

Topological sequence entropy of the space of measures

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Abstract: Let (X, T) be a TDS and $\mathcal{M}(X)$ the space of all Borel probability measures on X equipped with the weak* topology. (X, T) is topo-null if (X, T) has zero topological sequence entropy. Given a pseudo-metric space and a self-map, the topological sequence entropy was studied for a special class of pseudo-metrics induced by continuous real-valued functions on the space. As an application, it was proved that, given a sequence $\mathcal{A} \subseteq \mathbf{Z}_+$, if X is zero-dimensional then (X, T) has zero topological entropy along \mathcal{A} if and only if $(\mathcal{M}(X), T)$ has zero topological entropy along \mathcal{A} . In particular, if X is zero-dimensional then (X, T) is topo-null if and only if $(\mathcal{M}(X), T)$ is topo-null.

Key words: topological sequence entropy; topo-null; pseudo-metric

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测度空间的拓扑序列熵

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摘要: 给定一个拓扑动力系统 (X, T) , 记 $\mathcal{M}(X)$ 为 X 上 Borel 概率测度的全体, 其上的拓扑由弱拓扑所诱导. 如果系统 (X, T) 具有零拓扑序列熵, 则它称为拓扑-null 的. 对于给定的一个伪度量空间以及其上的一个自映射 (不必连续), 引入并研究沿着给定序列的拓扑熵, 包括由空间上连续实值函数所诱导的伪度量. 作为应用可以证明, 给定一个序列 $\mathcal{A} \subseteq \mathbf{Z}_+$, 如果 X 为零维的, 那么, 系统 (X, T) 沿着 \mathcal{A} 具有零拓扑熵当且仅当 $(\mathcal{M}(X), T)$ 沿着 \mathcal{A} 具有零拓扑熵. 特别的, 当 X 为一个零维空间时, 系统 (X, T) 为拓扑-null 的当且仅当 $(\mathcal{M}(X), T)$ 为拓扑-null 的.

关键词: 拓扑序列熵; 拓扑-null; 伪度量

0 Introduction

By a topological dynamical system (TDS) (X, T) we mean that X is a compact metric space and T is

a homeomorphism from X onto X . Let (X, T) be a TDS and $\mathcal{M}(X)$ the space of all Borel probability measures on X equipped with the weak* topology, then $\mathcal{M}(X)$ is a compact metric space. That is, for

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$\mu, \mu_1, \mu_2, \dots \in \mathcal{M}(X)$, $\mu_n \rightarrow \mu$ if and only if (iff) $\mu_n(f) \rightarrow \mu(f)$ for each $f \in C(X)$, where $C(X)$ denotes the space of all continuous real-valued functions on X . Then T induces naturally an action on $\mathcal{M}(X)$ (denoted still by T) such that $(\mathcal{M}(X), T)$ forms a TDS, and (X, T) may be viewed as a sub-system of $(\mathcal{M}(X), T)$ by a canonical mapping $x \mapsto \delta_x$.

Entropy is defined in both ergodic theory and topological dynamics. Since the introduction of measure-theoretical entropy for an invariant measure in 1958^[1] and topological entropy in 1965^[2], a lot of attention has been paid to these two kinds of entropy and the relationship between them, named the classical variational principle has been obtained. Viewing the canonical mapping $x \mapsto \delta_x$, it is clear that if $(\mathcal{M}(X), T)$ has zero topological entropy then (X, T) also has zero topological entropy. The converse of the statement also holds, which was proved in Ref. [3] using two different ideas. In fact, it has been studied in Refs. [3~5] that certain dynamical properties of (X, T) need not be enjoyed by $(\mathcal{M}(X), T)$, such as minimality, unique ergodicity and so on; whereas, besides zero entropy, there are some other dynamical properties which do carry over.

In 1967 measure-theoretical sequence entropy was introduced and measure-theoretical null systems were characterized to be equivalent to the systems having a discrete spectrum^[6]. Then in 1974 topological sequence entropy was introduced^[7], but according to Ref. [7] there is no variational principle for sequence entropy. Let (X, T) be a TDS. We say that (X, T) is topo-null if (X, T) has zero topological sequence entropy, i. e. it has zero topological entropy along any given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$. When we consider topological sequence entropy, a natural question arises that, for a given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$ whether $(\mathcal{M}(X), T)$ must have zero topological entropy along \mathcal{A} if (X, T) has zero topological entropy along \mathcal{A} ; moreover, whether $(\mathcal{M}(X), T)$ must be topo-null if (X, T) is topo-null. The question is addressed in

this paper. In fact, in view of results obtained in the paper, it seems possible that some dynamical behavior of a TDS may be obtained by studying some special pseudo-metrics on the space.

First, given a pseudo-metric space and a self-map we introduce the topological sequence entropy and give a systematic description of it, including a special class of pseudo-metrics induced by continuous real-valued functions on the space. We prove that, given a TDS and a sequence $\mathcal{A} \subseteq \mathbf{Z}_+$, the system has zero topological entropy along \mathcal{A} iff all pseudo-metrics induced by continuous real-valued functions on the space have zero topological entropy along \mathcal{A} . Then, inspired by the geometric idea in Ref. [3], as an application we prove that, for any given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$, if X is zero-dimensional then (X, T) has zero topological entropy along \mathcal{A} iff $(\mathcal{M}(X), T)$ has zero topological entropy along \mathcal{A} , thus if X is zero-dimensional then (X, T) is topo-null iff $(\mathcal{M}(X), T)$ is topo-null. This gives an affirmative answer to our question in the case of zero-dimensional spaces.

The question remains open that in the general case whether $(\mathcal{M}(X), T)$ must have zero topological entropy along \mathcal{A} if (X, T) has zero topological entropy along \mathcal{A} for any sequence $\mathcal{A} \subseteq \mathbf{Z}_+$. It should be mentioned that we were in the process of completing the first version of the paper when we were informed of Ref. [8, Theorem 5.10] by Huang, which states that (X, T) is topo-null iff $(\mathcal{M}(X), T)$ is topo-null using a completely different method.

