words: hypercube; restricted edge connectivity; extra edge connectivity

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## 立方体和折叠立方体的限制边连通度和超边连通度

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摘要:确定了立方体的2-超边连通度和折叠立方体的1-超边连通度和限制边连通度.

关键词:立方体:限制边连通度:超边连通度

#### 0 Introduction

For all the terminologies and notations not defined here, we follow ref. [1]. For a graph G = (V, E) and  $S \subset V(G)$  or  $S \subset G$ , we use  $E_G(S)$  to denote the set of neighboring edges of S in G, that is,  $E_G(S) = \{xy \colon y \in V(G-S), xy \in E(G) \text{ for some } x \in S\}$ . In this paper, we use graph and interconnection networks, nodes and vertices, links and edges interchangeably.

Edge connectivity is an important parameter to measure the fault tolerant ability of interconnection

networks, however, in many cases this parameter greatly underestimates this ability. Since in many practical applications it can be safely assumed that any set of faults in some networks can not contain all links which are directly connected to some processor. For these networks, the classical edge connectivity may not be accurate measures of network reliability  $[2]^{2}$ . To compensate for this shortcoming, ref. [2] proposed the concept of the restricted edge connectivity  $\lambda^{1}(G)$  of G. A subset  $S \subset E(G)$  is called a restricted edge set, if S does not contain the neighboring edge set of any vertex as

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its subset; and a restricted edge set S is called a restricted edge cut if G-S is disconnected. If there exists a restricted edge cut S in G, then the restricted edge connectivity  $\lambda^1(G) = \min\{|S|: S \text{ is a restricted edge cut of } G\}$ . Ref. [3] determined that  $\lambda^1(Q_n) = 2n - 2$  for  $n \ge 3$ , where  $Q_n$  is an n-dimensional hypercube. As far as we know, the restricted edge connectivity of the folded hypercubes has not been determined.

Ref. [5] has generalized the concept of the restricted edge connectivity. A subset  $S \subset E(G)$  is called an h-restricted edge cut if G - S is disconnected and every remaining component has the minimum degree of vertex at least h. If there exists an h-restricted edge cut S in G, then the h-restricted edge connectivity  $\lambda^h(G) = \min\{|S|: S \text{ is an } h$ -restricted edge cut of  $G\}$ . Ref. [5] has determined that the h-restricted connectivity of  $Q_n$  is  $\kappa^h(Q_n) = (n-h)2^h$  for  $0 \le h \le \lfloor \frac{n}{2} \rfloor$ . But they did not determine the h-restricted edge connectivity of  $Q_n$ .

Ref. [6] has defined  $\lambda(G; \mathcal{P}_h)$  for a given nonnegative integer h as the minimum cardinality of a set of edges, if any, whose deletion disconnects G and every remaining component has more than h vertices. And they called this type of conditional edge connectivity as h-extra edge connectivity of G, denoted by  $\lambda_h(G)$ . To be exact, an edge-cut S of G is called a  $\mathcal{P}_h$  edge-cut if every component of G - S has more than h vertices. If there exists a  $\mathcal{P}_h$  edge-cut in G, then the h-extra connectivity  $\lambda_h(G) = \min\{|S|: S \text{ is a } \mathcal{P}_h \text{ edge-cut of } G\}$ . By definition, a graph G having the property  $\mathcal{P}_0$  implies that every component of G contains at least one vertex. Thus,  $\lambda_0(G) = \lambda(G)$  if G is not a complete graph.

Since for any graph G and any edge subset F, the condition that there is no isolated vertex in G-F and the condition that F does not contain the neighboring edge set of any vertex is equivalent, so a  $\mathcal{P}_1$  edge cut of G is also a restricted edge cut of G, thus for any graph G, if  $\lambda_1(G)$  or  $\lambda_G(1)$  exists,

then:

