

## Chapter 4 sampling of continuous-time signals

- 4.1 periodic sampling
- 4.2 discrete-time processing of continuous-time signals
- 4.3 continuous-time processing of discrete-time signal
- 4.4 digital processing of analog signals
- 4.5 changing the sampling rate using discrete-time processing

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### 4.1 periodic sampling

$$x[n] = x_c(nT) \quad T : \text{sample period},$$

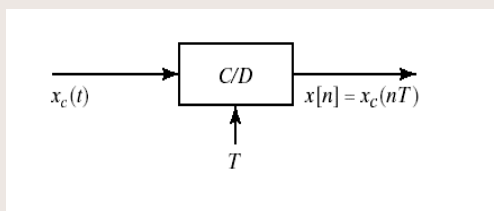
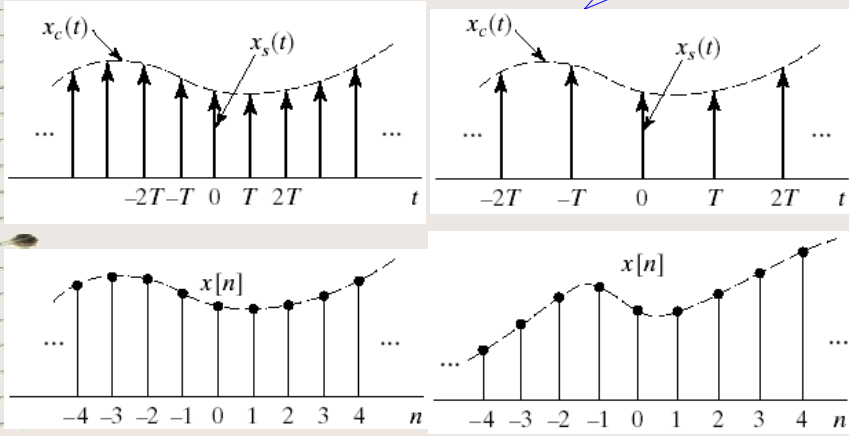


Figure 4.1 ideal continuous-time-to-discrete-time(C/D)converter

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T不同导致采样结果不同



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理想采样的  
数学模型

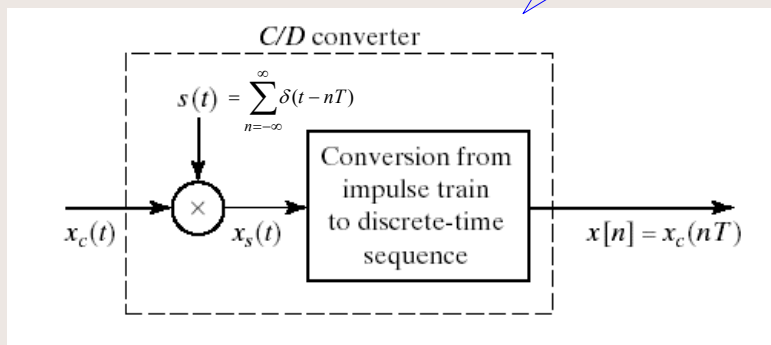
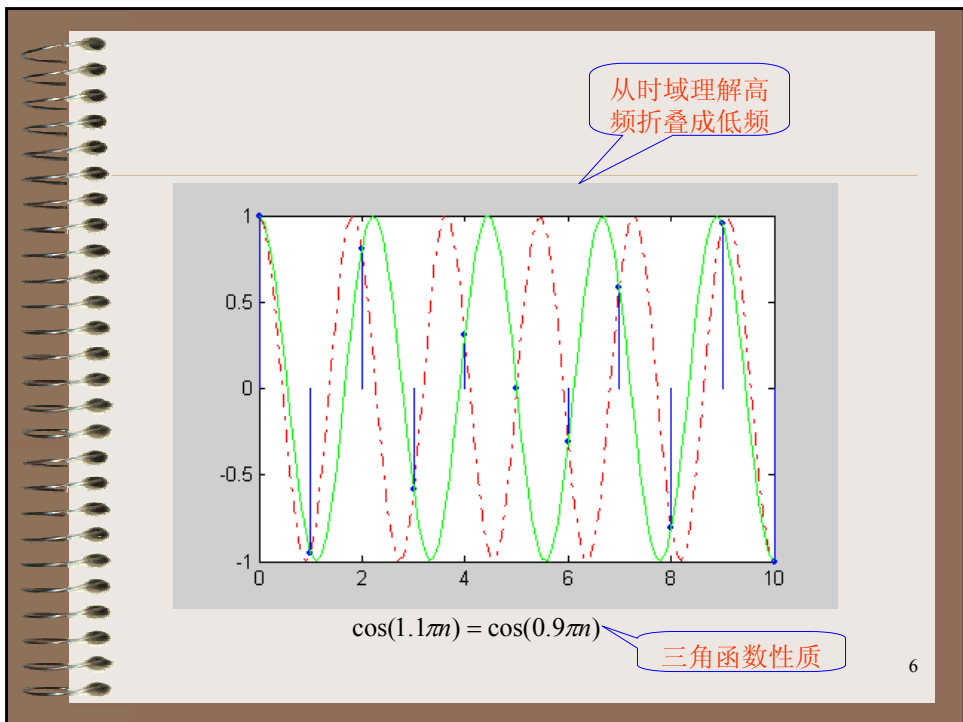
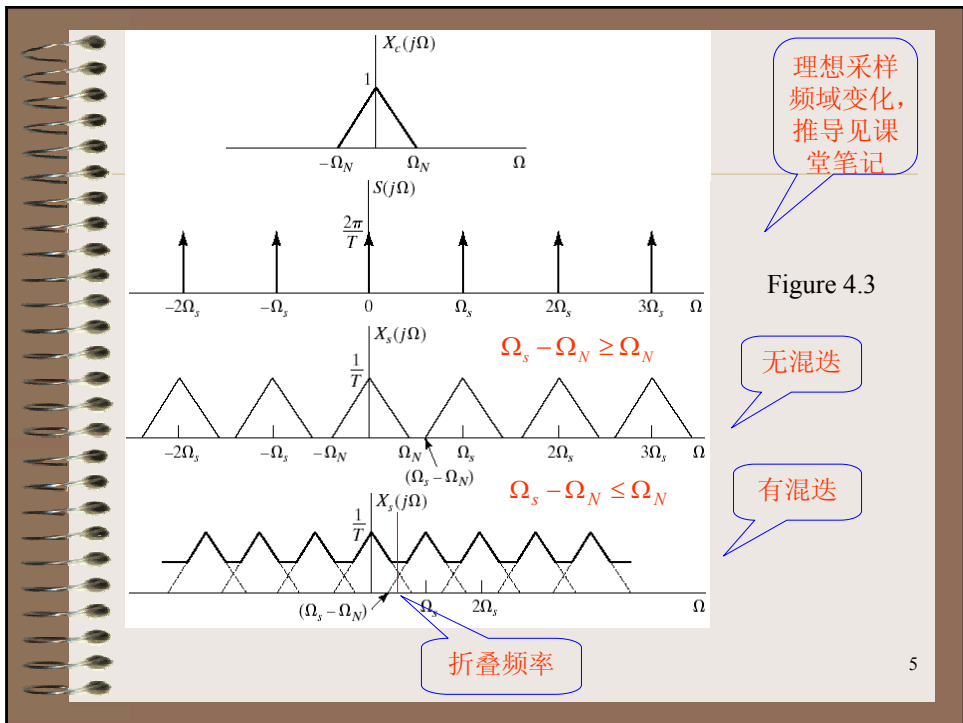


Figure 4.2(a)

