To appear in special issue on Loanword Phonology, Catalan Journal of Linguistics, 2012

Cantonese Loanwords: Conflicting Faithfulness in VC Rime Constraints\*

Michael J. Kenstowicz

Department of Linguistics, MIT, Cambridge, MA 02139, USA

(kenstow@mit.edu)

Abstract

This paper focuses on the ways in which English loanwords are brought into line with four phonotactic constraints that restrict the possible combinations of nuclear vowels and coda consonants in Cantonese Chinese. It is found that three of the four constraints are strictly enforced in loans. Repairs change either the vowel or the coda consonant. Parallel to Mandarin, changes in vowel height features ([high], [ATR]) as opposed to changes in vowel backness are employed. Coda consonant changes obey a dorsal > coronal > labial faithfulness hierarchy that mirrors the typology of coda mergers discovered by Chen (1973) for many Chinese dialects. While changes in both the vowel and coda consonant occur, on-line adaptations favor changing the coda and preserving the vowel and suggest that the relative phonetic salience of the nuclear vowel to the coda consonant still plays a role in these adaptations.

Key words: loanword adaptation, rime constraints, Cantonese, consonant place hierarchy

#### 1. Introduction

Within generative grammar loanword phonology was originally treated as a corpus-external source of evidence to validate the productivity of the rules and constraints postulated on the basis

\_

<sup>\*</sup> This paper was read at the 141st meeting of the Japanese Linguistics Society, June 2011. Thanks to the audience as well as to Edward Flemming, Feng-fan Hsieh, and Lian Hee Lee for comments. We are especially indebted to Yoonjung Kang and Moira Yip for their many helpful suggestions. Finally, we are grateful to Cora Lin for constructing the database for this study and sharing her native speaker intuitions and insights into Cantonese phonology.

of an analysis of a language's core native vocabulary. Sometimes loans contain sound combinations and structures that decide among alternative analyses whose resolution is otherwise indeterminable due to the lack of appropriate corpus-internal evidence (Hyman 1970). With the rise of constraint-based models of phonology such as Optimality Theory (OT) there has been renewed interest in loanword adaptation. OT is well suited to formally express the conflict that typically arises in trying to remain faithful to the loanword source while still satisfying native language (L1) segmental, phonotactic, and prosodic constraints. A topic of particular interest in this line of research is sound similarity. When a segment from the donor language is missing from the grammar of the borrowing language the closest sound is normally chosen. But what is meant by closest? Appealing to simple feature counting does not provide a general answer (Kenstowicz 2003). More subtle and richer phonetic considerations (often highly dependent on context) seem to frequently be at play. Moreover, the adaptations often pose learnability questions since the particular strategies employed may lack any direct counterparts in the L1 grammar (Broselow 2004, 2009). For example, how does the speaker decide whether to employ deletion or epenthesis to adapt a consonant cluster? How does the speaker decide whether to change the vowel or the consonant when faced with a CV or VC sequence that is not permitted in the native language? Chinese is particularly interesting in this regard. It has a CVC syllable template but with many gaps in the possible three-segment strings that can in principle fill out the template. How does the analyst (and the learner) decide whether a missing combination is an accidental gap or a systematic exclusion? Since the language typically lacks morphophonological alternations, one cannot probe the restriction with violations arising from morpheme concatenation to see if they are repaired and if so with what sound change. Loanwords thus provide one valuable source of evidence in this regard.

Cantonese has figured prominently in the theoretical research on loanword phonology. Silverman (1992) was one of the first studies to propose a specific model of how a loanword is adapted, using Cantonese as the case study. The model postulates that the input is the surface (acoustic) form of the output from the donor language (chiefly English). According to Silverman this input is parsed by matching the closest segments drawn from the L1 segment inventory. The acoustic input is also parsed by the gross syllable template CVC. This syllabically licit representation is then brought into conformity with the native language segmental phonotactics by processes of vowel insertion and feature change at a later stage of analysis. In a series of

papers discussing Cantonese loanwords from an Optimality Theoretic perspective, Moira Yip (1992, 1996, 2003, 2006) also proposes a two-stage model where the input is first parsed by a prosodic template and then phonotactic constraints are imposed. Yip (2006) examines vowel adaptation, concentrating on how English [æ] and schwa (segments which lack direct analogs in the Cantonese segmental inventory) are treated. She finds a hierarchy in the types of repair processes that impose native constraints. Deletion and epenthesis are employed to repair violations of constraints on consonant clusters and coda consonant restrictions. But licit vowel-consonant VC rime structures are obtained by preferentially adjusting the length of the vowel instead of changing its quality or the place of articulation of the coda consonant.

Our goal in this paper is to provide a more detailed analysis of the vowel adaptations in the Cantonese loans. The discussion proceeds as follows. First we introduce the vowel inventories of English and Cantonese followed by the rime tables showing the attested VC combinations in the core native vocabulary. We then review several well-known restrictions on the combinations. The next section establishes a base-line correspondence between the vowels and coda consonants of English and Cantonese that are evident in contexts where a particular VC phonotactic is not in play. We then turn to loans where an application of the baseline correspondences to the English input would create structures that violate one of the four phonotactic restrictions and show how they are modified. Like Yip, our analysis also relies on OT ranked constraints. As with Silverman (1992) and Yip (2006), our data originate primarily from Chan and Kwok (1982) and Cheung (1986) plus a few additional forms taken from other sources such as Lai (2004) as well as from personal observations of our consultant. The total corpus comprises about 350 words.

It is well known that compared to Japanese and Korean, the number of phonological loans in Chinese languages is much more restricted. This is true for Cantonese as well in spite of the fact that Cantonese and English have been in close contact for over two centuries and that Cantonese is primarily a spoken language and hence loans are normally transmitted orally rather than graphically. The database is thus quite limited, making any analysis tentative and necessarily more speculative compared to other loanword studies. Nevertheless certain generalizations do emerge.

### 2. Segmental Inventory and Syllable Rime Phonotactics

Cantonese is commonly regarded as having the eleven contrasting vowels of (1) on the phonetic surface.

(1)	high	i:	y:		u:
	mid close	e	Ø		O
	mid open	ε	œ		Э
	low central			В	
	low			a:	
	glide	i			W

Unless otherwise noted, we follow the transcription system utilized in Bauer and Benedict (1997). The mid close vowels [e] and [o] are transcribed as high [I] and [v] in other studies. In a spectrographic investigation of ten male speakers, Zee (2003) finds that [e], [ø], and [o] overlap with [ɛ:], [œ:], and [o:], respectively, in F1-F2 space and are distinguished phonetically by their shorter duration. The same relation holds for [v] vs. [a:]. We reproduce his F1 and F2 measures for corresponding front and back close and open mid vowels as well as the low vowel pair in (2a). This finding also agrees with the speech of our female consultant (shown in 2b), based on three repetitions for each vowel.<sup>1</sup>

(2) first and second formant averages (standard deviations) for selected short and long vowels

a	F1	F2
[e]	520 (44)	2127 (140)
[ε]	537 (49)	2088 (142)
[o]	518 (52)	882 (66)
[3:]	544 (59)	871 (79)
[a]	820 (90)	1287 (86)
[a:]	827 (97)	1229 (86)

<sup>&</sup>lt;sup>1</sup> On the other hand So and Wang (n.d.) find roughly equidistant spacing in F1 among [i:, e,  $\varepsilon$ :] (389/42, 544/106, 738/71) and [u:, o,  $\sigma$ :] (434/36, 550/41, 733/71) for the speech of two subjects. Yip (2006) reports a similar finding.

b		
[i:]	399 (52)	2900 (96)
[e]	678 (61)	2367 (73)
[ε:]	691 (45)	2145 (88)
[u:]	426 (56)	792 (37)
[o]	738 (10)	1463 (25)
[:]	766 (47)	1060 (49)
[a]	949 (35)	1475 (52)
[a:]	1048 (71)	1459 (97)

Cantonese has a bimoraic CVC, CV: syllable template. In syllables lacking a coda the vowel must be long (bimoraic). Codas are restricted to the three nasals  $[m, n, \eta]$  and the corresponding stops [p, t, k], which are unreleased. In addition the language has various diphthongs. We follow the consensus in the literature and treat them as vowel-glide sequences. The vowels  $[e, \emptyset, 0, \mathfrak{v}]$  are short and thus barred from an open syllable. A major analytic question is whether the contrast between  $[e, \emptyset, 0, \mathfrak{v}]$  and  $[\varepsilon:, \infty:, 0:, a:]$  is based on vowel quality with duration playing an enhancing role or the other way around. As Zee (2000) notes, most of the previous literature has distinguished these vowels in terms of quality even though they overlap in F1-F2 space. He points out that  $[e, \emptyset, 0, \mathfrak{v}]$  are typically found in short syllables closed by a stop or nasal and that their steady-state is very brief (20-40 ms). Consequently, their "vowel quality cannot be discretely localized in any single portion of the syllable, but is distributed throughout the period during [which] the voicing is present" (p. 4). As we shall see, the loanword phonology also supports the position that vowel quality predominates over vowel length. For concreteness, we shall assume that the difference is subsumed under the feature Advanced Tongue Root with  $[e, \emptyset, 0, \mathfrak{v}]$  being [+ATR] and  $[\varepsilon:, \infty:, 0:, a:]$  being [-ATR].

