偏振全息光栅衍射光场的理论分析*

杨秀芹¹,张春平²,祁胜文³ (1曲阜师范大学物理工程学院,山东曲阜 273165) (2南开大学物理学院光子学中心,天津 300071) (3德州学院物理系,山东德州 253023)

摘 要:利用介质的光致各向异性和可记录偏振全息光栅,从偏振全息的透射琼斯矩阵出发,运用 傅里叶展开法,对记录光不同的偏振态组合情况下,衍射光场的可能出现的衍射级次及其偏振特性 进行了理论分析.并以甲基橙掺杂聚乙烯醇膜为例,对各阶衍射光的衍射效率进行了理论计算. 关键词:光致各向异性;偏振全息;透射矩阵;衍射效率

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0 引言

光致各向异性介质可将光的偏振通过全息的办 法记录和重建,称为偏振全息,近年来,由于偏振全 息在光学信息处理方面的潜在应用,而引起了人们 的极大关注.所用的记录材料早期的有:含有各向异 性色心的金属卤化物晶体^[1];光致变色玻璃或基于 AgCl 的乳剂^[2];具有亚稳三重态能级结构的有机 体系[3]. 这些材料的光致各向异性较弱,重复使用性 差,近年来,由于有机聚合物材料在光学存储方面的 优越特性,人们对其进行了广泛的研究,用于记录偏 振全息主要有:偶氮类染料[4-12]、细菌视紫红质[13]、 吡咯俘精酸酐[14]、螺噁嗪、螺吡喃[15]等.本文在偏振 全息图透射矩阵理论的基础上,对各向异性介质记 录的偏振全息光栅中,衍射光场中出现的各阶衍射 光及其偏振状态进行了详细地理论分析,并以甲基 橙掺杂聚乙烯醇(MO/PVA)膜为例,对各阶衍射光 的衍射效率进行了理论计算.

偏振全息透射矩阵及衍射光场特点 的理论分析

如图 1,记录平面为 xoy 平面(MO-PVA 膜面),XOY为琼斯矢量坐标系,与记录平面在同一个平面内,夹角为 45°.下面讨论两相互正交的线偏振和相互正交的圆偏振这两种情况下,衍射光场的特点.

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图1 记录偏振全息示意

Fig. 1 Schematic plan for polarized holograph

1.1 r、s是相互垂直的线偏振光全息图的透射矩阵

设两相互垂直的线偏振光 r、s,参考光 r 的偏振 方向垂直于入射面,物光 s 的偏振方向平行于入射 面,这两束光强度相等.在琼斯矢量坐标系中,r 和 s 可以分别表示为

$$\mathbf{r} = E_0 e^{i\varphi_r} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \mathbf{s} = E_0 e^{i\varphi_s} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$
(1)

式中 *E* 为参考光 *r* 和物光 *s* 的振幅, *q*_r 和 *q*_s 为参考 光 *r* 和物光 *s* 的位相.

则合成光场可表示为

$$E = \begin{pmatrix} E_0 \cos \frac{\delta}{2} \\ \\ iE_0 \sin \frac{\delta}{2} \end{pmatrix}$$
(2)

式中 $\delta = 2\pi x / \Lambda$ 为参考光r 和物光s 的位相差, $\Lambda = \lambda_w / 2\sin \theta$ 为光栅周期, $2\theta \neq r, s$ 间的夹角, λ_w 记录 光的波长.

则合成光场的偏振态随δ的变化见图 2.

可见,无论δ取何值,合成光场的强度总是不变 的,只是光场的偏振态在空间周期性变化.并且在偏 振态变化过程中,偏振光的主轴方向一直都落在

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Email:xiuqinyang@126.com 修回日期:2009-07-18