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重构的频域过程

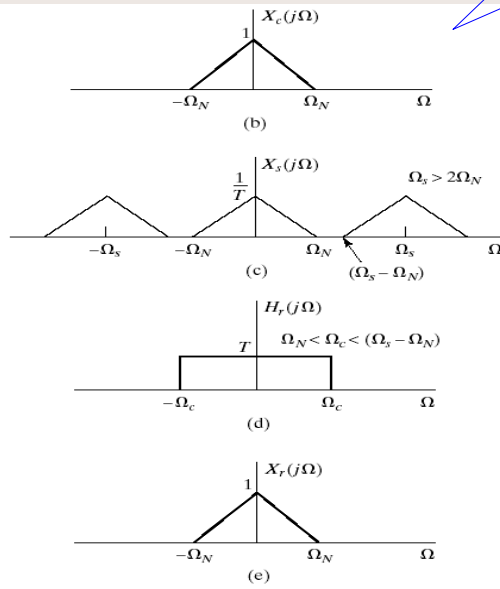


Figure 4.4

重构的数学模型

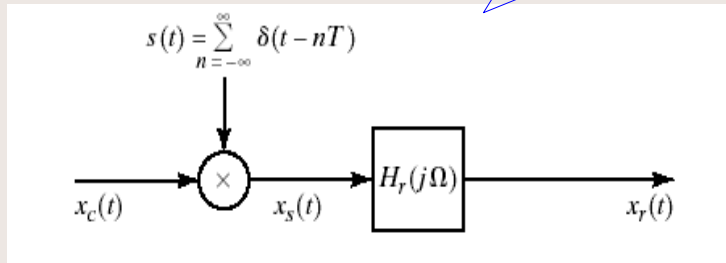
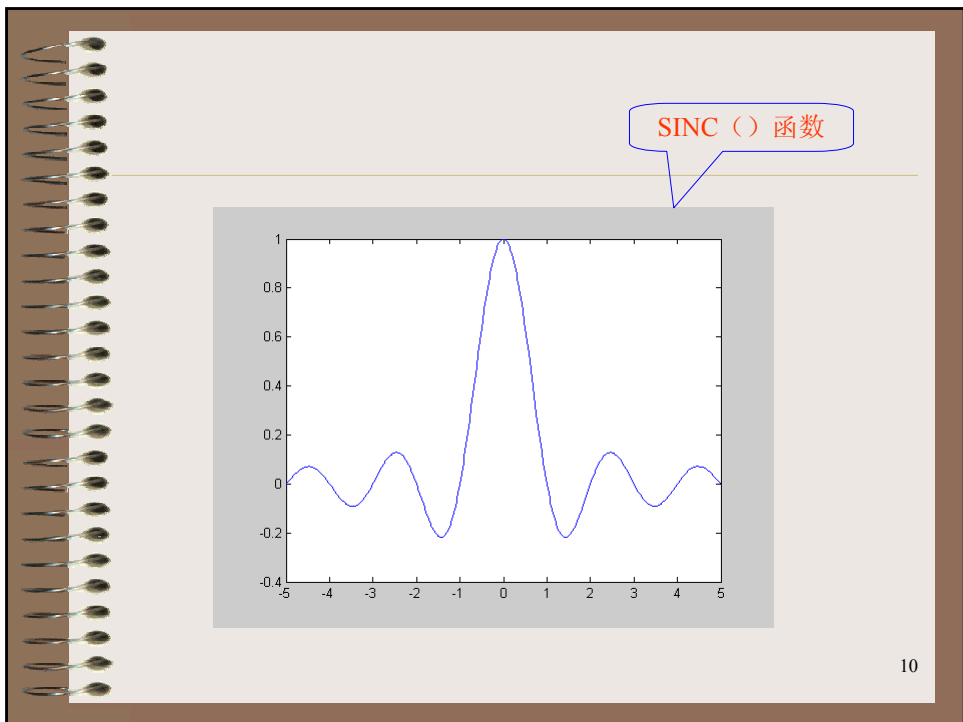
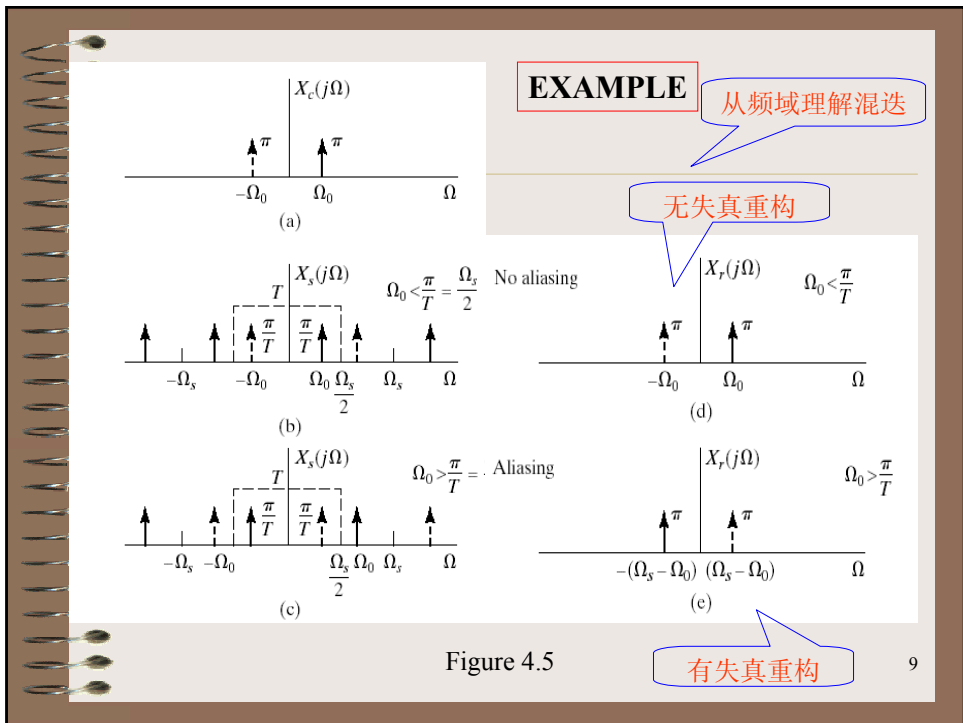
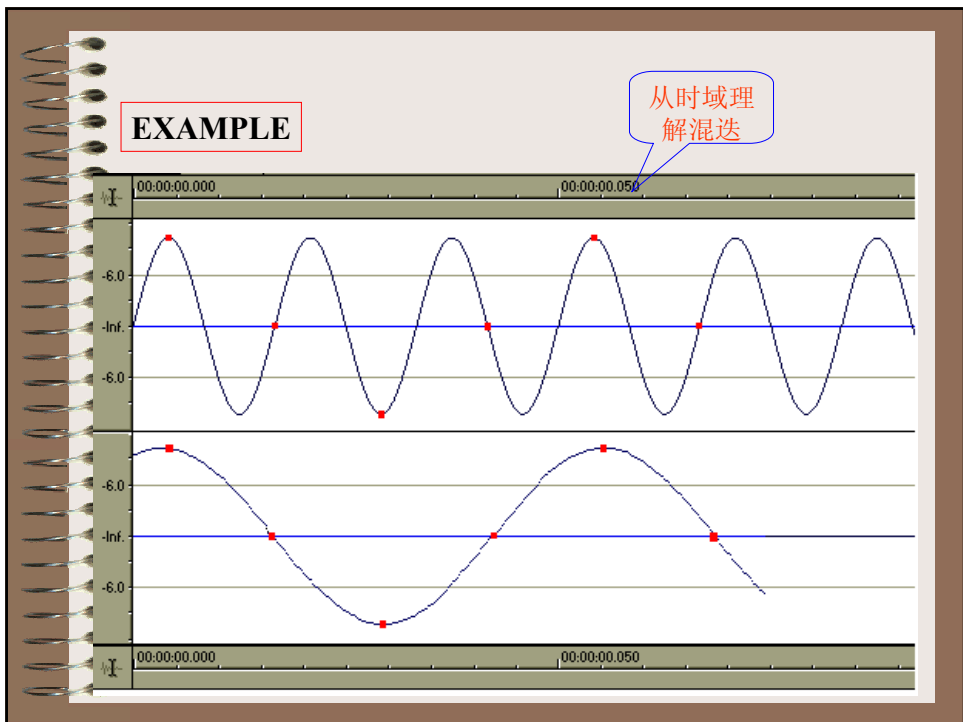
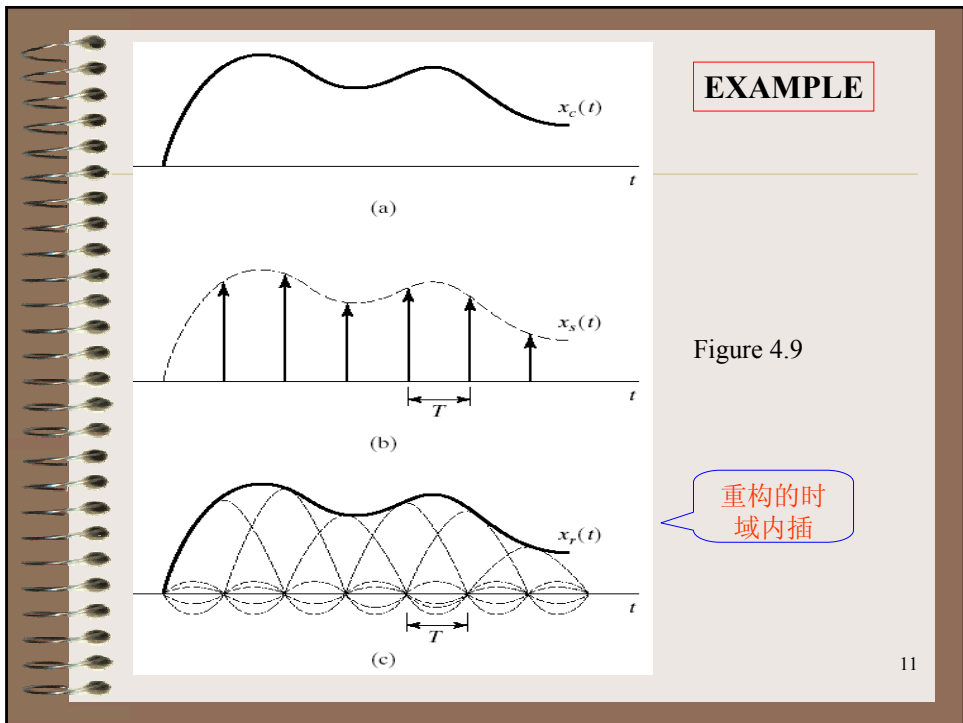