**Lemma 0.1** 
$$\lambda^{1}(G) = \lambda_{1}(G)$$
.

We are, in this paper, interested in the hypercube  $Q_n$  and the folded hypercube  $FQ_n$ , which have been widely used in the design and analysis of interconnection networks. As we have already known,  $\lambda(Q_n) = n$ . Ref. [7] determined  $\lambda(FQ_n) =$ n+1. Ref. [3] has determined  $\lambda^1(Q_n)=2n-2$  for  $n \geqslant 3$ . Thus by Lemma 0.1,  $\lambda_1(Q_n) = 2n - 2$  for  $n \geqslant 3$ . In this paper, we will determine  $\lambda_2(Q_n) =$ 3n-4 for  $n \geqslant 4$ ,  $\lambda_1(FQ_n) = \lambda^1(FQ_n) = 2n$  for  $n \geqslant 2$ 4. The result  $\lambda_2(Q_n) = 3n - 4$  means that the hypercube can tolerate 3n-4 link failures without disconnected provided that neighboring edges of any subtree with order not more than 2 can't fail at the same time. This result greatly improves the fault tolerant ability of n-cube theoretically.

## 1 Results on hypercube

An *n*-dimensional hypercube(i. e., *n*-cube)  $Q_n$ can be modelled as a graph  $G_n(V,E)$ , with |V|= $N=2^n$ , and  $|E|=n2^{n-1}$ . Each node represents a processor and each edge represents a link between a pair of processors. Nodes are assigned binary numbers from 0 to  $2^n - 1$  such that labels of any two neighbors differ only in one bit position. Links are also labelled from 0 to n-1 such that any link labelled i connects two nodes whose labels differ in the  $i^{th}$  bit. Since the rightmost bit position is the 0<sup>th</sup> position, so all the links labelled 0 are cross edges. The neighbors of a node u are called bordering nodes of u. The bordering node of uacross dimension i is denoted by  $u_i$ . The bordering node of  $u_i$  across dimension j is denoted by  $u_{ij}$ (Thus  $u_{ii} = u$ ). The neighboring links of a node uare called bordering links of u, the bordering links of u across dimension i is denoted by  $e_i(u)$ , since  $e_0(u)$  is a cross edge, we also denote  $e_0(u)$  by  $e_c(u)$ .

**Theorem 1.1**  $\lambda_2(Q_n) = 3n - 4, n \geqslant 4.$ 

**Proof** 1) Find a path  $P_2 = (u \rightarrow v \rightarrow w)$  of length 2 in  $Q_n$ , it is easy to see that  $|E_{Q_n}(P_2)| =$ 

(n-1)+(n-2)+(n-1)=3n-4. Since  $\lambda(Q_n-\{u,v,w\})\geqslant \kappa(Q_n-\{u,v,w\})\geqslant n-3>0$  (when  $n\geqslant 4$ ), so  $Q_n-P_2$  is connected, so  $Q_n-E_{Q_n}(P_2)$  is disconnected and contains no isolated vertex or isolated edge,  $\lambda_2(Q_n)\leqslant |E_{Q_n}(P_2)|=3n-4$ .

2) Suppose  $A \subset E(Q_n)$ , |A| = 3n - 5, and there is no isolated vertex or isolated edge in  $Q_n - A$ . In the following, we will prove that  $Q_n - A$  is connected. Following ref. [3], we express  $Q_n$  as  $Q_n = L \oplus R$ , where L and R are the two (n-1)-subcubes of  $Q_n$  induced by the vertices with the leftmost coordinate 0 and 1, respectively, that is, all the vertices in L are of the form  $0 * \cdots *$  and all the vertices in R are of the form  $1 * \cdots *$ . Let  $A_L = A \cap L$ ,  $A_R = A \cap R$ . Since  $L \cap R = \emptyset$ , so  $|A_L| + |A_R| \le |A| = 3n - 5 \le 4n - 9$  (when  $n \ge 4$ ), then either  $|A_L| \le 2n - 5$  or  $|A_R| \le 2n - 5$ . Without loss of generality, we suppose that  $|A_R| \le 2n - 5$ .

In the following, we will prove that the vertices in  $R - A_R$  is connected to each other in  $Q_n - A$ .

Case 1 If there is no isolated vertex in  $R-A_R$ , then by ref. [3],  $\lambda'(R) = \lambda'(Q_{n-1}) = 2n-4 > 2n-5 = |A_R|$ , so  $R-A_R$  is a connected graph, we are done.