The paper is organized as follows. In Section 1 we introduce the topological sequence entropy of a pseudo-metric space with a self-map and give it a systematic description. Then in Section 2, on any given TDS we study the topological sequence entropy of a special class of pseudo-metrics induced by continuous real-valued functions on the space, and prove that given a TDS and a sequence of non-negative integers, the TDS has zero topological entropy along the sequence iff any pseudo-metric in this special class has zero topological entropy along

the sequence. As an application, in Section 3 we prove that, given a zero-dimensional TDS (X, T) and a sequence of non-negative integers, (X, T) has zero topological entropy along the sequence iff $(\mathcal{M}(X), T)$ has zero topological entropy along the sequence, which implies that for a zero-dimensional TDS (X, T) , (X, T) is topo-null iff $(\mathcal{M}(X), T)$ is topo-null.

1 Topological sequence entropy of a pseudo-metric

In this section, as the main tool of the following sections, we introduce the concept of topological entropy of a pseudo-metric space with a self-map for any given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$, and discuss some basic properties of it.

Let (X, ρ) be a pseudo-metric space and $T: X \rightarrow X$ a self-map. Let $n \in \mathbf{N}$, $\varepsilon > 0$ and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Denote $\mathcal{A} = \{t_i\}_{i \in \mathbf{N}}$ and fix it throughout the paper. A set $E \subseteq X$ is said to be $(\rho, n, \varepsilon, \mathcal{A})$ -separated with respect to (w. r. t.) T if for every $x_1, x_2 \in E$, $x_1 \neq x_2$ implies

$$\max_{1 \leq i \leq n} \rho(T^i x_1, T^i x_2) > \varepsilon.$$

A set $F \subseteq X$ is said to be $(\rho, n, \varepsilon, \mathcal{A})$ -spanning w. r. t. T if for every $x \in X$ there exists $x' \in F$ such that $\max_{1 \leq i \leq n} \rho(T^i x, T^i x') \leq \varepsilon$. Denote by $\text{sep}_n(\rho, T, \varepsilon, \mathcal{A})$ (resp. $\text{span}_n(\rho, T, \varepsilon, \mathcal{A})$) the largest (resp. smallest) cardinality of a $(\rho, n, \varepsilon, \mathcal{A})$ -separated set (resp. $(\rho, n, \varepsilon, \mathcal{A})$ -spanning set) w. r. t. T . Note that they may be infinite. Then we set

$$h_\rho^\mathcal{A}(T) = \sup_{\varepsilon > 0} \limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{sep}_n(\rho, T, \varepsilon, \mathcal{A}). \quad (1)$$

We call $h_\rho^\mathcal{A}(T)$ the topological entropy of (X, ρ, T) along \mathcal{A} . Sometimes we write it as $h_\rho^\mathcal{A}(X, T)$. When $\mathcal{A} = \mathbf{Z}_+$, we shall omit the restriction \mathcal{A} . And when (X, T) is a TDS with ρ the metric on the space X , we shall omit the restriction ρ . Obviously, it accords with the definition of topological sequence entropy of a TDS. It's not hard to check that

$$\text{sep}_n(\rho, T, 2\varepsilon, \mathcal{A}) \leq \text{span}_n(\rho, T, \varepsilon, \mathcal{A}) \leq \text{sep}_n(\rho, T, \varepsilon, \mathcal{A}),$$

which implies that

$$h_\rho^\mathcal{A}(T) = \sup_{\varepsilon > 0} \limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{span}_n(\rho, T, \varepsilon, \mathcal{A}). \quad (2)$$

Let X be a set and $T: X \rightarrow X$ a self-map. Let ρ_1 and ρ_2 be two pseudo-metrics on X . We say that ρ_1 dominates ρ_2 (denoted by $\rho_1 \geq \rho_2$) if for each $\varepsilon > 0$ there exists $\delta > 0$ such that $\rho_1(x_1, x_2) \leq \delta$ implies $\rho_2(x_1, x_2) \leq \varepsilon$. We say that ρ_1 is equivalent to ρ_2 (denoted by $\rho_1 \approx \rho_2$) if $\rho_1 \geq \rho_2$ and $\rho_2 \geq \rho_1$. The following fact is obvious.

Lemma 1.1 Let X be a set, $T: X \rightarrow X$ a self-map and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Let ρ_1 and ρ_2 be two pseudo-metrics on X . If $\rho_1 \geq \rho_2$ then $h_{\rho_1}^\mathcal{A}(T) \geq h_{\rho_2}^\mathcal{A}(T)$. Moreover, if $\rho_1 \approx \rho_2$ then $h_{\rho_1}^\mathcal{A}(T) = h_{\rho_2}^\mathcal{A}(T)$.

Let (X_i, ρ_i) be a pseudo-metric space, $i=1, 2$. The pseudo-metric $\rho_1 \oplus \rho_2$ on $X_1 \times X_2$ is given by $\rho_1 \oplus \rho_2((x_1, x_2), (x'_1, x'_2)) = \rho_1(x_1, x'_1) + \rho_2(x_2, x'_2)$. Then

Proposition 1.2 Let (X_i, ρ_i) be a pseudo-metric space, $T_i: X_i \rightarrow X_i$ a self-map ($i=1, 2$) and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Then

$$\max\{h_{\rho_1}^\mathcal{A}(T_1), h_{\rho_2}^\mathcal{A}(T_2)\} \leq h_{\rho_1 \oplus \rho_2}^\mathcal{A}(T_1 \times T_2) \leq h_{\rho_1}^\mathcal{A}(T_1) + h_{\rho_2}^\mathcal{A}(T_2).$$