Figure 4.4(a)





## EXAMPLE

MATLAB作  
图实现内插

$$x_a(t) = \cos(10\pi t), 0 \leq t < 1, f = 5\text{Hz}$$

$$f_s = 10\text{Hz} (T = 0.1\text{s})$$

$$x[n] = x_a(nT) = \cos(10\pi nT) = \cos(\pi n)$$

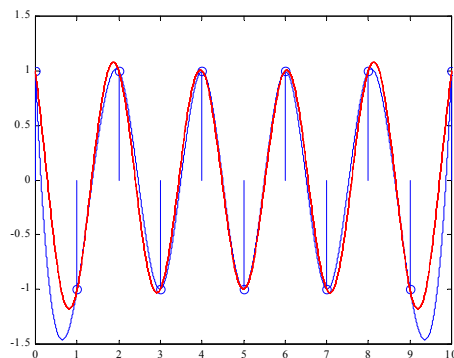
reconstruction signal:

$$y(t) = \sum_{n=-\infty}^{\infty} x[n] \frac{\sin[\pi(t-nT)/T]}{\pi(t-nT)/T}$$

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```
T=0.1;
n=0:10;
dt=0.001;
y=spline(n,x,t);
t=ones(11,1)* [0:dt:1];
y=x*sinc((t-n*T)/T);