Case 2 If there exists an isolated vertex  $u^R$  in  $R-A_R$ , then  $\lambda(R-u^R) \geqslant \kappa(R-u^R) \geqslant \kappa(R)-1=$ n-2, and  $|A_R|-|E(u^R:R)| \leq 2n-5-(n-1) =$ n-4. So at most  $n-4(\leqslant \lambda(R-u^R))$  edges of  $R-u^R$ may be faulty (may be in  $A_R$ ), so the subgraph G = $(R-u^R)-A_R=(R-A_R)-u^R$  is connected. In the following we will prove that  $u^R$  is connected to the subgraph G in  $Q_n - A$ . Since there is no isolated vertex in  $Q_n - A$ , the cross edge  $e_c(u^R) = (u^R, u^L) \notin$ A. For  $1 \le i \le n-1$ , if there exists an i such that both  $e_i(u^L) \notin A$  and  $e_c(u_i^L) \notin A(u_i^L)$  mean the neighboring vertex of  $u^L$  which differs from  $u^L$  in the  $i^{th}$  bit), then  $u^R$  can be connected to G by the path:  $u^R \xrightarrow{e_c(u^R)} u^L \xrightarrow{e_i(u^L)} u_i^L \xrightarrow{e_c(u_i^L)} G = (R - u^R)$  $-A_R$ , we are done. So we may suppose that for each i at least one of  $e_i(u^L)$  and  $e_i(u_i^L)$  is in A. Let  $B = \{e_i(u^L), e_c(u_i^L) | i = 1, 2, \dots, n-1\} \cap A,$ 

then  $|B| \geqslant n-1$ . Since there is no isolated edge in  $Q_n-A$ , there exists a j such that  $e_j(u^L)=(u^L,v^L)$   $\notin A_L$ . let  $C=\{e_i(v^L),e_c(v_i^L)|i=1,2,\cdots,n-1$  but  $i\neq j\}$  it is obvious that  $E(u^R:R)$  (the neighboring vertex set of  $u^R$  in R), B and C are disjoint. So  $|C\cap A| \leqslant |A|-|A(u^R:R)|-|B| \leqslant n-3$ . Since there are n-2 pair of edges  $(e_i(v^L),e_c(v_i^L))$  in C, so there exists an  $i_1$  such that neither  $e_{i_1}(v^L)$  nor  $e_c(v_{i_1}^L)$  belonging to  $A,u_R$  can be connected to the connected subgraph  $(R-A_R)-u_R$  through the edges  $(u_R,u_L),(u_L,v_L),e_{i_1}(v^L)$  and  $e_c(v_{i_1}^L)$ , thus completing our proof that the vertices in  $R-A_R$  is connected to each other in  $Q_n-A_R$ .

In the following paragraph, we will prove that any vertex of  $L-A_L$  is connected to the subgraph  $R-A_R$ .

Suppose that  $x^l$  is any vertex in  $L - A_L$ , if  $e_c(x^l) \notin A$ , then we are done. So we suppose that  $e_c(x^l) \in A$ . If there exists an  $i \in \{1, 2, \dots, n-1\}$ such that both  $e_i(x^l) \notin A$  and  $e_c(x_i^l) \notin A$ , then we are done. So we suppose that at least one edge from each of the above n-1 pairs belongs to A. Let  $B' = \{e_i(x^l), e_c(x_i^l) | i = 1, 2, \dots, n-1\} \cap A$ , then  $|B'| \ge n-1$ . Since there is no isolated vertex in  $Q_n$ -A, there exists a j such that  $e_i(x^l) \notin A$ . Suppose that  $e_i(x^l) = (x^l, y^l)$ . For all the  $i \in \{1, 2, \dots, m\}$ n-1 and  $i \neq j$ , if both  $e_i(y^l)$  and  $e_i(y_i^l)$  do not belong to A, then we are done. So we suppose that at least one edge from each of the above n-2 pairs belongs to A. Let  $C' = \{e_i(y^l), e_c(y_i^l) | i = 1, 2,$  $\dots, j-1, j+1, \dots, n-1 \cap A$ , then  $|C'| \ge n-2$ . Since there is no isolated edge in  $Q_n - A_n$ , so D = $E(e_j(x^l)) - A_R \neq \emptyset$  (where  $E(e_j(x^l))$  denotes the neighboring edge set of the edge  $e_j(x^l)$ ). Suppose that  $z^l$  is an end-vertex of an element of D, and  $z^l \notin$  $\{x^l, y^l\}$ . Since there is no triangle in  $Q_n$ , the vertex  $z^l$  can be adjacent to just one of the two  $\dots, n-1$  and  $e_i(z^l)$  is not incident to  $x^l$  or  $y^l$ . Since  $\{e_c(x^l)\}$ , B', C', E' are disjoint to each other, so  $|E' \cap A| \leq 3n-5-(1+n-1+n-2)$ 

