

# Very stable extensions on arithmetic surfaces

Christophe SOULÉ

Received: date / Accepted: date

**Abstract** Given a line bundle  $L$  on a smooth projective curve over the complex numbers, we show that a general extension  $E$  of  $L$  by the trivial line bundle is *very stable*: line bundles contained in  $E$  have degree much less than half the degree of  $E$ . From this result we deduce new inequalities for the successive minima of the euclidean lattice  $H^1(X, L^{-1})$ , where  $L$  is an hermitian line bundle on the arithmetic surface  $X$ .

**Keywords** Projective curve · Semi-stable bundle · Secant variety · Arithmetic surface · Successive minima

**Mathematics Subject Classification (2000)** MSC 14H60 · MSC 14G40

## 1 Introduction

Let  $X$  be an arithmetic surface and  $\bar{N}$  an hermitian line bundle on  $X$ . The lattice

$$\Lambda = H^1(X, N^{-1})$$

is equipped with the  $L^2$ -metric. In this paper we keep on studying the successive minima of this euclidean lattice; see [2], [3] and [4] for previous results. When the degree of  $N$  is large enough we get a lower bound for the  $k$ -th minimum of  $\Lambda$ , when  $k > \frac{\deg(N)}{2} + g$ , where  $g$  is the generic genus of  $X$ ; cf. Theorem 2 for a precise statement.

As in *op. cit.*, we get this inequality by considering the extension

$$0 \rightarrow \mathcal{O}_X \rightarrow E \rightarrow N \rightarrow 0$$

---

C. Soulé  
IHÉS, 35 route de Chartres, 91440 Bures-sur-Yvette, France  
E-mail: soule@ihes.fr

defined by a class  $e \in A$ . If  $a \geq 0$  is an integer, we say that  $e$  is *a-stable* when the restriction of  $E$  to the geometric generic fiber  $C$  of  $X$  does not contain any line bundle  $L$  with

$$\deg(L) > \frac{\deg(E) - a}{2}.$$

The main ingredient in the proof of Theorem 2 is the assertion that any  $V \subset H^1(C, N^{-1})$  contains a class  $e$  which is *a-stable* when  $\dim(V)$  is large enough (Theorem 1). This is proved by induction, the case  $a = 0$  being Proposition 2 in [4].

The paper is organized as follows. In Section 1 we introduce the notion of *a-stability* for a rank two vector bundle on  $C$ . The Lemma 1 relates *a-stability* and semi-stability when  $E$  is an extension of line bundles. In Lemma 2 we introduce secant varieties. Sections 1.4 to 1.9 are then devoted to the proof of Theorem 1. In Section 2 we let  $\bar{N}$  be an hermitian line bundle on some arithmetic surface  $X$ . Proposition 2 gives a lower bound for the  $L^2$ - norm of  $e \in A$  if its restriction to  $C$  is *a-stable*. Theorem 2 follows by arguments similar to those in [2], [3] and [4].

I thank Y. Miyaoka for suggesting to look at very stable bundles, and C. Voisin for her comments on a first draft of this article.

## 2 Very stable extensions on curves

### 2.1

Let  $k$  be an algebraically closed field of characteristic zero and  $C$  a smooth projective curve of genus  $g$  over  $k$ . Let  $a \geq 0$  be an integer. A rank two vector bundle  $E$  over  $C$  is said to be *a-stable* when, for every line bundle  $L$  contained in  $E$ , the following inequality holds:

$$\deg(L) \leq \frac{\deg(E) - a}{2}.$$

So,  $E$  is semi-stable (resp. stable) iff it is 0-stable (resp. 1-stable).