x=cos(10*pi*n*T);
stem(n,x);
hold on;
plot(t,y);
n=n'*ones(1,1/dt+1);
plot(t/T,y,'r')
```



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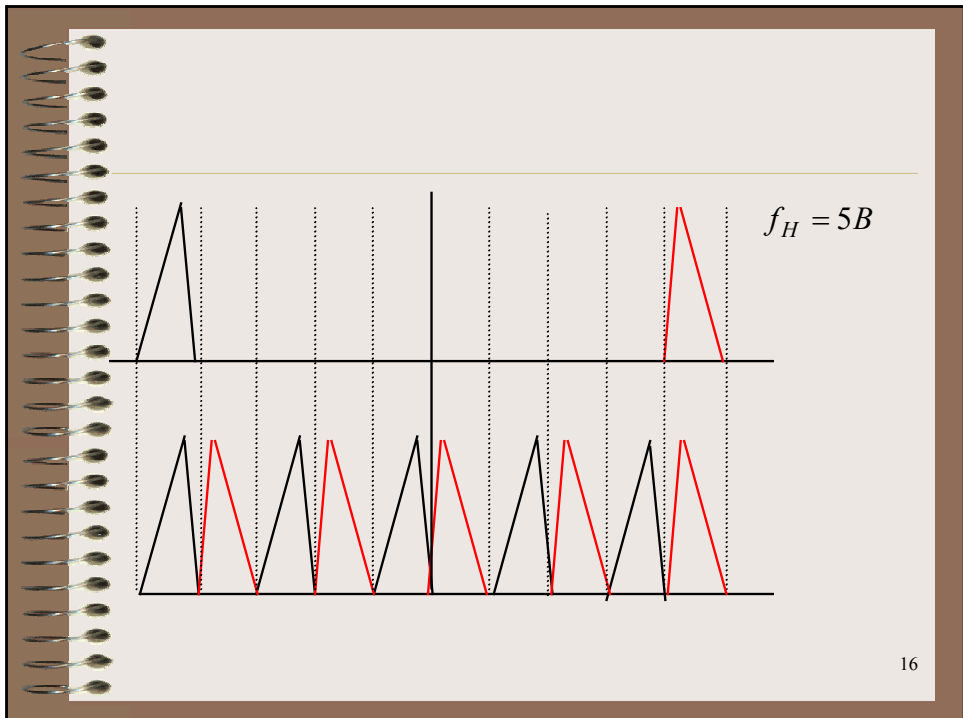
补充带通采样定理:

$$f_s = 2(f_H - f_L)(1 + M/N)$$

$$N = \text{int}\left(\frac{f_H}{f_H - f_L}\right)$$

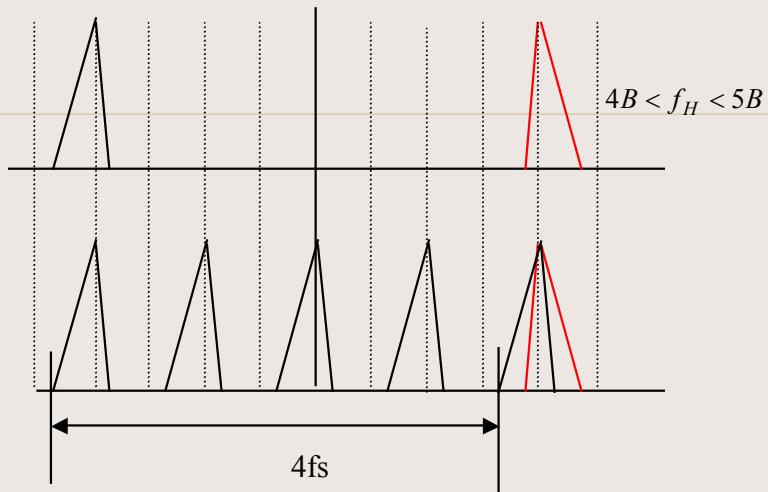
$$M = \left(\frac{f_H}{f_H - f_L}\right) - N$$

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$$Nf_s = 2f_H$$

$$f_s = 2f_H / N = 2B \frac{f_H / B}{N} = 2B \frac{(M + N)}{N} = 2B(1 + M / N)$$

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## 4.2 discrete-time processing of continuous-time signals

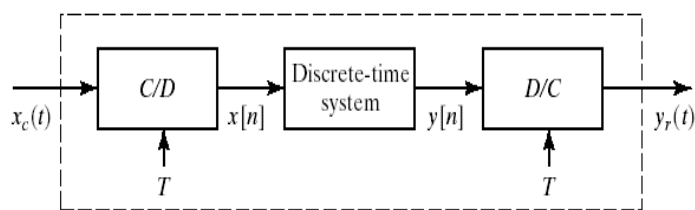


Figure 4.11

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**EXAMPLE**

$$\therefore H_{eff}(j\Omega) = \begin{cases} H(e^{j\Omega T}) & |\Omega| < \pi/T \\ 0 & |\Omega| \geq \pi/T \end{cases}$$

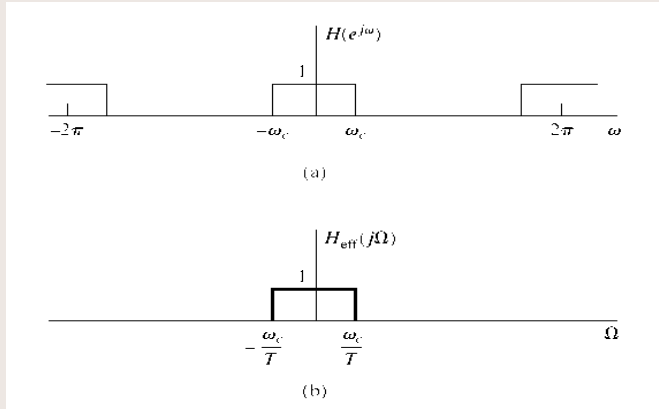
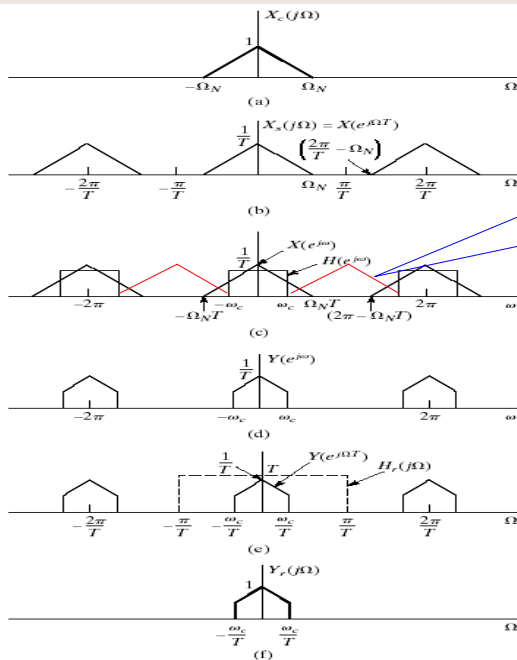


Figure 4.12

**EXAMPLE**



混迭发生在数字滤波器通带外则满足前述频响等效关系

Figure 4.13

### 4.3 continuous-time processing of discrete-time signal

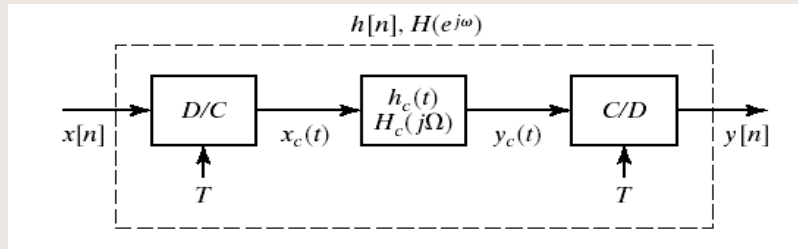