In (3) we indicate the duration ratios of the short close mid vowels V to their long openmid and high-vowel counterparts in checked V-stop and V-nasal rimes. The short vowels serve as the baseline. The ratios are based on the vowel duration measures reported in So and Wang (n.d.) and the graphs in Kao (1971:56). The low vowel ratios are also shown.

These ratios approximate the 1 to 2 proportions of short vs. long vowels found in many other languages and support the contention that duration is an important factor in the phonetic realization of the nonhigh vowels in Cantonese.

In (4) we show the average vowel duration measures cited in Bauer and Benedict (1997) based on the measurements in Kao (1971). Using the short mid close and low central vowels as a baseline, we see that the [i:, u:,  $\varepsilon$ :,  $\sigma$ :, a:] vowels are approximately twice as long as [e,  $\phi$ , o,  $\varepsilon$ ]. Moreover, the former are lengthened by an additional increment in bimoraic open syllables.

(4)	coda types	average vowel duration	ratio
	V:	308 ms.	3.0
	V:N/G	203	2.0
	V:T	169	1.9
	VN/G	100	1.0
	VT	89	1.0

We now turn to the VC rime combinations. The long and short low vowels [a:] and [v] combine with all three classes of codas: glides, nasals, and stops. However, for the remaining vowels there are many gaps in the inventory of possible VC combinations. The table below is a synthesis of the rime tables from Hashimoto (1972), Kao (1971), and Bauer and Benedict (1997) for the core native vocabulary. The leftmost column indicates the nuclear vowels and the top row indicates the coda consonants. The row/column intersections show the permissible nucleus+coda rimes.

$$(5) \qquad \qquad j \quad y \quad w \quad m \quad n \quad \mathfrak{g} \quad p \quad t \quad k$$

i:			i:w	i:m	i:n		i:p	i:t	
y:					y:n			y:t	
u:	u:j				u:n			u:t	
e	ej					eŋ			ek
ø		øy			øn				øt
o			ow			oŋ			ok
ε:						ε:ŋ			ε:k
œ:						œ:ŋ			œ:k
<b>ɔ</b> :	эj				o:n	ວ:ŋ		o:t	o:k
g	вj		вм	ws	ns	вŋ	qs	et	вk
a:	a:j		a:w	a:m	a:n	a:ŋ	a:p	a:t	a:k

There are four noteworthy restrictions on the nucleus+coda combinations. First, the round vowels do not combine with labial codas except for the homorganic [ow] and [øy] diphthongs. Second, the long and short front mid vowels [ɛ:] and [e] as well as [œ:] do not combine with labial or dental codas in the core native vocabulary. Third, [o] does not combine with [t] and [n]. Finally, the high vowels do not combine with velar codas. The close mid vowels [e] and [o] are in complementary distribution with the high vowels and are treated as allophones of /i:/ and /u:/ by Hashimoto (1972). Kao (1971) follows Chao (1947) and phonemicizes /e/ and /o/, uniting them with the vowel nuclei appearing in the [ej] and [ow] diphthongs. In Optimality Theory (Prince and Smolensky 1993, 2004) with its assumption of Richness of the Base, phonotactic constraints are defined on the output. In this model the four VC rime restrictions can be expressed as the following constraints. We follow SPE (Chomsky and Halle 1968) in assuming that velars are [+high].

## (6) Cantonese Syllable-Rime Constraints

a. \*OP: \*[-cons, +labial] [+cons, +labial]

 $b.\ *ET: *[-cons, -high, -low, -back]\ [+cons, +anterior]$ 

<sup>2</sup> The short counterpart [ø] of long [œ:] arises from fronting between coronals.

 $c.\ *oT: *[-cons, -high, -low, +back, +ATR]\ [+cons, +anterior]$ 

d. \*IK: \*[-cons, +high] [+cons, +high]

(6a) bans the combination of a labial vowel and a labial coda consonant. (6b) excludes a rime composed of a front nonhigh vowel [e, ε:, œ:, ø] with an anterior (labial, coronal) coda consonant. (6c) bars a combination of close [o] with an anterior consonant. Finally, (6d) bans a rime containing a high vowel plus a dorsal consonant.

A couple of other restrictions should be mentioned. First, in an observation attributed to Chao (1947:24), Hashimoto (1972:111) states that while a low tone in a checked syllable (Yang-Ru) combines with both long and short vowels, in the Yin-Ru (upper register) tone group the long vs. short categories align with the mid vs. high tonal contrast: long vowels co-occur with mid tone 44 while short vowels co-occur with the high tone 55³. Yip (2006) appeals to this constraint, which she dubs \*V:O⁵, to explain certain unexpected vowel adaptations in the loans. Second, the vowels [y:] and [u:] are largely in complementary distribution. They superficially contrast after velars: [ky:n] 'donate' vs. [ku:n] 'official'. Chao (1947) postulated deriving the latter minimal pair from an underlying /k/ vs. /kw/ contrast in the onset.

## 3. English-Cantonese Vowel and Coda Consonant Correspondences

Before turning to the ways in which the native language phonotactic constraints of (6) affect the adaptation of loans, we establish a base-line correspondence for the vowels and coda consonants. Our English transcriptions are based on the Received Pronunciation (RP) represented in such handbooks as Gimson (1980), Wells (1990), and the OED. The data in (7) show the regular vocalic correspondences in an open syllable. These include words where the English tense vowel appears word finally or, as in the case of *bar* and *foul*, where the vowel ends up in final position in the loan through the truncation of a coda consonant. To avoid notational clutter we have not transcribed the tones. There is a regular correspondence between the primary stress of English and the Cantonese high level (55) tone in the loan (Kiu 1977, Silverman 1992).

8

<sup>&</sup>lt;sup>3</sup> We have been unable to find this citation in Chao (1947).

(7) English (RP) tense vowel - open syllable correspondences

English		<u>Cantonese</u>	
[i:]	CD	[i:]	si:ti:
[u:]	boot	[u:]	pu:t
[ei]	gay	[ej]	kej
[əŭ]	OK	[ow]	owkhej
[a:]	bar	[a:]	pa:
[ai̯]	high	[a:j]	ha:j
	pie	[ej]	phej
[au̯]	powder	[a:w]	pha:wta:
	foul	[wy]	few
	ounce	[ɔ:]	o:nsi:
	account	[a:]	a:kha:ŋ
	pound	[ɔ:]	pɔ:ŋ

English diphthongs [ai] and [au] with a low vowel nucleus are adapted as Cantonese diphthongs in open syllables. The nucleus varies between long [a:] and short [v]: high > [ha:j], pie > [phvj]; powder > [pha:w.ta:], chowder > [tʃvw.ta:]. In closed syllables the off-glide and coda consonant compete for the single post-nuclear rime slot with the post-nuclear glide normally winning out: slide > [si:la:j], foul > [fvw]. This behavior appears regular for [ai], while [au] has a number of alternative realizations that depend on which of the [+high], [+back], [+round] features of the off-glide are reflected in the nucleus or in the coda, or in both. For ounce > [o:n.si:] [+back, +round] appear in the nucleus, for account > [a:kha:ŋ] [+high, +back] appear in the coda, while pound > [po:ŋ] shows both effects.

Next are words where an English lax vowel appears in an open syllable in the Cantonese loan either because the original word is disyllabic or because it is a monosyllable whose coda [s] regularly opens the syllable through epenthesis.

(8) English lax vowel > Cantonese Open syllable

English		Canto	<u>onese</u>
[I]	Miss	[i:]	mi:si:
	civil (engineering)		si:fow
[8]	jelly	[ε:]	tsɛ:lej
[æ]	gas	[ε:]	kɛ:si:
$[\Lambda]$	bus	[a:]	pa:si:
	hustle		ha:sow
	husband		ha:tci:pɛ:ŋ
[a]	boss	[:c]	pə:si:
	philosophy		fi:lo:
	body		po:ti:

In these data we see that the native requirement for all syllables to be bimoraic is satisfied by lengthening the vowel and thus creating a mismatch with the short vowel in the English source word, as in  $jelly > [ts\epsilon:lej]$  and  $boss > [po:si:].^4$ 

(9) shows examples where an English lax vowel corresponds to a vowel in a closed syllable in the adaptation.