As a direct application we have

Corollary 1.3 Let X be a set, $T: X \rightarrow X$ a self-map and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Let ρ_1 and ρ_2 be two pseudo-metrics on X . The pseudo-metric $\rho_1 + \rho_2$ on X is given by

$$(\rho_1 + \rho_2)(x_1, x_2) = \rho_1(x_1, x_2) + \rho_2(x_1, x_2).$$

Then

$$\max\{h_{\rho_1}^\mathcal{A}(T), h_{\rho_2}^\mathcal{A}(T)\} \leq h_{\rho_1 + \rho_2}^\mathcal{A}(T) \leq h_{\rho_1}^\mathcal{A}(T) + h_{\rho_2}^\mathcal{A}(T).$$

Let X be a set and ρ_1, ρ_2 two pseudo-metrics on X . Put

$$\text{dist}(\rho_1, \rho_2) = \sup\{|\rho_1(x_1, x_2) - \rho_2(x_1, x_2)| : x_1, x_2 \in X\}.$$

Then

Proposition 1.4 Let (X, ρ) be a pseudo-metric space, $T: X \rightarrow X$ a self-map and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Let $\{\rho_i\}_{i \in \mathbf{N}}$ be a sequence of pseudo-metrics on X satisfying $\text{dist}(\rho_i, \rho) \rightarrow 0$.

Then $h_\rho^{\mathcal{A}}(T) \leq \liminf_{i \rightarrow \infty} h_{\rho_i}^{\mathcal{A}}(T) \leq \sup_{i \in \mathbf{N}} h_{\rho_i}^{\mathcal{A}}(T)$.

Proof Let $\varepsilon > 0$. There exists $N \in \mathbf{N}$ such that if $i \geq N$ then $\text{dist}(\rho_i, \rho) < \frac{\varepsilon}{2}$, which implies $\rho(x_1, x_2) < \rho_i(x_1, x_2) + \frac{\varepsilon}{2}$ for any $x_1, x_2 \in X$. So for each $n \in \mathbf{N}$, if $E \subseteq X$ is $(\rho, n, \varepsilon, \mathcal{A})$ -separated w. r. t. T , then when $i \geq N$ it is $(\rho_i, n, \frac{\varepsilon}{2}, \mathcal{A})$ -separated w. r. t. T which implies

$$\text{sep}_n(\rho, T, \varepsilon, \mathcal{A}) \leq \text{sep}_n(\rho_i, T, \frac{\varepsilon}{2}, \mathcal{A})$$

and

$$\limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{sep}_n(\rho, T, \varepsilon, \mathcal{A}) \leq \sup_{j \in \mathbf{N}} \inf_{i \geq j} \limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{sep}_n(\rho_i, T, \frac{\varepsilon}{2}, \mathcal{A}).$$

Let $\varepsilon \rightarrow 0+$, we obtain

$$h_\rho^{\mathcal{A}}(T) \leq \sup_{j \in \mathbf{N}} \inf_{i \geq j} h_{\rho_i}^{\mathcal{A}}(T) = \liminf_{i \rightarrow \infty} h_{\rho_i}^{\mathcal{A}}(T) \leq \sup_{i \in \mathbf{N}} h_{\rho_i}^{\mathcal{A}}(T). \quad (3)$$

□

Remark 1.5 The inequality $h_\rho^{\mathcal{A}}(T) \leq \liminf_{i \rightarrow \infty} h_{\rho_i}^{\mathcal{A}}(T)$ may hold strictly. For example, let (X, ρ) be any pseudo-metric space containing infinitely many points. For each $i \in \mathbf{N}$, we set $\rho_i(x_1, x_2) = \max\{\rho(x_1, x_2), \frac{1}{i}\}$ if $x_1 \neq x_2$ and $\rho_i(x_1, x_2) = 0$ if $x_1 = x_2$, which implies $\text{dist}(\rho, \rho_i) \leq \frac{2}{i} \rightarrow 0$. Whereas, from the construction, if $x_1 \neq x_2$ then $\rho_i(x_1, x_2) \geq \frac{1}{i}$, thus for any self-map $T: X \rightarrow X$ and any sequence $\mathcal{A} \subseteq \mathbf{Z}_+$ containing 0, $\text{sep}_n(\rho_i, T, \frac{1}{2i}, \mathcal{A}) = \infty$ if only n is large enough.

Then $h_{\rho_i}^{\mathcal{A}}(T) = \infty$ for each $i \in \mathbf{N}$.

Remark 1.6 In particular, let X be a set, $T: X \rightarrow X$ a self-map and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Assume that $\{\rho_i\}_{i \in \mathbf{N}}$ is a sequence of pseudo-metrics on X satisfying $\sup_{i \in \mathbf{N}} \sup_{x_1, x_2 \in X} \rho_i(x_1, x_2) < \infty$. Then $h_\rho^{\mathcal{A}}(T) = 0$ iff $h_{\rho_i}^{\mathcal{A}}(T) = 0$ for each $i \in \mathbf{N}$, where $\rho = \sum_{i \in \mathbf{N}} \frac{\rho_i}{2^i}$. In fact, set $\rho'_i = \sum_{1 \leq j \leq i} \frac{\rho_j}{2^j}$ for each $i \in \mathbf{N}$. We have $\text{dist}(\rho'_i, \rho) \rightarrow 0$, so $h_{\rho_i}^{\mathcal{A}}(T) \leq$

$\liminf_{i \rightarrow \infty} h_{\rho'_i}^{\mathcal{A}}(T)$ (using Proposition 1.4). We also have $\rho \geq \rho'_{i+1} \geq \rho'_i$, thus $h_\rho^{\mathcal{A}}(T) \geq h_{\rho'_{i+1}}^{\mathcal{A}}(T) \geq h_{\rho'_i}^{\mathcal{A}}(T)$ (using Lemma 1.1). That is, $h_{\rho'_i}^{\mathcal{A}}(T) \nearrow h_\rho^{\mathcal{A}}(T)$. Consequently, $h_\rho^{\mathcal{A}}(T) = 0$ iff $h_{\rho'_i}^{\mathcal{A}}(T) = 0$ for each $i \in \mathbf{N}$, iff $h_{\rho_i/2^i}^{\mathcal{A}}(T) = 0$ for each $i \in \mathbf{N}$ (using Corollary 1.3), iff $h_{\rho_i}^{\mathcal{A}}(T) = 0$ (using Lemma 1.1, as $\rho_i/2^i \approx \rho_i$) for each $i \in \mathbf{N}$.