Figure 4.16

$$\therefore H(e^{j\omega}) = H_c(j\omega/T) \quad , \text{for } |\omega| < \pi$$

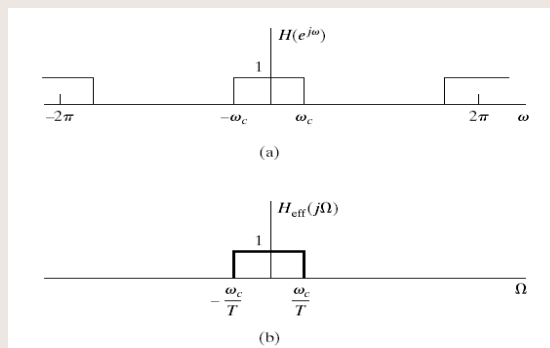
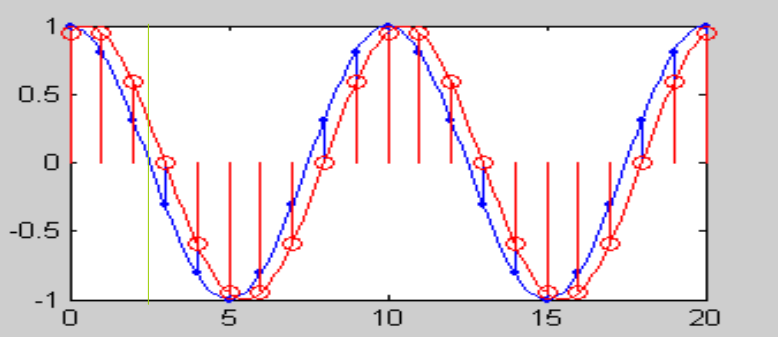


Figure 4.12

用前述系统  
解释非整数  
采样点延迟  
的数字系统

$$H(e^{j\omega}) = e^{-j\omega\Delta}$$



## 4.4 digital processing of analog signals

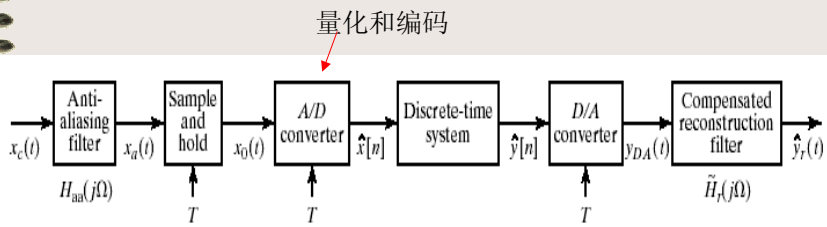


Figure 4.41

采样和保持

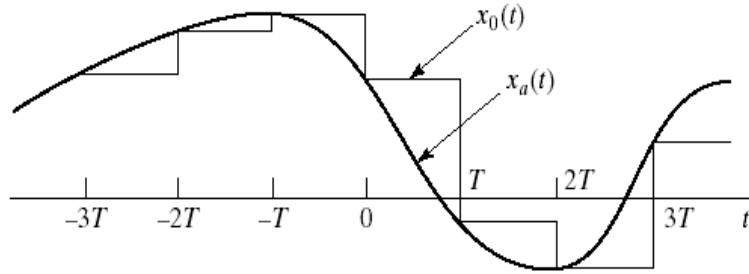


Figure 4.46(b)

均匀量化  
和编码

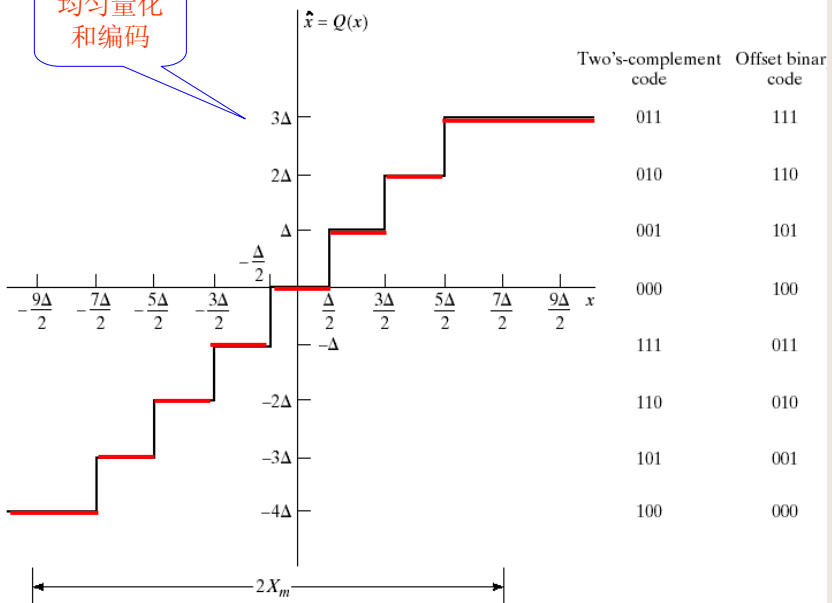
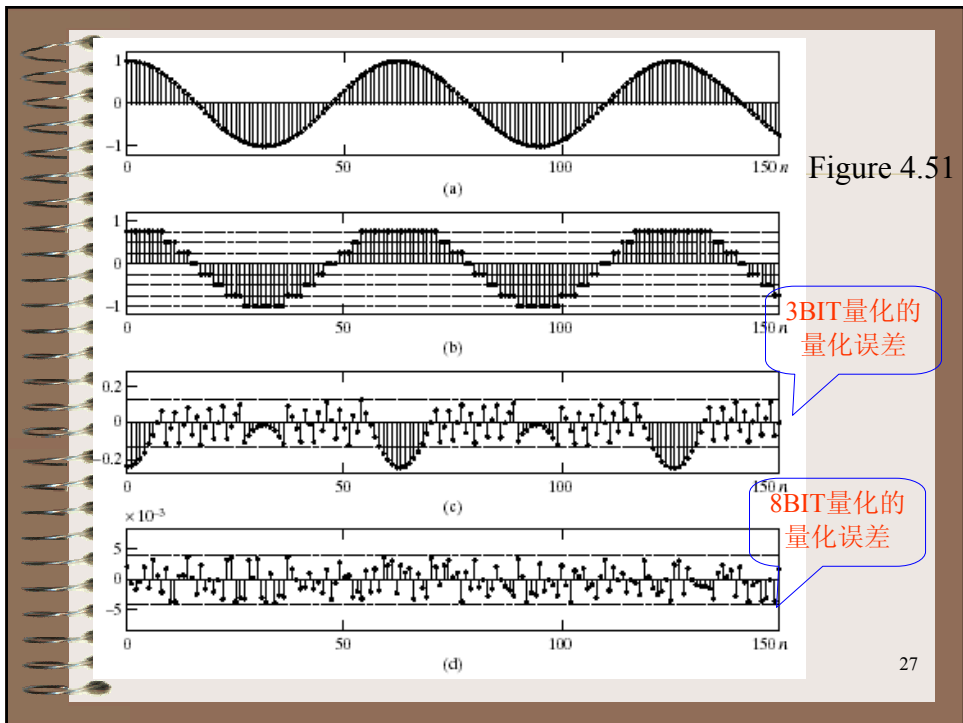
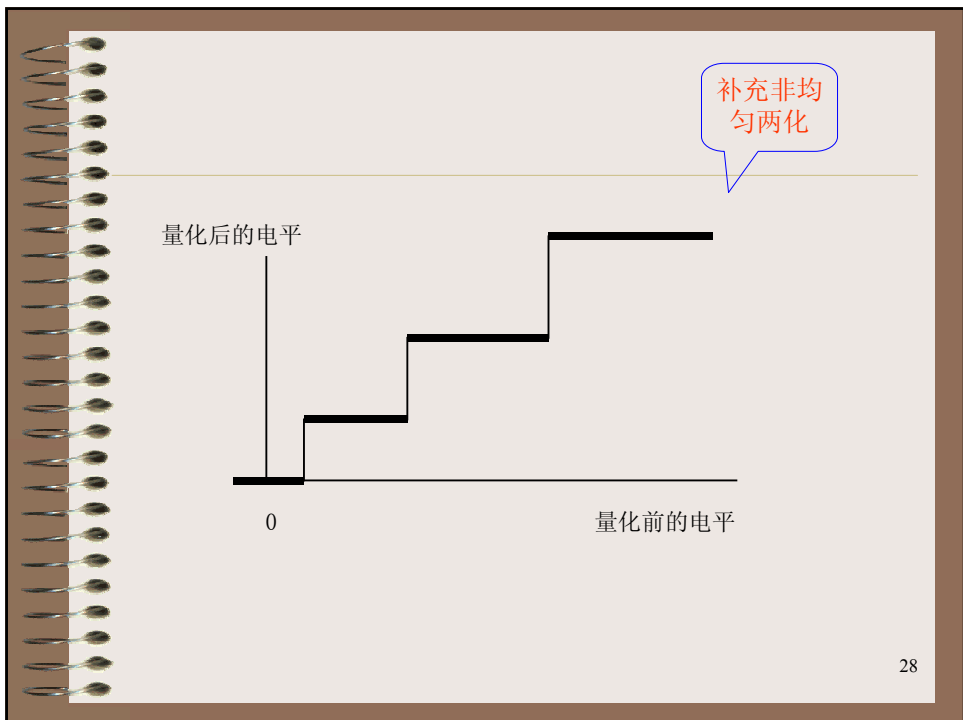


Figure 4.48



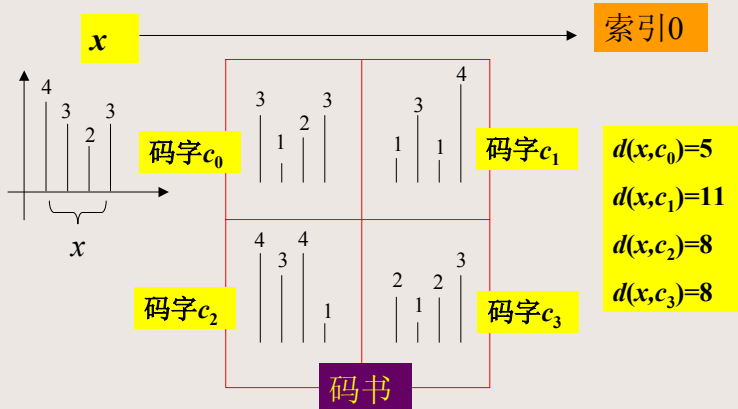
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补充矢量量化

✓ 一维信号例子:



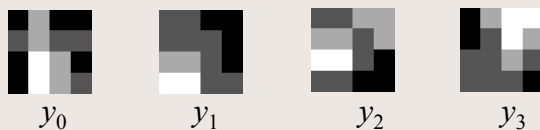
补充矢量量化

✓ 图像编码例子:

原图象块 (4灰度级, 矢量维数  $k=4 \times 4=16$ )



码书  $C = \{y_0, y_1, y_2, y_3\}$



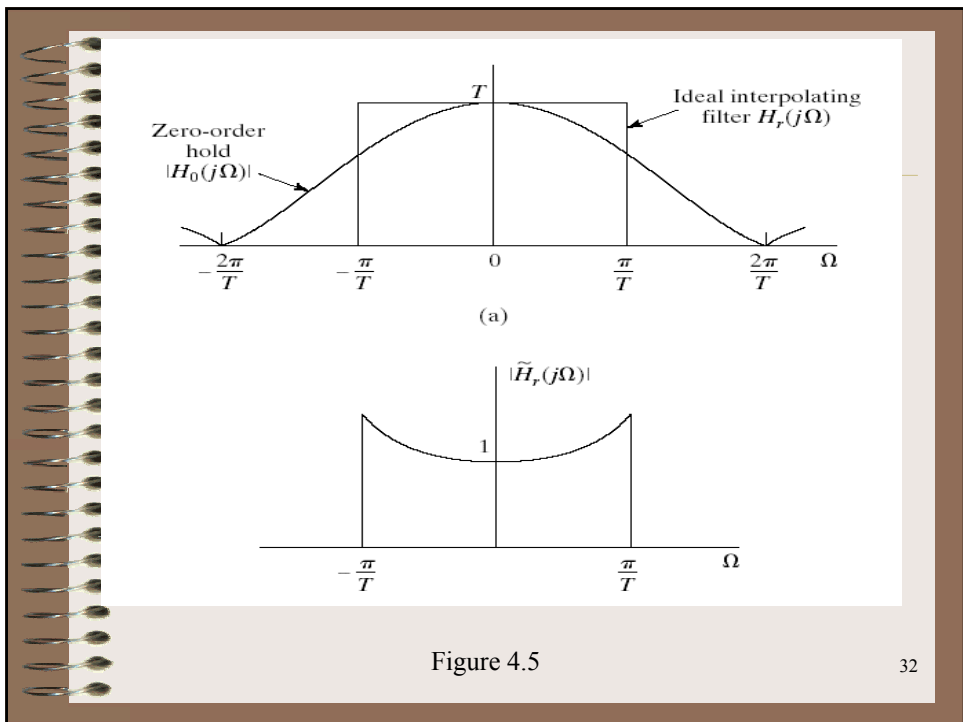
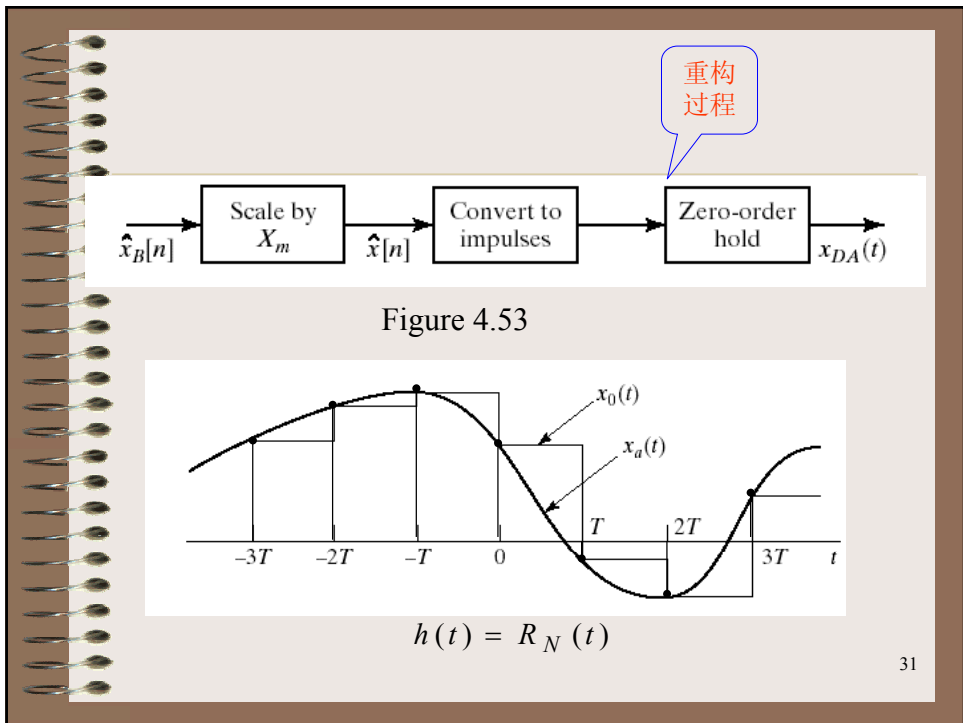
$d(x, y_0)=25$

$d(x, y_1)=5$

$d(x, y_2)=25$

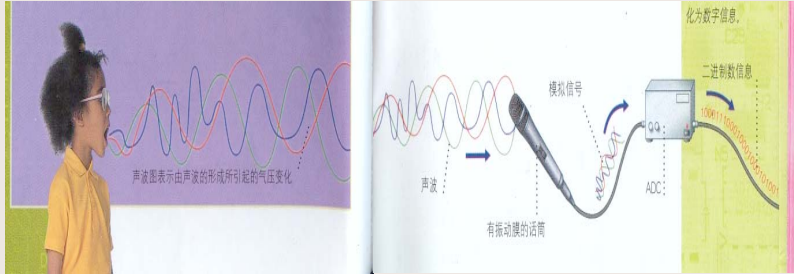
$d(x, y_3)=46$

码字  $y_1$  最接近输入矢量图象块  $x$ , 故用索引“01”编码

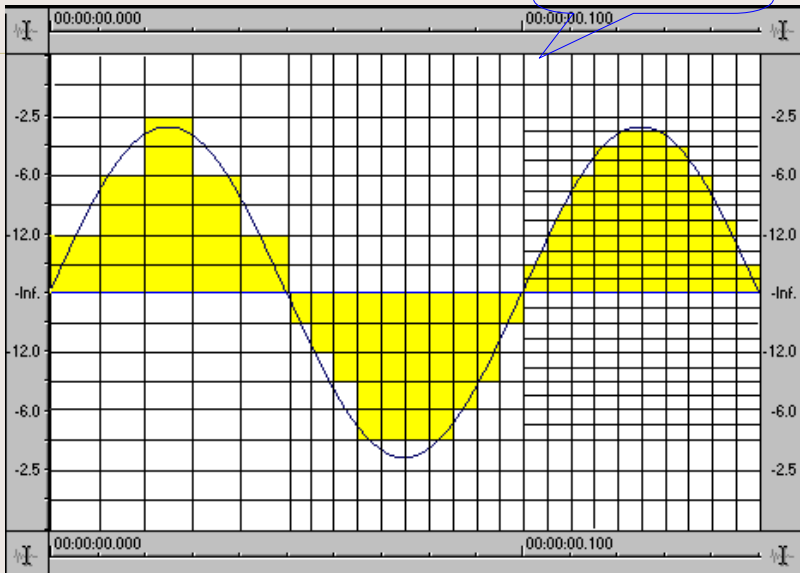




数字声音的录制过程