(9) English lax vowel in closed syllable

English		Canto	<u>onese</u>
[I]	kid	[i:]	khi:t

<sup>4</sup> There are a number of loans in which a lax English vowel is adapted by filling the coda with a consonant, which is typically homorganic with the following onset. This strategy seems to occur mostly with high vowels. Examples include *guitar* [1]> [ki:t.tha:], *disco* [1] > [ti:k.si:.khow], *cookie* [v] > [khu:k.khej].

	pin		phi:n
	lift		li:p
[٤]	chemistry	[ε:]	khɛ:m
	sex		sɛ:k.si:
	to check		tshe:k
$[\Lambda]$	fun	[9]	fen
	cut		khet
[v]	cocktail	[ɔ:]	kə:k.te:

For the  $[\varepsilon] > [\varepsilon:]$  and  $[\mathfrak{p}] > [\mathfrak{p}:]$  correspondences, faithfulness to vowel timbre takes precedence over faithfulness to vowel length: a short lax vowel of English is adapted as long in Cantonese in order to match the mid-open/round vowel quality. Following Yip (2006), this choice can be expressed with the OT constraint ranking schema Ident-V Quality » Ident-V Length<sup>5</sup>. The tableaux in (9) show the effect of this ranking when the vowel quality feature is [ATR] (assuming that this is the feature that differentiates open and close mid vowels). The short vowels in Cantonese [tshek] and [kok], which are phonotactically permissible before velars, are rejected in favor of the long vowels of [tshek] and [kok] in order to remain faithful to the vowel quality of the English source words to check [ $\varepsilon$ ] and cocktail [ $\mathfrak{p}$ ].

(10)	(a)	/t∫[ε]k/	Ident-[ATR]	Ident-[round]	Ident-[long]
		☞ t∫ʰε:k			*
		t∫ <sup>h</sup> ek	*!		

\_

<sup>&</sup>lt;sup>5</sup> A handful of loans reverse this ranking so that a lax English vowel is adapted with a short vowel at the expense of a match in vowel quality. These include *lemon* [ε] > [leŋ.mu:n], *Miss* [ɪ] > [mɐt.si:], *winner* [ɪ] > [wɐn.na:], and *jazz* [æ] > [tsøk.si:]. They also require the insertion of a coda consonant to satisfy the prosodic requirement of bimoraicity. Korean provides a consonantal analog of the Quality » Quantity ranking. As discussed by Kang (this volume) and Kenstowicz and Sohn (2001) an English lateral in medial position geminates to block the native grammar tap realization of the intervocalic liquid: Aladdin > [allatin] vs. aerobic > [eəropik].

	t∫ħek	*!		
(b)	/k[v]k/	Ident-[ATR]	Ident-[round]	Ident-[long]
	☞kɔ:k			*
	kok	*!		
	kek		*!	

However, faithfulness to vowel quality is overridden when a long vowel is required in order to satisfy the prosodic constraint that the syllable be bimoraic. This is shown in tableau (11). First, [v] is the best match for the English wedge [ $\Lambda$ ] and is regularly selected in a closed syllable, as in fun > [fvn]. But the English [ $\Lambda$ ] is adapted as [a:] when a long vowel is required in an open syllable, as in hustle > [ha:sow]. Other examples showing this correspondence include husband > [ha:tci:pe: $\eta$ ] and bus > [pa:si:].

(11)	(a)	/fʌn/	Bimoraic	Ident-[ATR]	Ident-[long]
		🖝 fen			
		fa:n		*!	*
	(b)	/hʌsl/			
		☞ha:sow		*	
		hesow	*!		

Finally, the data in (12) show the baseline matching of English stops and nasals with the corresponding coda consonants in Cantonese. Where possible, we cite examples where the nucleus is a low vowel, which is compatible with all three places of articulation for the following consonant.

(12)	<u>Engli</u>	<u>sh</u>	<u>Cantonese</u>		
	[p]	jeep	[p]	t∫i:p	
	[t]	cut	[t]	khet	
	[k]	mark	[k]	ma:k	
	[m]	hum	[m]	hem	
	[n]	fun	[n]	fen	
	$[\mathfrak{y}]$	king	[ŋ]	kheŋ	

With the baseline vocalic correspondences enforced by the Bimoraic » Ident-Quality » Ident-Length ranking schema in place, we now proceed to investigate how the four VC phonotactic constraints mentioned above in (6) are enforced on Cantonese loans.

# 4. Phonotactic Constraint-1: Front Nonhigh Vowels

In the Cantonese native vocabulary the front vowels [ $\varepsilon$ :] as well as [ $\varepsilon$ ] and [ $\varepsilon$ :] are barred before labial and coronal consonants. A few loanwords raise their vowel or change the coda to velar in order to conform to this constraint (13a). But the majority (13b) violate it, creating a new rime combination (Bauer 1985).

(13)		<u>Engli</u>	<u>sh</u>	Canto	<u>Cantonese</u>	
	a.	[8]	cents	[i:]	si:n.si:	
			pence		pi:n.si:	
			cassette	[e]	ka:sek	
			offset		o:sek	
		[ei]	straight		si:tek.lek	

		chocolate		tʃy:ku:lek <sup>6</sup>
	[æ]	jam		tsi:m
b.	[ε]	Benz	[ε:]	pɛ:n.si:
	[æ]	cancer	[ε:]	khε:n.sa:
		jam		tse:m
		band		pe:n
		captain		khɛ:p.thøn

When viewed from the Optimality Theory perspective, the variation among these adaptations revolves around whether the \*ET phonotactic from (6) is respected, and then if it is, where a change is made to enforce it at the cost of a faithfulness violation. The adaptation of *pence* involves a demotion of faithfulness for [high] (and [ATR]) while *offset* involves demotion of faithfulness to the coda consonant. In the adaptation of *Benz* the phonotactic is demoted in order to remain faithful to the vowel quality and coda consonant in the original foreign word. The following tableaux illustrate these three ranking scenarios for *pence*, *offset*, and *Benz*.

(14)	(a)	/pens/	*ET	Ident-Coronal	Ident-[high]
		☞ pi:n.si:			*
		pɛ:n.si:	*!		
		pε:ŋ.si:		*!	
	(b)	/əfset/	*ET	Ident[high]	Ident-Coronal
		☞ ɔ:sek			*
		o:se:t	*!		
		ə:si:t		*!	

-

<sup>&</sup>lt;sup>6</sup> The [t] > [k] coda change in the adaptation of *chocolate* suggests that the vowel of the final syllable was interpreted as [ei], perhaps based on the spelling.

(c)	/bɛnz/	Ident-[high]	Ident-Coronal	*ET
	ℱ pɛ:n.si:			*
	pi:n.si:	*!		
	peŋ.si:		*!	

It is interesting that the adaptation of English  $[\epsilon]$  as Cantonese [5:] is never found. Feature economy cannot be the reason since this adaptation involves two vowel quality changes (in [back] and [round]), the same number as in  $[\epsilon]$  to [i:] ([ATR] and [high]). A similar asymmetry has been noted by Lin (2008) in a study of English loans into Mandarin, where changes in vowel height are much more common than changes in backness. This observation is all the more striking since in Mandarin vowel height is contrastive while, for nonhigh vowels, backness is predictable from the surrounding consonants. Nevertheless, faithfulness to the noncontrastive vowel backness dominates faithfulness to the contrastive vowel height. One possible explanation for this asymmetry is that stops are unreleased in the coda in Cantonese. Consequently, the VC formant transitions in the vowel are the only cues to the place of articulation of the following coda consonant. Among these transitions those of the second and third formants are the critical ones in distinguishing one consonantal place from another, while first formant transitions do little if any work here. If Cantonese speakers are especially attuned to the VC transitions in F2 and F3, they may wish to preserve them in the vowel adaptation and hence be more prone to change vowel height, which plays little role in distinguishing coda place.

### 5. Phonotactic Constraints 2 and 3: \*IK and \*oT

We recall that the short close mid vowels [e, o] have a very restricted distribution in Cantonese native grammar. They are only permitted before dorsal codas as well as in the nucleus of off-glide diphthongs. The loanword phonology supports this analysis since English words with a high vowel plus dorsal coda are regularly realized with the close mid vowels. The corpus

unfortunately lacks examples of English [i] before a dorsal such as *leek*, *beak*, etc. that would allow us to determine its behavior in this context.<sup>7</sup>

(15)	<u>Engli</u>	<u>sh</u>	Canto	<u>onese</u>
	[1]	tick	[e]	thek
		sink		seŋ
		king		kheŋ
		slick		si:lek
		stick		si:tek
	[ʊ]	snooker	[o]	si:lokka:
		cookie		khokkhi:
	[u]	fluke	[o]	fu:lok
		cartoon		ka:toŋ
		saloon		sa:loŋ

So here the native grammar phonotactic constraint \*IK consistently overrides faithfulness to the English vowel. Thus, in comparison to the \*ET constraint discussed in the preceding section, \*IK is more resistant to foreign influence--perhaps because it is formally a dissimilation constraint, at least if formulated in features, as in (6) above. The restriction of front mid vowels to dorsal codas (\*ET) is not an instance of any natural phonetic category and so might more easily give way to loanwords. The repair to the \*IK constraint consistently involves lowering the high vowel to a close mid vowel. Consequently, faithfulness to [+high] in the vowel is demoted below \*IK. Other possible repairs such as changing the coda consonant or lowering the vowel to open mid will be blocked by faithfulness to dorsal place and [ATR], respectively. The tableau in (16a) shows the adaptation of *king* to [kheŋ] given the ranking in (16a).