### 2.2

Let  $M$  and  $L$  be two line bundles on  $C$  and

$$0 \rightarrow L \rightarrow E \rightarrow M \rightarrow 0$$

an extension of  $M$  by  $L$ . Let  $A$  be an effective line bundle of degree  $a$  on  $C$  and  $s : \mathcal{O}_C \rightarrow A$  a non trivial global section of  $A$  on  $C$ . If  $A^{-1}$  is the dual

of  $A$  and  $MA^{-1}$  its tensor product with  $M$ , the section  $s$  defines an injective morphism

$$i : MA^{-1} \rightarrow M.$$

If we pull-back the extension  $E$  by  $i$  we get a commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & L & \longrightarrow & E & \longrightarrow & M & \longrightarrow & 0 \\ & & \parallel & & \uparrow & & \uparrow i & & \\ 0 & \longrightarrow & L & \longrightarrow & E' & \longrightarrow & MA^{-1} & \longrightarrow & 0 \end{array}$$

for some rank two vector bundle  $E'$  on  $C$ .

**Lemma 1.** *If  $E$  is  $a$ -stable,  $E'$  is semi-stable.*

*Proof.* The morphism  $E' \rightarrow E$  is injective, therefore any line bundle  $N$  contained in  $E'$  is also contained in  $E$ . Hence

$$\deg(N) \leq \frac{\deg(E) - a}{2} = \frac{\deg(E')}{2}$$

and  $E'$  is semi-stable.

### 2.3

Let  $N$  be a line bundle of degree  $n \geq 3$  on  $C$ . Each cohomology class

$$e \in H^1(C, N^{-1}) = \text{Ext}(N, \mathcal{O}_C)$$

classifies an extension

$$0 \rightarrow \mathcal{O}_C \rightarrow E \rightarrow N \rightarrow 0$$

of  $N$  by the trivial line bundle. We say that  $e$  is  $a$ -stable (resp. semi-stable) if  $E$  is  $a$ -stable (resp. semi-stable).

Let

$$\mathbb{P} = \mathbb{P}(H^1(C, N^{-1}))$$

be the projective space of lines in  $H^1(C, N^{-1})$ . If  $\omega$  is the sheaf of differentials on  $C$ , Serre duality implies that  $H^1(C, N^{-1}) \simeq H^0(C, \omega \otimes N)^*$  and we get a canonical immersion  $C \rightarrow \mathbb{P}$ . If  $D$  is an effective divisor on  $C$  we let  $\langle D \rangle \subset \mathbb{P}$  be the linear span of  $D$ , and  $|D|$  be the support of  $D$ . For every integer  $d \geq 0$  we consider the secant variety

$$\Sigma_d = \bigcup_{\deg(D)=d} \langle D \rangle.$$

**Lemma 2.** *The extension class  $e$  is  $a$ -stable iff its image  $\bar{e}$  in  $\mathbb{P}$  does not belong to  $\Sigma_d$  when  $d < \frac{n+a}{2}$ .*

*Proof.* This follows from the arguments discussed in [1] p. 451, [3] §1.6 or [4] §2.4.2.

## 2.4

We keep the notation of the previous paragraph.

**Theorem 1.** *Assume that  $n \geq a + 3$  and let  $V \subset H^1(C, N^{-1})$  be a  $k$ -vector space of dimension*

$$\dim(V) \geq \frac{n+a}{2} + g. \quad (1)$$

*Then there exists a class  $e \in V$  which is  $a$ -stable.*

In view of Lemma 2, Theorem 1 can be rephrased as follows. Let  $\delta = (n+a)/2$ . Assume that  $n \geq \delta + 2$ . When  $d < \delta$  the secant variety  $\Sigma_d$  does not contain any linear subspace  $\mathbb{P}(V)$  with  $\dim(V) \geq \delta + g$ .

## 2.5

To prove Theorem 1 we can assume that  $n+a$  is even. Indeed, if  $n+a$  is odd the condition (1) is equivalent to

$$\dim(V) \geq \frac{n+a+1}{2} + g,$$

and, if  $e$  is  $(a+1)$ -stable, it is also  $a$ -stable.

When  $n+a$  is even, we proceed by induction on  $a$ . When  $a = 0$  (and  $n$  is even) Theorem 1 is Proposition 2 in [4].