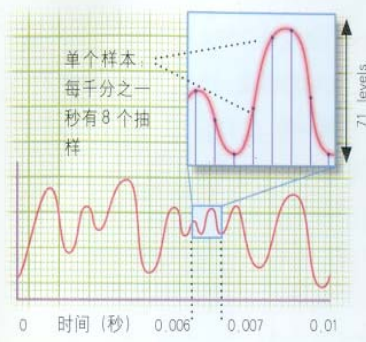


采样率和量化比特对音质的影响

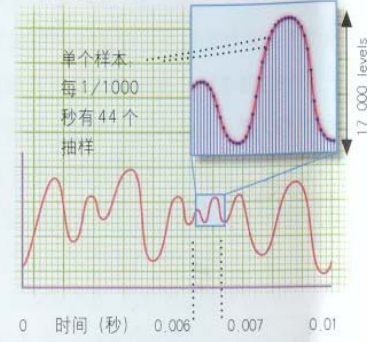


不同的音质  
要求采用不  
同的采样率

数字电话中的音频信号



CD中的音频信号



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## 4.1~4.4小结

1. 采样和重构的时域表示和频域变化情况，  
根据频域是否混迭得出采样定理
2. 用数字系统处理模拟信号或用模拟系统处理数字  
信号以解释某些数字系统，  
二者的频响在主周期线性关系
3. 模拟数字转换的实际步骤

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要求和难点:

采样的时域和频域过程, 频谱作图

采样定理的理解和应用

连续时间信号的离散化处理系统的频响关系

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## **4.5 changing the sampling rate using discrete-time processing**

4.5.1 sampling rate reduction by an integer factor  
(downsampling, decimation)

4.5.2 increasing the sampling rate by an integer factor  
(upsampling, interpolation)

4.5.3 changing the sampling rate by a noninteger fact

4.5.4 application of multirate signal processing

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### 4.5.1 sampling rate reduction by an integer factor (downsampling,decimation)

$$x_d[n] = x[nM]$$

a sampling rate compressor :

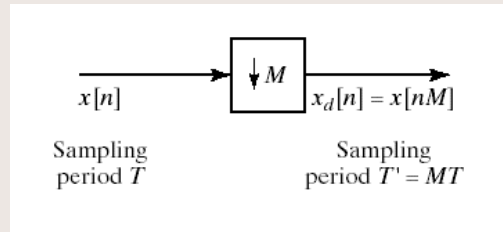
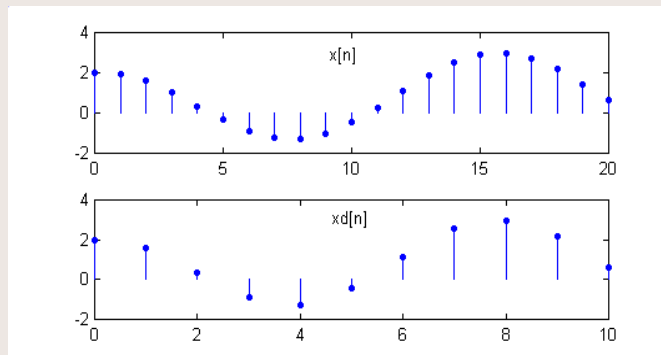


Figure 4.20