图 2 两正交线偏振合成光场偏振态随δ的变化 Fig. 2 Relationship between the polarization state of interference field and the phase differenceδ for two orthogonal linear polarized beams XY轴上,只是长短轴的比例在发生变化.这种变化,用各向同性介质是记录不下来的,但光致各向异性介质可以记录这种全息图.在偏振态周期性变化的合成光场的作用下,甲基橙-PVA膜的吸收系数和折射率会发生周期性变化,从而在甲基橙-PVA膜中记录下振幅型全息和位相型全息.稳态下,琼斯坐标系中全息图的透射矩阵为^[16]

$$\begin{split} \left| \begin{array}{c} \left| \begin{array}{c} T_{X-Y}(x) = e^{y} \right| \frac{(T+\Delta T\cos \delta) e^{i\Delta Fm \cdot \delta}}{0} & 0 & (T-\Delta T\cos \delta) e^{-i\Delta Fm \cdot \delta} \\ 0 & (T-\Delta T\cos \delta) e^{-i\Delta Fm \cdot \delta} \\ (T_{*}-T_{*})/2, \Delta \Psi^{-}(\phi, -\phi, -)/2 & (\tau_{*}\Delta n)/\lambda, \lambda \notin H & T_{*-j}(x) = R(-45^{\circ}) \hat{T}_{X-Y}(x) R(45^{\circ}) & (4) \\ \lambda \operatorname{Bid} \mathcal{K}, d \to \operatorname{Ign} \operatorname{Bid} \mathcal{F}_{\mathcal{B}} & \operatorname{Hic} \mathcal{H} \otimes \mathcal{C} \otimes \mathcal{H} \otimes \mathcal{H} & \mathcal{H} & \mathcal{H} \\ \lambda \operatorname{Bid} \mathcal{K}, d \to \operatorname{Hign} \operatorname{Bid} \mathcal{F}_{\mathcal{B}} & \operatorname{Hic} \mathcal{H} \otimes \mathcal{C} \otimes \mathcal{H} \otimes \mathcal{H} & \mathcal{H} \\ \lambda \operatorname{Bid} \mathcal{K}, d \to \operatorname{Hign} \operatorname{Bid} \mathcal{F} & \operatorname{Hic} \mathcal{H} \otimes \mathcal{C} \otimes \mathcal{H} \otimes \mathcal{H} & \mathcal{$$

则第 *m* 阶衍射光的偏振特性就由透射光场的傅里 叶矢量系数 *D*_m 决定.

将式(9)展开得 $E_{i} = E_{i0} \exp (i\psi) \{a_{0} \begin{vmatrix} \cos \alpha \\ \sin \alpha \end{vmatrix} + a_{2} [\exp (i2\delta) + \exp (-i2\delta)] \begin{vmatrix} \cos \alpha \\ \sin \alpha \end{vmatrix} + a_{1} [\exp (i\delta) + \exp (-i\delta)] \begin{vmatrix} \sin \alpha \\ \cos \alpha \end{vmatrix} - a_{3} [\exp (i3\delta) + \exp (-i3\delta)] \begin{vmatrix} \sin \alpha \\ \cos \alpha \end{vmatrix} \}$ (12)
则

 $D_0 = E_{i0} \exp((i\phi)a_0 \begin{vmatrix} \cos \alpha \\ \sin \alpha \end{vmatrix},$

$$D_{\pm 1} = E_{i0} \exp(i\psi) a_1 \begin{vmatrix} \sin \alpha \\ \cos \alpha \end{vmatrix},$$

$$D_{\pm 2} = E_{i0} \exp(i\psi) a_2 \begin{vmatrix} \cos \alpha \\ \sin \alpha \end{vmatrix},$$

$$D_{\pm 3} = E_{i0} \exp(i\psi) a_3 \begin{vmatrix} \sin \alpha \\ \cos \alpha \end{vmatrix}$$
(13)

衍射光仍为线偏振光,且0级,±2级等偶数级 次的衍射光偏振方向与读出光相同,±1级,±3级等 奇数级次的衍射光与 x 轴的夹角为 β ,且 $\beta = \pi/2 - \alpha$. 1.1.2 读出光为圆偏振光时衍射光场的偏振特性

设左旋圆偏振

$$E_{i} = E_{i0} \exp\left(i\varphi_{x}\right) \begin{bmatrix} 1\\ i \end{bmatrix}$$
(14)

$$E_{t} = \frac{1}{2} E_{i_{0}} \exp(i\varphi_{x}) \exp(i\psi) \begin{vmatrix} (2a_{0} + 4a_{2}\cos 2\delta) + i4(a_{1}\cos \delta - a_{3}\cos 3\delta) \\ 4(a_{1}\cos \delta - a_{3}\cos 3\delta) + i(2a_{0} + 4a_{2}\cos 2\delta) \end{vmatrix}$$
(15)