<sup>&</sup>lt;sup>7</sup> The loans for *cartoon* and *saloon* may have passed through Mandarin.

<sup>&</sup>lt;sup>8</sup> Feng-fan Hsieh (p.c.) observes that [i] is lowered/laxed before velar codas in Hakka and Chaozhou while an excrescent schwa is inserted in Taiwanese. Edward Flemming reminds us of the English sound change seen in *hoop* [u:], *hoot* [u:], but *hook* [v] where a similar change before dorsals occurred.

# (16) a. \*IK, Ident-Dorsal, Ident-[ATR] » Ident-V[high]

b	/kiŋ/	*IK	Ident-Dorsal	Ident-[ATR]	Ident-V[high]
	☞ k <sup>h</sup> eŋ				*
	kʰi:ŋ	*!			
	k <sup>h</sup> i:n		*!		
	k <sup>h</sup> ε:n			*!	

There is one case where arguably the constraint barring the high vowels before a dorsal is enforced by changing the coda: cigar is adapted as [ʃy:t.ka:]. Normally when a coda consonant is inserted after a lax vowel in the English word in order to satisfy bimoraicity, it is homorganic with the following consonant, as in copy > [khep.phi:], cookie > [khok.khi:], cutter > [ket.ta:], fussy > [fet.si:]. The coda [t] of cigar > [fy:t.ka:] may be a strategy to retain the [+high] feature on the vowel in the face of the phonotactic banning a high vowel before a high (dorsal) consonant.

The \*oT constraint helps to explain the adaptations in (17) where a coronal coda consonant has been changed to dorsal in order to obtain a better vowel-quality match for the nuclear vowel. Also included here are cases where the inserted coda departs from the default homorganic coda-onset cluster.

(17)	<u>Englis</u>	<u>sh</u>	<u>Cantonese</u>		
	[əŭ]	coat	[o]	khok	
		volt		fok	
		notes		nok.si:	
		cone		khoŋ	
		saxophone		sek.si:.foŋ	
		mignon		mi:n.joŋ	

donut ton.let

Thus, rather than simply being a positional variant of the high vowel, the loanword phonology indicates that [o] is sufficiently distinct to serve as an attractor. Moreover, it indicates that the gap of (short) [o] plus coronal coda in the rime table of (5) is not an accidental one or a mere byproduct of a context-free ban on [e, o]. Rather, a constraint explicitly barring closed [o] before an anterior coda consonant is required: \*oT. In contrast to \*IK, the repair to \*oT consists in changing the coda consonant to dorsal. Thus, Ident-Coronal must be demoted below \*oT. The alternative repair changing the coda to labial can be excluded by the labial constraint \*OP. Finally, changing the vowel to high or open mid can be blocked by the Ident-V[high] and Ident-[ATR] constraints invoked in the discussion of \*ET. The required rankings are shown in (18a) and the adaptation of *coat* > [khok] is shown in (18b).

## (18) a. \*oT »Ident-Coronal

\*OP »Ident-Coronal

Ident-[ATR], Ident-V[high] » Ident-Coronal

/khəut/	*oT	*OP	Ident-[ATR]	Ident-V[high]	Ident-Coronal
☞ k <sup>h</sup> ok					*
1-h-4	*1				
K Ot	!				
k <sup>h</sup> op		*!			
k <sup>h</sup> u:t				*!	
k <sup>h</sup> ɔ:t			*!		
	☞ k <sup>h</sup> ok  k <sup>h</sup> ot  k <sup>h</sup> op  k <sup>h</sup> u:t	**************************************	**  **  **  **  **  **  **   **   **   **	*!  khop *!  khu:t	Framework       ***         Framework       ***         Khot       **!         Khop       **!         Khu:t       **!

It is worth observing that the syllable nuclei of the tense mid vowels are higher in RP than in Standard American (Ladefoged 2006:88). Moreover, the rime tables in Kao (1971) as well as Bauer and Benedict (1997) show close vowel nuclei for Cantonese [ej] and [ow] in contrast to the open nuclei in [ɛ:w] and [ɔ:j]. The close mid vowel nuclei in *coat* > [k<sup>h</sup>ok] (and

straight > [si:teklek] from (13) are thus good matches. But given undominated \*oT, they entail a change of the coda consonant.9

Our analysis predicts that loans containing a close mid vowel followed by a dorsal consonant should be adapted faithfully since they violate no phonotactic constraint. The examples in (19) show that this is a correct prediction. Unfortunately, the corpus lacks an example like *coke* that would allow us to test this prediction for the back vowel.

(19)	<u>Engli</u>	<u>sh</u>	Canto	<u>Cantonese</u>		
	[ei]	cake	[e]	khek		
		shake		sek		
		Laker		lekka:		

Given the vowel quality » vowel length ranking established earlier, English short open mid vowels before a dorsal have no other motivation to raise to close since they violate no native grammar phonotactic and hence are predicted to adapt as open. The data in (20a) confirm this prediction and the tableau in (20b) shows this adaptation.

(20)	a.	<u>Englisl</u>	<u>1</u>		Canto	<u>nese</u>	
		[ε]	sex		[ε:]	se:k.s	i:
			electrical (eng	gineering)	ji:lεk		
		[v]	block		[ɔ:]	po:k.l	ɔ:k
	b.	/sɛks/	Ident-[high]	Ident-[ATR]	Ident-	[long]	
					.1		
		☞ sε:k.si			*	•	
		1 .		ste 8			
		sek.si		*!			
					ı		

<sup>&</sup>lt;sup>9</sup> In a study of the speech of one young male Hong Kong Cantonese speaker, Zee (1999) finds that 10 out of 14 words with an [-o:n] rime have velarized the consonant to [-o:n] and 3 of 3 rimes in [-o:t] appear as [-o:k]. It is thus possible that the \*oT constraint is being generalized to include the open mid vowel. It could then combine with \*ET to form a general ban on mid vowels and coronal codas.

si:k.si	*!	

To summarize, in this section we have seen that the \*IK constraint barring a high vowel plus velar coda is consistently enforced by lowering the vowel to close mid. We also found that English close mid vowels before a velar are adapted as Cantonese [e, o] with the familiar vowel timbre » vowel length ranking. A number of loans with English [əu] change their coda to dorsal indicating that the close vowel [o] is psychologically salient and that the rime-table gaps of \*[ot] \*[on] are not accidental but actively enforced by the constraint \*oT. Finally, since mid open vowels are permitted before dorsal codas in the native rime table, English [ε] and [ɔ] are regularly adapted as Cantonese [ε:] and [ɔ:] with the vowel timbre » vowel length mismatch.

#### 6. Phonotatic Constraint-4: Labial dissimilation

It is well known that in the core vocabulary of Cantonese labial onsets are incompatible with labial rimes. Yip (1989) cites the Middle Chinese-Cantonese correspondences in (21) that show a dissimilation of coda nasals with respect to the onset. According to Bauer (1985) loans like pump > [pem] indicate that this constraint is no longer enforced in the contemporary language. But aside from the diphthong [ow], the constraint against a round vowel and labial coda found in the rime tables of Hashimoto (1972), Kao (1971), and Bauer and Benedict (1997) is still in effect (Light 1977).

(21) Middle Chinese – Cantonese correspondences (Hashimoto 1972)

To demonstrate the viability of the restriction on labials, Yip (1989) points to a reflex in the Lami secret language (22). In this speech disguise if the base vowel is /i/ then /i-i/ is dissimilated to /i-u/, as in *kin* and *yit*. But as shown by *t'im*, this dissimilation is blocked if the coda is a labial.

## (22) La-mi secret language

yat > lat yit
kin lin kun
yit lit yut
t'im lit t'im \*lit t'um

Can we find reflexes of the VC labial dissimilation constraint in the loanword phonology? The most direct place to look would be for words like *home*, *hope*, *soup*, *loom*. The corpus has very few examples: [5:] *chloroform* > [k5:l5:f5:ŋ]. However, the constraint can be detected more subtly. First, the data in (23a) show that the English back low rounded vowel [p] is normally adapted as [5:]. But if the coda contains a labial (23b), then unround [p] is chosen instead. An alternative strategy, seen in (23c), modifies the coda consonant.

(23)		English Ca		<u>Canto</u>	antonese	
	a.	$[\mathfrak{a}]$	boxing	[ɔ:]	po:k.seŋ	
			cocktail		kə:k.te:	
			franc		fat.lɔ:ŋ	
	b.	$[\mathfrak{a}]$	copy	[9]	khep.phi:	
			CompLit		khem.li:t	
			composition		khem.phow	
			ping-pong ball		peŋ.pɐm.pɔ:	
	c.	$[\mathfrak{a}]$	zombie		sə:ŋ.bej	
			hamburger		hɔ:n.bow	
			prom		po:ŋ	

The cases in (23b) involve violation of faithfulness to the rounding of the vowel in the English source, while (23c) retains rounding in the vowel at the cost of changing the place of articulation of the nasal consonant. The tableaux in (24) show how the variant repair strategies changing the vowel or the coda labial play out in the adaptations of *cocktail*, *Complit*, and *zombie*.