Assume Theorem 1 has been proved for  $a-1$ . If  $P \in C(k)$  is a point on  $C$  we let

$$X_P = \bigcup_{\substack{P \in |D| \\ \deg(D) < \frac{n+a}{2}}} \langle D \rangle,$$

and we consider a linear subspace  $V \subset H^1(C, N^{-1})$  of dimension at least  $\frac{n+a}{2} + g$ . Assume that  $P$  does not lie in the projective space  $\mathbb{P}(V) \subset \mathbb{P}$ .

**Lemma 3.** *The intersection  $X_P \cap \mathbb{P}(V)$  is a proper closed subset of  $\mathbb{P}(V)$ .*

## 2.6

To prove Lemma 3, let  $N^{-1}P$  be the tensor product of  $N^{-1}$  with the line bundle  $\mathcal{O}(P)$  and

$$\pi : H^1(C, N^{-1}) \rightarrow H^1(C, N^{-1}P)$$

the corestriction morphism. Let

$$\mathbb{P}' = \mathbb{P}(H^1(C, N^{-1}P))$$

and let

$$p : \mathbb{P} - \{P\} \rightarrow \mathbb{P}'$$

be the linear projection defined by  $\pi$ . Since  $P$  is not in  $\mathbb{P}(V)$ , we have  $\pi(V) = V'$ , where  $V'$  has the same dimension as  $V$ , and  $p$  induces an isomorphism

$$\mathbb{P}(V) \xrightarrow{\sim} \mathbb{P}(V').$$

If  $D$  is a divisor on  $C$  such that  $P \in |D|$ ,  $p(\langle D \rangle)$  is the linear span  $\langle D - P \rangle'$  of  $D - P$  in  $\mathbb{P}'$ . The secant variety

$$\Sigma = \bigcup_{\deg(D) < \frac{n+a}{2} - 1} \langle D \rangle'$$

is a closed subset of  $\mathbb{P}'$ , hence its inverse image

$$X_P - \{P\} = p^{-1}(\Sigma)$$

is a closed subset of  $\mathbb{P} - \{P\}$ .

If  $\mathbb{P}(V)$  was contained in  $X_P$ ,  $\mathbb{P}(V')$  would be contained in  $\Sigma$ . But

$$\dim(V') = \dim(V) \geq \frac{n+a}{2} + g > \frac{(n-1) + (a-1)}{2} + g$$

hence, by the induction hypothesis,  $\mathbb{P}(V')$  contains a point  $\bar{e}'$  such that  $e'$  is  $(a-1)$ -stable. Since

$$\frac{n+a}{2} - 1 = \frac{(n-1) + (a-1)}{2},$$

$\bar{e}'$  does not lie in  $\Sigma$  (Lemma 2). This proves Lemma 3.

## 2.7

To prove Theorem 1 we can assume that  $\dim(V) = \frac{n+a}{2} + g$ . Since  $H^1(C, N^{-1})$  has dimension  $n+g-1$  and  $n \geq 3$ ,  $V$  is a proper subspace of  $H^1(C, N^{-1})$ , and  $\mathbb{P}(V)$  does not contain  $C$ . Let  $P_1, \dots, P_a$  be  $a$  distinct points of  $C \setminus \mathbb{P}(V)$  and  $A$  the divisor

$$A = P_1 + \dots + P_a.$$

From Lemma 3 we conclude that

$$U = \mathbb{P}(V) - \bigcup_{\substack{|A| \cap |D| \neq \emptyset \\ \deg(D) < \frac{n+a}{2}}} \langle D \rangle$$

is a nonempty open subset of  $\mathbb{P}(V)$ . Let  $N^{-1}A^{-1}$  be the tensor product of  $N^{-1}$  with  $\mathcal{O}(-A)$  and

$$\pi : H^1(C, N^{-1}A^{-1}) \rightarrow H^1(C, N^{-1})$$

the corestriction map. Let  $\mathbb{P}' = \mathbb{P}(H^1(C, N^{-1}A^{-1}))$  and

$$p : \mathbb{P}' - \langle A \rangle' \rightarrow \mathbb{P}$$

the projection induced by  $\pi$ .