2 Topological sequence entropy of a continuous function

In this section we shall study the topological sequence entropy of a special class of pseudo-metrics on any given TDS (X, T) induced by continuous real-valued functions on X .

Let (X, T) be a TDS and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Now for each $f \in C(X)$ we define a pseudo-metric d_f on X by setting $d_f(x_1, x_2) = |f(x_1) - f(x_2)|$. We write $h^{\mathcal{A}}(T, f) = h_{d_f}^{\mathcal{A}}(T)$, and also call it the f -topological entropy of (X, T) along \mathcal{A} . Thus

Lemma 2.1 Let (X, T) be a TDS and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Then $h^{\mathcal{A}}(T, |f|) \leq h^{\mathcal{A}}(T, f)$ for each $f \in C(X)$, where $|f|$ denotes the absolute value of f .

Equip $C(X)$ with the maximum norm $\|\cdot\|$ and denote by $\text{cl}(\mathcal{M})$ the closure of \mathcal{M} in the space $C(X)$ for each $\mathcal{M} \subseteq C(X)$. Note that if $f, f_1, f_2, \dots \in C(X)$ satisfy $\|f - f_i\| \rightarrow 0$ then $\text{dist}(d_f, d_{f_i}) \rightarrow 0$, thus we have (using Proposition 1.4)

Proposition 2.2 Let (X, T) be a TDS, $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence and $\mathcal{M} \subseteq C(X)$. Then $h^{\mathcal{A}}(T, f) = 0$ for each $f \in \text{cl}(\mathcal{M})$ iff $h^{\mathcal{A}}(T, f) = 0$ for each $f \in \mathcal{M}$. Moreover,

$$\sup_{f \in \mathcal{M}} h^{\mathcal{A}}(T, f) = \sup_{f \in \text{cl}(\mathcal{M})} h^{\mathcal{A}}(T, f). \quad (4)$$

The following basic facts are easy to obtain.

Proposition 2.3 Let (X, T) be a TDS, $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence and $f, f_1, f_2 \in C(X)$. The functions $f^\otimes, f^\oplus \in C(X \times X)$ are defined as $f^\otimes(x_1, x_2) = f_1(x_1) f_2(x_2)$ and $f^\oplus(x_1, x_2) = f_1(x_1) + f_2(x_2)$. Then we have

(I) $h^{\mathcal{A}}(T, c) = 0$, where c is any constant real function on X .

(II) $h^d(T, c+f) = h^d(T, f)$, where c is any real constant.

(III) $h^d(T, cf) = h^d(T, f)$, where c is any non-zero real constant.

$$(IV) \quad h^d(T \times T, f^{\otimes}) \leq h^d(T, f_1) + h^d(T, f_2).$$

$$(V) \quad h^d(T \times T, f^{\oplus}) \leq h^d(T, f_1) + h^d(T, f_2).$$

$$(VI) \quad \max\{h^d(T, f_1 f_2), h^d(T, f_1 + f_2)\} \leq h^d(T, f_1) + h^d(T, f_2).$$

proof Parts (I), (II) and (III) are obvious from the definitions and Lemma 1. 1.

(IV) The inequality holds clearly if $\|f_1\| \cdot \|f_2\| = 0$. Now we assume $\|f_1\| \cdot \|f_2\| > 0$.

Let $\epsilon > 0$ and $n \in \mathbf{N}$. Let $F_i \subseteq X$ be any $(d_{f_i}, n, \frac{\epsilon}{\|f_1\| + \|f_2\|}, \mathcal{A})$ -spanning subset w. r. t. T , $i=1, 2$. Set $F = F_1 \times F_2 \subseteq X \times X$. If $(x_1, x_2) \in X \times X$, there exists $x'_i \in F_i (i=1, 2)$ such that

$$\begin{aligned} \max_{1 \leq j \leq n} d_{f_i}(T^j x_i, T^j x'_i) &= \\ \max_{1 \leq j \leq n} |f_i(T^j x_i) - f_i(T^j x'_i)| &\leq \\ \frac{\epsilon}{\|f_1\| + \|f_2\|}. \end{aligned} \tag{5}$$

Then we have

$$\begin{aligned} \max_{1 \leq j \leq n} d_{f^{\otimes}}((T^j x_1, T^j x_2), (T^j x'_1, T^j x'_2)) &= \\ \max_{1 \leq j \leq n} |f^{\otimes}(T^j x_1, T^j x_2) - f^{\otimes}(T^j x'_1, T^j x'_2)| &\leq \\ \max(|f^{\otimes}(T^j x_1, T^j x_2) - f^{\otimes}(T^j x'_1, T^j x_2)| + & \\ |f^{\otimes}(T^j x'_1, T^j x_2) - f^{\otimes}(T^j x'_1, T^j x'_2)|) &\leq \\ \|f_2\| \cdot \max_{1 \leq j \leq n} |f_1(T^j x_1) - f_1(T^j x'_1)| + & \\ \|f_1\| \cdot \max_{1 \leq j \leq n} |f_2(T^j x_2) - f_2(T^j x'_2)| &\leq \\ (\|f_2\| + \|f_1\|) \cdot \frac{\epsilon}{\|f_1\| + \|f_2\|} & \text{(by Eq. (5))} = \epsilon. \end{aligned}$$

That is, F is $(d_{f^{\otimes}}, n, \epsilon, \mathcal{A})$ -spanning w. r. t. T . So $\text{span}_n(d_{f^{\otimes}}, T, \epsilon, \mathcal{A}) \leq$

$$\prod_{j=1}^2 \text{span}_n\left(d_{f_j}, T, \frac{\epsilon}{\|f_1\| + \|f_2\|}, \mathcal{A}\right),$$

hence

$$\begin{aligned} \limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{span}_n(d_{f^{\otimes}}, T, \epsilon, \mathcal{A}) &\leq \\ h^d(T, f_1) + h^d(T, f_2). \end{aligned} \tag{6}$$

Then we claim the inequality by letting $\epsilon \rightarrow 0+$.