(24)	(a)	/kpktel/	*OP	Ident-V[labial]	Ident-C[labial]
		☞ kɔ:k.tɛ:			*
		ka:k.te:		*!	
	(b)	/kpmp/	*OP	Ident-C[labial]	Ident-V[labial]
		☞ khem			*
		kho:m	*!		
		kho:n		*!	
	(c)	/zɒmbi/	*OP	Ident-V[labial]	Ident-C[labial]
		ຶ sɔ:ŋ.bej			*
		sɔ:m.bej	*!		
		sa:m.bej		*!	

Another reflex of the labial dissimilation phonotactic concerns the coda filling strategy that provides the extra mora called for by the bimoraic requirement on full syllables. In this situation the inserted consonant normally tracks the place of articulation of the following onset (25a). But if that onset is labial and the vowel is round, then the coda assumes a different point of articulation to avoid a round vowel + labial coda (25b).

(25)		<u>English</u>	Cantonese
	a.	spring	si:bi:t.li:ŋ
		salmon	sa:m.men
		franc	fet.lo:ŋ
		cocoa	kok.ku:
		cookie	khok.khi:
		Fascism	fet.saisi:
		gaberdine	gap.ba:di:n
		hysteria	gi:t.si:dailei

shilling si:n.li:ŋ

[əu] romance lɔ:ŋ.ma:n.si:

[c] sauna so:n.na:

For the  $[\mathfrak{v}] > [\mathfrak{v}]$  adaptation of (25b), a minimal change of just [round] is made rather than a more drastic change to  $[\mathfrak{e}:]$ , which would alter the feature of [back] as well.

### 7. Other Phonotactic Constraints

b.

It is well known that back rounded vowels in Cantonese may combine with either coronal onsets or codas but not with both (Chao 1947). The loanword corpus has a few words that show the viability of this constraint. They are listed below in (26). The fronting of the [juw] diphthong seen in *duce* is a regular development independent of the onset or coda and hence cannot be taken as evidence for the \*TUT constraint: IQ > [a:j.khi:w], fuse > [fi:w.si:]. The clearest example is shoot > [søt]. The next best are tenderloin > [thi:n.ta:løn], where truncation of the offglide of the [oj] diphthong (cf. coin > [kho:n]) would have yielded an open back vowel [o:] that is here replaced by its short front rounded counterpart [ø], and rumba [v] > [læ:n.pa:], where the coda [m] has been changed to avoid a labial-labial violation that in turn forces the otherwise expected [o:] to front to [æ:]. Finally, the [vn] rime seen in the fabric names orlon, nylon, and dacron is adapted variably with fronting [o:løn], change of coda [na:lon], or strangely both [tek.kho:k.læ: $\eta$ ].

(26) fluke fu:lok

boot pu:t

horn ho:n

shoot søt

tenderloin thi:n.ta:løn

duce ti:w.si:

rumba lœ:n.pa:

function fen.søn

gallon ka:løn

nylon na:lon

orlon ɔ:løn

dacron tek.khɔ:k.lœ:ŋ

## 8. Summary and Implications

In (27) we summarize the rankings posited in our analysis of the loanword adaptations in the face of the four VC rime constraints discussed in this paper.

(27) \*ET  $\approx$  Ident-V[high]  $\approx$  Ident-Coronal

\*OP » Ident-[round] ≈ Ident-Labial

\*IK, Ident-Dorsal » Ident-V[high], Ident-Coronal, Ident-Labial

\*oT, Ident-V[high], Ident-[ATR] » Ident-Coronal

The \*ET constraint is enforced variably while \*IK, \*oT, and \*OP are undominated. The repairs to \*ET and \*OP include a change of the nuclear vowel or the coda consonant. For \*IK only the vowel is changed and for \*oT only the coda consonant.

A major goal of theoretical research into loan phonology is to develop a typology of the possible repairs that bring the loan in line with native grammar constraints. One hopes that ultimately the results obtained in this domain will mesh with judgments of sound similarity in the

domains of rhyme and general phonological faithfulness (Steriade 2009). Let us summarize the findings of this study of Cantonese loanwords in light of this more general endeavor. Our first result is that faithfulness for vowel quality dominates faithfulness for length (confirming the finding of Yip 2006). This explained why the short vowel of *to check* [ $\varepsilon$ ] was adapted with long [ $\varepsilon$ :] over short [ $\varepsilon$ ]. It suggests that in the grammar of Cantonese vowel length enhances the ATR/height contrast. Our second finding is that faithfulness for vowel backness dominates faithfulness for vowel height ([high] and [ATR]) as well as vowel roundness ([round]). We suggested that a vowel's identity in a CVC context is more sensitive to the features of the surrounding consonants that affect F2; a change in vowel height will not alter the CV and VC formant transitions as much as a change along the front-back dimension. The asymmetry between vowel height and backness shows up in other domains where perceptual similarity and distance are at play. For example, the famous study of Peterson and Barney (1951) on confusion among English vowels finds that { $\iota$ ,  $\varepsilon$ ,  $\alpha$ } and { $\upsilon$ ,  $\upsilon$ ,  $\iota$ ,  $\iota$ ,  $\iota$ } form distinct sets with confusions along the height dimension but essentially few or none along the front-back dimension. Zwicky's (1976) study of slant rhymes in English rock song lyrics has a similar finding.

A third generalization that emerges from the Cantonese loanword adaptations is that when the coda consonant is altered, the change is almost always to dorsal. For \*oT we find both t > k and  $n > \eta$ : coat > [khok],  $cone > [kho\eta]$ . For \*ET we have t > k:  $offset > [\mathfrak{o}:sek]$ . And for \*OP we find several instances of  $m > \eta$  such as  $prom > [\mathfrak{po}:\eta]$  and  $zombie > [\mathfrak{so}:\etabej]$  but just a couple of m > n ( $hamburger > [h\mathfrak{o}:n.bow]$ , rumba > [loe:n.pa:]). Two possible explanations for this dorsal coda preference suggest themselves. It could reflect the faithfulness wing of the familiar Ident-Dorsal » Ident-Labial » Ident-Coronal hierarchy (de Lacy 2004). The front to back change of coda place is of course well known to students of Chinese historical phonology since the important study of Chen (1973). In a survey of over 20 Chinese dialects Chen found that mergers of place contrasts in the coda invariably proceed in the direction labial > coronal >

\_

<sup>&</sup>lt;sup>10</sup> It would be interesting to conduct a study along the lines of Escudero (2005) in which the F1 and duration of the Cantonese mid vowels are systematically varied to see whether vowel height or vowel duration is the major cue to how the vowels are identified. The loanword phonology suggests that Cantonese would align with Southern British English (where vowel quality predominates in the tense-lax opposition of *sit* vs. *seat*) as against Scottish English where duration is the major distinction.

dorsal with changes in stops typically occurring before changes in nasals. However, faithfulness cannot be the entire story since when a coda labial is changed to satisfy the \*OP constraint the \*Dorsal » \*Labial » \*Coronal markedness hierarchy predicts a coronal repair. This outcome is found (hamburger > [hɔ:n.bow], rumba > [lœ:n.pa:]). But we have the impression that a dorsal coda is more prevalent, as in zombie > [so:ŋ.bej], prom > [pɔ:ŋ], romance > [lɔ:ŋ.ma:n.si:], sauna > [sɔ:ŋ.na]. An alternative explanation is frequency. Since Cantonese coda stops are unreleased and the place cues to coda nasals are relatively weak, one can easily imagine conditions such as noise in which speakers may fail to attend to the VC formant transitions that would be the principal cue to coda place. In such states of uncertainty speakers may resort to statistical reasoning and substitute the place feature that is most common in the lexicon. This line of reasoning leads us to ask for the frequency of Cantonese codas. We are grateful to Xinzhong Liu for the data reported in (28) showing the number of possible CVC combinations (ignoring tone) for each coda consonant in Guanzhou and Hong Kong Cantonese. Remarkably for both the nasals and the stops, the dorsal > coronal > labial hierarchy obtains.<sup>11</sup>

(28)

CVm	38
CVp	34
CVn	79
CVt	73
CVŋ	108
CVk	100

This mirrors the typology of mergers across the various Chinese dialects observed by Chen (1973) and suggests that the dorsal > coronal > labial hierarchy is present statistically in dialects that still preserve the ternary place contrast for nasals and stops. Further study is necessary in

<sup>&</sup>lt;sup>11</sup> More telling would be the type frequencies for the codas, i.e. how many distinct lexical items end in p, t, k, etc. As far as we know, this information is not available.

order to determine whether frequency or markedness or both underlies the oral place hierarchy for Chinese codas.<sup>12</sup>