By Proposition 1 below, applied to  $NA$  instead of  $N$  and to  $W = \pi^{-1}(V)$ , there exists a non trivial class  $e \in V$  such that  $\bar{e} \in U$  and each  $e' \in H^1(C, N^{-1}A^{-1})$  such that  $\pi(e') = e$  is semi-stable. Assume  $\bar{e}$  lies in  $\langle D \rangle$ , for some effective divisor  $D$  on  $C$ . Then, either  $\deg(D) \geq \frac{n+a}{2}$  or  $|A| \cap |D| = \emptyset$  and  $\deg(D) < \frac{n+a}{2}$ . In the latter case, since

$$\deg(NA\omega) = (2g-2) + n + a > 2g-2 + \deg(A) + \deg(D),$$

we have

$$\langle A \rangle \cap \langle D \rangle = \langle A \cap D \rangle = \emptyset$$

([1] p. 434) and there exists  $\bar{e}' \in \langle D \rangle'$  such that  $p(\bar{e}') = \bar{e}$ . Since  $e'$  is semi-stable and  $\deg(NA) = n + a$ , Lemma 2 implies that

$$\deg(D) \geq \frac{n+a}{2}.$$

Applying Lemma 2 again, we conclude that  $e$  is  $a$ -stable.

## 2.8

Let  $N$  be a line bundle of even positive degree  $n$  on  $C$ . Let

$$K \subset W \subset H^1(C, N^{-1})$$

be linear subspaces. We assume that  $V = W/K$  is not zero and we let  $U \subset \mathbb{P}(V)$  be a nonempty open subset. Let  $\pi : W \rightarrow V$  be the projection and  $a = \dim(K)$ .

**Proposition 1.** *If  $\dim(V) \geq \frac{n}{2} + g$  there exists  $\varepsilon \in V$  such that  $\bar{\varepsilon} \in U$  and any  $e \in W$  such that  $\pi(e) = \varepsilon$  is semi-stable.*

## 2.9

To prove Proposition 1, we first note, as in [4] p. 288, that there exist two line bundles  $L$  and  $M$  on  $C$  such that  $LM = \omega$  and  $ML^{-1} = N$ . Any class  $e \in H^1(C, N^{-1})$  defines an extension

$$0 \rightarrow L \rightarrow E \rightarrow M \rightarrow 0$$

and a boundary map

$$\partial_e : H^0(C, M) \rightarrow H^1(C, L).$$

The bundle  $E$  is semi-stable iff  $\partial_e$  is an isomorphism. We now adapt to our situation the argument of C. Voisin in [4] 2.2. Let

$$\mu : H^0(C, M)^{\otimes 2} \rightarrow W^*$$

be the composite of the cup-product with the projection

$$H^0(C, M^2) = H^1(C, N^{-1})^* \rightarrow W^* .$$

Any vector  $e \in W$  defines, via  $\mu$ , a quadric  $q_e$  in the projective space  $\mathbb{P}(H^0(C, M))$ . The boundary map  $\partial_e$  is an isomorphism iff  $q_e$  is non singular.

Arguing by contradiction, we assume that, for every  $\varepsilon \in V$  such that  $\bar{\varepsilon} \in U$ , there exists  $e \in W$  such that  $\pi(e) = \varepsilon$  and  $q_e$  is singular. When  $r \geq 1$  is a positive integer, we let  $U_r \subset U$  be the set of those  $\bar{\varepsilon}$  such that there exist  $e \in W$  with  $\pi(e) = \varepsilon$  and the singular locus of  $q_e$  has dimension  $r$ . We have

$$U = \bigcup_{r \geq 1} U_r$$

and each set  $U_r$  is constructible. Therefore there exists  $r_0$  such that  $U_{r_0}$  contains a dense open subset of  $\mathbb{P}(V)$ . Consider the Zariski closure  $B \subset \mathbb{P}(H^0(C, M))$  of the union of the singular loci of the quadrics with singular locus of dimension  $r_0$ , and let  $b$  be the dimension of  $B$ .