得

展开得

$$E_{i} = E_{i0} \exp (i\varphi_{x}) \exp (i\psi) \left\{ a_{0} \begin{bmatrix} 1\\i \end{bmatrix} + a_{2} \begin{bmatrix} \exp (i2\delta) + \exp (-i2\delta) \end{bmatrix} \begin{bmatrix} 1\\i \end{bmatrix} + ia_{1} \begin{bmatrix} \exp (i\delta) + \exp (-i\delta) \end{bmatrix} \begin{bmatrix} 1\\-i \end{bmatrix} \right\}$$

$$\exp (-i\delta) \begin{bmatrix} 1\\-i \end{bmatrix} + ia_{3} \begin{bmatrix} \exp (i3\delta) + \exp (-i3\delta) \end{bmatrix} \begin{bmatrix} 1\\-i \end{bmatrix} \right\}$$
(16)

可见

 $D_{0} = E_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{0} \begin{bmatrix} 1\\ i \end{bmatrix}, \pm \tilde{k};$ $D_{\pm 1} = iE_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{1} \begin{bmatrix} 1\\ -i \end{bmatrix}, \pm \tilde{k};$ $D_{\pm 2} = E_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{2} \begin{bmatrix} 1\\ i \end{bmatrix}, \pm \tilde{k};$ $D_{\pm 3} = iE_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{3} \begin{bmatrix} 1\\ -i \end{bmatrix}, \pm \tilde{k};$ $D_{\pm 3} = iE_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{3} \begin{bmatrix} 1\\ -i \end{bmatrix}, \pm \tilde{k};$ $D_{0} = E_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{0} \begin{bmatrix} 1\\ -i \end{bmatrix}, \pm \tilde{k};$ $D_{\pm 1} = -iE_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{1} \begin{bmatrix} 1\\ i \end{bmatrix}, \pm \tilde{k};$ $D_{\pm 2} = E_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{2} \begin{bmatrix} 1\\ -i \end{bmatrix}, \pm \tilde{k};$ $D_{\pm 3} = iE_{i0} \exp (i\psi) \exp (i\varphi_{x})a_{3} \begin{bmatrix} 1\\ i \end{bmatrix}, \pm \tilde{k}.$

若读出光是左旋或右旋圆偏振光时,其中0级、 ±2级偶数级次的衍射光是与读出光同方向的圆偏 振,±1级、±3级奇数级次的衍射光是与读出光反 方向的圆偏振.

1.2 r和s是相互正交的圆偏振光全息图的透射 矩阵

设两相互垂直的圆偏振光r、s,参考光r的为右旋圆偏振,物光s为左旋圆偏振,两束光强度相等. 在琼斯矢量坐标系中,r和s可以分别表示为

$$\boldsymbol{r} = \boldsymbol{E}_{0} \begin{pmatrix} e^{i\varphi_{r}} \\ e^{i(\varphi_{r} - \frac{\pi}{2})} \end{pmatrix}, \boldsymbol{s} = \boldsymbol{E}_{0} \begin{pmatrix} e^{i\varphi_{s}} \\ e^{i(\varphi_{s} + \frac{\pi}{2})} \end{pmatrix}$$
(17)

则合成光场 E 可表示为

$$E = \begin{bmatrix} E_0 \cos \frac{\delta}{2} \\ E_0 \sin \frac{\delta}{2} \end{bmatrix}$$
(18)

由式(18)可见,不论δ为什么值,合成光场总为 强度不变的线偏振光,只不过偏振方向随δ的不同 而不同.合成光场的偏振方向随δ的变化如图 3.