Another point worth making is that for both the \*ET and \*OP constraints, repairs changing the coda consonant or the nuclear vowel were found. This finding casts some doubt on the speculation in Kenstowicz (2006) that when a conflict arises between changing a vowel or a consonant in order to satisfy a CV or VC phonotactic constraint, it will be the more salient vowel that is preserved and the onset or coda consonant that changes. Some of the strongest instances of this phenomenon include the preservation of nondistinctive vowel height in Moroccan Arabic over a distinctive pharyngealization of adjacent consonants (Kenstowicz and Louriz 2010) or the preservation of nondistinctive backness over nasal place in the Mandarin adaptation of English loans (Hsieh, Kenstowicz, and Mou 2009). In both cases there is good evidence that the nondistinctive vowel features enhance the adjacent consonantal contrasts and function as cues for the recovery of the consonantal distinction. For the Cantonese \*ET and \*OP constraints, the nuclear vowel and coda consonant do not stand in an enhancing relation. Hence, that motivation is missing. But it is still not clear that the relative saliency of vowels over adjacent consonants plays no role in the similarity judgments underlying loanword adaptation. When asked to provide online adaptations, our informants seem to privilege faithfulness to the vowel, as in the following examples:  $home > [ho:n], hoop > [hu:], mate > [mei], tape > [t^hei], bet > [be:t], sit > [si:t], seat > [thei], bet > [thei], sit > [si:t], seat > [thei], sit > [thei],$ [si:t]. It is possible that the phonetic salience of the vowel compared to the coda consonants (where stops are unreleased) comes to the fore when the English model is fresh in the Cantonese speaker's consciousness. Perhaps this factor recedes as the loan is transmitted through the speech community, giving rise to the vocalic height changes we have seen in this paper. A systematic sociolinguistic investigation would be required to corroborate this speculation.

Another implication of our study is more general support for phonological constraints as opposed to rules. From a traditional generative perspective, any context-sensitive rule changing

\_

<sup>&</sup>lt;sup>12</sup> Two recent phonetic studies on the identification of final unreleased stops do not seem to elucidate the front-to-back merger. Chu et al. (2008) find that the identification of unreleased [p, t, k] is significantly affected by the preceding vowel with [ip], [ut] and [ak] being the most optimal combinations, presumably because they show the sharpest VC formant transitions. Marty (2012) finds that French subjects (whose coda stops are typically released) identified unreleased stops most often as [p] since it has the weakest burst and hence is most similar to a stop with zero burst.

X when adjacent to Y creates an \*XY, \*YX gap in the immediate output. But almost from outset of the generative approach (Stanley 1967), phonological generalizations that do not submit to this interpretation were noted, necessitating static morpheme-structure or surface-structure constraints. From this perspective what is phonologically illicit is the combination of XY regardless of how it may have arisen in the synchrony or diachrony of the language. The fact that we found changes of either the nuclear vowel or the coda consonant for some of the VC rime constraints is thus expected.

Finally, the loanword adaptations reviewed here demonstrate that the gaps in the rime tables noted by Hashimoto (1972), Kao (1971), and Bauer and Benedict (1997) are significant generalizations that are respected (to varying degrees) as a loan is adapted by the native grammar. The loans are thus comparable to the secret language changes Y-R. Chao appealed to in order to demonstrate the psychological reality of a CV restriction in Mandarin in his famous nonuniqueness paper (1934). More generally, loanword adaptations reveal sound substitutions of comparable interest and complexity to the phonological alternations that have been the staple of the generative approach. They allow the more "static" languages of East Asia such as Chinese to occupy a central place in phonological analysis and theory.

#### References

- Bauer, Robert (1985). "The Expanding Syllabary of Hong Kong Cantonese". *Cahiers de linguistique Asie orientale* 14: 99-113
- Bauer, Robert and Paul Benedict (1997). *Modern Cantonese Phonology*. Berlin: Mouton De Gruyter.
- Broselow, Ellen (2004). "Language Contact Phonology: Richness of the Stimulus, Poverty of the Base". In: Moulton, Kier; Wolf, Mathew (eds.). *Proceedings of NELS* 34. Amherst, MA: Graduate Linguistics Student Association, pp. 1-21.
- Broselow, Ellen (2009). "Stress Adaptation in Loanword Phonology: Perception and Learnability". In: Boersma, Paul; Hamman, Silke (eds.). *Phonology in Perception* Berlin: Mouton De Gruyter, pp. 191-234.
- Chan, Mimi; Kwok, Helen (1982). A Study of Lexical Borrowing from English in Hong Kong.

  Chinese. Hong Kong: Center for Asian Studies, University of Hong Kong.

- Chen, Matthew (1973). "Cross-dialectal Comparison: a Case Study and Some Theoretical Considerations." *Journal of Chinese Linguistics* 1: 38-63.
- Chao, Yuen-Ren (1934). "The Non-uniqueness of Phonemic Solutions of Phonetic Systems".

  \*Bulletin of the Institute of History and Philology, Academia Sinica, vol. 4, part 4: 363-97.
- Chao, Yuen-Ren (1947). Cantonese Primer. Cambridge, MA: Harvard University Press.
- Cheung, Y.S. (1986). "On the Tone System of Loanwords from English in Hong Kong Cantonese". *Zhongguo Yuwen* 1: 42-50.
- Chomsky, Noam; Halle, Morris (1968). *The Sound Pattern of English*. New York: Harper & Row.
- Chu, Man-ni; Gussenhoven, Carlos; van Hout, Roeland. 2008. "The Identification of the Place of Articulation in Coda Stops as a Function of the Preceding Vowel: A Cross-linguistic Study." Proceedings of the ISCA Tutorial and Research Workshop on Experimental Linguistics. Athens.
- de Lacy, Paul (2004). Markedness. Cambridge: Cambridge University Press.
- Escudero, Paola (2005). Linguistic Perception and Second Language Acquisition. Explaining the Attainment of Optimal Phonological Catgorization. Ph.D. dissertation, Utrecht University.
- Gimson, Alfred (1980). An Introduction to the Pronunication of English. London: Edward Arnold.
- Hashimoto, Oi-kan Yue (1972). *Phonology of Cantonese*. Cambridge: Cambridge University Press.
- Hsieh, Feng-fan; Kenstowicz, Michael; Mou, Xiaomin (2009). "Mandarin Adaptation of CodaNasals in English Loanwords". In: Calabrese, Andrea; Wetzels, Leo (eds.). LoanPhonology: Issues and Controversies. Amsterdam: John Benjamins, pp. 131-54.
- Hyman, Larry (1970). "How Concrete is Phonology?". Language 46: 58-76.
- Kao, Diana (1971). Structure of the Syllable in Cantonese. The Hague: Mouton.
- Kenstowicz, Michael (2003). "The Role of Perception in Loanword Phonology". *Studies in African Linguistics* 32: 95-112.
- Kenstowicz, Michael (2010). "Loanword Phonology and Enhancement". In: Kang, Young-Se et al. (eds.). *Lectures on Universal Grammar and Individual Languages*. Seoul International Conference on Linguistics, Seoul: Korea University, pp. 104-112.

- Kiu, K. (1977). "Tonal Rules for English Loan Words in Cantonese". *Journal of the International Phonetic Association* 7: 17-22.
- Ladefoged, Peter (2006). A Course in Phonetics. Boston, MA: Thompson Wadsworth.
- Lai, Wing-sze. (2004). Tone-Stress Interaction: A Study of English Loanwords in Cantonese. Chinese University of Hong Kong M.A. thesis.
- Lin, Y Wei (2008). "Variable Vowel Adaptation in Standard Mandarin Loanwords". *Journal of East Asian Linguistics* 17: 363-80.
- Light, Timothy (1977). "The Cantonese Final: an Exercise in Indigenous Analysis". *Journal of Chinese Linguistics* 5: 75-102.
- Marty, Paul (2012). "The Role of Release Burts in Word-Final Stop Perception". Paper read at 48<sup>th</sup> Annual Meeting of the Chicago Linguistics Society.
- Peterson, Gordon; Barney, Harold (1951). "Control Methods Used in a Study of the Vowels". Journal of the Acoustical Society of America 24: 175-184.
- So, Lydia K. H.; Wang, Jing (n.d.). "Acoustic Distinction Between Cantonese Long and Short Vowels." University of Hong Kong, unpublished manuscript.
- Silverman, Daniel (1992). "Multiple Scansions in Loanword Phonology: Evidence from Cantonese". *Phonology* 9: 289-328.
- Stanley, Richard (1967). "Redundancy Rules in Phonology". Language 43: 393-436.
- Steriade, Donca (2009). "The Phonology of Perceptibility Effects: The P-Map and Its Consequences for Constraint Organization." In: Hanson, Kristin; Inkelas, Sharon (eds.).