Let  $\sigma \in H^0(C, M)$  be a representative of a generic point  $\bar{\sigma} \in B$ . We claim that the map

$$\mu_\sigma : H^0(C, M) \rightarrow W^*$$

sending  $\tau$  to  $\mu(\sigma \otimes \tau)$  has rank at most  $a + b$ . Indeed  $q \in W$  is singular at  $\tau$  iff it lies in the subspace  $Q_\tau \subset W$  orthogonal to the image of  $\mu_\tau$ . The union of all the vector spaces  $Q_\tau$ ,  $\bar{\tau} \in B$ , maps onto  $U_{r_0}$ . Therefore the dimension of  $Q_\sigma$  is at least  $\dim(V) - b$  and the rank of  $\mu_\sigma$  is at most  $\dim(W) - (\dim(V) - b) = a + b$ , as claimed.

It follows that the kernel  $H_\sigma$  of  $\mu_\sigma$  has dimension  $c \geq m - a - b$ , where  $m = \dim H^0(C, M)$ . Arguing as in *op. cit.*, p. 290, we find that the subspace  $W^\perp \subset H^0(C, M^2)$  orthogonal to  $W$  has dimension at least

$$b + c \geq m - a .$$

Therefore, since  $H^1(C, N^{-1})$  has dimension  $n + g - 1$ ,  $W$  has dimension at most  $n + a + g - m - 1$ . By Riemann-Roch and the fact that  $2\deg(M) = 2g - 2 + n$ , we know that

$$n - m + g \leq \frac{n}{2} + g .$$

Since  $\dim(V) = \dim(W) - a$ , we get

$$\dim(V) \leq \frac{n}{2} + g - 1 ,$$

contradicting our hypothesis.

### 3 Arithmetic surfaces

#### 3.1

Let  $F$  be a number field,  $\mathcal{O}_F$  its ring of integers and  $S = \text{Spec}(\mathcal{O}_F)$ . Consider a proper flat curve  $X$  over  $S$  such that  $X$  is regular and the generic fiber  $X_F$  is geometrically irreducible of genus  $g$ . Let

$$\text{deg} : \text{Pic}(X) \rightarrow \mathbb{Z}$$

be the morphism which sends the class of a line bundle over  $X$  to the degree of its restriction to  $X_F$ .

Let  $\bar{N} = (N, h)$  be an hermitian line bundle on  $X$ . The cohomology group

$$\Lambda = H^1(X, N^{-1})$$

is a finitely generated module over  $\mathcal{O}_F$ . It can be endowed as follows with an hermitian norm. For every complex embedding  $\sigma : F \rightarrow \mathbb{C}$ , we let  $X_\sigma = X \otimes_{\mathcal{O}_F} \mathbb{C}$  be the corresponding complex curve. The cohomology group

$$\Lambda_\sigma = \Lambda \otimes \mathbb{C} = H^1(X_\sigma, N_{\mathbb{C}}^{-1})$$

is canonically isomorphic to the complex vector space  $\mathcal{H}^{0,1}(X_\sigma, N_{\mathbb{C}}^{-1})$  of harmonic differential forms of type  $(0, 1)$  with coefficients in the restriction  $N_{\mathbb{C}}^{-1}$  of  $N^{-1}$  to  $X_\sigma$ . Given  $\alpha \in \mathcal{H}^{0,1}(X_\sigma, N_{\mathbb{C}}^{-1})$  we let  $\alpha^*$  be its transposed conjugate (the definition of which involves  $h$ ), and we define

$$\|\alpha\|_{L^2}^2 = \frac{i}{2\pi} \int_{X_\sigma} \alpha^* \alpha.$$

Given  $e \in \Lambda$  we let

$$\|e\| = \text{Sup}_\sigma \|\sigma(e)\|_{L^2},$$

where  $\sigma$  runs over all complex embeddings of  $F$ .