图 3 两正交圆偏振合成光场偏振态随 δ 的变化

Fig. 3 Relationship between the polarization state of interference field and the phase difference δ for two orthogonal circular polarized beams

(26)

δ 处合成偏振光场的主轴方向与 *x* 轴的夹角为 δ/2. 设稳态下,δ=0 处的振幅透射琼斯矩阵为

$$\hat{T}_{a0} = \begin{vmatrix} T_{\rm e} & 0 \\ 0 & T_{\rm o} \end{vmatrix} \tag{19}$$

在 δ 处振幅的透射矩阵相当于 δ = 0 处的主轴 方向转过 $\delta/2$ 角度,即 δ 处透射矩阵为

$$\hat{T}_{a} = R(-\frac{\delta}{2})\hat{T}_{a0}R(\frac{\delta}{2})$$
(20)

得

$$T_{a} = \begin{vmatrix} T + \Delta T \cos \delta & \Delta T \sin \delta \\ \Delta T \sin \delta & T - \Delta T \cos \delta \end{vmatrix}$$
(21)

同理,设
$$\delta=0$$
处的相位透射琼斯矩阵设为

$$T_{p0} = \begin{vmatrix} e^{i\phi_{e}} & 0\\ 0 & e^{i\phi_{o}} \end{vmatrix}$$
(22)

在 δ 处位相的透射矩阵相当于 δ = 0 处的主轴 方向转过 $\delta/2$ 角度,即 δ 处透射矩阵为

$$\hat{T}_{p} = R(-\frac{\delta}{2})\hat{T}_{p0}R(\frac{\delta}{2})$$
(23)

则

$$T_{\rho} = e^{i\psi} \begin{vmatrix} \cos \Delta \psi + i\sin \Delta \psi \cos \delta & i\sin \Delta \psi \sin \delta \\ i\sin \Delta \psi \sin \delta & \cos \Delta \psi - i\sin \Delta \psi \cos \delta \end{vmatrix}$$
(24)
总的透射矩阵

$$\hat{T} = \hat{T}_{a} \times \hat{T}_{p} = \exp(i\psi) \begin{vmatrix}
(T\cos\Delta\psi + i\Delta T\sin\Delta\psi) + \\
(iT\sin\Delta\psi + \Delta T\cos\Delta\psi)\cos\delta \\
(iT\sin\Delta\psi + \Delta T\cos\Delta\psi)\cos\delta \\
(T\cos\Delta\psi + i\Delta T\sin\Delta\psi) - \\
(iT\sin\Delta\psi + \Delta T\cos\Delta\psi)\sin\delta \\
(T\cos\Delta\psi + i\Delta T\sin\Delta\psi) - \\
(iT\sin\Delta\psi + \Delta T\cos\Delta\psi)\cos\delta
\end{vmatrix}$$
(25)

1.2.1 若读出光是线偏振光

设

$$E_{i} = E_{i0} \begin{vmatrix} \cos \alpha \\ \sin \alpha \end{vmatrix}$$

则衍射光场

$$E_{t} = E_{i0} \exp \left(i\psi \right) \begin{vmatrix} \left[(T\cos \Delta\psi + i\Delta T\sin \Delta\psi) + (iT\sin \Delta\psi + \Delta T\cos \Delta\psi) \cos \delta \right] \cos \alpha + \\ (iT\sin \Delta\psi + \Delta T\cos \Delta\psi) \sin \delta \sin \alpha \\ (iT\sin \Delta\psi + \Delta T\cos \Delta\psi) \sin \delta \cos \alpha + \left[(T\cos \Delta\psi + i\Delta T\sin \Delta\psi) - \\ (iT\sin \Delta\psi + \Delta T\cos \Delta\psi) \cos \delta \right] \sin \alpha \end{vmatrix}$$
(27)

将式(26)展开得

$$E_{t} = E_{i0} \exp \left(i\psi \right) \left(T\cos \Delta \psi + i\Delta T\sin \Delta \psi \right) \left| \frac{\cos \alpha}{\sin \alpha} \right| + \frac{\sqrt{2}}{2} E_{i0} \exp \left(i\psi \right) \left(\Delta T\cos \Delta \psi + iT\sin \Delta \psi \right) \exp \left(-i\alpha \right) \cdot \exp \left(i\delta \right) \left| \frac{1}{-i} \right| + \frac{\sqrt{2}}{2} E_{i0} \exp \left(i\psi \right) \left(\Delta T\cos \Delta \psi + iT\sin \Delta \psi \right) \exp \left(-i\alpha \right) \left| \frac{1}{+i} \right|$$
(28)

则线偏振为

$$D_0 = E_{i0} \exp((i\psi) (T \cos \Delta \psi + i\Delta T \sin \Delta \psi) \begin{vmatrix} \cos \alpha \\ \sin \alpha \end{vmatrix}$$