(V) We deduce it by a similar procedure as in Eq. (6), if only we notice that

$$\begin{aligned} \max_{1 \leq j \leq n} d_{f^{\oplus}}((T^j x_1, T^j x_2), (T^j x'_1, T^j x'_2)) &\leq \\ \max(|f^{\oplus}(T^j x_1, T^j x_2) - f^{\oplus}(T^j x'_1, T^j x_2)| + & \\ |f^{\oplus}(T^j x'_1, T^j x_2) - f^{\oplus}(T^j x'_1, T^j x'_2)|) &= \\ \max_{1 \leq j \leq n} |f_1(T^j x_1) - f_1(T^j x'_1)| + & \\ \max_{1 \leq j \leq n} |f_2(T^j x_2) - f_2(T^j x'_2)| &\leq \\ \frac{2\epsilon}{\|f_1\| + \|f_2\|} & \text{(by Eq. (5)).} \end{aligned}$$

(VI) Let R denote the restriction of action $T \times T$ on Δ_X , the diagonal $\{(x, x) : x \in X\}$ of X . Note that $h^d(R, (g)^*) \leq h^d(T \times T, g)$ for each $g \in C(X \times X)$, where $(g)^* \in C(\Delta_X)$ denotes the restriction of g over Δ_X . Then we have

$$\begin{aligned} h^d(T, f_1 f_2) &= h^d(R, (f^{\otimes})^*) \\ &\text{(via the canonical mapping } (x, x) \mapsto x) \leq \\ h^d(T \times T, f^{\otimes}) &\leq \\ h^d(T, f_1) + h^d(T, f_2) & \text{(by (IV)).} \end{aligned}$$

By the same reasoning we obtain

$$h^d(T, f_1 + f_2) \leq h^d(T, f_1) + h^d(T, f_2). \quad \square$$

Denote by $C^+(X)$ the collection of all non-negative functions in $C(X)$. We have

Lemma 2. 4 Let (X, T) be a TDS and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Then the following statements are equivalent:

- (I) $h^d(T) = 0$.
- (II) $h^d(T, f) = 0$ for all $f \in C(X)$.
- (III) $h^d(T, f) = 0$ for all $f \in C^+(X)$.
- (IV) $h^d(T, f) = 0$ for all $f \in \mathcal{M}$, where \mathcal{M} is any dense subset of $C(X)$.
- (V) $h^d(T, f) = 0$ for all $f \in \mathcal{M}$, where \mathcal{M} is any dense subset of $C^+(X)$.

Proof ((II) \Leftrightarrow (IV)) and ((III) \Leftrightarrow (V)) follow from Proposition 2. 2, ((II) \Leftrightarrow (III)) follows from Proposition 2. 3 (II). Now let's turn to the proof of ((I) \Leftrightarrow (II)). Let d be the metric on X .

((I) \Rightarrow (II)): Let $f \in C(X)$ and $\epsilon > 0$. Since X is compact, there exists $\delta > 0$ such that $d(x_1, x_2) \leq \delta$ implies $d_f(x_1, x_2) = |f(x_1) - f(x_2)| \leq \epsilon$. That is $d \geq d_f$, hence $h^d(T, f) \leq h^d(T) = 0$ (using Lemma 1. 1).

((II) \Rightarrow (I)): For the proof we shall follow

the idea of Ref. [9, Lemma 4.2]. Assume the contrary that there exists an open cover $\{U_1, U_2\}$ of X such that $h^{\mathcal{A}}(T, \{U_1, U_2\}) > 0$ and $X \setminus U_1$ (resp. $X \setminus U_2$) has a non-empty interior containing x_1 (resp. x_2). Then by the known Urysohn Lemma there exists $f \in C^+(X)$ such that $f(x) = 0$ if $x \in X \setminus U_1$ and $f(x) = 1$ if $x \in X \setminus U_2$. Thus for each $x \in X$, $\{z \in X: d_f(x, z) \leq \frac{1}{3}\}$ is contained in either U_1 or U_2 .

Now for each $n \in \mathbf{N}$, if E is $(d_f, n, \frac{1}{3}, \mathcal{A})$ -spanning w. r. t. T then

$\bigcup_{x \in E} \left\{ z \in X: d_f(T^i x, T^i z) \leq \frac{1}{3}, 1 \leq i \leq n \right\} = X$. Note that for all $x \in X$, $\{z \in X: d_f(T^i x, T^i z) \leq \frac{1}{3}, 1 \leq i \leq n\}$ is contained in some elements of $\bigvee_{j=1}^n T^{-j} \{U_1, U_2\}$, we have

$$N(\bigvee_{j=1}^n T^{-j} \{U_1, U_2\}) \leq \text{span}_n(d_f, T, \frac{1}{3}, \mathcal{A}).$$

So

$$\limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{span}_n(d_f, T, \frac{1}{3}, \mathcal{A}) \geq h^{\mathcal{A}}(T, \{U_1, U_2\}) > 0.$$

In particular, $h^{\mathcal{A}}(T, f) > 0$, a contradiction with the assumption. \square

For $\mathcal{M} \subseteq C(X)$ denote by $\text{span}(\mathcal{M})$ the set $\left\{ \sum_{1 \leq i \leq n} c_i f_i: n \in \mathbf{N}, f_1, \dots, f_n \in \mathcal{M}, c_1, \dots, c_n \in \mathbf{R} \right\}$. Then by Proposition 2.3 and Lemma 2.4 we have

Corollary 2.5 Let (X, T) be a TDS, $\mathcal{M} \subseteq C(X)$ and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. If $\text{span}(\mathcal{M})$ is dense in $C(X)$, then $h^{\mathcal{A}}(T) = 0$ iff $h^{\mathcal{A}}(T, f) = 0$ for all $f \in \mathcal{M}$.

