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Prochlorococcus and *Synechococcus* (a process we refer to as early genome reduction). A maximum likelihood approach was then used to



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| Abstract | | | |
| Small bacterial genomes are believed to be evolutionarily derived from larger genomes through massive loss of genes and are usually | | | |
| associated with symbiotic or pathogenic lifestyles. It is therefore intriguing that a similar phenomenon of genome reduction has been | | | |
| reported within a group of free-living phototrophic marine | | | |
| cyanobacteria <i>Prochlorococcus</i> . Here I have investigated the roles of natural selection and mutation rate in the process of Prochlorococcus | | | |
| genome size reduction. Using a data set of complete cyanobacterial | | | |
| genomes including 12 <i>Prochlorococcus</i> and a sister group of 5 marine | | | |
| <i>Synechococcus</i> , I first reconstructed the steps leading to <i>Prochlorococcus</i> genome reduction in a phylogenetic context. The | | | |
| result reveals that small genome sizes within <i>Prochlorococcus</i> were | | | |
| largely determined by massive gene loss shortly after the split of | | | |

estimate changes in both selection effect and mutation rate in the evolutionary history of *Prochlorococcus*. I also examined the effect of selection and functional importance of a subset of ancestor-derived genes those are lost in *Prochlorococcus* but are still retained in the genomes of its sister *Synechococcus* group. It appears that purifying selection was strongest when a large number of small effect genes were deleted from nearly all functional categories. And during this period, mutation rate also accelerated. Based on these results, I propose that shortly after *Prochlorococcus* diverged from its common ancestor with marine *Synechococcus*, its population size increased quickly and thus the efficacy of selection became very high. Due to limited nutrients and relatively constant environment, selection favored a streamlined genome for maximum economies in material and energy, causing subsequent reduction in genome size and possibly also contributing to the observed higher mutation rate.

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