左旋圆偏振为

$$D_{+1} = \frac{1}{2} E_{i0} \exp(i\psi) \left(\Delta T \cos \Delta \psi + iT \sin \Delta \psi\right) \cdot \exp(i\alpha) \begin{vmatrix} 1 \\ +i \end{vmatrix}$$

右旋圆偏振为

$$D_{-1} = \frac{1}{2} E_{i0} \exp (i\psi) \left(\Delta T \cos \Delta \psi + iT \sin \Delta \psi \right) \cdot$$

 $\exp\left(-i\alpha\right)\begin{vmatrix}1\\-i\end{vmatrix}$

若读出光是线偏振光,且0级衍射光是与读出 光偏振方向相同的线偏振光,+1级衍射光是左旋 圆偏振光,-1级衍射光是右旋圆偏振光.

1.2.2 若读出光是圆偏振光
 设左旋圆偏振为

$$E_{i} = E_{i0} \exp\left(i\varphi_{x}\right) \begin{bmatrix} 1\\ i \end{bmatrix}$$
(29)

则衍射光场

$$E_{i} = E_{i0} \exp(i\psi) \exp(i\varphi_{x}) \begin{vmatrix} (T\cos\Delta\psi + i\Delta T\sin\Delta\psi) + (iT\sin\Delta\psi + \Delta T\cos\Delta\psi)\cos\delta + \\ (i\Delta T\cos\Delta\psi - T\sin\Delta\psi)\sin\delta \\ (iT\cos\Delta\psi - \Delta T\sin\Delta\psi) + (T\sin\Delta\psi - i\Delta T\cos\Delta\psi)\cos\delta + \\ (iT\sin\Delta\psi + \Delta T\cos\Delta\psi)\sin\delta \end{vmatrix}$$
(30)

将式(30)展开得

$$E_{t} = E_{i0} \exp(i\psi) \exp(i\varphi_{x}) \left\{ (T\cos\Delta\psi + i\Delta T\sin\Delta\psi) \begin{vmatrix} 1 \\ +i \end{vmatrix} + i \right\}$$
$$(iT\sin\Delta\psi + \Delta T\cos\Delta\psi) \exp(i\delta) \begin{vmatrix} 1 \\ -i \end{vmatrix}$$
(31)

可见左旋圆偏振为

$$D_{0} = E_{i0} \exp (i\psi) \exp (i\varphi_{x}) (T\cos \Delta\psi + i\Delta T\sin \Delta\psi) \begin{vmatrix} 1 \\ +i \end{vmatrix}$$
(32)

右旋圆偏振为

$$D_{+1} = E_{i0} \exp(i\psi) \exp(i\varphi_x)(iT \sin \Delta \psi +$$

$$\Delta T \cos \Delta \psi \begin{vmatrix} 1 \\ -i \end{vmatrix}$$
(33)

同理,若读出光是右旋圆偏振,得

$$D_0 = E_{i0} \exp(i\psi) \exp(i\varphi_x) (T\cos\Delta\psi +$$

$$i\Delta T \sin \Delta \psi \begin{vmatrix} 1 \\ -i \end{vmatrix}$$
 (34)

左旋圆偏振为

$$D_{-1} = E_{i0} \exp(i\psi) \exp(i\varphi_x) (\Delta T \cos \Delta \psi + iT \sin \Delta \psi) \begin{vmatrix} 1 \\ +i \end{vmatrix}$$
(35)

若读出光是左旋圆偏振光,则0级衍射光是与 读出光偏振状态相同的左旋圆偏振,+1级衍射光 是与读出光偏振状态相反的右旋圆偏振.若读出光 是右旋圆偏振光,0级衍射光是与读出光偏振状态 相同的右旋圆偏振,-1级衍射光是与读出光偏振 状态相反的左旋圆偏振.