Let (X, T) be a TDS and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. We say that (X, T) has uniformly positive entropy (u. p. e.) along \mathcal{A} if $h^{\mathcal{A}}(T, \mathcal{U}) > 0$ when $\mathcal{U} = \{U_1, U_2\}$ is a standard open cover of X (i. e. both $X \setminus U_1$ and $X \setminus U_2$ have non-empty interiors); and has uniformly positive sequence entropy (u. p. s. e.) if for each standard open cover \mathcal{U} of X there exists a sequence $\mathcal{A} \subseteq \mathbf{Z}_+$ such that $h^{\mathcal{A}}(T, \mathcal{U}) > 0$. Moreover, we say that (x_1, x_2) is an entropy pair of (X, T) along \mathcal{A} if $x_1 \neq x_2$ and

$h^{\mathcal{A}}(T, \mathcal{U}) > 0$ when $\mathcal{U} = \{U_1, U_2\}$ is a standard open cover of X with x_2 (resp. x_1) in the interior of $X \setminus U_1$ (resp. $X \setminus U_2$). Then we have

Theorem 2.6 Let (X, T) be a TDS and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence.

(I) Assume that (x_1, x_2) is an entropy pair of (X, T) along \mathcal{A} . Then $h^{\mathcal{A}}(T, f) > 0$ if $f \in C(X)$ satisfies $f(x_1) \neq f(x_2)$.

(II) Assume that (X, T) has u. p. e. along \mathcal{A} . Then $h^{\mathcal{A}}(T, f) > 0$ if $f \in C(X)$ is not a constant function.

(III) Assume that (X, T) has u. p. s. e. Then for each non-constant function $f \in C(X)$ there exists a sequence $\mathcal{A} \subseteq \mathbf{Z}_+$ such that $h^{\mathcal{A}}(T, f) > 0$.

Proof Note that (X, T) has u. p. e. along \mathcal{A} iff (x_1, x_2) is an entropy pair of (X, T) along \mathcal{A} for any $x_1 \neq x_2$, Part (II) follows from Part (I). Since the proof of Part (III) is the same as Part (I), we only present the proof of Part (I).

Let (x_1, x_2) be an entropy pair of (X, T) along \mathcal{A} and $f \in C(X)$ with $f(x_1) \neq f(x_2)$. Without loss of generality we assume $f(x_1) = 0$ (using Proposition 2.3 (II)). Moreover, by Lemma 2.1 it makes no difference to assume $f \in C^+(X)$ with $f(x_2) = 1$. Set

$$U_1 = \left\{ x \in X: f(x) < \frac{3}{4} \right\}$$

and

$$U_2 = \left\{ x \in X: f(x) > \frac{1}{4} \right\}.$$

Then $\mathcal{U} = \{U_1, U_2\}$ is a standard open cover of X with x_2 (resp. x_1) in the interior of $X \setminus U_1$ (resp. $X \setminus U_2$), and so $h^{\mathcal{A}}(T, \mathcal{U}) > 0$, as (x_1, x_2) is an entropy pair of (X, T) along \mathcal{A} . Obviously, for each $x \in X$, $\{z \in X: d_f(x, z) \leq \frac{1}{6}\}$ is contained in either U_1 or U_2 . Then conducting a similar discussion as in Lemma 2.4 we have $N(\bigvee_{j=1}^n T^{-j} \mathcal{U}) \leq \text{span}_n(d_f, T, \frac{1}{6}, \mathcal{A})$, which implies $h^{\mathcal{A}}(T, f) \geq h^{\mathcal{A}}(T, \mathcal{U}) > 0$. \square

Remark 2.7 In general, the converse of the above statements need not hold. There exists a zero-dimensional TDS (X, T) such that each

function $f \in C(X)$ satisfying $h^{\mathbf{Z}_+}(T, f) = 0$ must be a constant function, however it is not transitive, and so not u. p. e. along \mathbf{Z}_+ (each TDS having u. p. e. along \mathbf{Z}_+ must be weakly mixing^[10], and so transitive). For example, let (X_1, T) be any zero-dimensional TDS having u. p. e. along \mathbf{Z}_+ and $x_1 \in X_1$ a fixed point. Set X to be the space $X_1 \times \{0, 1\}$ identifying $(x_1, 0)$ and $(x_1, 1)$. Clearly, it is not transitive. Now assume that $f \in C(X)$ satisfies $h^{\mathbf{Z}_+}(T, f) = 0$. Let $f_i \in C(X_1 \times \{i\})$ be the restriction of f on $X_1 \times \{i\}$, we have $h^{\mathbf{Z}_+}(T, f_i) = 0$, and so f_i is a constant function (applying Theorem 2.6 (I) to $X_1 \times \{i\}$), $i=0, 1$. Thus f is a constant function.

3 Topo-null TDSs

As an application of previous sections, we prove that, if X is zero-dimensional then for any given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$, (X, T) has zero topological entropy along \mathcal{A} iff $(\mathcal{M}(X), T)$ has zero topological entropy along \mathcal{A} , thus if X is zero-dimensional then (X, T) is topo-null iff $(\mathcal{M}(X), T)$ is topo-null.

First we need Ref. [3, Proposition 2.1].

Lemma 3.1 For any $\epsilon > 0$ and $b > 0$ there exist $N \in \mathbf{N}$ and $c > 0$ such that when $n \geq N$, if $\phi: l_1^n \rightarrow l_\infty^n$ is a linear map with $\|\phi\| \leq 1$, and if $\phi(B_1(l_1^n))$ contains at least 2^{bn} points x_1, \dots, x_l with $\min_{1 \leq i < j \leq l} d(x_i, x_j) > \epsilon$, then $L_n \geq 2^{cn}$. Here $\|\phi\|$ (resp. $B_1(l_1^n)$, d) denotes the norm of the linear operator ϕ (resp. the unit ball of l_1^n , the metric on l_∞^n).