= n-3. Since there are n-2 pairs of edges in E', so there exists a pair of edges  $(z^l, z_k^l)$  and  $e_c(z_k^l)$ , neither of which belonging to A, so  $z^l$  can be connected to the subgraph  $R-A_R$ . Since  $x^l$  can be connected to  $z^l$ , our proof is complete.

#### 2 Results on folded hypercube

An n-dimensional folded hypercube  $FQ_n$  is basically a standard hypercube augmented with some extra links between nodes. There are  $2^{n-1}$  links between all pairs of complementary nodes. Two nodes in a hypercube are said to be complementary if the exclusive-OR of their addresses gets all 1s.

Theorem 2.1  $\lambda^1(FQ_n) = \lambda_1(FQ_n) = 2n, n \geqslant 4$ .

**Proof** 1) Let e = (x, y) be an edge of  $FQ_n$ , then it is obvious that  $FQ_n - E_{FQ_n}(e)$  is disconnected since e is a component of it. Since  $\kappa(FQ_n - \{x, y\}) \geqslant \kappa(FQ_n) - 2 = n - 1 > 0$  (when  $n \geqslant 3$ ), so there is no isolated vertex in  $FQ_n - E_{FQ_n}(e)$ ,  $\lambda_1(FQ_n) \leqslant 2n$ .

2) Suppose  $F \subset E(FQ_n)$ ,  $|F| \leq 2n-1$ , and there is no isolated vertex in  $FQ_n - F$ . In the following we will prove that  $FQ_n - F$  is connected. Since at least 2n edges are to be removed to get an isolated edge in  $FQ_n$ , there is no isolated edge in  $FQ_n - F$ .

First we define a map  $\phi$  between  $V(Q_{n+1})$  and  $V(FQ_n)$ , We use the symbol u to represent an n-bit binary string,  $\bar{u}$  to represent u's complementary n-bit binary string. We define  $\phi$  as follows:

$$\phi(0u) = u, \ \phi(1u) = \bar{u}.$$

It is easy to verify that the map  $\phi$  induces another map  $\rho$  from  $E(Q_{n+1})$  to  $E(FQ_n)$ :

$$\rho(e) = (\phi(x), \phi(y)) \in E(FQ_n)$$

$$= (x, y) \text{ is an edge of } Q_n$$

where e = (x, y) is an edge of  $Q_{n+1}$ .

So  $\phi$  is a graph homomorphism between  $Q_{n+1}$  and  $FQ_n$ . For any edge  $e = (u, v) \in E(FQ_n)$ , if e is not a complementary edge (the two end-vertices of a complementary edge are complementary n-bit binary strings), then  $\rho^-(e) = \{(0u, 0v), (1\overline{u}, 1\overline{v})\}$  if e is a complementary edge, then  $u = \overline{v}$ , so  $\rho^-(e) = \{(0u, 1\overline{v}), (0v, 1\overline{u})\} = \{(0u, 1u), (0v, 1\overline{v}), (0v, 1\overline{v})\}$ 

 $\{v\}$  is a set of 2 cross edges in  $Q_{n+1}$ . For any edge set  $F \subset E(FQ_n)$ , we define  $\rho^-(F) = \bigcup_{e \in F} \rho^-(e)$ .