2 MO/PVA 膜中偏振全息光栅中各 级衍射效率的理论计算

由衍射光场

$$E_{t}(x) = \sum_{m=-\infty}^{m=+\infty} D_{m} \exp(im\delta)$$

得第 m 级衍射光束的衍射效率为[17]

$$\eta_m = |D_m|^2 / |E_{i0}|^2 \tag{36}$$

甲基橙-PVA 膜的记录偏振全息光栅时,记录 光波长是 514 nm,读出光的波长是 632.8 nm,膜厚 $d=50 \ \mu m$,由于甲基橙-PVA 膜对 632.8 nm 的光 吸收很少,因而透射率 $T\approx 1$, $\Delta T\approx 0$,折射率变化 为^[8]: $\Delta n_{//} = -2.0 \times 10^{-3}$, $\Delta n_{\perp} = 1.5 \times 10^{-4}$.

当写入光 r、s 是相互垂直的线偏振光,读出光 是与 x 轴夹角为α 的线偏振光

$$\eta_{0} = \frac{|D_{0}|^{2}}{|E_{i0}|^{2}} = |a_{0}|^{2} \approx 0.86$$
$$\eta_{\pm 1} = \frac{|D_{\pm 1}|^{2}}{|E_{i0}|^{2}} = |a_{1}|^{2} \approx 0.07$$

$$\eta_{\pm 2} = \frac{|D_{\pm 2}|^2}{|E_{i0}|^2} = |a_2|^2 \approx 0$$

$$\eta_{\pm 3} = \frac{|D_{\pm 3}|^2}{|E_{i0}|^2} = |a_3|^2 \approx 0$$

同理,读出光是圆偏振时与线偏振光的情况各 阶衍射效率完全相同.

当 r 和 s 是相互正交的圆偏振光,读出光是线 偏振光时

$$\eta_{0} = \frac{|D_{0}|^{2}}{|E_{i0}|^{2}} = |T\cos \Delta \psi|^{2} \approx 0.74$$
$$\eta_{\pm 1} = \frac{|D_{\pm 1}|^{2}}{|E_{i0}|^{2}} = \left|\frac{\sqrt{2}}{2}iT\sin \Delta \psi\right|^{2} \approx 0.13$$

若读出光左旋为圆偏振,则

$$\eta_{0} = \frac{|D_{0}|^{2}}{|E_{i0}|^{2}} = |T\cos \Delta \psi|^{2} \approx 0.74$$
$$\eta_{+1} = \frac{|D_{+1}|^{2}}{|E_{i0}|^{2}} = |iT\sin \Delta \psi|^{2} \approx 0.26$$

若读出光是右旋圆偏振,则

$$\eta_{0} = \frac{|D_{0}|^{2}}{|E_{i0}|^{2}} = |T\cos \Delta \psi|^{2} \approx 0.74$$
$$\eta_{-1} = \frac{|D_{-1}|^{2}}{|E_{i0}|^{2}} = |iT\sin \Delta \psi|^{2} \approx 0.26$$

该方法对衍射效率的计算结果与 Tizhi Huang ^[8]等报道的结果相一致.

3 结论

本文从偏振全息的透射琼斯矩阵出发,运用傅 里叶展开法,对物光,参考光不同的偏振态组合的情 况下所记录的偏振全息中,用不同偏振态的读出光 读出时,衍射光场中可能出现的衍射级次及其偏振 特性进行了详细的理论分析.并以 MO/PVA 膜为 例,对各阶衍射光的衍射效率进行了理论计算,得出 了合理的结论.

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Theoretical Analysis for the Diffraction Optical Field in Polarized Holograph

YANG Xiu-qin¹, ZHANG Chun-ping², QI Sheng-wen³

(1 Department of Physics, Qufu Normal University, Qufu, Shandong 273165, China)
 (2 Department of Physics, Nankai University, Tianjin 300071, China)
 (3 Department of Physics, Dezhou University, Dezhou, Shandong 253023, China)

Abstract: Polarized holograph can be recorded in the photoinduced anisotropy medium. By the transmission matrix of polarization holograms and making Fourier expand in the diffracted optical filed, the polarization characters of diffracted beams are analyzed when the recording beams and the reading beam at deferent polarized combination. Moreover, the diffraction efficiencies are calculated when the polarized holograph is recorded in methyl orange- polyvinyl alcohol film.

Key words: Photoinduced anisotropy; Polarized holograph; Transmittance matrix; Diffraction efficiency



YANG Xiu-qin was born in 1969. She received her Ph. D. degree from Nankai University in 2006. Now she is an associate professor at Qufu Normal University. Her major research interests focus on nonlinear optical materials.