Let  $a \geq 0$  be an integer and  $n$  the degree of  $N$ . We assume that  $n \geq a + 3$ . Let  $\bar{A}$  be an hermitian line bundle on  $X$  of degree  $\text{deg}(\bar{A}) = a$ , and  $s : \mathcal{O}_X \rightarrow \bar{A}$  a non zero global section of  $\bar{A}$ . Define

$$\|s\|_{\text{sup}} = \text{Sup}_{x \in X(\mathbb{C})} \|s(x)\|,$$

where  $X(\mathbb{C}) = \coprod_\sigma X_\sigma$  is the set of complex points of  $X$ .

Any class  $e \in \Lambda$  defines an extension

$$0 \rightarrow \mathcal{O}_X \rightarrow E \rightarrow N \rightarrow 0$$

on  $X$ . If  $\bar{F}$  is a fixed algebraic closure of  $F$ , we let  $E_{\bar{F}}$  be the restriction of  $E$  to  $X_{\bar{F}} \otimes \bar{F}$ . Denote by  $r = [F : \mathbb{Q}]$  the absolute degree of  $F$ .



**Proposition 2.** *Assume  $E_{\bar{F}}$  is  $a$ -stable. Then the following inequality holds*

$$\log \|e\| \geq \frac{(\bar{N} - \bar{A})^2}{2(n-a)r} - \log \|s\|_{\text{sup}} - 1,$$

where  $(\bar{N} - \bar{A})^2 \in \mathbb{R}$  denotes the arithmetic self-intersection of the first Chern class  $\hat{c}_1(\bar{N}\bar{A}^{-1}) \in \widehat{CH}^1(X)$ .

### 3.2

To prove Proposition 2 we consider the extension

$$0 \rightarrow \mathcal{O}_X \rightarrow E' \rightarrow NA^{-1} \rightarrow 0$$

obtained by pulling back  $e \in H^1(X, N^{-1})$  to  $e' \in H^1(X, N^{-1}A)$ . Since the restriction of  $E'$  to  $X_{\bar{F}}$  is semi-stable (Lemma 1) we have

$$\log \|e'\| \geq \frac{(\bar{N} - \bar{A})^2}{2(n-a)r} - 1 \quad (2)$$

(see [2] or [4] pp. 294-295). So we are left with comparing  $\|e\|$  and  $\|e'\|$ .

We have a commutative diagram:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{O}_X & \longrightarrow & E & \longrightarrow & N & \longrightarrow & 0 \\ & & \parallel & & \uparrow & & \uparrow & & \\ 0 & \longrightarrow & \mathcal{O}_X & \longrightarrow & E' & \longrightarrow & NA^{-1} & \longrightarrow & 0. \end{array}$$

Any  $C^\infty$  splitting  $E_{\mathbb{C}} \rightarrow \mathbb{C}$  of the top extension defines, by restriction, a  $C^\infty$  splitting  $E'_{\mathbb{C}} \rightarrow \mathbb{C}$ . The Cauchy-Riemann operators  $\bar{\partial}_E$  and  $\bar{\partial}_{E'}$  can then be written as matrices

$$\bar{\partial}_E = \begin{pmatrix} \bar{\partial}_{\mathbb{C}} & \alpha \\ 0 & \bar{\partial}_N \end{pmatrix}$$

and

$$\bar{\partial}_{E'} = \begin{pmatrix} \bar{\partial}_{\mathbb{C}} & \alpha' \\ 0 & \bar{\partial}_{NA^{-1}} \end{pmatrix},$$

where  $\alpha$  is a linear map  $C^\infty(N_{\mathbb{C}}) \rightarrow A^{01}(\mathbb{C})$ , and  $\alpha' : C^\infty(NA_{\mathbb{C}}^{-1}) \rightarrow A^{01}(\mathbb{C})$  is the restriction of  $\alpha$  to  $NA_{\mathbb{C}}^{-1}$ .