**EXAMPLE**

$$X_d(e^{j\omega}) = \frac{1}{2} [X(e^{j\omega/2}) + X(e^{j(\omega-2\pi)/2})]$$

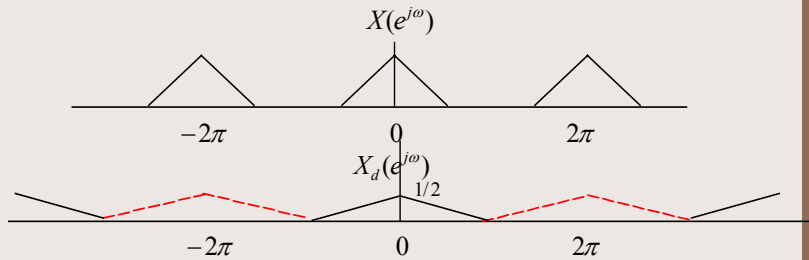
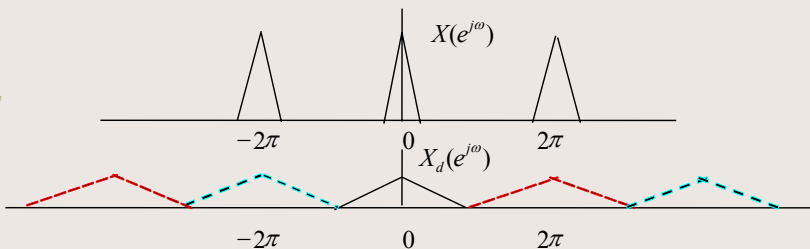


Figure 4.21(c)(d)

**EXAMPLE**

$$X_d(e^{j\omega}) = \frac{1}{3} [X(e^{j\omega/3}) + X(e^{j(\omega-2\pi)/3}) + X(e^{j(\omega-4\pi)/3})]$$



## EXAMPLE

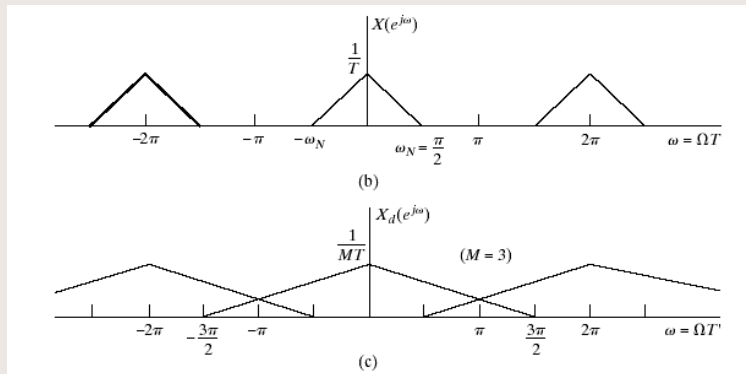


Figure 4.22(b)(c)

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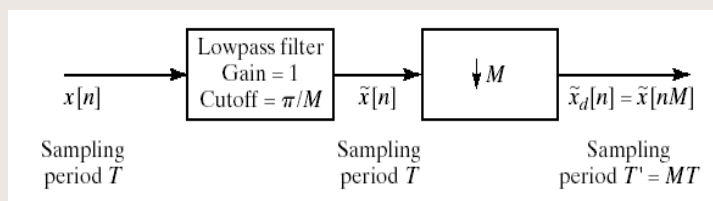


Figure 4.23

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## 4.5.2 increasing the sampling rate by an integer factor (upsampling, interpolation)

$$x_e[n] = \begin{cases} x[n/L] & n = 0, \pm L, \pm 2L, \dots \\ 0 & \text{other} \end{cases}$$

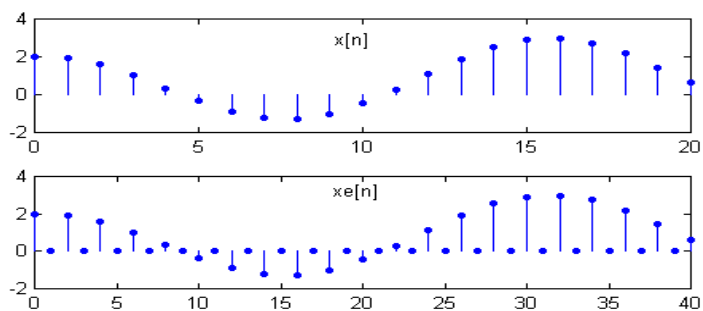
$$\text{or, } x_e[n] = \sum_{k=-\infty}^{\infty} x[k] \delta[n - kL]$$

a sampling rate expander :



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L=2



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**EXAMPLE**

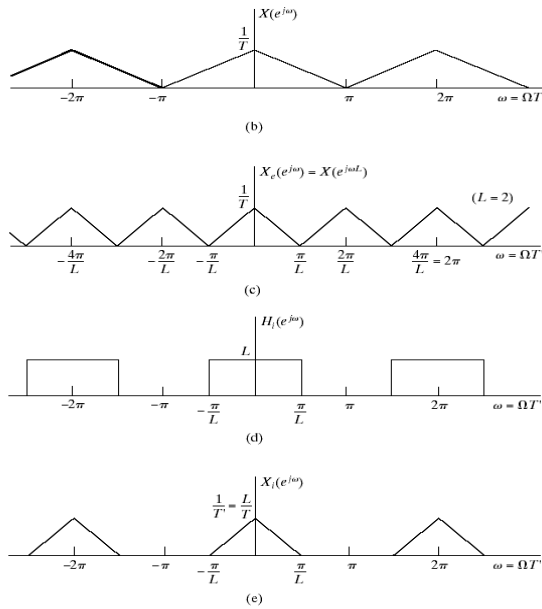
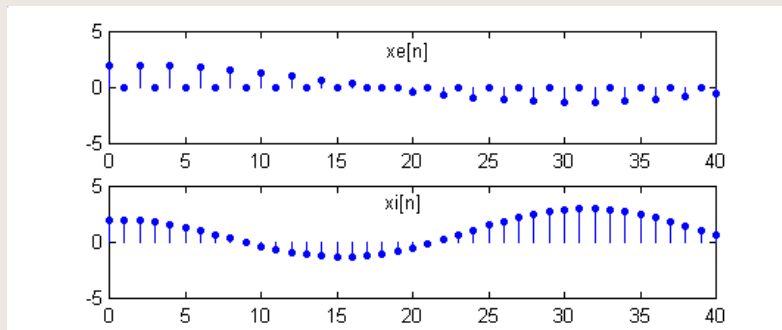


Figure 4.25

**EXAMPLE**

镜像滤波的  
时域过程





线性内插

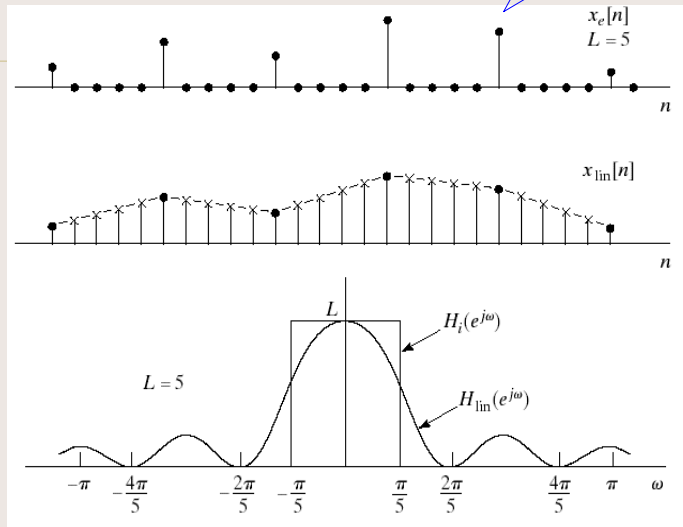