We express  $Q_{n+1}$  as  $Q_{n+1} = L \bigoplus R$ , where L and R are the two n-subcubes of  $Q_{n+1}$  induced by the vertices with the leftmost coordinate 0 and 1 respectively, and we define  $F_t = \rho^-(F) \cap E(L)$  and  $F_r = \rho^-(F) \cap E(R)$ . We define  $\psi$  as a map from V(L) to V(R):  $\psi(0u) = 1\bar{u}$ . It is easy to verify that  $\psi$  is a graph isomorphism between  $L - F_t$  and  $R - F_r$ . Since  $F_t \cap F_r = \phi$ ,  $|F_t| + |F_r| \leqslant |\rho^-(F)| = 2(2n-1)$ , and  $|F_t| = |F_r|$ , so  $|F_t| = |F_r| \leqslant 2n-1$ .

For any two vertex u, v in  $FQ_n - F$ , if there is a path P between 0u and 0v in  $Q_{n+1} - \rho^-(F)$ , then it is easy to see that  $\rho(P)$  is a path between u and v in  $FQ_n - F$ , so if  $Q_{n+1} - \rho^-(F)$  is connected, then  $FQ_n - F$  is connected, too. In the following we will prove that  $Q_{n+1} - \rho^-(F)$  is connected.

Consider the graph  $L-F_l$  and suppose that e=(u, v) is any edge in L. Since  $\lambda(L-\{u, v\}) \geqslant_{\kappa}(L-\{u, v\}) \geqslant_{n-2} > 1 \geqslant_{l} |F_l-E_L(e)|$  (when  $n \geqslant_{l} > 1$ ). So if there exists an isolated edge e=(u, v) in  $L-F_l$ , then  $L-F_l$  has just 2 components.

Since  $|F_l| \leq 2n-1$ , there are at most two isolated vertices in  $L-F_l$ . And if there are two isolated vertices in  $L-F_l$ , the 2 isolated vertices must be adjacent. In the following we will consider 4 cases and prove that  $Q_{n+1}-\rho^-(F)$  is connected in all these cases. A) There are 2 isolated vertices in  $L-F_l$ ; B) There is just 1 isolated vertex in  $L-F_l$ ; C) There is an isolated edge in  $L-F_l$ , and D) There are no isolated vertex or isolated edge in  $L-F_l$ .

A) If there are 2 isolated vertices 0u and 0v, from the above we know that  $(0u,0v) \in E(L)$  and  $F_l = E_L(0u) \cup E_L(0v)$ , and since  $\kappa(L - \{0u, 0v\}) \ge n - 2 > 0$  (when  $n \ge 3$ ), so  $L - \{0u, 0v\} = L - F_l - \{0u, 0v\}$  is connected. Since  $L - F_l$  is isomorphism to  $R - F_r$  under the map  $\psi$ , so the two isolated vertices in  $R - F_r$  are  $\psi(0u) = 1\bar{u}$  and  $\psi(0v) = 1\bar{v}$  and  $R - \{1\bar{u}, 1\bar{v}\}$  is connected. Since  $|F_l| = 2n - 1$ , there is no element of  $\rho^-(F)$  which is a cross edge between L and R. 0u and 0v are

connected to the connected subgraph  $R-\{1\overline{u},\ 1\overline{v}\}$  via  $(0u,\ 1u)$  and  $(0v,\ 1v)$  respectively. The 2 isolated vertices in  $R-F_r$  are connected to the connected subgraph  $L-\{u,\ v\}$  of  $L-F_l$  via  $(0\overline{u},\ 1\overline{u})$  and  $(0\overline{v},\ 1\overline{v})$  respectively. And since the cross edges between  $L-\{u,\ v\}$  and  $R-\{1\overline{u},\ 1\overline{v}\}$  do not belong to  $\rho^-(F)$ , so the two connected subgraph are connected to each other, thus completing our proof,  $Q_{n+1}-\rho^-(F)$  is connected.