For any  $\sigma : F \rightarrow \mathbb{C}$ , choose a local chart  $z$  of  $X_\sigma$  and local trivializations of  $N_{\mathbb{C}}$  and  $A_{\mathbb{C}}$ . We have

$$\alpha = \varphi d\bar{z},$$

where  $\varphi$  is a smooth function and

$$\alpha' = \varphi u d\bar{z},$$

where  $u$  is the local section of  $A$  defined by  $s$ . The transposed conjugates are

$$\alpha^* = \frac{\bar{\varphi}}{h_N(1,1)} dz$$

and

$$\alpha'^* = \frac{h_A(1,1) \bar{\varphi} \bar{u} dz}{h_N(1,1)},$$

where  $h_N(1,1)$  (resp.  $h_A(1,1)$ ) is the squared norm of the local generator of  $N$  (resp.  $A$ ). It follows that

$$\alpha'^* \alpha' = h_A(1,1) u \bar{u} \alpha^* \alpha = \|s\|^2 \alpha^* \alpha,$$

and

$$\|\alpha'\|_{L^2}^2 = \frac{i}{2\pi'} \int_{X_\sigma} \alpha'^* \alpha' \leq \|s\|_{\sup}^2 \|\alpha\|_{L^2}^2.$$

Assume that the splitting  $E_{\mathbb{C}} \rightarrow \mathbb{C}$  has been chosen such that  $\alpha$  is harmonic. Then we get

$$\|\alpha'\|_{L^2} \leq \|s\|_{\sup} \|\sigma(e)\|_{L^2}.$$

Since  $\|\sigma(e')\|_{L^2}$  is the smallest value of  $\|\alpha'\|_{L^2}$  when  $\alpha'$  runs over all representatives of  $e'$  in  $A^{01}(X_\sigma, N^{-1}A_{\mathbb{C}})$ , we get

$$\|\sigma(e')\|_{L^2} \leq \|s\|_{\sup} \|\sigma(e)\|_{L^2}$$

hence

$$\|e'\| \leq \|s\|_{\sup} \|e\|.$$

This inequality and (2) imply Proposition 2.

### 3.3

We keep the notation of §2.1 and we consider the (logarithms of the) successive minima of the euclidean lattice  $(\Lambda, \|\cdot\|)$ . When  $k \leq rk(\Lambda)$ ,  $\mu_k$  is the infimum of all real numbers  $\mu$  such that there exists  $k$  elements  $e_1, \dots, e_k$  in  $\Lambda$  which are linearly independent in  $\Lambda \otimes F$  and such that