Remark 3.2 A compatible metric on l_1^n (resp. l_∞^n) is given by $\sum_{i \geq 1} \sum_{1 \leq j \leq L_n} |a(i, j) - b(i, j)|$ (resp. $\sup_{i \geq 1} \max_{1 \leq j \leq n} |a(i, j) - b(i, j)|$).

Let (X, T) be a TDS. Then the space $C(X)$ is separable, as X is a compact metric space. Let $\{f_i\}_{i \in \mathbf{N}} \subseteq C(X)$ be a dense subset. Note that each $h \in C(X)$ determines on $\mathcal{M}(X)$ a pseudo-metric

$$\rho_n^*(\mu_1, \mu_2) = \frac{|\int h d\mu_1 - \int h d\mu_2|}{\|h\| + 1}$$

and a compatible metric on $\mathcal{M}(X)$ is given by $\rho = \sum_{i \in \mathbf{N}} \frac{\rho_i}{2^i}$ with $\rho_i = \rho_{f_i}^*$.

Then by Remark 1.6, for any given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$, $h_\rho^{\mathcal{A}}(\mathcal{M}(X), T) = 0$ iff $h_{\rho_i}^{\mathcal{A}}(\mathcal{M}(X), T) = 0$ for all $i \in \mathbf{N}$. Now let $\{g_i\}_{i \in \mathbf{N}} \subseteq C(X)$ with $\text{span}(\{g_i : i \in \mathbf{N}\})$ dense in $C(X)$. Note that for each $f = \sum_{1 \leq i \leq N} \lambda_i g_i$, $\lambda_1, \dots, \lambda_N \in \mathbf{R}$, the pseudo-metric $\sum_{1 \leq i \leq N} |\lambda_i| \rho_{g_i}^*(\|g_i\| + 1)$ dominates the pseudo-metric ρ_f^* . Then using Lemma 1.1 and Corollary 1.3 it is not hard to obtain that

$$h_\rho^{\mathcal{A}}(\mathcal{M}(X), T) = 0 \quad \text{iff} \quad h_{\rho_i}^{\mathcal{A}}(\mathcal{M}(X), T) = 0$$

for each $i \in \mathbf{N}$ with $\rho_i = \rho_{g_i}^*$. (7)

Now let (X, T) be a zero-dimensional TDS. For each clopen (closed and open) subset $A \subseteq X$ we denote by $\chi_A \in C(X)$ the characteristic function of A and write $\rho_A = \rho_{\chi_A}^*$. It is not hard to check that $\text{span}(\{\chi_A : A \subseteq X \text{ is clopen}\})$ is dense in $C(X)$. Note that in any compact zero-dimensional metric space, there are at most countably many clopen subsets in the space, thus using Eq. (7) we have

$$h_\rho^{\mathcal{A}}(\mathcal{M}(X), T) = 0 \quad \text{iff} \quad h_{\rho_A}^{\mathcal{A}}(\mathcal{M}(X), T) = 0$$

for each clopen subset $A \subseteq X$. (8)

Then following the ideas of Ref. [3, Section 2] we have

Theorem 3.3 Let (X, T) be a zero-dimensional TDS and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Then (X, T) has zero topological entropy along \mathcal{A} iff $(\mathcal{M}(X), T)$ has zero topological entropy along \mathcal{A} .

Proof First assume that $(\mathcal{M}(X), T)$ has zero topological entropy along \mathcal{A} . (X, T) , as a subsystem of $(\mathcal{M}(X), T)$, obviously has zero topological entropy along \mathcal{A} as well.

Now assume that (X, T) has zero topological entropy along \mathcal{A} . Using Eq. (8) it suffices to prove $h_\rho^{\mathcal{A}}(\mathcal{M}(X), T) = 0$ by showing $h_{\rho_A}^{\mathcal{A}}(\mathcal{M}(X), T) = 0$ for each clopen $A \subseteq X$.

Set $\mathcal{U} = \{A, X \setminus A\}$, a clopen partition of X .

And for each $n \in \mathbf{N}$ we set

$$\left. \begin{aligned} & \bigvee_{i=1}^n T^{-i} \mathcal{O}_l = \{A_1^n, \dots, A_{L_n}^n\}, \\ & \text{where } L_n = N(\bigvee_{i=1}^n T^{-i} \mathcal{O}_l) \quad \text{and} \\ & \lim_{n \rightarrow \infty} \frac{1}{n} \log L_n = 0. \end{aligned} \right\} \quad (9)$$

We define the matrix $\phi_n = (\phi_n(j, i))_{1 \leq j \leq L_n, 1 \leq i \leq n}$ by setting $\phi_n(j, i) = 1$ if $T^i A_j^n \subseteq A$ and $\phi_n(j, i) = 0$ if $T^i A_j^n \subseteq X \setminus A$. Then ϕ_n induces naturally a linear map from $l_1^{L_n}$ to l_∞^n by setting

$$\phi_n((a(i, j))_{\substack{i \geq 1, 1 \leq j \leq L_n}})(k, l) = \sum_{1 \leq j \leq L_n} a(k, j) \phi_n(j, l).$$

It is easy to check that $\|\phi_n\| \leq 1$.