Figure 4.27

### 4.5.3 changing the sampling rate by a noninteger factor

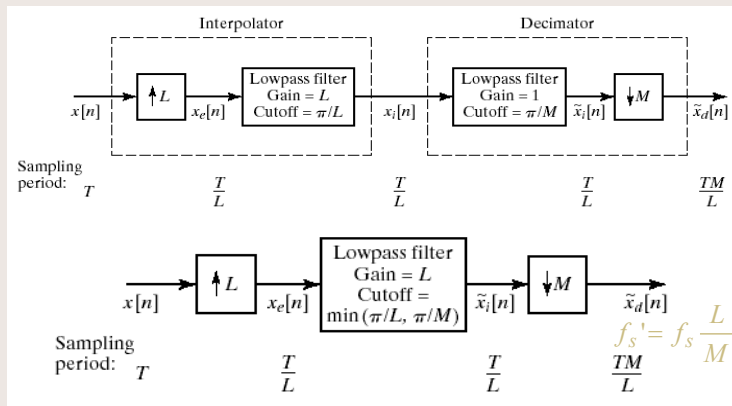
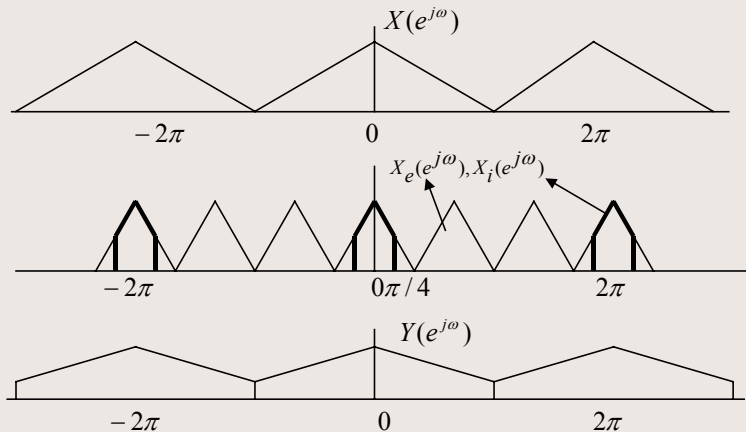
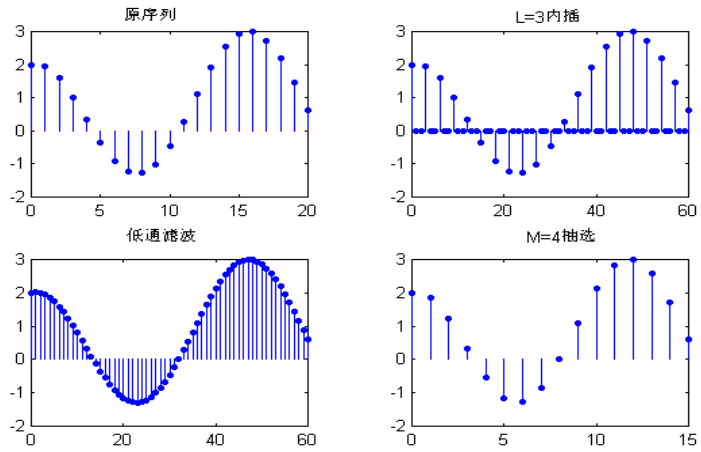


Figure 4.28

**EXAMPLE**

change 400Hz's signal to 300Hz

$L = 3, M = 4$



## 4.5.4 application of multirate signal processing

采样系统

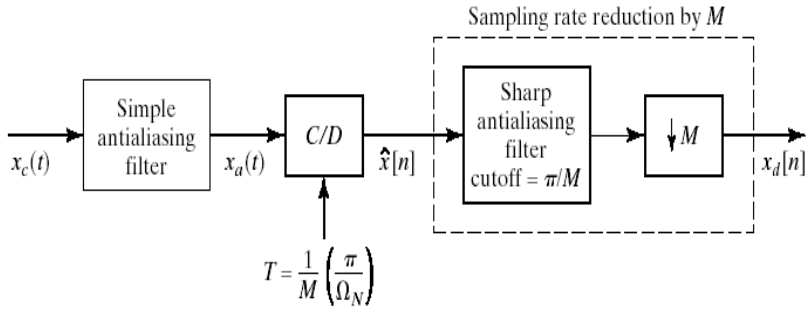


Figure 4.43

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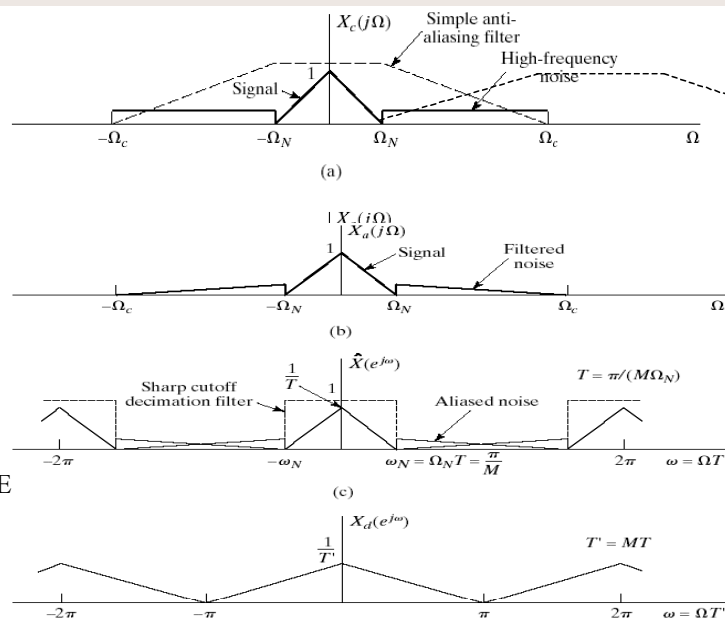
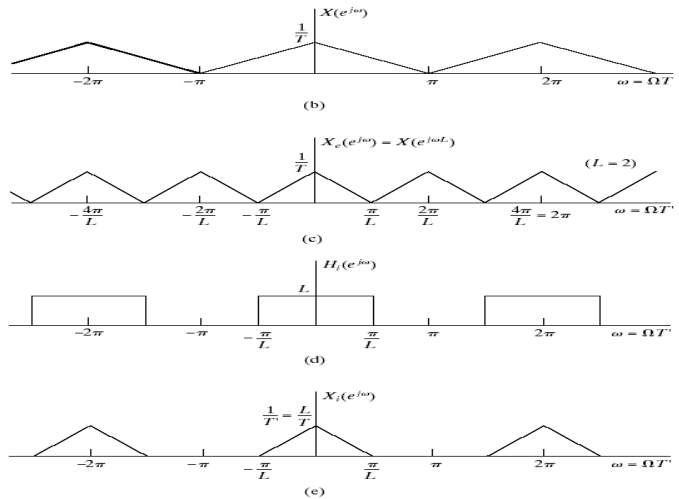
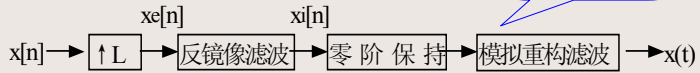
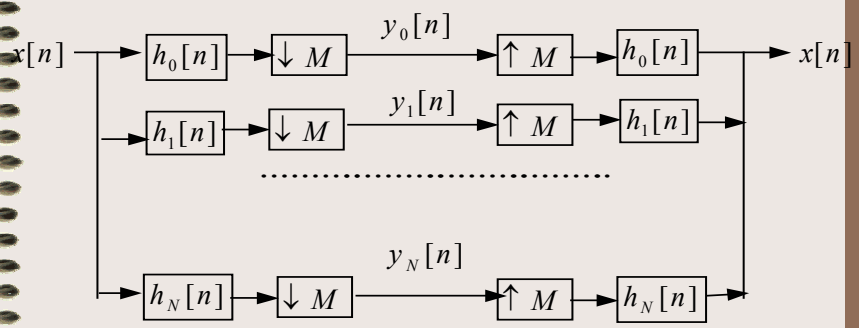


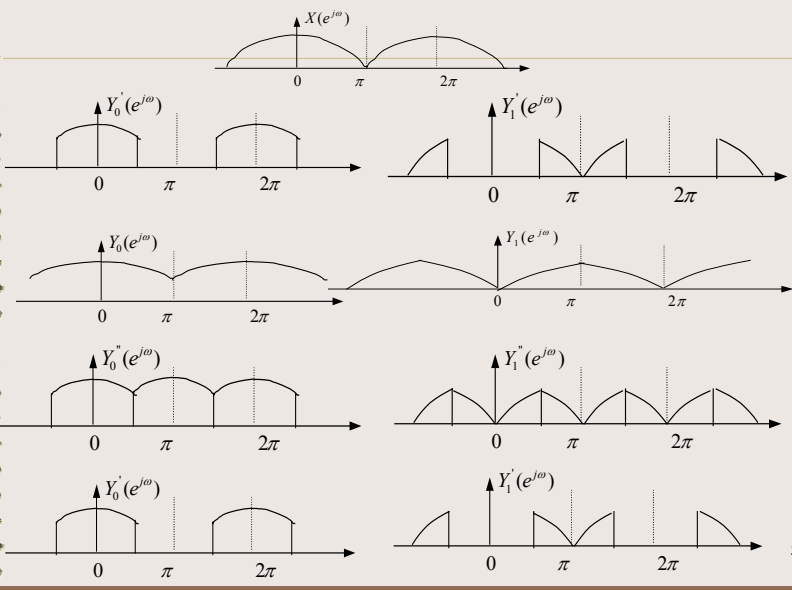
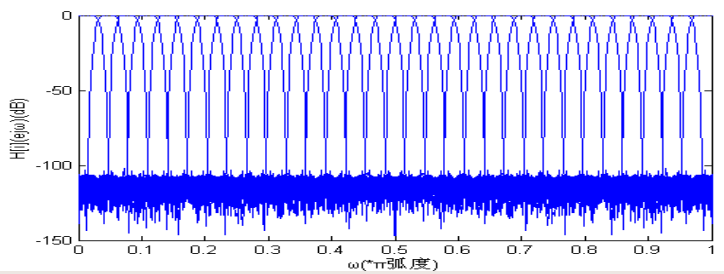
FIGURE 4.44

重构系统



子带分析和综合





**EXAMPLE**

(1) *subband encode*:

$$N = 2$$

Bitrate:  $16\text{bit} \cdot f_s \rightarrow 16\text{bit} \cdot f_s / 2 + 8\text{bit} \cdot f_s / 2 = 12\text{bit} \cdot f_s$

(2) *encrypt*:  $D_{\text{subbands}} \cdot R_{\text{segment}}$

抽选和内插  
实现变调



## 4.5小结

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- 4.5.1 采样率降低整数倍
- 4.5.2 采样率升高整数倍
- 4.5.3 采样率改变有理数倍
- 4.5.4 多采样率信号处理的应用

要求:

抽选和内插的频域作图