B) If there is just 1 isolated vertex 0u in L —  $F_{l}$ , then it's easy to know that there is no isolated edge in  $L - F_l$ . In the following we will prove that  $(L - F_l) - 0u$  is connected. For otherwise, we define  $F' = F_l - e_1(0u)$ , in the graph L - F', 0u is connected to only one of its components via the edge  $e_1(0u)$ . L-F' is disconnected since  $(L-F_l)$ 0u is disconnected. And there is no isolated vertex or isolated edge in L - F' since 0u is the only isolated vertex in  $L - F_l$  and there is no isolated edge in  $L-F_l$ . Since  $|F'|=|F_l|-1 \leq 2n-2 <$  $\lambda_2(Q_n) = 3n - 4$  (when  $n \geqslant 3$ ), thus we have obtained a contradiction, which means that (L - $F_l$ ) – 0*u* is connected. Since  $L - F_l$  is isomorphic to  $R - F_r$  under the map  $\psi$ , so  $\psi(0u) = 1\bar{u}$  is an isolated vertex in  $R - F_r$  and  $R - F_r - 1\bar{u}$  is connected. Since there are no isolated vertices in  $Q_{n+1} - \rho^-$  (F), 0u is connected to the connected subgraph  $R - F_r - 1\bar{u}$  and  $1\bar{u}$  is connected to the connected subgraph  $L - F_l - 0u$ , since there are  $2^n$ -2 cross edges between  $L - F_l - 0u$  and  $R - F_r 1\bar{u}$ , at most 2(2n-1-n)=2n-2 of them may be in  $\rho^{-}(F)$ . Since  $2^{n}-2>2n-2$  (when  $n \ge 3$ ), L- $F_l - 0u$  is connected to  $R - F_r - 1\bar{u}$ . That is,  $Q_{n+1} \rho^{-}$  (F) is connected.

C) If there exists an isolated edge e=(0u,0v) in  $L-F_l$ , since  $|F_l|\geqslant |E_L(e)|=2n-2$ , at most 2 cross edges belong to  $\rho^-(F)$ . Consider the graph  $L-F_l-\{0u,0v\}$ , since  $\lambda(L-\{0u,0v\})\geqslant \kappa(L-\{0u,0v\})\geqslant n-2>1$  (when n>3), so  $L-F_l-\{0u,0v\}$  is connected. By the isomorphism of  $L-F_l$  and  $R-F_r$ ,  $\bar{e}=(1\bar{u},1\bar{v})$  is an isolated edge in  $R-F_r$  and  $R-F_r-\{1\bar{u},1\bar{v}\}$  is a connected subgraph. According to the definition of the map  $\rho$ , at least one of (0u,1u) and (0v,1v) does not

belong to  $\rho^-$  (F)(or (u,v) will be an isolated edge in  $F_{Q_n}-F$ , a contradiction). Thus e is connected to  $R-F_r-\{1\overline{u},\ 1\overline{v}\}$ . Similarly the edge  $\overline{e}$  is connected to  $L-F_l-\{0u,\ 0v\}$ . There are  $2^n-4$  cross edges between  $L-F_l-\{0u,\ 0v\}$  and  $R-F_r-\{1\overline{u},\ 1\overline{v}\}$ . At most 2 of them can be in  $\rho^-$  (F), so  $L-F_l-\{0u,\ 0v\}$  and  $R-F_r-\{1\overline{u},\ 1\overline{v}\}$  is connected to each other. Thus we have proved that  $Q_{n+1}-\rho^-$  (F) is connected in this case.

D) If there is no isolated vertex or isolated edge in  $L-F_l$ , since  $|F_l| \leq 2n-1 < 3n-4$  (when n > 3), so  $L-F_l$  is connected. By the isomorphism of  $L-F_l$  and  $R-F_r$ ,  $R-F_r$  is connected too. There are  $2^n$  cross edges between  $L-F_l$  and  $R-F_r$ , at most  $2(2n-1) < 2^n$  (when n > 3), so  $L-F_l$  is connected to  $R-F_r$ . Thus we have proved in this case that  $Q_{r+1}-\rho^-$  (F) is connected.

The above 4 cases complete our proof that  $Q_{n+1}$   $-\rho^-(F)$  is connected, so  $FQ_n-F$  is connected, so  $\lambda_1(FQ_n)\geqslant 2n$ .

By 1) and 2), we have proved that  $\lambda_1(FQ_n) = \lambda_1(FQ_n) = 2n$ .

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