Note that the space $\mathcal{M}(X)$ can be mapped into $l_1^{L_n}$ as follows

$$\begin{aligned} \mu \in \mathcal{M}(X) & \mapsto (\mu(A_1^n), \dots, \mu(A_{L_n}^n)); \\ & 0, \dots, 0; 0, \dots) \in l_1^{L_n}. \end{aligned} \quad (10)$$

In fact, with the above mapping it may be viewed as $\mathcal{M}(X) \subseteq B_1(l_1^{L_n})$ (here, for our proof it makes no difference though the mapping may be not injective), so $\phi_n(B_1(l_1^{L_n})) \supseteq \phi_n(\mathcal{M}(X))$. Moreover, as $\sum_{1 \leq j \leq L_n} \mu(A_j^n) \phi_n(j, l) = \mu(T^{-l} A) = T^l \mu(A)$ for each $\mu \in \mathcal{M}(X)$ and $1 \leq l \leq n$, we have

$$\begin{aligned} \phi_n(\mu) & = \left(\sum_{1 \leq j \leq L_n} \mu(A_j^n) \phi_n(j, 1), \dots, \right. \\ & \left. \sum_{1 \leq j \leq L_n} \mu(A_j^n) \phi_n(j, n); 0, \dots, 0; 0, \dots \right) = \\ & (T^1 \mu(A), \dots, T^n \mu(A); 0, \dots, 0; 0, \dots), \end{aligned}$$

which implies that for all $\mu, \nu \in \mathcal{M}(X)$ we have (here, d denotes the metric on l_∞^n)

$$d(\phi_n(\mu), \phi_n(\nu)) = \max_{1 \leq i \leq n} |T^i \mu(A) - T^i \nu(A)|. \quad (11)$$

If we assume the contrary that $h_{\rho_A}^d(\mathcal{M}(X), T) > 0$, then there exist $\epsilon > 0$ and $b > 0$ such that for infinitely many n we have $\text{sep}_n(\rho_A, T, \epsilon, \mathcal{A}) \geq 2^{bn}$, thus there exists $\{\mu_1, \dots, \mu_{s_n}\} \subseteq \mathcal{M}(X)$ such that $\{\mu_1, \dots, \mu_{s_n}\}$ is $(\rho_A, n, \epsilon, \mathcal{A})$ -separated w. r. t. T and $s_n = \text{sep}_n(\rho_A, T, \epsilon, \mathcal{A})$. That is,

$$\begin{aligned} & \max_{1 \leq i \leq n} |T^i \mu_{j_1}(A) - T^i \mu_{j_2}(A)| > \epsilon \\ & \text{if } 1 \leq j_1 < j_2 \leq s_n, \end{aligned}$$

i. e. $d(\phi_n(\mu_{j_1}), \phi_n(\mu_{j_2})) > \epsilon$ if $1 \leq j_1 < j_2 \leq s_n$ (using

Eq. (11)). Now applying Lemma 3.1 we have that there exists $c > 0$ such that $L_n \geq 2^{cn}$ if n is large enough, which contradicts Eq. (9). Thus $h_{\rho_A}^d(\mathcal{M}(X), T) = 0$. This completes the proof. \square

Let (Y, S) be a TDS. A TDS (X, T) is a factor of (Y, S) if there exists a continuous surjective map $\pi: Y \rightarrow X$ such that $\pi S = T\pi$. We also say that (Y, S) is an extension of (X, T) and $\pi: (Y, S) \rightarrow (X, T)$ is a factor map between TDSs. Then, as a direct corollary of Theorem 3.3 we have

Theorem 3.4 Let (X, T) be a TDS and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence.

(I) If $(\mathcal{M}(X), T)$ has zero topological entropy along \mathcal{A} , then (X, T) also has zero topological entropy along \mathcal{A} .

(II) Suppose that TDS (X, T) admits a zero-dimensional extension (Y, S) with $h^d(X, T) = h^d(Y, S)$. Then $(\mathcal{M}(X), T)$ has zero topological entropy along \mathcal{A} iff (X, T) has zero topological entropy along \mathcal{A} .

Proof Part (I) is obvious. Now we turn to the proof of Part (II). We assume that TDS (X, T) admits a zero-dimensional extension (Y, S) with $h^d(X, T) = h^d(Y, S)$. Using Part (I), it suffices to prove that if (X, T) has zero topological entropy along \mathcal{A} then $(\mathcal{M}(X), T)$ has zero also topological entropy along \mathcal{A} . Note that there exists a natural homomorphism which maps $\mathcal{M}(Y)$ onto $\mathcal{M}(X)$. In particular, the topological entropy along \mathcal{A} of $(\mathcal{M}(X), T)$ is not more than that of $(\mathcal{M}(Y), S)$. If (X, T) has zero topological entropy along \mathcal{A} and so does (Y, S) (by assumption), then using Theorem 3.3 we have that TDS $(\mathcal{M}(Y), S)$ has zero topological entropy along \mathcal{A} , and so does $(\mathcal{M}(X), T)$. \square

Due to the contribution of Boyle, any TDS with zero topological entropy admits a zero-dimensional extension with zero topological entropy^[3, Proposition 2.4]. Moreover, using the classical variational principle, for each TDS with finite topological entropy, Ref. [11, Fact 4.0.5] constructs a zero-dimensional extension with the

same topological entropy. It is natural to conjecture similar results in view of topological sequence entropy. However, it seems difficult to construct a zero-dimensional topo-null extension for any topo-null TDS. Thus we ask

Question 3.5 Let (X, T) be a TDS and $\mathcal{A} \subseteq \mathbf{Z}_+$ a given sequence. Does it admit a zero-dimensional extension with the same topological entropy along \mathcal{A} ? Does it hold if in addition we assume $h^{\mathcal{A}}(T)=0$?

Assume that Question 3.5 has an affirmative answer for a TDS (X, T) and a given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$ satisfying $h^{\mathcal{A}}(T)=0$. Then using Theorem 3.4 (II) (X, T) has zero topological entropy along \mathcal{A} iff $(\mathcal{M}(X), T)$ has zero topological entropy along \mathcal{A} . Moreover, if Question 3.5 has an affirmative answer for a TDS (X, T) and any given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$ satisfying $h^{\mathcal{A}}(T)=0$, then (X, T) is topo-null iff $(\mathcal{M}(X), T)$ is topo-null. It seems more possible that for any given sequence $\mathcal{A} \subseteq \mathbf{Z}_+$ we can construct a zero-dimensional extension for each topo-null TDS such that the extension has zero topological entropy along \mathcal{A} . Of course, if it is true, this also implies that (X, T) is topo-null iff $(\mathcal{M}(X), T)$ is topo-null.

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