$$\|e_i\| \leq \exp(\mu) \quad \text{for all } i = 1, \dots, k.$$

**Theorem 2.** *Assume that*

$$\frac{n+a}{2} + g \leq k < n+g-1.$$

*Then*

$$\mu_k \geq \frac{(\bar{N} - \bar{A})^2}{2(n-a)r} - \log \|s\|_{\sup} - C,$$

*where  $C = 1 + \log(d(n,a)k)$ , and  $d(n,a)$  is bounded as in (3) below.*

## 3.4

To prove Theorem 2 we let

$$V \subset H^1(X_{\bar{F}}, N^{-1}) = \Lambda \otimes \bar{F}$$

be the linear space spanned by  $e_1, \dots, e_k$ . Since  $k < n + g - 1$ ,  $V$  is a proper subspace of  $\Lambda \otimes \bar{F}$ . From Theorem 1 we know that there exists  $e \in V$  such that the corresponding extension  $E$  of  $N$  by  $\mathcal{O}_C$  on  $C = X_{\bar{F}}$  is  $a$ -stable. More precisely  $E$  is  $a$ -stable when  $\bar{e}$  does not belong to  $\mathbb{P}(V) \cap H(n, a)$ , where  $H(n, a)$  is an hypersurface defined as follows. When  $n + a$  is odd we let  $H(n, a) = H(n, a + 1)$ . When  $n + a$  is even,  $H(n, a)$  is defined by induction on  $a$ . We choose  $A = P_1 + \dots + P_a$  as in 1.7. The class  $\bar{e}$  is  $a$ -stable when it satisfies the following two conditions. First, for any  $P \in |A|$ , the projection of  $\bar{e}$  into  $\mathbb{P}(H^1(C, N^{-1}P))$  should not lie in  $H(n - 1, a - 1)$ . Second, let  $L$  and  $M$  be line bundles on  $C$  such that  $LM = \omega$  and  $ML^{-1} = NA$ ; then, any class  $\bar{e}' \in \mathbb{P}(H^1(C, N^{-1}A^{-1}))$  which maps to  $\bar{e} \in \mathbb{P}(H^1(C, N^{-1}))$  should be such that the boundary map

$$\partial_{e'} : H^0(C, M) \rightarrow H^1(C, L)$$

is an isomorphism. Let  $m$  be the dimension of  $H^0(C, M)$ ,  $\sigma_1, \dots, \sigma_m$  a basis of  $H^0(C, M)$ , and  $\tau_1, \dots, \tau_m$  a basis of  $H^1(C, L)^*$ . Then  $\partial_{e'}$  is injective as soon as it satisfies the inequation

$$(\partial_{e'}(\sigma_1) \wedge \dots \wedge \partial_{e'}(\sigma_m), \tau_1 \wedge \dots \wedge \tau_m) \neq 0,$$

which is of degree  $m \leq \frac{n+a}{2}$  in  $e'$ . It follows from the proof of Theorem 1 that  $\bar{e}$  is  $a$ -stable as soon as it satisfies these two conditions, which is the case when  $\bar{e} \notin H(n, a)$ , where  $H(n, a)$  is an hypersurface of degree  $d(n, a)$  with

$$d(n, a) \leq \frac{n+a}{2} + a d(n-1, a-1)$$

and

$$d(n, 0) \leq \frac{n}{2}.$$

Therefore we get

$$\begin{aligned} d(n, a) &\leq p + a(p-1) + a(a-1)(p-2) + a(a-1)(a-2)(p-3) \\ &\quad + \dots + a!(p-a), \quad \text{when } n+a = 2p \text{ or } 2p-1. \end{aligned} \quad (3)$$

Therefore, as in [3] Prop. 5, there exist  $k$  integers  $n_1, \dots, n_k$ , with  $|n_i| \leq d(n, a)$  for all  $i$ , such that

$$e = n_1 e_1 + \dots + n_k e_k$$

does not lie in  $H(n, a)$ . The extension  $E$  defined by  $e$  on  $X$  is then  $a$ -stable, and Proposition 2 implies that

$$\log \|e\| \geq \frac{(\bar{N} - \bar{A})^2}{2(n-a)r} - \log \|s\|_{\text{sup}} - 1.$$

Since

$$\|e\| \leq k d(n, a) \exp(\mu_k),$$

Theorem 2 follows.

**References**

1. A. Bertram, Moduli of rank 2 vector bundles, theta divisors, and the geometry of curves in projective space, *J. Diff. Geom.*, 35, 429-469 (1992)
2. C. Soulé, A vanishing theorem on arithmetic surfaces, *Invent. Math.*, 116, 577-599 (1994)
3. C. Soulé, Secant varieties and successive minima, *J. Algebraic Geom.*, 13, no. 2, 323-341 (2004)
4. C. Soulé, Semi-stable extensions on arithmetic surfaces, in “ Moduli Spaces and Arithmetic Geometry ” (Kyoto 2004), *Advanced Studies in Pure Maths.*, 45, 283-295 (2006)