  The Nature of the Word: Studies in Honor of Paul Kiparsky. Cambridge, MA: MIT Press, pp. 151-180.
- Wells, John C. (1990). Longman Pronunciation Dictionary. London: Longman.
- Yip, Moira (1989). "The OCP and Phonological Rules". Linguistic Inquiry 19: 65-100.
- Yip, Moira (1993). "Cantonese Loanword Phonology and Optimality Theory". *Journal of East Asian Linguistics* 2: 261-91.
- Yip, Moira. (1996). "Lexical Optimization in Languages without Alternations". In: Durand, Jacques; Laks, Bernard (eds.). Current Trends in Phonology: Models and Methods. CNRS, Paris-X and University of Salford: University of Salford Publications, pp. 757-788.

- Yip, Moira (2002a). "Necessary but not Sufficient: Perceptual Influences in Loanword Phonology". In: Kubozono, Haruo (ed.). *Journal of the Phonetic Society of Japan.* Special Issue on Aspects of Loanword Phonology, 6, pp. 4-21.
- Yip, Moira (2002b). Tone. Cambridge: Cambridge University Press.
- Yip, Moira (2006). "The Symbiosis between Perception and Grammar in Loanword Phonology". *Lingua* 116: 950-75.
- Zee, Eric (1999). "Changes and Variation in the Syllable-Initial and Syllable-Final Consonants in Hong Kong Cantonese". *Journal of Chinese Linguistics* 27: 120-67.
- Zee, Eric (2003). "Frequency Analysis of the Vowels in Cantonese". ICPhS 15, Barcelona.
- Zwicky, Arnold (1976). "Well, This Rock and Roll Has Got to Stop. Junior's Head is as Hard as a Rock." Proceedings of the 12<sup>th</sup> Annual Meeting of the Chicago Linguistics Society, 676-697.

## **Appendix**

Loanword	RP English	<u>Cantonese</u>
accountancy	$\partial -k^hawn-t^h\partial n-sI$	aː-khaːŋ
ace	ejs	ej-si:
alongside	ə-lə:ŋ-sajd	aː-lɔːŋ-sɐj
apple	æpəl	ε:-po:
arts	a:ts	a:t-si:
argue	aː-gju	a:-kiw
assignment	ə-sajn-mənt	a:-saj-men
ATM	ej-thiː-εːm	ej-thi:-ε:
auntie	a:n-thi:	aːn-thiː
baby	beibi	piː-piː
baccarat	bakəra	baːk-gaː-lɔːk
ball	bo:1	po:
ball-bearing	bo:l-beə-лıŋ	pɔː-pεː-leŋ
ballet	bæ'lei or bæle	ba:-lej (21)
band	bænd	pe:n
bar	bar	pa:
BBC	biː-biː-siː	piː-piː-siː
BBQ	baː-biː-khjuw	paː-piː-khiːw
bearing	beəiiŋ	pεː-leŋ
Beatles	bit-łz,	phej-thew-si:
beer	biər	pε:

Benz benz pε:n-si: berry beri pε:-lei billiards bıł-jədz pi:-le:t biology baj-p-lə-dʒı :c-jsq blouse blaws ia-wal-woq body bp-dı po:-ti: boot bu:t pu:t boss bps po:-si: bow tie bəw thaj pow-tha:j bowling bəw-lıŋ pow-len boxing bok-sin po:k-seŋ boy boi poij boycott boj-khpt pu:j-ko:t brake baejk pek-lek brandy b.iæn-di paːk-laːn-tɛj broker e<sub>1</sub>y-werq pok-ka: buffet bu-fej pow-fej bumper bəm-phə pem-pa: bun bлn ngq bus bΛs pa:-si: bye-bye baj-baj paːj-paːj cake khejk khek call  $k^h \mathfrak{I}:$ kho: callback khɔ:l-bæk kho:-pe:k calorie khæl-ə-л ka:-low-lej cancer khæn-sə khɛːn-saː cap kæp gi:p captain khæp-thın khe:p-thøn carat kærət ka: card kha:d kha:t or khet carnival kha:-nı-vəl ka:-ni:n-wa:  $k^{h}a-t^{h}un$ cartoon ka:-toŋ k<sup>h</sup>eis case khej-si: k<sup>h</sup>æſ cash khε:-ſy: khæſ- mɪə cashmere khe:-si:-me: kha-set kha:-sek cassette kha:-si: cast kha:st CD si:-di: si:-ti: cello tshe-law tshe:-low cents si:n-si: sentscha cha tsa tsa tsa:-tsa: chalk tſɔk tsho:k chance tsha:n-si: tsha:ns

cheap t $\int hi:p$ tſhi:p check tſ<sup>h</sup>εk tshe:k cheese tshi:z tſi:-si: chemistry khem-ə-st.ii khe:m cherries tſ<sup>h</sup>ε-.πz tshe:-lej-tfi: chocolate tʃʰɒk-lət tfy:-ku:-lek chowder t∫aʊdər tsew-ta: CID siː-aːj-diː siː-aːj-tiː cider saidər sai:-da: cigar sı-ga: fy:t-ka: civil sı-vl si:-fow class khla:s kha:-si: click khlık khek clip khlıp ki:p clutch k<sup>h</sup>lətſ kek-lek-tsi: cocktail khpk-thejł kə:k-te: cocoa khaw-khaw kok-ku: coffee khp-fi ka:-fe: cognac khon-jæk kə:n-jep coil kic<sup>d</sup> khɔːi-low coin k<sup>h</sup>ojn khɔ:n cola k<sup>h</sup>əw-lə kə:-la: cologne kʰə-ləʊn ku:lon commission kha-mı-ſn khem-mi:-søn Comp. Lit. khpmp-lit khem-li:t composition khpm-phə-zi-sn khem-phow computer khəm-phju-thə khem-phi:w-tha: condenser khən-den-sa kho:n-te:n-sa: cone koʊn koŋ cookie k¹vk-ı khok-khi: copy  $k^h pp-I$ khep-phi: corner khɔː-nə kho:n-na: kort or kourt ko:t court cousin kʌzən ka:-sen cover  $k^h \Lambda v$ -ə kep-fa: coxswain khpk-sn ngs-k:chk cracker k<sup>h</sup>ræk<sup>h</sup>ə ha:k.lek.ka: cream kh.ii:m kej-li:m curry  $k^h \Lambda$ -JI ka:-lεį cushion kʰʊ-∫n khu:-san cut  $k^h \Lambda t$ khrt ket-li:t cutlet k<sup>h</sup>Λt-lət

cutter

 $k^h \Lambda t$ -ə

ket-ta:

dacron dæ-kh.iən tek-khoik-læiŋ

daddy dæ-dı te:-ti: darling darlıŋ da:-leŋ DDT di:-di:-thi: ti:-ti:-thi: deuce diu:s tiu-si: dinner dınər di:n-na: disco dıs-k<sup>h</sup>əw tek-si:-kow DJ di:-dzej ti:-tsej dockyard dpk-ja:d to:k-ja: doctor dpk-tər dɔːk-taː dollar dp-lə ta:-la: donut dəw-nət ton-let dozen dvz-su ta: duce dju:s ti:w-si:

dynamo daj-nə-məw ta:-la:m-mow

economics i:-kho-npm-iks ji:-kho:n or ji:-kho:n

electrical I-lek-th,II-khl ji:-lε:k encore ankor ε:n-khɔ: Eng. Lit. ıŋ-lit ε:η-li:t engine en-d3In  $\varepsilon$ :-t $\int_{0}^{\infty} i dt$ essay es-ej ε:-sej fibre faıbə fa:ipa: face fei-si: fejs

fans fænz fe:n-si:, fa:n-sy:

fail fejł fej-low Ferrari fə-10-11i fa:t-lai-lei

fare feə fej fashion fæ-ſn fa:-sen fibre faj-bə fa:j-pa: file fajł fa:j-low film fiłm fej-lem flange fet-la:n flænd3 flannel flæ-nəl fa:t-la:n floor-show flo:-saw fo:-sow fluke flu:k fu:-lok forecast fo:-ka:st fo:-kha:-si foreman fo:-mən ngm-:c1 foul fawł wyf frank faænk fa:t-lo:ŋ freezer f.ii:-zə fli:-sa: friend fıınd fe:n fun fΛn fen fuse fi:w-si: fju:z

function fəηk-∫n fen-søn fussy fas-i fet-si: gabardine gæ-bə- di:n ka:-pa:-ti:n gallon gæl-lən ka:-løn game gejm ke:m gas gæs ge:-si: kej gay gei gin fizz dzın fiz tsi:n-fej-si gin sling dzın slıŋ tsi:-len golf gplf, golf, or gpf go:-yi:-fu: good-bye gʊd-baj kuːt-paːj gross swerb la: guard ga:d get guitar gı-tha: kiːt-thaː Gurkha ga:-kʰə kœ:ka: guts g<sub>1</sub>ts ket-si: hello hə-ləw ha:-low hi-fi haj-faj hej-fej high haj ha:j high-class haj-khla:s haːj-khaː-siː Hillman hɪł-mən hej-low-men hormone hor-moบก ho:-yi:-muŋ horn ho:n hə:n hum h<sub>Λ</sub>m myd husband h<sub>A</sub>z-bənd ha:-si:-ben hysteria histiəriə gi:t-si:-tai.ei inch Int [ i:n-t[i: insure ɪn-ໂʊə ii:n-so: IQ aːj-khjuːw aːj-khiːw jack dzæk tsek jacket dzæk-it tse:k-khe:t Jaguar dzæg-jʊə tse:-ka: jam dzæm tse:m jeans dzinz tſi:n jeep dʒip tʃi:p jelly dze-lı tse:-lej jersey d33:-z1 tse:-si: jockey dzpk-1 tso:k-khi: jumbo dzvmpoa woq-ngst jump ball d3vmb po:1 :cq-mast kid  $k^h$ Id khi:t king  $k^h$ Iŋ khen king size khın-sajz khen-sa:j-si:

**KMB** khej-ε:m-bi: khej-ε:m-pi: khaw-dæk Kodak kho:-ta:t label lej-bəl lei-pou lace lejs lej-si: lacquer læk-ə lek-ka: laser lej-zə løy-se: last la:-si: last LC ε:l-si ε:-low-si lemon leman li:ŋ-mu:ŋ letter let-ə le:t-tha: license laj-sns la:j-sen lift lıft li:p linen lın-ən li:n-jen literature litərətfər li:t lo:-li: lorry lp-11 lotion loʊʃən lo:-sen Lysol laj-spł la:j-sow madam mædəm me:-du:m major mei-dzə mε:-tsa: margarine ma:-dzə-лi:n maː-tʃiː-liːn margin ma:-dzin ma:-t∫i:n

mark ma:k (verb) mæk (noun)

mechanical mə-khæn-1-khl me:k-khe:n

meter mi-thə mgi

microphone maj-khrə-fəwn mej-kow-fon

microphone maj-kh.19-fəwn mej mild majłd mej mile majł ism minced mınst mı:n-tʃi: mink mıŋk mi:ŋ Miss mis mi:-si: mold məwłd mow mommy ma:-mi maː-miː Morris (car) mo:-lej-si: mp-11s motor məw-thə mo:-ta movie muː-vi muː-fiː

MTR ε:m-thi:-a: ε:m-thi:-a:-low

NG  $\epsilon: n-d \exists i:$   $\epsilon: n-t \exists i:$  nickle  $n \exists k \Rightarrow 0$ 

notebook nowt-bwk lo:-bok notes nowts nok-si:

number nAm-bə nem-pa: or lem-pa: number one nAm-bə wAn nem-pa:-wen

nylon naj-lon na:-lon off  $\mathfrak{p}f$ o:-fu: office pf-əs o:-fej-si: OK ow-khej ow-khej omelette t:il-mg pm-lit oral fer-:c o:-low orange o:-løn-tsi: orind3 order o:-də o:-ta: orlon o:-lon o:-løn OT ow-thi: ow-thi: Ovaltine əw-vl-thin ow-wa:-thi:n

over əw-və o:-fa: ounce awns o:n-si: P phi: phi: pair pheə phe: p<sup>h</sup>æn pha:n pan phæn-khejk pancake pa:n-khek pej-pa paper peipər park pha:k pha:k pass pha:s pha:-si: pha:t-nə partner pha:t-na:  $p^h\alpha$ :- $t^hI$ pha:t-thi: party passport  $p^ha:s-p^ha:t$ pha:-si:-pho:t

pence phens pi:n-si: penny pheni pen:-ni:

percent  $p^h \theta$ -sent pa:-si:n or phæ:-sen

philosophy fi-lp-sə-fi fi:-lə: physics fiz-iks fi: pie phaj phej pin pin pi:n

cq-msq-peq f:cd-na<sup>h</sup>q-nı<sup>h</sup>q gnoq-priq

ball

pizza p<sup>h</sup>I:t-sə phej-sa:

place phlejs phej-si: or phej-lej-si:

plum phlam pow-lem
poker poʊkər pɔk:-ka
political phə-lit-i-khl phow-li:t
port phɔ:t pu:t
pose phəwz phow-si:

post phəwst phow-si: postcard phawst-kha:d phow-si:-kha:t poster poʊstər po:-sta potential phə-then-fl phow-the:n-sow pound p<sup>h</sup>awnd po:n powder pavdər pha:w-ta professor ea-31-er. phow-fe:-sa: psychology saj-khp-lə-d31 saj-kho: pump p<sup>h</sup> $\Lambda$ mp myg **PVC** phi:-vi:-si: phi:-wi:-si: qualification khwp-li-fi-khej-sn khwɔ:-li: quart khwo:t kwet quarter khwa:-tha kwet quinella khwi-nel-ə khwi:n-ne:-la: quinella khwi-nel-ə khi:w ream ıi:m li:m roller el-wer low-la: Rolls złwer low-si: Royce sicu lɔ:j-si: rouble .nu:-bl low-pow round Jaund la:n lem rum JAM rumba JVW-p9 lœ:n-pa: rupee .ruː-pʰi: low-pej salad sæl-əd sa:-løt salmon sæmən sa:-mu:n saloon sə-lu:n sa:-lon sample sa:m-phl sa:m-phow sandwich sæn-wid3 sa:m-men-tsi: satay sa-tei sa:-te: sardine sa:-di:n sa:-ti:n sauna saซ-ทอ so:ŋ-na: saxophone sæk-sə-fəwn sek-si:-fon score ska: si:-kɔ: sergeant sa:-dʒənt sa:-tʃin set set søt sex sεks se:k-si: shaft sa:ft qys shake ſejk sek sharp ∫a:p sa:p shilling ʃił-liŋ si:n-len shirt ∫3rt sœ:t shoot \_fu:t søt

show ſəw sow shutter ∫ət-ə set-ta: sideboard sajd-bo:d sej-pu:t sink sink seŋ sir a:-sœ: s3: sirloin sa:-lojn sej-la:ŋ size sajz sa:j-si: slick slık si:-lek slide slajd si:-la:j si:-ma:t or si:-ma:k smart sma:t snooker รทซk-อ si:-lok-ka: social fl-wea sow-sow sociology səw-sı-p-lə-dʒı sow-si: socket spk-ət so:-ki:t soda səw-də sa:-ta: sofa səw-fə sa:-fa: soft soft so:-fu: solicitor sow-li:t sə-lıs-ı-t<sup>h</sup>ə sp-ji sa:-li: sorry souffle su:-flej so:-fu:-lej spanner spæn-nə si:-pa:-la: spare speə si:-pe: spark spa:k si:-pa:k sports shirt spo:ts-∫3t si:-pu:t-søt stamp stæmp si:-ta:m start si:-tha:t sta:t statistics stə-this-tiks si:-te:t steam sti:m si:-ti:m stick stık si:-tek store si:-ta: sta: straight strejt si:-tek-lek strawberry II.-ed-cuts si:-to:-pe:-lej style stajł siː-taːj-low sugar ਹਿgər suː-kaː sundae sʌn-dej sen-tej Sunkist  $s \Lambda n \text{-} k^h \text{I} s t$ sen-khej-si switch switf si:-wi:t-tʃi: T-shirt thi-∫3:t thi:søt table tej-bow teıbəl tha:t tart tart taxi thæk-si tek-si: TB thi:-pi: thi:-pi: tenderloin

then-də-ləjn

thi:n-ta:-løn

tennis the:n-nej-si: or the:n-ni-si:

thank you θæηk-ju: ten-ki:w tick thik thek tie thaj tha:j tips thips thi:p-si: tire thajə thaːi toast thawst to:-si: thow-fow **TOEFL** thow-fəl ton  $t^h \Lambda n$ tøn

tonic thonik tho:n-nek tutor thju:-thə thiw-tha: tutorial fe-II-:c<sup>h</sup>t -:ui<sup>h</sup>t thi:w-tho: TVthi:-vi: thi:-wi: uncle eη-k<sup>h</sup>ł ยŋ-khow understand ən-də-stænd ns

valve vælv wa:-low
van væn we:n
vanilla və-ını-ə wen-ni:-la:

vaseline væ-sə-li:n fa:-si:-leŋ
very good ve-ɪɪ-gʊd wɛ:-li:-ku:t
vitamin vaɪtəmɪn, vɪtəmɪn wɐ:-ta:-miŋ
volley vɒlɪ wɔ:-lei

Volkswagen vəwks-wa:-gən fok-si: volt vewlt fo:t volume vpł-ju:m msl-:cw wafer weifə wej-fa: waiter wej-thə wej-tha: walkman wəkmən nsm-x:cw

warrant wprənt fa:-lenor wo:-len, wo:løn

waste wejst iis-įgw watt wo:k wpt whiskey hwis-khi wej-si:-kej wide-angle wajd-æŋ-gł wa:j-ɛ:ŋ-kow wife wajf wej-fu: winner wın-ə wen-na:

winner win-ə wen-na wire wajə wej-ja: yeast ji:st ji:-si: